

ERCOT Eligibility Verification RFI — Load Type G — Question Reference Guide

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RFI version 1.1

What this is: The following table lists every question in ERCOT’s request for information (RFI) for Large Loads conditionally classified as base load under Planning Guide Section 9.2.1.1(1)(g). The RFI responses are used to verify that a Large Load is eligible for its conditional classification. This document is a reference guide only; the RFIs in the response portal built in Resource Integration and Ongoing Operations (RIOO) are the controlling documents. Changes from the preceding version(s) of the Verification RFI are indicated by footnotes. These changes are technical or typographical only and do not affect any answer already submitted. Answers submitted in RIOO are retained across versions, so no action is required.

How to read it: “When to answer” shows “Always” for questions everyone answers, or a condition (e.g., “If SCE1-1 is Yes”) for questions that appear only after a certain answer. “Answer opens follow-up questions” shows whether this question’s answer reveals additional questions (e.g., “If Yes → SCE1-2”); a dash means it opens no follow-ups, and you simply continue to the next question. Question IDs ending in a letter (e.g., SCE1-2a) identify sub-questions within a repeating set; these IDs are used here for reference only and do not appear in the RFI response portal. All questions are required unless the question itself states otherwise.

Section bars are color-coded by who answers: the Interconnecting Large Load Entity (ILLE) or the Interconnecting Transmission Service Provider (TSP). Yellow rows are instructions that govern the questions beneath them.

Key — color coding

Interconnecting Large Load Entity (ILLE)

Interconnecting Transmission Service Provider (TSP)

Instruction (governs the questions beneath it)

General Instructions:

- **STOP.** This RFI applies to a Large Load seeking inclusion in Batch Zero as base load not subject to additional study under **Planning Guide Section 9.2.1.1(1)(g)**. Before continuing, the Interconnecting Transmission Service Provider (Interconnecting TSP) must confirm that it is using the correct template for the applicable eligibility path and that it has added the Interconnecting Large Load Entity (ILLE, also referred to as Load Entity in this RFI) contact and, if different from the Interconnecting TSP, a contact for the Interconnecting DSP. The system will create an account for each contact added, allowing that entity to complete its portion of the RFI.
- The **Interconnecting Transmission Service Provider (Interconnecting TSP) must submit the response to the Request for Information (RFI) to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.** The Interconnecting TSP may request a 5-Business Day extension by emailing ERCOT at LargeLoadVerification@ercot.com. The email subject line must include the Large Load's Batch Zero number and the ILLE's name, in the following format: [Batch Zero number] – [ILLE name].
- The Interconnecting Large Load Entity ILLE must coordinate with the Interconnecting TSP so that the Interconnecting TSP receives all ILLE-provided information and documentation with sufficient time to submit a complete response within 10 Business Days of ERCOT's issuance of the RFI. The ILLE's failure to provide necessary information and supporting documentation in time for the Interconnecting TSP to timely submit a completed response to this RFI may result in the Large Load's removal from the Batch Zero Process.
- Customer-specific information submitted to ERCOT by the Interconnecting TSP pursuant to this RFI is considered Protected Information under ERCOT Protocols § 1.3.1.1(1)(r) (Items Considered Protected Information). To ensure the ILLE's information receives this treatment, the ILLE must provide all information and supporting documentation through RIOO as part of the response the Interconnecting TSP submits. Any information submitted directly to ERCOT pursuant to this RFI by the ILLE may not qualify as Protected Information and therefore could be subject to public disclosure.
- If you have any questions about the substance of the RFI, please submit them to LargeLoadVerification@ercot.com.
- If you have any technical questions about or issues using the RIOO platform, please submit them to servicedesk@ercot.com.
- Please review Planning Guide Revision Request (PGRR) 145 and Planning Guide Section 9 for assistance in answering the RFI. PGRR 145 is accessible at <https://www.ercot.com/mktrules/issues/PGRR145#keydocs>.
- Capitalized terms used in the RFI are defined either within the RFI, Planning Guide Section 9, or Protocol Section 2.

How the RFI is Completed and Submitted

This RFI is completed by the Interconnecting TSP, the ILLE, and, if different from the Interconnecting TSP, the Interconnecting DSP, in the following sequence:

- (1) The Interconnecting TSP logs in to RIOO and adds the ILLE contact (and, if different, the Interconnecting DSP contact). The RIOO system creates an account for each contact added.
- (2) The ILLE, the Interconnecting TSP, and, if different, the Interconnecting DSP each complete their own portions of the RFI and upload their supporting documents and exhibit lists. The three may complete their portions at the same time.
- (3) Once all portions are complete, the Interconnecting TSP submits the completed response to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.

For step-by-step instructions on completing and submitting the RFI in RIOO, refer to the user guide on the ERCOT website.

Document Submission Instructions:

Any documentation that is requested by ERCOT or referenced in or relied upon by the ILLE or Interconnecting TSP or DSP in an RFI response must be submitted pursuant to this RFI even if you previously submitted the document to ERCOT. **DO NOT state in an RFI that you have already submitted such document.**

Any documentation provided pursuant to the RFI must be submitted as individual, paginated exhibits and saved as search-capable electronic files (Word, PDF, Excel, etc.). Each exhibit should be identified as Exhibit A, Exhibit B, Exhibit C, etc. using the following file naming convention:

- **[BZNumber]_[Submitter]_[ExhibitIdentifier]_VerificationRFI_[YYYYMMDD]**
- Ex: BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_TSP_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_DSP_ExhibitA_VerificationRFI_20260817
- *The “BZNumber” is the unique identifier assigned to the Large Load interconnection request.*
- *“Submitter” should be “ILLE,” “TSP,” or “DSP” depending on which entity submitted the document.*
- *“Exhibit Identifier” is the chronological identification of the exhibits.*

If the same document is responsive to more than one question, the ILLE, Interconnecting TSP, or Interconnecting DSP *must upload it in response to each such question.* The exhibit file name must remain the same each time the document is uploaded. **Do not rename the document or assign it a new Exhibit Identifier.** The document is listed only once on the exhibit list, and each response citing it uses the same Exhibit Identifier and file name.

Prior to submission of the RFI response, the ILLE and Interconnecting TSP or DSP shall separately upload an exhibit list identifying each document the submitter respectively provided, referenced, or relied upon in their response to the RFI.

The exhibit list must reflect the following format, incorporating the required file naming convention addressed above (examples provided):

Example for the ILLE:

Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	ILLE	Executed property deed	BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
B	ILLE	Financial Security	BZ9999_ILLE_ExhibitB_VerificationRFI_20260817

Example for the Interconnecting TSP:

Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	Interconnecting TSP	Executed property deed	BZ9999_TSP_ExhibitA_VerificationRFI_20260817
B	Interconnecting TSP	Financial Security	BZ9999_TSP_ExhibitB_VerificationRFI_20260817

- For each document provided pursuant to, referenced in, or relied upon for an RFI response, the ILLE and Interconnecting TSP or DSP must include the following information in said response: (1) the Exhibit Identifier, (2) the document file name, and (3) the specific page number(s) supporting the response, if applicable.
 - Example: See BZ9999_ILLE_Exhibit A_VerificationRFI_20260817 at pages 10-14**

The RFI requires:

- The ILLE submit a sworn and notarized ILLE RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the ILLE stating that the responses, information, and documents provided are true and correct; and
- The Interconnecting TSP submit a sworn and notarized TSP/DSP RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the Interconnecting TSP/DSP stating that the responses, information, and documents provided are true and correct.

ILLE's Reliance on an Eligibility Affiliate or Non-Eligibility Affiliated Third Party

An eligibility criterion may be satisfied by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy the criterion on the ILLE's behalf. 'Eligibility Affiliate' means an entity that qualifies under one of the bases set out below and is defined solely for determining eligibility and reliance in the Batch Zero Process and differs from, and does not incorporate, the definition of 'Affiliate' in the ERCOT Protocols. The bases on which an entity qualifies as an Eligibility Affiliate are set out below. A non-eligibility affiliated third party is not an Eligibility Affiliate. In each applicable Section, the ILLE may identify a non-eligibility affiliated third party by selecting option (6) in that Section.

Where the ILLE relies on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy any criterion addressed in this RFI, the ILLE must, in the applicable Section: (1) identify the Eligibility Affiliate or non-eligibility affiliated third party and the criterion it satisfied; (2) respond to the questions in that Section as to the Eligibility Affiliate's or non-eligibility affiliated third party's actions or documents to the same extent as if they were the ILLE's; and (3) provide

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the basis of affiliation (for an Eligibility Affiliate) or the contractual agency designation (for a non-eligibility affiliated third party), and the supporting proof, as required in the applicable Section.

Basis for Eligibility Affiliation:

For purposes of this RFI, an entity qualifies as an Eligibility Affiliate of the ILLE on one of the following bases (these correspond to the bases for Eligibility Affiliate status in the Batch Zero Affiliate Form, in which “Designated ILLE” has the same meaning as “ILLE” in this RFI). The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; or
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE.

This RFI was updated on September 19, 2026. Updates in RFI v1.1:

- [IFS-4f, revised to require one or more attachments](#)
- [IFS-6a, replaced corporate or parental guaranty with letter of credit](#)
- [TSFF-2, corrected to stop an answer from opening a separate input for an Interconnecting DSP](#)
- [TSFF-8, revised to not require an attachment](#)
- [TSFF-9, 10, and 11, revised the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11](#)
- [TSFF-11, revised to not require an attachment](#)
- [TSFF-16, revised to require one or more attachments](#)

ILLE FINANCIAL SECURITY FOR SYSTEM UPGRADES

In the Financial Security section, the ILLE must provide the following information and documents evidencing that it posted financial security for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(g)(iii).

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third-Parties

If any financial security responsive to this Financial Security Section was posted or provided by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated entity acting as the ILLE's agent rather than by the ILLE, respond to the questions in this Section as to that financial security, and identify the party that posted or provided it. The basis of reliance on Eligibility Affiliate or non-eligibility affiliated third party, and the supporting proof, are addressed in the Eligibility Affiliate and non-eligibility affiliated third party subsection at the end. This paragraph does not apply to a corporate or parental guaranty, where the guarantor and its relationship to the ILLE are addressed in the corporate or parental guaranty questions in this Section.

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
IFS-1	Yes / No	Did the ILLE post cash collateral as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-2
IFS-2	Set of questions that can repeat if ILLE has more than one cash collateral posting	Complete one set for each cash collateral posting. To add another, select 'Add another Cash Collateral Posting' and complete the questions again. Provide a separate set for every Cash Collateral Posting; do not combine multiple Cash Collateral Postings in a single set.	If IFS-1 is Yes	Repeat for each Cash Collateral Posting
IFS-2a	Select one	Was the cash collateral posted with the Interconnecting TSP or DSP?	Always	—
IFS-2b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-2c	Number	State the amount of cash collateral posted in US dollars.	Always	—
IFS-2d	Date	State the date the cash collateral was posted.	Always	—

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IFS-2e	Text/Attachment	Produce documentation confirming that the cash collateral was posted and that the Interconnecting DSP or TSP received access to it, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement. Identify each document by file name and page number. If the cash collateral was provided by an Eligibility Affiliate or a non-eligibility affiliated entity acting as the ILLE's agent, identify the party and state which it is.	Always	—
IFS-3	Yes / No	Did the ILLE post one or more corporate or parental guaranties as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-4
IFS-4	Set of questions that can repeat if ILLE has more than one corporate or parental guaranty	Complete one set for each corporate or parental guaranty posted. To add another, select 'Add another Corporate or Parental Guaranty' and complete the questions again. Provide a separate set for every Corporate or Parental Guaranty; do not combine multiple Corporate or Parental Guaranties in a single set.	If IFS-3 is Yes	Repeat for each Corporate or Parental Guaranty
IFS-4a	Select one	Was the corporate or parental guaranty posted with the Interconnecting TSP or DSP?	Always	—
IFS-4b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-4c	Number	State the amount of the guaranty in US dollars.	Always	—
IFS-4d	Text	Identify the guarantor (the corporation or parent corporation providing the guaranty) by name, and circle the guarantor on the organizational chart required by IBO-1.	Always	—

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IFS-4e	Select all that apply	Identify the credit rating agency whose rating the guarantor relies on to meet the requirement that it have a credit rating of at least “BBB-” from Standard & Poor’s, “Baa3” from Moody’s, or “BBB-” from Fitch: (1) Standard & Poor’s (2) Moody’s (3) Fitch (4) Other/None	Always	If option 2, 1, or 3 → IFSR4f; If option 4 → IFSR4g
IFS-4f	Text/Attachment ¹	Produce a complete copy of the guaranty. Identify it by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If IFSR4e is option 2, 1, or 3	—
IFS-4g	Text/Attachment	If the ILLE selected, “Other/None” explain the basis on which the ILLE contends the guarantor satisfies the credit rating requirement of Section 9.2.1.1(1)(g)(iv)(A)(2), notwithstanding that the guarantor’s rating is not from Standard & Poor’s, Moody’s, or Fitch. Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFSR4e is option 4	—
IFS-4h	Text/Attachment	Produce a complete copy of the guaranty. Identify it by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	Always	—
IFS-5	Yes / No	Did the ILLE post one or more letters of credit as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-6
IFS-6	Set of questions that can repeat if ILLE has more than one letter of credit	Complete one set for each letter of credit posted. To add another, select ‘Add another Letter of Credit’ and complete the questions again. Provide a separate set for every Letter of Credit; do not combine multiple Letters of Credit in a single set.	If IFS-5 is Yes	Repeat for each Letter of Credit
IFS-6a	Select one	Was the letter of credit ² posted with the Interconnecting TSP or DSP?	Always	—

¹ [Revised in RFI v1.1 to allow attachments.](#)

² [Revised in RFI v1.1 from corporate or parental guaranty to letter of credit.](#)

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IFS-6b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-6c	Text	Identify the issuing bank.	Always	—
IFS-6d	Number	State the face amount of the letter of credit in US dollars.	Always	—
IFS-6e	Date	State the date the letter of credit was posted.	Always	—
IFS-6f	Text/Attachment	Produce a complete copy of the letter of credit. Identify it by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE's agent, so state and identify the party.	Always	—
IFSR6g	Yes / No	Are there any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit.	Always	If Yes → IFS-6h
IFS-6h	Text/Attachment	Produce all amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. For each, identify by document file name the letter of credit affected and describe how it alters the parties' rights.	If IFS-6g is Yes	—
IFS-6i	Select all that apply	Which of the following describes the issuing bank?	Always	If No → IFS-6j
IFS-6j	Text/Attachment	If the issuing bank is not a major U.S. commercial bank or a U.S. branch office of a major foreign commercial bank, state where the issuing bank is located and explain the basis on which the ILLE contends the letter of credit satisfies the issuer requirement of Section 9.2.1.1(1)(g)(iii)(A)(3), notwithstanding that the issuing bank is neither a major U.S. commercial bank nor a U.S. branch office of a major foreign commercial bank. Produce all supporting documents, identified by document file name and page number.	If IFS-6i is No	—

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IFS-6k	Select all that apply	Identify the credit rating agency whose rating the issuing bank relies on to meet the requirement that it have a credit rating of at least “A-” from Standard & Poor’s, “A3” from Moody’s, or “A-” from Fitch:	Always	If option 1, 2, or 3 → IFS-6l; If option 4 → IFS-6m
IFS-6l	Text/Attachment	Produce documentation evidencing that rating, and identify it by document file name and page number. If the issuing bank is rated by more than one of Standard & Poor’s, Moody’s, and Fitch, state the second-highest rating.	If IFS-6k is option 1, 2, or 3	—
IFS-6m	Text/Attachment	If the ILLE selected “Other,” explain the basis on which the ILLE contends the letter of credit satisfies the credit rating requirement of Section 9.2.1.1(1)(g)(iii)(A)(3), notwithstanding that the issuing bank’s rating is not from Standard & Poor’s, Moody’s, or Fitch (including because the issuing bank is unrated or is rated only by another agency). Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFS-6k is option 4	—
IFS-7	Yes / No	Did the ILLE post security in a form not recognized in Planning Guide Section 9.2.1.1(1)(g)(iii) of the Planning Guide?	Always	If Yes → IFS-8
IFS-8	Text/Attachment	If yes, explain how the ILLE satisfies the requirements in Planning Guide Section 9.2.1.1(1)(g)(iii) of the Planning Guide. Provide supporting documentation.	If IFS-7 is Yes	—

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INSTRUCTION (applies to the questions below). Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

For the following question, select the basis on which the ILLE relies on the entity. Options (1) through (5) are the bases on which an entity qualifies as an Eligibility Affiliate of the ILLE, and option (6) is for a non-eligibility affiliated third party. The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02. Select one or more of (1) through (5) for an Eligibility Affiliate, or (6) for a non-eligibility affiliated third party, but not both.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or
- (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE’s behalf.

IFS-9	Yes / No	Did the ILLE rely on an Eligibility Affiliate, or on a non-eligibility affiliated third party acting as the ILLE’s agent, to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(g)(iii)?	Always	If Yes → IFS-10
IFS-10	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select ‘Add another Eligibility Affiliate/Non-Eligibility Affiliated Third Party’ and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If IFS-9 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
IFS-10a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
IFS-10b	Select all that apply	Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation instructions above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—

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IFS-10c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis for affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the contractual arrangement designating it to satisfy the eligibility requirement on the ILLE's behalf.	Always	—
IFS-10d	Text/Attachment	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the Eligibility Affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by document file name and the page(s) and section(s) establishing the basis.	Always	—

INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

IFS-11	Text/Attachment	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(g)(iii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
IFS-12	Text/Attachment	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

ILLE QUESTIONS CONTINUE BELOW**ILLE BUSINESS ORGANIZATION**

In the Eligibility Affiliate section, the ILLE must provide the following information and documents concerning its Eligibility Affiliate, as identified below.

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
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IBO-1	Text/Attachment	Provide an organizational chart showing the ILLE's complete business organizational structure and all Eligibility Affiliates relied on in connection with the application to satisfy the criteria in Section 9.2.1.1(1)(g). If not indicated on the chart, include a statement or produce documents that include ownership percentages and other necessary information to establish direct ownership between entities, including any entities in between Eligibility Affiliates and equity owners. For each document produced, identify all relevant pages and sections that set forth the ownership percentages and other necessary information to establish direct ownership between the entities. To the extent an Eligibility Affiliate or person in the proposed structure is a foreign entity, please identify where the entity was incorporated, the type of foreign entity and, where applicable, its registration number.	Always	—
IBO-2	Yes / No	Is the ILLE headquartered in China, Iran, North Korea, or Russia?	Always	—
IBO-3	Yes / No	Is the ILLE owned by or the majority of stock or other ownership interest of the ILLE held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—
IBO-4	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(g) headquartered in China, Iran, North Korea, or Russia?	Always	—
IBO-5	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(g) owned by or the majority of stock or other ownership interest of the Eligibility Affiliate held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—
ILLE QUESTIONS CONTINUE BELOW				

ILLE ATTESTATION AND EXHIBIT LIST

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
IAT-1	Attachment	By completing and submitting the attached ILLE RFI Attestation, the ILLE confirms that the information submitted by the ILLE in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided.	Always	—
IAT-2	Attachment	Prior to submission of the RFI response, the ILLE shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—

END OF ILLE QUESTIONS

FORM OF FINANCIAL SECURITY — INTERCONNECTING TSP

In the Form of Financial Security section, the Interconnecting TSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting TSP's acceptance of that security, as required by Planning Guide Section 9.2.1.1(1)(g)(iii).

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
TSFF-1	Select all that apply	Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable. (1) Cash collateral (2) Corporate or parental guaranty (3) Letter of credit (4) Other (5) Interconnecting TSP did not require the ILLE to post financial security (6) Interconnecting TSP required financial security, but the ILLE did not post it	Always	If option 1, 2, 3, or 4 → TSFF-2–TSFF-4; If option 1 → TSFF-5; If option 2 → TSFF-6–TSFS-11; If option 3 → TSFF-12–TSFF-16; If option 4 → TSFF-17; If option 5 → TSFF-18; If option 6 → TSFF-19
TSFF-2³	Text/Attachment	Produce the interconnection or alternative agreement obligating the ILLE to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting TSP and the ILLE. Identify the agreement by document file name and the page(s) and section(s) stating the financial-security obligation.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-3	Number	State the amount in US dollars of financial security the Interconnecting TSP required of the ILLE for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-4	Number	State the amount in US dollars of financial security the ILLE posted with the Interconnecting TSP for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—

³ [Corrected in RFI v1.1 to stop an answer from opening a separate input for an Interconnecting DSP.](#)

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TSFF-5	Text/Attachment	Produce all documents evidencing that the ILLE posted, and that the Interconnecting TSP received irrevocable access to, the cash collateral on or before July 10, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by document file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 1	—
TSFF-6	Text/Attachment	Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If TSFF-1 is option 2	—
TSFF-7	Text/Attachment	Identify the guarantor (the corporation or parent corporation providing the guaranty).	If TSFF-1 is option 2	—
TSFF-8	Table/Attachment ⁴	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, so state for that agency.	If TSFF-1 is option 2	—
TSFS-9⁵	Text/Attachment	State whether the corporation's or parent corporation's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) meets the floor in Planning Guide Section 9.2.1.1(1)(g)(iii)(A)(2) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-8, identified by document file name and page number. If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(g)(iii).	If TSFF-1 is option 2	—

⁴ [Revised in RFI v1.1 to not require an attachment.](#)

⁵ [Revised in RFI v1.1 the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11.](#)

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TSFS-10	Text/Attachment	Identify all financial records or statements the Interconnecting TSP required under Planning Guide Section 9.2.1.1(1)(g)(iii)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all documents and information that the ILLE provided to the Interconnecting TSP, identified by document file name and page number.	If TSFF-1 is option 2	—
TSFF-11	Text/Attachment ⁶	If the ILLE posted more than one corporate or parental guaranty with the Interconnecting TSP, produce each additional guaranty and provide the same information required in TSFF-6 through TSFF-10 for each, identified by document file name and page number.	If TSFF-1 is option 2	—
TSFF-12	Text/Attachment	Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state.	If TSFF-1 is option 3	—
TSFF-13	Text	State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.1(1)(g)(iii)(A)(3), and produce all supporting documents, identified by document file name and page number.	If TSFF-1 is option 3	—

⁶ [Revised in RFI v1.1 to allow, but not require, an attachment.](#)

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TSFF-14	Table	State the credit rating assigned to the issuing bank by each of Standard & Poor's, Moody's, and Fitch. If the issuing bank is not rated by one of these agencies, so state for that agency. If it is rated by more than one, state the second-highest rating.	If TSFF-1 is option 3	—
TSFF-15	Text/Attachment	State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) meets the floor in Planning Guide Section 9.2.1.1(1)(g)(iii)(A)(3) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(g)(iii) and produce supporting documentation.	If TSFF-1 is option 3	—
TSFF-16	Text ⁷	If the ILLE posted more than one letter of credit with the Interconnecting TSP, produce each additional letter of credit and provide the same information required in TSFF-12 through TSFF-15 for each, identified by document file name and page number.	If TSFF-1 is option 3	—
TSFF-17	Text/Attachment	Explain the basis on which the financial security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.1(1)(g)(iii), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.1(1)(g)(iii)(A). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 4	—
TSFF-18	Text	Explain why the Interconnecting TSP did not require the ILLE to post financial security for this Large Load.	If TSFF-1 is option 5	—
TSFF-19	Text	Explain why the Large Load was submitted to ERCOT if the Interconnecting TSP required financial security and the ILLE did not post it.	If TSFF-1 is option 6	—

⁷ [Revised in RFI v1.1 to require an attachment.](#)

INTERCONNECTING TSP QUESTIONS CONTINUE BELOW**FINANCIAL SECURITY METHODOLOGY — INTERCONNECTING TSP**

In the Financial Security Methodology section, the Interconnecting TSP must provide the following information and documents concerning how the Interconnecting TSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(g)(iii).

For Question TSFF-20, the choices are as follows:

- (1) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (2) \$0 based on a study to ERCOT: the Interconnecting TSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting TSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (3) The Interconnecting TSP did not set or collect a financial security requirement for this Large Load.

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
TSFF-20⁸	Select one	Identify the methodology the Interconnecting TSP used to determine the financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, or state that the Interconnecting TSP did not set or collect security. Referring to the methodology descriptions in the instructions above, select one: (1) Legacy LLIS-based cost estimate (2) \$0 based on approved study (3) Interconnecting TSP did not set or collect a financial security requirement	Always	If option 1 → TSFF-23; If option 2 → TSFF-24; If option 3 → TSFF-25
TSFF-23	Select all that apply	For the legacy LLIS-based methodology, identify which outcome applied: (1) A cost estimate was determined (2) No cost estimate could be determined (3) No improvements required	If TSFF-20 is option 1	—

⁸ TSFF-21 and 22 are not included in the RFI.

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TSFF-24	Text	Identify the study and the page number(s) demonstrating that the addition of the Large Load does not result in any planning-criteria violations or the need for Transmission Facility improvements requiring RPG review.	If TSFF-20 is option 2	—
TSFF-25	Text	Explain why the Interconnecting TSP did not set or collect a financial security requirement for this Large Load.	If TSFF-20 is option 3	—
TSFF-26	Text/Attachment	If the Interconnecting TSP believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(g)(iii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Not required	—

INTERCONNECTING TSP QUESTIONS CONTINUE BELOW

ATTESTATION AND EXHIBIT LIST — INTERCONNECTING TSP

Question ID	Answer format	Question	When to answer	Answer opens follow-up questions
TAT-1	Attachment	By completing and submitting the attached TSP/DSP RFI Attestation, the Interconnecting TSP confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided.	Always	—
TAT-2	Attachment	Prior to submission of the RFI response, the Interconnecting TSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—

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END OF INTERCONNECTING TSP QUESTIONS