# ERCOT Eligibility Verification RFI — Load Type Studied — Question Reference Guide

**Published 9/19/2026**

**RFI version 1.1**

**What this is:** The following table lists every question in ERCOT's request for information (RFI) for Large Loads to be studied and allocated under Planning Guide Section 9.2.1.2(1). The RFI responses are used to verify that a Large Load is eligible for allocation. This document is a reference guide only; the RFIs in the response portal built in Resource Integration and Ongoing Operations (RIOO) are the controlling documents. Changes from the preceding version(s) of the Verification RFI are indicated by footnotes. These changes are technical or typographical only and do not affect any answer already submitted. Answers submitted in RIOO are retained across versions, so no action is required.

**How to read it:** "When to answer" shows "Always" for questions everyone answers, or a condition (e.g., "If SCE1-1 is Yes") for questions that appear only after a certain answer. "Answer opens follow-up questions" shows whether this question's answer reveals additional questions (e.g., "If Yes → SCE1-2"); a dash means it opens no follow-ups, and you simply continue to the next question. Question IDs ending in a letter (e.g., SCE1-2a) identify sub-questions within a repeating set; these IDs are used here for reference only and do not appear in the RFI response portal. All questions are required unless the question itself states otherwise.

Section bars are color-coded by who answers: the Interconnecting Large Load Entity (ILLE), the Interconnecting Transmission Service Provider (TSP), or the Interconnecting Distribution Service Provider (DSP). Yellow rows are instructions that govern the questions beneath them.

| **Key — color coding** | |
| --- | --- |
| Interconnecting Large Load Entity (ILLE) | |
| Interconnecting Transmission Service Provider (TSP) | |
| Interconnecting Distribution Service Provider (DSP) | |
| Instruction (governs the questions beneath it) | |

## General Instructions:

- **STOP.** This RFI applies to a Large Load seeking inclusion in Batch Zero as load to be studied and allocated under **Planning Guide Section 9.2.1.2.** Before continuing, the Interconnecting Transmission Service Provider (Interconnecting TSP) must confirm that it is using the correct template for the applicable eligibility path and that it has added the Interconnecting Large Load Entity (ILLE, also referred to as Load Entity in this RFI) contact and, if different from the Interconnecting TSP, a contact for the Interconnecting DSP. The system will create an account for each contact added, allowing that entity to complete its portion of the RFI.
- The **Interconnecting Transmission Service Provider (Interconnecting TSP) must submit the response to the Request for Information (RFI) to ERCOT within 10 Business Days of ERCOT's issuance of the RFI**. The Interconnecting TSP may request a 5-Business Day extension by emailing ERCOT at LargeLoadVerification@ercot.com. The email subject line must include the Large Load's Batch Zero number and the ILLE's name, in the following format: [Batch Zero number] – [ILLE name].
- The ILLE must coordinate with the Interconnecting TSP so that the Interconnecting TSP receives all ILLE-provided information and documentation with sufficient time to submit a complete response within 10 Business Days of ERCOT's issuance of the RFI. The ILLE's failure to provide necessary information and supporting documentation in time for the Interconnecting TSP to timely submit a completed response to this RFI may result in the Large Load's removal from the Batch Zero Process.
- Customer-specific information submitted to ERCOT by the Interconnecting TSP pursuant to this RFI is considered Protected Information under ERCOT Protocols § 1.3.1.1(1)(r) (Items Considered Protected Information). To ensure the ILLE's information receives this treatment, the ILLE must provide all information and supporting documentation through RIOO as part of the response the Interconnecting TSP submits. Any information submitted directly to ERCOT pursuant to this RFI by the ILLE may not qualify as Protected Information and therefore could be subject to public disclosure.
- If you have any questions about the substance of the RFI, please submit them to LargeLoadVerification@ercot.com.
- If you have any technical questions about or issues using the RIOO platform, please submit them to servicedesk@ercot.com.
- Please review Planning Guide Revision Request (PGRR) 145 and Planning Guide Section 9 for assistance in answering the RFI. PGRR 145 is accessible at https://www.ercot.com/mktrules/issues/PGRR145#keydocs.
- Capitalized terms used in the RFI are defined either within the RFI, Planning Guide Section 9, or Protocol Section 2.

### How the RFI is Completed and Submitted

This RFI is completed by the Interconnecting TSP, the ILLE, and, if different from the Interconnecting TSP, the Interconnecting DSP, in the following sequence:

(1) The Interconnecting TSP logs in to RIOO and adds the ILLE contact (and, if different, the Interconnecting DSP contact). The RIOO system creates an account for each contact added.

(2) The ILLE, the Interconnecting TSP, and, if different, the Interconnecting DSP each complete their own portions of the RFI and upload their supporting documents and exhibit lists. The three may complete their portions at the same time.

(3) Once all portions are complete, the Interconnecting TSP submits the completed response to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.

For step-by-step instructions on completing and submitting the RFI in RIOO, refer to the user guide on the ERCOT website.

**Document Submission Instructions:**

Any documentation that is requested by ERCOT or referenced in or relied upon by the ILLE or Interconnecting TSP or DSP in an RFI response must be submitted pursuant to this RFI even if you previously submitted the document to ERCOT. **DO NOT state in an RFI that you have already submitted such document.**

Any documentation provided pursuant to the RFI must be submitted as individual, paginated exhibits and saved as search-capable electronic files (Word, PDF, Excel, etc.). Each exhibit should be identified as Exhibit A, Exhibit B, Exhibit C, etc. using the following file naming convention:

- **[BZNumber]_[Submitter]_[ExhibitIdentifier]_VerificationRFI_[YYYYMMDD]**
-
- Ex: **BZ9999**_ILLE_ExhibitA_VerificationRFI_20260817
- Ex: **BZ9999**_TSP_ExhibitA_VerificationRFI_20260817
- Ex: **BZ9999**_DSP_ExhibitA_VerificationRFI_20260817

- *The "BZNumber" is the unique identifier assigned to the Large Load interconnection request.*
- *"Submitter" should be "ILLE," "TSP," or "DSP" depending on which entity submitted the document.*
- *"Exhibit Identifier" is the chronological identification of the exhibits.*

If the same document is responsive to more than one question, the ILLE, Interconnecting TSP, or Interconnecting DSP *must upload it in response to each such question*. The exhibit file name must remain the same each time the document is uploaded. **Do not rename the document or assign it a new Exhibit Identifier.** The document is listed only once on the exhibit list, and each response citing it uses the same Exhibit Identifier and file name.

Prior to submission of the RFI response, the ILLE and Interconnecting TSP or DSP shall separately upload an exhibit list identifying each document the submitter respectively provided, referenced, or relied upon in their response to the RFI.

The exhibit list must reflect the following format, incorporating the required file naming convention addressed above (examples provided):

Example for the ILLE:

| Exhibit Identifier | Submitter | Title of Supporting Document | File Name of Supporting Document |
|---|---|---|---|
| A | ILLE | Executed property deed | BZ9999_ILLE_ExhibitA_VerificationRFI_20260817 |
| B | ILLE | Financial Security | BZ9999_ILLE_ExhibitB_VerificationRFI_20260817 |

Example for the Interconnecting TSP:

| Exhibit Identifier | Submitter | Title of Supporting Document | File Name of Supporting Document |
|---|---|---|---|
| A | Interconnecting TSP | Executed property deed | BZ9999_TSP_ExhibitA_VerificationRFI_20260817 |
| B | Interconnecting TSP | Financial Security | BZ9999_TSP_ExhibitB_VerificationRFI_20260817 |

- For each document provided pursuant to, referenced in, or relied upon for an RFI response, the ILLE and Interconnecting TSP or DSP must include the following information in said response: (1) the Exhibit Identifier, (2) the document file name, and (3) the specific page number(s) supporting the response, if applicable.

  **Example: See BZ9999_ILLE_Exhibit A_VerificationRFI_20260817 at pages 10-14**

**ILLE's Reliance on an Eligibility Affiliate or Non-Affiliated Third Party**

An eligibility criterion may be satisfied by an Eligibility Affiliate of the ILLE or by a non-affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy the criterion on the ILLE's behalf. 'Eligibility Affiliate' means an entity that qualifies under one of the bases set out below and is defined solely for determining eligibility and reliance in the Batch Zero Process and differs from, and does not incorporate, the definition of 'Affiliate' in the ERCOT Protocols. The bases on which an entity qualifies as an Eligibility Affiliate are set out below. A non-affiliated third party is not an Eligibility Affiliate. In each applicable Section, the ILLE may identify a non-affiliated third party by selecting option (6) in that Section.

Where the ILLE relies on an Eligibility Affiliate or a non-affiliated third party to satisfy any criterion addressed in this RFI, the ILLE must, in the applicable Section: (1) identify the Eligibility Affiliate or non-affiliated third party and the criterion it satisfied; (2) respond to the questions in that Section as to the Eligibility Affiliate's or non-affiliated third party's actions or documents to the same extent as if they were the ILLE's; and (3) provide the basis of affiliation (for an Eligibility Affiliate) or the contractual agency designation (for a non-affiliated third party), and the supporting proof, as required in the applicable Section.

***Basis for Eligibility Affiliation:***

For purposes of this RFI, an entity qualifies as an Eligibility Affiliate of the ILLE on one of the following bases (these correspond to the bases for Eligibility Affiliate status in the Batch Zero Affiliate Form, in which "Designated ILLE" has the same meaning as "ILLE" in this RFI). The terms 'person' and 'entity' have the meaning set forth in Texas Business Organizations Code Section 1.02.

(1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;

(2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;

(3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;

(4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; or

(5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE.

**This RFI was updated on September 19, 2026. Updates in RFI v1.1:**

- IFS-7e, revised number field to text box
- IFS-9g, corrected Planning Guide citation
- IFS-11h, revised to attachment not required
- IFS-11j, 11m, corrected Planning Guide citation
- IFS-12, corrected Planning Guide citation
- IFS-13, corrected Planning Guide citation
- TSFF-9, 10, and 11, corrected Question ID
- TSFF-11, revised to not require an attachment
- DSFF-5, revised number field to text box
- DSFF-10, corrected Planning Guide citation
- DSFF-13, corrected Planning Guide citation

## SITE CONTROL AND EVIDENCE — PART ONE: QUALIFYING PROPERTY INTEREST

In the Site Control and Evidence section, the Interconnecting Large Load Entity (ILLE) must provide the following information and documents evidencing that it holds one of the qualifying property interests listed in Planning Guide Section 9.2.1.2(1)(b), covering one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location. Part One addresses the qualifying property interest. Part Two addresses the sufficiency of the parcels covered. Part Three addresses reliance on Eligibility Affiliates and non-eligibility affiliated third parties and supplemental and updated information.

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

If any lease, deed, purchase and sale agreement, or option agreement responsive to this Section is held by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party rather than by the ILLE, respond to the questions in this Part as to that document, and identify the holder. The basis of affiliation, or the basis for reliance on the non-eligibility affiliated third party, and the supporting proof, are addressed in Site Control and Evidence (Studied Load) Part Three.

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **SCE1-1** | Yes / No | Does the ILLE have a signed and executed lease agreement with a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand for any part of the property that makes up the location of the planned Load Facility? | Always | If Yes → SCE1-2 |
| **SCE1-2** | Set of questions that can repeat if ILLE has more than one lease to report | Complete one set of the questions below for each produced lease that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add another Lease' and complete the questions again. Provide a separate set for every lease; do not combine multiple leases in a single set. | **If SCE1-1 is Yes** | Repeat for each Lease |
| **SCE1-2a** | Text/Attachment | Produce a complete copy of the lease, identified by document file name. | Always | — |
| **SCE1-2b** | Text | Identify the page and section of the lease that contains the lease term, and explain how the lease satisfies the requirement that it be for a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand. | Always | — |
| **SCE1-2c** | Yes / No | Does the lease recite the size of the parcel it covers? | Always | If Yes → SCE1-2d |
| **SCE1-2d** | Number | State the size of the parcel as recited in the lease. | **If SCE1-2c is Yes** | — |

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| **SCE1-2e** | Text | Is the lessee under the lease the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If the lessee is an Eligibility Affiliate or a non-eligibility affiliated third party, identify the lessee. | Always | — |
| **SCE1-2f** | Yes / No | Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that affect termination rights, extension rights, or other rights related to the term or duration of this lease? | Always | If Yes → SCE1-2g |
| **SCE1-2g** | Text/Attachment | Produce each such document. For each, identify it by document file name and the specific lease affected, identify all relevant pages and sections, and explain how it alters or may alter the parties' rights. | **If SCE1-2f is Yes** | — |
| **SCE1-3** | Yes / No | Does the ILLE have a deed conveying to it any part of the property that makes up the site of the planned Load Facility? | Always | If Yes → SCE1-4 |
| **SCE1-4** | Set of questions that can repeat if ILLE has more than one deed to report | Complete one set of the questions below for each produced deed that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Deed' and complete the questions again. Provide a separate set for every deed; do not combine multiple deeds in a single set. | **If SCE1-3 is Yes** | Repeat for each Deed |
| **SCE1-4a** | Text/Attachment | Produce a complete copy of the deed, identified by document file name. | Always | — |
| **SCE1-4b** | Yes / No | Does the deed recite the size of the parcel it covers? | Always | If Yes → SCE1-4c |
| **SCE1-4c** | Number | State the size of the parcel as recited in the deed. | **If SCE1-4b is Yes** | — |
| **SCE1-4d** | Text | Is the deed held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder. | Always | — |
| **SCE1-4e** | Yes / No | Are there any amendments, side letters, memoranda of understanding, addenda, easements, or other documents that alter or may alter the property rights of the ILLE to construct its planned Load Facility on the parcel? | Always | If Yes → SCE1-4f |
| **SCE1-4f** | Text/Attachment | Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's property rights. | **If SCE1-4e is Yes** | — |
| **SCE1-5** | Yes / No | Does the ILLE have a signed and executed purchase and sale agreement to acquire any part of the property that makes up the site of the planned Load Facility? | Always | If Yes → SCE1-6 |

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| **SCE1-6** | Set of questions that can repeat if ILLE has more than one purchase and sale agreement to report | Complete one set of the questions below for each purchase and sale agreement that makes up all or part of the ILLE's property interest in the parcel(s) needed to accommodate the planned Load Facilities. To add another, select 'Add Purchase and Sale Agreement' and complete the questions again. Provide a separate set for every purchase and sale agreement; do not combine multiple purchase and sale agreements in a single set. | **If SCE1-5 is Yes** | Repeat for each Purchase and Sale Agreement |
| **SCE1-6a** | Text/Attachment | Produce a complete copy of the purchase and sale agreement, identified by document file name. | Always | — |
| **SCE1-6b** | Yes / No | Does the purchase and sale agreement recite the size of the parcel it covers? | Always | If Yes → SCE1-6c |
| **SCE1-6c** | Number | State the size of the parcel as recited in the purchase and sale agreement. | **If SCE1-6b is Yes** | — |
| **SCE1-6d** | Text | Is the purchase and sale agreement held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder. | Always | — |
| **SCE1-6e** | Yes / No | Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that alter or may alter the ILLE's rights under the purchase and sale agreement to construct its planned Load Facility on the parcel? | Always | If Yes → SCE1-6f |
| **SCE1-6f** | Text/Attachment | Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's rights under the purchase and sale agreement. | **If SCE1-6e is Yes** | — |
| **SCE1-7** | Yes / No | Does the ILLE have a signed and executed agreement with an option to purchase or lease any part of the property that makes up the location of the planned Load Facility? | Always | If Yes → SCE1-8 |

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| **SCE1-8** | Set of questions that can repeat if ILLE has more than one option agreement to report | Complete one set of the questions below for each option agreement that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add Option Agreement' and complete the questions again. Provide a separate set for every option agreement; do not combine multiple option agreements in a single set. | **If SCE1-7 is Yes** | Repeat for each Option Agreement |
| **SCE1-8a** | Text/Attachment | Produce a complete copy of the option agreement, identified by file name. | Always | — |
| **SCE1-8b** | Text | Identify the page and section stating the option's terms, including the period during which the option may be exercised and any conditions on exercise. If the option is an option to lease, identify the page and section stating the term of the resulting lease and explain whether it would run at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand. | Always | — |
| **SCE1-8c** | Yes / No | Does the option agreement recite the size of the parcel it covers? | Always | If Yes → SCE1-8d |
| **SCE1-8d** | Number | State the size of the parcel as recited in the option agreement. | **If SCE1-8c is Yes** | — |
| **SCE1-8e** | Text | Is the option agreement held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder. | Always | — |
| **SCE1-8f** | Yes / No | Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that alter or may alter the ILLE's rights under the option agreement, including any condition on or limitation of the ILLE's right to exercise the option? | Always | If Yes → SCE1-8g |
| **SCE1-8g** | Text/Attachment | Produce all amendments, side letters, memoranda of understanding, addenda, or other documents that alter or may alter the ILLE's rights under the option agreement, including any condition on or limitation of the ILLE's right to exercise the option. For each produced document, identify all relevant pages and sections, identify it by file name, and explain how it alters or may alter the parties' rights. | **If SCE1-8f is Yes** | — |

**ILLE QUESTIONS CONTINUE BELOW**.

**SITE CONTROL AND EVIDENCE — PART TWO: SUFFICIENCY OF THE SITE**

In this Part, the ILLE must demonstrate that the qualifying property interests established in Site Control and Evidence Part One cover one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location.

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **SCE2-9** | Number | State the total acreage of the proposed Large Load site. | Always | — |
| **SCE2-10** | Number | State the total acreage required to accommodate the planned Load Facilities as designed. | Always | — |
| **SCE2-11** | Yes / No | Do the qualifying property interests evidenced by the leases, deeds, purchase and sale agreements, and option agreements produced in Part One (SCE1-2, SCE1-4, SCE1-6, and SCE1-8), taken together, cover the full acreage identified in SCE2-9. | Always | If No → SCE2-12 |
| **SCE2-12** | Text | Identify each portion of the property interest not covered by any produced document, by parcel or legal description. | **If SCE2-11 is No** | — |
| **SCE2-13** | Number | State the total acreage covered by the qualifying property interests evidenced by the leases, deeds, purchase and sale agreements, and option agreements produced in Part One (SCE1-2, SCE1-4, SCE1-6, and SCE1-8). | Always | — |
| **SCE2-14** | Yes / No | Is the acreage identified in SCE2-13 either equal to or greater than the acreage identified in SCE2-10? | Always | If No → SCE2-15 |
| **SCE2-15** | Text | State the amount of shortfall in acres and identify the required acreage not covered by a qualifying property interest. Explain how to the ILLE plans to acquire the required acreage. | **If SCE2-14 is No** | — |

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| **SCE2-16** | Text/Attachment | Produce the current site plan for the proposed Large Load site, dated and drawn to scale, showing (a) the boundaries of each parcel identified in the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, SCE1-6, SCE1-8), keyed to the parcel identifiers or legal descriptions provided in those responses, and (b) the footprint and location of all planned Load Facilities. Identify any planned facility or infrastructure that falls outside the acreage covered by the produced documents. If more than one version of the site plan exists, produce the most recent version and identify it by document file name and date. | Always | — |
| **ILLE QUESTIONS CONTINUE BELOW**. | | | | |

| **SITE CONTROL AND EVIDENCE — PART THREE: RELIANCE AND SUPPLEMENTAL INFORMATION**<br>This Part applies to the responses in Site Control and Evidence Part One (Qualifying Property Interest) and Part Two (Sufficiency of the Site)<br>. | | | | |
|---|---|---|---|---|
| **Question ID** | **Answer format** | **Question** | **When to answer this question** | **Answer triggers follow-up questions** |
| **INSTRUCTION (applies to the questions below).** Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties<br>For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02.<br>(1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;<br>(2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;<br>(3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;<br>(4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;<br>(5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or<br>(6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf<br>. | | | | |
| **SCE3-17** | Yes / No | Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.2(1)(b)? | Always | If Yes → SCE3-18 |

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| **SCE3-18** | Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report | Complete one set of the questions below for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set. | **If SCE3-17 is Yes** | Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party |
| **SCE3-18a** | Text | Name of the Eligibility Affiliate or non-eligibility affiliated third party. | Always | — |
| **SCE3-18b** | Select all that apply | Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both. | Always | — |
| **SCE3-18c** | Text | Describe the basis for affiliation on which the ILLE relied on this entity for eligibility. If the entity is an Eligibility Affiliate, explain how each basis for affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party. | Always | — |
| **SCE3-18d** | Text/Attachment | Produce all documents establishing the selected basis for affiliation: For an Eligibility Affiliate, produce documents establishing the affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis. | Always | — |
| **INSTRUCTION (applies to the questions below).** *Supplemental and Updated Information* | | | | |

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| **SCE3-19** | Text/Attachment | If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.2(1)(b) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number. | Not required | — |
| **SCE3-20** | Text | If any document produced in response to Site Control and Evidence Part One or Part Two differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each document by file name, describe how it differs, and explain the reason for the difference. | Not required | — |
| **ILLE QUESTIONS CONTINUE BELOW**. | | | | |

**ILLE FINANCIAL SECURITY FOR SYSTEM UPGRADES**

In the Financial Security section, the ILLE must provide the following information and documents evidencing that it posted financial security for system upgrades equal to $50,000 per MW of its peak Demand in its most recent Load Commissioning Plan (LCP), as required by Planning Guide Section 9.2.1.2(1)(c).

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third-Parties

If any financial security responsive to this Financial Security Section was posted or provided by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated third party acting as the ILLE's agent rather than by the ILLE, respond to the questions in this Section as to that financial security, and identify the party that posted or provided it. The basis of affiliation or agency, and the supporting proof, are addressed in the Eligibility Affiliate and Non-Eligibility Affiliated Third Party Reliance subsection at the end. This paragraph does not apply to a corporate or parental guaranty, where the guarantor and its relationship to the ILLE are addressed in the corporate or parental guaranty questions in this Section.

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **IFS-1** | Number | State the ILLE's peak Demand in MW as stated in its most recent LCP. | Always | — |

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| **IFS-2** | Number | State the amount of financial security required, calculated as \$50,000 multiplied by the peak Demand stated in IFS-1. | Always | — |
| **IFS-3** | Number | State the total amount in US dollars of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. | Always | — |
| **IFS-4** | Yes / No | Is the amount posted (IFS-3) at least the amount required (IFS-2)? | Always | If No → IFS-5 |
| **IFS-5** | Text | State the shortfall in dollars and explain. | **If IFS-4 is No** | — |
| **IFS-6** | Yes / No | Did the ILLE post cash collateral as financial security for system upgrades necessary to reliably serve the ILLE? | Always | If Yes → IFS-7 |
| **IFS-7** | Set of questions that can repeat if ILLE has more than one cash posting to report | Complete one set of the questions below for each cash collateral posting. To add another, select 'Add Cash collateral' and complete the questions again. Provide a separate set for every cash collateral; do not combine multiple cash collaterals in a single set. | **If IFS-6 is Yes** | Repeat for each Cash collateral |
| **IFS-7a** | Select one | Identify with whom the cash collateral was posted: the Interconnecting DSP or the Interconnecting TSP. | Always | — |
| **IFS-7b** | Text | State the name of the Interconnecting DSP or TSP identified. | Always | — |
| **IFS-7c** | Number | State the amount of cash collateral posted in US dollars. | Always | — |
| **IFS-7d** | Date | State the date the cash collateral was posted. | Always | — |

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| **IFS-7e** | Text[1]/Attachment | Produce documentation confirming that the cash collateral was posted and that the Interconnecting DSP or TSP received irrevocable access to it, which may include without limitation, wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement. Identify each document by file name and page number. If the cash collateral was provided by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the party and state which it is. | Always | — |
| **IFS-8** | Yes / No | Did the ILLE post one or more corporate or parental guaranties as financial security for system upgrades necessary to reliably serve the ILLE? | Always | If Yes → IFS-9 |
| **IFS-9** | Set of questions that can repeat if ILLE has more than one corporate or parental guaranty to report | Complete one set of the questions below for each corporate or parental guaranty posted. To add another, select 'Add another Corporate or Parental Guaranty' and complete the questions again. Provide a separate set for every corporate or parental guaranty; do not combine multiple corporate or parental guaranties in a single set. | **If IFS-8 is Yes** | Repeat for each Corporate or Parental Guaranty |
| **IFS-9a** | Select one | Identify with whom the guaranty was posted: the Interconnecting DSP or the Interconnecting TSP. | Always | — |
| **IFS-9b** | Text | State the name of the Interconnecting DSP or TSP. | Always | — |
| **IFS-9c** | Number | State the amount of the guaranty in US dollars. | Always | — |
| **IFS-9d** | Text | Identify the guarantor (the corporation or parent corporation providing the guaranty) by name and circle the guarantor on the organizational chart required by IBO-1 below. | Always | — |

[1] Revised in RFI v1.1 from a number field to a free-text box; respondents may now enter text as well as numbers.

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| **IFS-9e** | Select all that apply | Identify the credit rating agency whose rating the guarantor relies on to meet the requirement that it have a credit rating of at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch:<br>(1) Standard & Poor's<br>(2) Moody's<br>(3) Fitch<br>(4) Other/None | Always | If option Moodys, SP, or Fitch → IFS-9f; If option Other/None → IFS-9g |
| **IFS-9f** | Text/Attachment | Produce documentation evidencing that rating and identify it by document file name and page number. If the guarantor is rated by more than one of Standard & Poor's, Moody's, and Fitch, state the second-highest rating. | **If IFS-9e is option Moodys, SP, or Fitch** | — |
| **IFS-9g** | Text | If the ILLE selected, "Other/None," explain the basis on which the ILLE contends the guaranty satisfies the credit rating requirement of Section 9.2.1.2(1)(c),[2] notwithstanding that the guarantor's rating is not from Standard & Poor's, Moody's, or Fitch. Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number. | **If IFS-9e is option Other/None** | — |
| **IFS-10** | Yes / No | Did the ILLE post one or more letters of credit as financial security for system upgrades necessary to reliably serve the ILLE? | Always | If Yes → IFS-11 |
| **IFS-11** | Set of questions that can repeat if ILLE has more than one letter of credit to report | Complete one set one set of the questions below for each letter of credit posted. To add another, select 'Add another Letter of credit' and complete the questions again. Provide a separate set for every letter of credit; do not combine multiple letters of credit in a single set. | **If IFS-10 is Yes** | Repeat for each Letter of credit |
| **IFS-11a** | Select one | Identify with whom the letter of credit was posted: the Interconnecting DSP or the Interconnecting TSP. | Always | — |

[2] Corrected the citation in RFI v1.1.

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| **IFS-11b** | Text | State the name of the Interconnecting DSP or TSP. | Always | — |
| **IFS-11c** | Text | Identify the issuing bank. | Always | — |
| **IFS-11d** | Number | State the face amount of the letter of credit in US dollars. | Always | — |
| **IFS-11e** | Month / Year | State the date the letter of credit was posted. | Always | — |
| **IFS-11f** | Text/Attachment | Produce a complete copy of the letter of credit. Identify it by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE's agent, so state and identify the party. | Always | — |
| **IFS-11g** | Text | Are there any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If none, so state. | Always | — |
| **IFS-11h** | Text/Attachment[3] | Produce all amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. For each, identify by document file name the letter of credit affected and describe how it alters the parties' rights. | Always | — |
| **IFS-11i** | Select one | Which of the following describes the issuing bank?<br>(1) A major U.S. commercial bank<br>(2) A U.S. branch office of a major foreign commercial bank<br>(3) Neither of the above | Always | If No → IFS-11j |

[3] Revised in v1.1 to be attachment optional.

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| **IFS-11j** | Text/Attachment | If the issuing bank is not a major U.S. commercial bank or a U.S. branch office of a major foreign commercial bank, state where the issuing bank is located and explain the basis on which the ILLE contends the letter of credit satisfies the issuer requirement of Section 9.2.1.2(1)(c),[4] notwithstanding that the issuing bank is neither a major U.S. commercial bank nor a U.S. branch office of a major foreign commercial bank. Produce all supporting documents, identified by document file name and page number. | **If IFS-11i is No** | — |
| **IFS-11k** | Select all that apply | Identify the credit rating agency whose rating the issuing bank relies on to meet the requirement that it have a credit rating of at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch:<br>(1) Standard & Poor’s<br>(2) Moody’s<br>(3) Fitch<br>(4) Other/None | Always | If option SP, M, or F → IFS-11l; If option O → IFS-11m |
| **IFS-11l** | Text/Attachment | Produce documentation evidencing that rating and identify it by document file name and page number. If the issuing bank is rated by more than one of Standard & Poor's, Moody's, and Fitch, state the second-highest rating. | **If IFS-11k is option SP, M, or F** | — |
| **IFS-11m** | Text/Attachment | If the ILLE selected, "Other/None," explain the basis on which the ILLE contends the letter of credit satisfies the credit rating requirement of Section 9.2.1.2(1)(c),[5] notwithstanding that the issuing bank's rating is not from Standard & Poor's, Moody's, or Fitch (including because the issuing bank is unrated or is rated only by another agency). Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number. | **If IFS-11k is option O** | — |
| **IFS-12** | Yes / No | Did the ILLE post security in a form not recognized in Planning Guide Section 9.2.1.2(1)(c)[6] of the Planning Guide? | Always | If Yes → IFS-13 |

[4] Corrected the citation in RFI v1.1.
[5] Corrected the citation in RFI v1.1.
[6] Corrected the citation in RFI v1.1.

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| **IFS-13** | Text/Attachment | If yes, explain how the ILLE satisfies the requirements in Planning Guide Section 9.2.1.2(1)(c)[7] of the Planning Guide. Provide supporting documentation. | **If IFS-12 is Yes** | — |
| **INSTRUCTION (applies to the questions below).** Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties<br>For the following questions, "Basis for Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02.<br>(1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;<br>(2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;<br>(3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;<br>(4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;<br>(5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or<br>(6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf. | | | | |
| **IFS-14** | Yes / No | Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.2(1)(c)? | Always | If Yes → IFS-15 |
| **IFS-15** | Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report | Complete one set of the questions below for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set. | **If IFS-14 is Yes** | Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party |
| **IFS-15a** | Text | Name of the Eligibility Affiliate or non-eligibility affiliated third party. | Always | — |
| **IFS-15b** | Select all that apply | Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both. | Always | — |

[7] Corrected the citation in RFI v1.1.

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| **IFS-15c** | Text | Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis for affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party. | Always | — |
| **IFS-15d** | Text/Attachment | Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the Eligibility Affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis. | Always | — |
| **INSTRUCTION (applies to the questions below).** *Supplemental and Updated Information* | | | | |
| **IFS-16** | Text/Attachment | If the ILLE believes any information or document relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.2(1)(c) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number. | Not required | — |
| **IFS-17** | Text | If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference. | Not required | — |
| **ILLE QUESTIONS CONTINUE BELOW**. | | | | |

**ILLE BUSINESS ORGANIZATION**

In the ILLE Business Organization section, the ILLE must provide the following information and documents concerning its business and Eligibility Affiliates, as identified below.

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **IBO-1** | Text/Attachment | Provide an organizational chart showing the ILLE's complete corporate structure and all Eligibility Affiliates relied on in connection with the application to satisfy the criteria in Section 9.2.1.2(1). If not indicated on the chart, include a statement or produce documents that include ownership percentages and other necessary information to establish direct ownership between entities, including any entities in between Eligibility Affiliates and equity owners. For each document produced, identify all relevant pages and sections that set forth the ownership percentages and other necessary information to establish direct ownership between the entities. To the extent an Eligibility Affiliate or person in the proposed structure is a foreign entity, please identify where the entity was incorporated, note the type of foreign entity and, state where applicable, its registration number. | Always | — |
| **IBO-2** | Yes / No | Is the ILLE headquartered in China, Iran, North Korea, or Russia? | Always | — |
| **IBO-3** | Yes / No | Is the ILLE owned by or the majority of stock or other ownership interest of the ILLE held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia? | Always | — |
| **IBO-4** | Yes / No | Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.2(1) headquartered in China, Iran, North Korea, or Russia? | Always | — |

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| **IBO-5** | Yes / No | Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.2(1) owned by or the majority of stock or other ownership interest of the Eligibility Affiliate held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia? | Always | — |
| **ILLE QUESTIONS CONTINUE BELOW**. | | | | |

| **ILLE ATTESTATION AND EXHIBIT LIST** | | | | |
|---|---|---|---|---|
| **Question ID** | **Answer format** | **Question** | **When to answer this question** | **Answer triggers follow-up questions** |
| **IAT-1** | Attachment | By completing and submitting the attached ILLE RFI Attestation, the ILLE confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided. | Always | — |
| **IAT-2** | Attachment | Prior to submission of the RFI response, the ILLE shall upload an exhibit list identifying each document it provided, referenced, or relied upon in its response to the RFI. | Always | — |

**END OF ILLE QUESTIONS**

## FORM OF FINANCIAL SECURITY — INTERCONNECTING TSP

In the Form of Financial Security section, the Interconnecting TSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting TSP's acceptance of that security, as required by Planning Guide Section 9.2.1.2(1)(c).

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **TSFF-1** | Select all that apply | Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable.<br>(1) Cash collateral<br>(2) Corporate or parental guaranty<br>(3) Letter of credit<br>(4) Other<br>(5) Interconnecting TSP did not require the ILLE to post financial security<br>(6) Interconnecting TSP required financial security, but the ILLE did not post it | Always | If option 1, 2, 3, or 4 → TSFF-2–TSFF-4; If option 1 → TSFF-5; If option 2 → TSFF-6, TSFF-7, TSFF-8, TSFF-9, TSFF-10, TSFF-11, TSFF-14; If option 3 → TSFF-12, TSFF-13, TSFF-15, TSFF-16; If option 4 → TSFF-17; If option 5 → TSFF-18; If option 6 → TSFF-19 |
| **TSFF-2** | Text/Attachment | State whether the ILLE's obligation to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting TSP and the ILLE. If so, produce that agreement, identified by document file name and the page(s) and section(s) stating the financial-security obligation. If not, so state with supporting documentation. | **If TSFF-1 is option 1, 2, 3, or 4** | — |
| **TSFF-3** | Number | State the amount in US dollars of financial security the Interconnecting TSP required of the ILLE for system upgrades necessary to reliably serve the ILLE. | **If TSFF-1 is option 1, 2, 3, or 4** | — |
| **TSFF-4** | Number | State the amount in US dollars of financial security the ILLE posted with the Interconnecting TSP for system upgrades necessary to reliably serve the ILLE. | **If TSFF-1 is option 1, 2, 3, or 4** | — |

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| **TSFF-5** | Text/Attachment | Produce all documents evidencing that the ILLE posted, and that the Interconnecting TSP received irrevocable access to, the cash collateral on or before July 24, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by document file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. | **If TSFF-1 is option 1** | — |
| **TSFF-6** | Text/Attachment | Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term. | **If TSFF-1 is option 2** | — |
| **TSFF-7** | Text | Identify the guarantor (the corporation or parent corporation providing the guaranty). | **If TSFF-1 is option 2** | — |
| **TSFF-8** | Table | State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency. | **If TSFF-1 is option 2** | — |
| **TSFF-9**[8] | Text/Attachment | State whether the corporation's or parent corporation's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.2(1)(c)(i)(B) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-8, identified by document file name and page number. If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.2(1)(c)(i). | **If TSFF-1 is option 2** | — |

[8] Revised in RFI v1.1 the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11.

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| **TSFF-10** | Text/Attachment | Identify all financial records or statements the Interconnecting TSP required under Planning Guide Section 9.2.1.2(1)(c)(i)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all documents and information that the ILLE provided to the Interconnecting TSP, identified by document file name and page number. | **If TSFF-1 is option 2** | — |
| **TSFF-11** | Text/Attachment[9] | If the ILLE posted more than one corporate or parental guaranty with the Interconnecting TSP, produce each additional guaranty and provide the same information required in TSFF-6 through TSFF-10 for each, identified by document file name and page number. | **If TSFF-1 is option 2** | — |
| **TSFF-12** | Text/Attachment | Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state. | **If TSFF-1 is option 3** | — |
| **TSFF-13** | Text/Attachment | State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.2(1)(c)(i)(C), and produce all supporting documents, identified by document file name and page number. | **If TSFF-1 is option 3** | — |
| **TSFF-14** | Table | State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency. | **If TSFF-1 is option 2** | — |

[9] Revised in RFI v1.1 to allow, but not require, an attachment.

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| **TSFF-15** | Text/Attachment | State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.2(1)(c)(i)(C) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.2(1) and produce supporting documentation, identified by document file name and page number. | **If TSFF-1 is option 3** | — |
| **TSFF-16** | Text | If the ILLE posted more than one letter of credit with the Interconnecting TSP, produce each additional letter of credit and provide the same information required in TSFF-12 through TSFF-15 for each, identified by document file name and page number. | **If TSFF-1 is option 3** | — |
| **TSFF-17** | Text/Attachment | Explain the basis on which the financial security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.2(1)(c), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.2(1)(c)(i). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. | **If TSFF-1 is option 4** | — |
| **TSFF-18** | Text | Explain why the Interconnecting TSP did not require the ILLE to post financial security for this Large Load. | **If TSFF-1 is option 5** | — |
| **TSFF-19** | Text | Explain why the Large Load was submitted to ERCOT if the Interconnecting TSP required financial security and the ILLE did not post it. | **If TSFF-1 is option 6** | — |
| **INTERCONNECTING TSP QUESTIONS CONTINUE BELOW** | | | | |

## ATTESTATION AND EXHIBIT LIST — INTERCONNECTING TSP

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **TAT-1** | Attachment | By completing and submitting the attached TSP/DSP RFI Attestation, the Interconnecting TSP confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided. | Always | — |
| **TAT-2** | Attachment | Prior to submission of the RFI response, the Interconnecting TSP shall upload an exhibit list identifying each document it provided, referenced, or relied upon in its response to the RFI. | Always | — |

**END OF INTERCONNECTING TSP QUESTIONS**

**FORM OF FINANCIAL SECURITY — INTERCONNECTING DSP**

In the Form of Financial Security section, the Interconnecting DSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting DSP's acceptance of that security, as required by Planning Guide Section 9.2.1.2(1)(c)(i).

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **DSFF-1** | Select all that apply | Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable.<br>(1) Cash collateral<br>(2) Corporate or parental guaranty<br>(3) Letter of credit<br>(4) Other<br>(5) Interconnecting TSP did not require the ILLE to post financial security<br>(6) Interconnecting TSP required financial security, but the ILLE did not post it | Always | If option 1, 2, 3, or 4 → DSFF-2–DSFF-4; If option 1 → DSFF-5; If option 2 → DSFF-6, DSFF-7, DSFF-8, DSFF-9, DSFF-10, DSFF-11, DSFF-14; If option 3 → DSFF-12, DSFF-13, DSFF-15, DSFF-16; If option 4 → DSFF-17; If option 5 → DSFF-18; If option 6 → DSFF-19 |
| **DSFF-2** | Text/Attachment | State whether the ILLE's obligation to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting DSP and the ILLE. If so, produce that agreement, identified by document file name and the page(s) and section(s) stating the financial-security obligation. If not, so state with supporting documentation. | **If DSFF-1 is option 1, 2, 3, or 4** | — |
| **DSFF-3** | Number | State the amount in US dollars of financial security the Interconnecting DSP required of the ILLE for system upgrades necessary to reliably serve the ILLE. | **If DSFF-1 is option 1, 2, 3, or 4** | — |
| **DSFF-4** | Number | State the amount in US dollars of financial security the ILLE posted with the Interconnecting DSP for system upgrades necessary to reliably serve the ILLE. | **If DSFF-1 is option 1, 2, 3, or 4** | — |

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| **DSFF-5** | Text[10]/Attachment | Produce all documents evidencing that the ILLE posted, and that the Interconnecting DSP received irrevocable access to, the cash collateral on or before July 24, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. | **If DSFF-1 is option 1** | — |
| **DSFF-6** | Text/Attachment | Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term. | **If DSFF-1 is option 2** | — |
| **DSFF-7** | Text | Identify the guarantor (the corporation or parent corporation providing the guaranty). | **If DSFF-1 is option 2** | — |
| **DSFF-8** | Table | State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency. | **If DSFF-1 is option 2** | — |
| **DSFF-9** | Text/Attachment | State whether the rating governing under DSFF-10 (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.2(1)(c)(i)(B) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.2(1)(c)(i). Produce documentation evidencing the ratings stated in DSFF-8, identified by document file name and page number. | **If DSFF-1 is option 2** | — |

[10] Revised in RFI v1.1 from a number field to a free-text box; respondents may now enter text as well as numbers.

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| **DSFF-10** | Text/Attachment | Identify all financial records or statements the Interconnecting DSP required under Planning Guide Section 9.2.1.2(1)(c)[11] to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all that the ILLE provided to the Interconnecting DSP, identified by document file name and page number. If none, so state. | **If DSFF-1 is option 2**<br><br>Not required | — |
| **DSFF-11** | Text/Attachment | If the ILLE posted more than one corporate or parental guaranty with the Interconnecting DSP, produce each additional guaranty and provide the same information required in DSFF-6 through DSFF-10 for each, identified by document file name and page number. | **If DSFF-1 is option 2**<br><br>Not required | — |
| **DSFF-12** | Text/Attachment | Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state. | **If DSFF-1 is option 3**<br><br>Not required | — |
| **DSFF-13** | Text/Attachment | State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.2(1)(c)[12], and produce all supporting documents, identified by document file name and page number. | **If DSFF-1 is option 3** | — |

[11] Corrected the citation in RFI v1.1.
[12] Corrected the citation in RFI v1.1.

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| **DSFF-14** | Table | State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency. | **If DSFF-1 is option 2** | — |
| **DSFF-15** | Text/Attachment | State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.2(1)(c)(i)(C) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in DSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.2(1)(c)(i) and produce supporting documentation identified by document file name and page number. | **If DSFF-1 is option 3** | — |
| **DSFF-16** | Text | If the ILLE posted more than one letter of credit with the Interconnecting DSP, produce each additional letter of credit and provide the same information required in DSFF-12 through DSFF-15 for each, identified by document file name and page number. | **If DSFF-1 is option 3** | — |
| **DSFF-17** | Text/Attachment | Explain the basis on which the security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.2(1)(c), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.2(1)(c)(i). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. | **If DSFF-1 is option 4** | — |
| **DSFF-18** | Text | Explain why the Interconnecting DSP did not require the ILLE to post financial security for this Large Load. | **If DSFF-1 is option 5** | — |
| **DSFF-19** | Text | Explain why the Large Load was submitted to ERCOT if the Interconnecting DSP required financial security and the ILLE did not post it. | **If DSFF-1 is option 6** | — |

## ATTESTATION AND EXHIBIT LIST — INTERCONNECTING DSP

| Question ID | Answer format | Question | When to answer this question | Answer triggers follow-up questions |
|---|---|---|---|---|
| **DAT-1** | Attachment | By completing and submitting the attached TSP/DSP RFI Attestation, the Interconnecting DSP confirms that the information submitted by the Interconnecting DSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided. | Always | — |
| **DAT-2** | Attachment | Prior to submission of the RFI response, the Interconnecting DSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI. | Always | — |

**END OF INTERCONNECTING DSP QUESTIONS**