

ERCOT Eligibility Verification RFI — Load Type F — Question Reference Guide

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RFI version 1.1

What this reference guide provides: The following table lists every question in ERCOT’s request for information (RFI) for Large Loads conditionally classified as base load under Planning Guide Section 9.2.1.1(1)(f). The RFI responses are used to verify that a Large Load is eligible for its conditional classification. This document is a reference guide only; the RFIs in the response portal built in Resource Integration and Ongoing Operations (RIOO) are the controlling documents. Changes from the preceding version(s) of the Verification RFI are indicated by footnotes. These changes are technical or typographical only and do not affect any answer already submitted. Answers submitted in RIOO are retained across versions, so no action is required.

How to read the table: Each row identifies a question included in ERCOT’s Verification RFI and the columns provide additional information for each question. By navigating the table, you can determine which questions an Interconnecting Large Load Entity (ILLE), the Interconnecting Transmission Service Provider (TSP), or the Interconnecting Distribution Service Provider (DSP) must answer. The color-coded legend below identifies the questions specific to an ILLE, TSP, or DSP. Yellow rows provide instructions that govern the questions beneath them.

- The column titled “Question ID” provides a unique identifier for each question. Question IDs ending in a letter (e.g., SCE1-2a) identify sub-questions within a repeating set; these IDs are used here for reference only and do not appear in the RFI response portal.
- The column titled “Question” and “Answer format” provide the text of each question and identify whether the question requires a “yes” or “no” answer, a narrative response, multi- or single-choice options, and/or supporting documentation.
- The column titled “When to answer this question” identifies whether the question is universal, or if it is triggered only by the respondent’s answer to a prior question (e.g., “If SCE1-1 is Yes”).
- The column titled “Answer opens follow-up questions” identifies whether the respondent’s answer to that question triggers additional questions (e.g., “If Yes → SCE1-2”). The presence of a dash in that column means no additional questions are triggered, and the respondent simply continues to the next universal question identified as “Always” in the “When to answer this question” column.

Key — color coding

Interconnecting Large Load Entity (ILLE)	
Interconnecting Transmission Service Provider (TSP)	
Interconnecting Distribution Service Provider (DSP)	
Instruction (governs the questions beneath it)	

General Instructions:

- **STOP.** This RFI applies to a Large Load seeking inclusion in Batch Zero as base load not subject to additional study under **Planning Guide Section 9.2.1.1(1)(f)**. Before continuing, the Interconnecting Transmission Service Provider (Interconnecting TSP) must confirm that it is using the correct template for the applicable eligibility path and that it has added the Interconnecting Large Load Entity (ILLE, also referred to as Load Entity in this RFI) contact and, if different from the Interconnecting TSP, a contact for the Interconnecting DSP. The system will create an account for each contact added, allowing that entity to complete its portion of the RFI.
- The **Interconnecting Transmission Service Provider (Interconnecting TSP) must submit the response to the Request for Information (RFI) to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.** The Interconnecting TSP may request a 5-Business Day extension by emailing ERCOT at LargeLoadVerification@ercot.com. The email subject line must include the Large Load's Batch Zero number and the ILLE's name, in the following format: [Batch Zero number] – [ILLE name].
- The ILLE must coordinate with the Interconnecting TSP so that the Interconnecting TSP receives all ILLE-provided information and documentation with sufficient time to submit a complete response within 10 Business Days of ERCOT's issuance of the RFI. The ILLE's failure to provide necessary information and supporting documentation in time for the Interconnecting TSP to timely submit a completed response to this RFI may result in the Large Load's removal from the Batch Zero Process.
- Customer-specific information submitted to ERCOT by the Interconnecting TSP pursuant to this RFI is considered Protected Information under ERCOT Protocols § 1.3.1.1(1)(r) (Items Considered Protected Information). To ensure the ILLE's information receives this treatment, the ILLE must provide all information and supporting documentation through RIOO as part of the response the Interconnecting TSP submits. Any information submitted directly to ERCOT pursuant to this RFI by the ILLE may not qualify as Protected Information and therefore could be subject to public disclosure.
- If you have any questions about the substance of the RFI, please submit them to LargeLoadVerification@ercot.com.
- If you have any technical questions about or issues using the RIOO platform, please submit them to servicedesk@ercot.com.
- Please review Planning Guide Revision Request (PGRR) 145 and Planning Guide Section 9 for assistance in answering the RFI. PGRR 145 is accessible at <https://www.ercot.com/mktrules/issues/PGRR145#keydocs>.
- Capitalized terms used in the RFI are defined either within the RFI, Planning Guide Section 9, or Protocol Section 2.

How the RFI is Completed and Submitted

This RFI is completed by the Interconnecting TSP, the ILLE, and, if different from the Interconnecting TSP, the Interconnecting DSP, in the following sequence:

- (1) The Interconnecting TSP logs in to RIOO and adds the ILLE contact (and, if different, the Interconnecting DSP contact). The RIOO system creates an account for each contact added.
- (2) The ILLE, the Interconnecting TSP, and, if different, the Interconnecting DSP each complete their own portions of the RFI and upload their supporting documents and exhibit lists. The three may complete their portions at the same time.
- (3) Once all portions are complete, the Interconnecting TSP submits the completed response to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.

For step-by-step instructions on completing and submitting the RFI in RIOO, refer to the user guide on the ERCOT website.

Document Submission Instructions:

Any documentation that is requested by ERCOT or referenced in or relied upon by the ILLE or Interconnecting TSP or DSP in an RFI response must be submitted pursuant to this RFI even if you previously submitted the document to ERCOT. **DO NOT state in an RFI that you have already submitted such document.**

Any documentation provided pursuant to the RFI must be submitted as individual, paginated exhibits and saved as search-capable electronic files (Word, PDF, Excel, etc.). Each exhibit should be identified as Exhibit A, Exhibit B, Exhibit C, etc. using the following file naming convention:

- [BZNumber]_[Submitter]_[ExhibitIdentifier]_VerificationRFI_[YYYYMMDD]
- Ex: BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_TSP_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_DSP_ExhibitA_VerificationRFI_20260817
- *The “BZNumber” is the unique identifier assigned to the Large Load interconnection request.*
- *“Submitter” should be “ILLE,” “TSP,” or “DSP” depending on which entity submitted the document.*
- *“Exhibit Identifier” is the chronological identification of the exhibits.*

If the same document is responsive to more than one question, the ILLE, Interconnecting TSP, or Interconnecting DSP *must upload it in response to each such question.* The exhibit file name must remain the same each time the document is uploaded. **Do not rename the document or assign it a new Exhibit Identifier.** The document is listed only once on the exhibit list, and each response citing it uses the same Exhibit Identifier and file name.

Prior to submission of the RFI response, the ILLE and Interconnecting TSP or DSP shall separately upload an exhibit list identifying each document the submitter respectively provided, referenced, or relied upon in their response to the RFI.

The exhibit list must reflect the following format, incorporating the required file naming convention addressed above (examples provided):

Example for the ILLE:

Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	ILLE	Executed property deed	BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
B	ILLE	Financial Security	BZ9999_ILLE_ExhibitB_VerificationRFI_20260817

Example for the Interconnecting TSP:

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Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	Interconnecting TSP	Executed property deed	BZ9999_TSP_ExhibitA_VerificationRFI_20260817
B	Interconnecting TSP	Financial Security	BZ9999_TSP_ExhibitB_VerificationRFI_20260817

- For each document provided pursuant to, referenced in, or relied upon for an RFI response, the ILLE and Interconnecting TSP or DSP must include the following information in said response: (1) the Exhibit Identifier, (2) the document file name, and (3) the specific page number(s) supporting the response, if applicable.
 - **Example: See BZ9999_ILLE_Exhibit A_VerificationRFI_20260817 at pages 10-14**

The RFI requires:

- The ILLE submit a sworn and notarized ILLE RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the ILLE stating that the responses, information, and documents provided are true and correct;
- The Interconnecting DSP submit a sworn and notarized TSP/DSP RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the Interconnecting DSP stating that the responses, information, and documents provided are true and correct; and
- The Interconnecting TSP submit a sworn and notarized TSP/DSP RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the Interconnecting TSP/DSP stating that the responses, information, and documents provided are true and correct.

ILLE's Reliance on an Eligibility Affiliate or Non-Eligibility Affiliated Third Party

An eligibility criterion may be satisfied by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy the criterion on the ILLE's behalf. 'Eligibility Affiliate' means an entity that qualifies under one of the bases set out below and is defined solely for determining eligibility and reliance in the Batch Zero Process and differs from, and does not incorporate, the definition of 'Affiliate' in the ERCOT Protocols. The bases on which an entity qualifies as an Eligibility Affiliate are set out below. A non-eligibility affiliated third party is not an Eligibility Affiliate. In each applicable Section, the ILLE may identify a non-eligibility affiliated third party by selecting option (6) in that Section.

Where the ILLE relies on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy any criterion addressed in this RFI, the ILLE must, in the applicable Section: (1) identify the Eligibility Affiliate or non-eligibility affiliated third party and the criterion it satisfied; (2) respond to the questions in that Section as to the Eligibility Affiliate's or non-eligibility affiliated third party's actions or documents to the same extent as if they were the ILLE's; and (3) provide

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the basis of affiliation (for an Eligibility Affiliate) or the contractual agency designation (for a non-eligibility affiliated third party), and the supporting proof, as required in the applicable Section.

Basis for Eligibility Affiliation:

For purposes of this RFI, an entity qualifies as an Eligibility Affiliate of the ILLE on one of the following bases (these correspond to the bases for Eligibility Affiliate status in the Batch Zero Affiliate Form, in which “Designated ILLE” has the same meaning as “ILLE” in this RFI). The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; or
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE.

The RFI was updated on September 19, 2026. Updates in RFI v1.1:

- [IFS-4f, revised to require one or more attachments](#)
- [IFS-6a, replaced corporate or parental guaranty with letter of credit](#)
- [SSC-10, revised to not a required question](#)
- [SSC-11, revised to not a required question](#)
- [TSFF-9, 10, and 11, revised the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11](#)
- [TSFF-11, revised to not require an attachment](#)
- [DSFF-5, revised from a number field to a free-text box](#)

SITE CONTROL AND EVIDENCE

In the Site Control and Evidence section, the Interconnecting Large Load Entity (ILLE) must provide the following information and documents evidencing that it holds one or more qualifying property interests listed in Planning Guide Section 9.2.1.1(f)(vi), covering one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location. Part One addresses the qualifying property interest. Part Two addresses the sufficiency of the parcels covered. Part Three addresses reliance on Eligibility Affiliates and non-eligibility affiliated third parties and supplemental and updated information.

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

If any lease, deed, or purchase and sale agreement responsive to this Section is held by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party rather than by the ILLE, respond to the questions in this Part as to that document, and identify the holder. The basis of eligibility affiliation, or the basis for reliance on the non-eligibility affiliated third party, and the supporting proof, are addressed in Site Control and Evidence Part Three.

PART ONE: QUALIFYING PROPERTY INTEREST

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE1-1	Yes / No	Does the ILLE have a signed and executed lease agreement with a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand as stated in its LCP for any part of the property that makes up the location of the planned Load Facility?	Always	If Yes → SCE1-2
SCE1-2	Set of questions that can repeat if ILLE has more than one lease to report	Complete one set for each produced lease that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add Another Lease' and complete the questions again. Provide a separate set for every lease; do not combine multiple leases in a single set.	If SCE1-1 is Yes	Repeat for each Lease
SCE1-2a	Text/Attachment	Produce a complete copy of the lease, identified by document file name.	Always	—
SCE1-2b	Text	Identify the page and section of the lease that contains the lease term, and explain how the lease satisfies the requirement that it be for a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand as stated in its LCP.	Always	—

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SCE1-2c	Yes / No	Does the lease recite the size of the parcel it covers?	Always	If Yes → SCE1-2d
SCE1-2d	Number	State the size of the parcel as recited in the lease.	If SCE1-2c is Yes	—
SCE1-2e	Text	Is the lessee under the lease the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If the lessee is an Eligibility Affiliate or a non-eligibility affiliated third party, identify the lessee.	Always	—
SCE1-2f	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that affect termination rights, extension rights, or other rights related to the term or duration of this lease?	Always	If Yes → SCE1-2g
SCE1-2g	Text/Attachment	Produce each such document. For each, identify it by document file name and the specific lease affected, identify all relevant pages and sections, and explain how it alters or may alter the parties' rights.	If SCE1-2f is Yes	—
SCE1-3	Yes / No	Does the ILLE have a deed conveying to it any part of the property that makes up the site of the planned Load Facility?	Always	If Yes → SCE1-4
SCE1-4	Set of questions that can repeat if ILLE has more than one deed to report	Complete one set for each produced deed that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add Another Deed' and complete the questions again. Provide a separate set for every deed; do not combine multiple deeds in a single set.	If SCE1-3 is Yes	Repeat for each Deed
SCE1-4a	Text/Attachment	Produce a complete copy of the deed, identified by document file name.	Always	—
SCE1-4b	Yes / No	Does the deed recite the size of the parcel it covers?	Always	If Yes → SCE1-4c
SCE1-4c	Number	State the size of the parcel as recited in the deed.	If SCE1-4b is Yes	—

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SCE1-4d	Text	Is the deed held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder.	Always	—
SCE1-4e	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, easements, or other documents that alter or may alter the property rights of the ILLE to construct its planned Load Facility on the parcel?	Always	If Yes → SCE1-4f
SCE1-4f	Text/Attachment	Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's property rights.	If SCE1-4e is Yes	—
SCE1-5	Yes / No	Does the ILLE have a signed and executed purchase and sale agreement to acquire any part of the property that makes up the site of the planned Load Facility?	Always	If Yes → SCE1-6
SCE1-6	Set of questions that can repeat if ILLE has more than one purchase and sale agreement to report	Complete one set for each purchase and sale agreement that makes up all or part of the ILLE's property interest in the parcel(s) needed to accommodate the planned Load Facilities. To add another, select 'Add Another Purchase and Sale Agreement' and complete the questions again. Provide a separate set for every purchase and sale agreement; do not combine multiple purchase and sale agreements in a single set.	If SCE1-5 is Yes	Repeat for each Purchase and Sale Agreement
SCE1-6a	Text/Attachment	Produce a complete copy of the purchase and sale agreement, identified by document file name.	Always	—
SCE1-6b	Yes / No	Does the purchase and sale agreement recite the size of the parcel it covers?	Always	If Yes → SCE1-6c
SCE1-6c	Number	State the size of the parcel as recited in the purchase and sale agreement.	If SCE1-6b is Yes	—
SCE1-6d	Text	Is the purchase and sale agreement held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder.	Always	—

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SCE1-6e	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that alter or may alter the ILLE's rights under the purchase and sale agreement to construct its planned Load Facility on the parcel?	Always	If Yes → SCE1-6f
SCE1-6f	Text/Attachment	Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's rights under the purchase and sale agreement.	If SCE1-6e is Yes	—

ILLE QUESTIONS CONTINUE BELOW**PART TWO: SUFFICIENCY OF THE SITE**

In this Part, the ILLE must demonstrate that the qualifying property interests established in Site Control and Evidence Part One cover one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE2-7	Number	State the total acreage of the proposed Large Load site.	Always	—
SCE2-8	Number	State the total acreage required to accommodate the planned Load Facilities as designed.	Always	—
SCE2-9	Yes / No	Do the qualifying property interests evidenced by the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, and SCE1-6), taken together, cover the full acreage identified in SCE2-7?	Always	If No → SCE2-10
SCE2-10	Text	Identify each portion of the property interest not covered by any produced document, by parcel or legal description.	If SCE2-9 is No	—
SCE2-11	Number	State the total acreage covered by the qualifying property interests evidenced by the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, and SCE1-6).	Always	—

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SCE2-12	Yes / No	Is the acreage identified in SCE2-11 either equal to or greater than the acreage identified in SCE2-8?	Always	If No → SCE2-13
SCE2-13	Text	State the amount of shortfall in acres and identify the required acreage not covered by a qualifying property interest. Explain how the ILLE plans to acquire the required acreage.	If SCE2-12 is No	—
SCE2-14	Text/Attachment	Produce the current site plan for the proposed Large Load site, dated and drawn to scale, showing (a) the boundaries of each parcel identified in the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, and SCE1-6), keyed to the parcel identifiers or legal descriptions provided in those responses, and (b) the footprint and location of all planned Load Facilities. Identify any planned facility or infrastructure that falls outside the acreage covered by the produced documents. If more than one version of the site plan exists, produce the most recent version and identify it by document file name and date.	Always	—

ILLE QUESTIONS CONTINUE BELOW**SITE CONTROL AND EVIDENCE — PART THREE: RELIANCE AND SUPPLEMENTAL INFORMATION**

This Part applies to the responses in Site Control and Evidence Part One (Qualifying Property Interest) and Part Two (Sufficiency of the Site).

INSTRUCTION (applies to the questions below). *Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties*

For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or
- (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.

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Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE3-15	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(f)(vi)?	Always	If Yes → SCE3-16
SCE3-16	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	[Repeating set — Eligibility Affiliate/Non-Eligibility Affiliated Third Party.] Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If SCE3-15 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
SCE3-16a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
SCE3-16b	Select all that apply	Select the basis for eligibility affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
SCE3-16c	Text	Describe the basis on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party.	Always	—
SCE3-16d	Text/Attachment	Produce all documents establishing the selected basis for eligibility affiliation. For an Eligibility Affiliate, produce documents establishing the eligibility affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—

INSTRUCTION (applies to the questions below). <i>Supplemental and Updated Information</i>				
SCE3-17	Text	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(vi) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number.	Not required	—
SCE3-18	Text	If any document produced in response to Site Control and Evidence Part One or Part Two differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each document by file name, describe how it differs, and explain the reason for the difference.	Not required	—
ILLE QUESTIONS CONTINUE BELOW				

ILLE FINANCIAL SECURITY FOR SYSTEM UPGRADES				
In the Financial Security section, the ILLE must provide the following information and documents evidencing that it posted financial security for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(f)(iv). Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third-Parties If any financial security responsive to this Financial Security Section was posted or provided by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated third party acting as the ILLE's agent rather than by the ILLE, respond to the questions in this Section as to that financial security, and identify the party that posted or provided it. The basis of eligibility affiliation or agency, and the supporting proof, are addressed in the Eligibility Affiliate and non-eligibility affiliated third party subsection at the end. This paragraph does not apply to a corporate or parental guaranty, where the guarantor and its relationship to the ILLE are addressed in the corporate or parental guaranty questions in this Section.				
Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IFS-1	Yes / No	Did the ILLE post cash collateral as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-2

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IFS-2	Set of questions that can repeat if ILLE has more than one cash collateral to report	Complete one set for each cash collateral posting. To add another, select 'Add Cash Collateral Posting' and complete the questions again. Provide a separate set for cash collateral posting; do not combine multiple them in a single set.	If IFS-1 is Yes	Repeat for each Cash Collateral Posting
IFS-2a	Select one	Was the cash collateral posted with the Interconnecting TSP or DSP?	Always	—
IFS-2b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-2c	Number	State the amount of cash collateral posted in US dollars.	Always	—
IFS-2d	Date	State the date the cash collateral was posted.	Always	—
IFS-2e	Text/Attachment	Produce documentation confirming that the cash collateral was posted and that the Interconnecting DSP or TSP had access to it, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement. Identify each document by file name and page number. If the cash collateral was provided by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the party and state which it is.	Always	—
IFS-3	Yes / No	Did the ILLE post one or more corporate or parental guaranties as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-4
IFS-4	Set of questions that can repeat if ILLE has more than one corporate or parental guaranty to report	Complete one set for each corporate or parental guaranty posted. To add another, select 'Add Another Corporate or Parental Guaranty' and complete the questions again. Provide a separate set for every corporate or parental guaranty; do not combine multiple corporate or parental guaranties in a single set.	If IFS-3 is Yes	Repeat for each Corporate or Parental Guaranty

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IFS-4a	Select one	Was the corporate or parental guaranty posted with the Interconnecting TSP or DSP?	Always	—
IFS-4b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-4c	Number	State the amount of the guaranty in US dollars.	Always	—
IFS-4d	Text	Identify the guarantor by name (the corporation or parent corporation providing the guaranty), and circle the guarantor on the organizational chart required by IBO-1.	Always	—
IFS-4e	Select all that apply	Identify the credit rating agency whose rating the guarantor relies on to meet the requirement that it have a credit rating of at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch: (1) Standard & Poor's (2) Moody's (3) Fitch (4) Other/None	Always	If option 2, 1, or 3 → IFS-4f; If option 4 → IFS-4g
IFS-4f	Text/Attachments ¹	Produce documentation evidencing that rating and identify it by document file name and page number. If the guarantor is rated by more than one of Standard & Poor's, Moody's, and Fitch, state the second-highest rating.	If IFS-4e is option 2, 1, or 3	—
IFS-4g	Text/Attachment	If the ILLE selected, "Other/None," explain the basis on which the ILLE contends the guarantor satisfies the credit rating requirement of Section 9.2.1.1(1)(f)(iv)(A)(2), notwithstanding that the guarantor's rating is not from Standard & Poor's, Moody's, or Fitch. Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFS-4e is option 4	—

¹ Revised in RFI v1.1 to require one or more attachments.

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IFS-4h	Text/Attachment	Produce a complete copy of the guaranty. Identify it by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	Always	—
IFS-5	Yes / No	Did the ILLE post one or more letters of credit as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-6
IFS-6	Set of questions that can repeat if ILLE has more than one letter of credit to report	Complete one set for each letter of credit posted. To add another, select 'Add Another Letter of Credit' and complete the questions again. Provide a separate set for every letter of credit; do not combine multiple letters of credit in a single set.	If IFS-5 is Yes	Repeat for each Letter of Credit
IFS-6a	Select one	Was the letter of credit ² posted with the Interconnecting TSP or DSP?	Always	—
IFS-6b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-6c	Text	Identify the issuing bank.	Always	—
IFS-6d	Number	State the face amount of the letter of credit in US dollars.	Always	—
IFS-6e	Date	State the date the letter of credit was posted.	Always	—
IFS-6f	Text/Attachment	Produce a complete copy of the letter of credit. Identify it by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE's agent, so state and identify the party.	Always	—

² Revised in RFI v1.1 from corporate or parental guaranty to letter of credit.

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IFS-6g	Yes / No	Are there any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If none, so state.	Always	If Yes → IFS-6h
IFS-6h	Text/Attachment	Produce all amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. For each, identify by document file name the letter of credit affected and describe how it alters the parties' rights.	If IFS-6g is Yes	—
IFS-6i	Select one	Which of the following describes the issuing bank? (1) A major U.S. commercial bank (2) A U.S. branch office of a major foreign commercial bank (3) Neither of the above	Always	If No → IFS-6j
IFS-6j	Text/Attachment	If the issuing bank is not a major U.S. commercial bank or a U.S. branch office of a major foreign commercial bank, state where the issuing bank is located and explain the basis on which the ILLE contends the letter of credit satisfies the issuer requirement of Section 9.2.1.1(1)(f)(iv)(A)(3), notwithstanding that the issuing bank is neither a major U.S. commercial bank nor a U.S. branch office of a major foreign commercial bank. Produce all supporting documents, identified by document file name and page number.	If IFS-6i is No	—
IFS-6k	Select all that apply	Identify the credit rating agency whose rating the issuing bank relies on to meet the requirement that it have a credit rating of at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch: (1) Standard & Poor's (2) Moody's (3) Fitch (4) Other/None	Always	If option 1, 2, or 3 → IFS-6l; If option 4 → IFS-6m
IFS-6l	Text/Attachment	Produce documentation evidencing that rating and identify it by document file name and page number. If the issuing bank is rated by more than one of Standard & Poor's, Moody's, and Fitch, state the second-highest rating.	If IFS-6k is option 1, 2, or 3	—

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IFS-6m	Text/Attachment	If the ILLE selected "Other/None," explain the basis on which the ILLE contends the letter of credit satisfies the credit rating requirement of Section 9.2.1.1(1)(f)(iv)(A)(3), notwithstanding that the issuing bank's rating is not from Standard & Poor's, Moody's, or Fitch (including because the issuing bank is unrated or is rated only by another agency). Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFS-6k is option 4	—
IFS-7	Yes / No	Did the ILLE post security in a form not recognized in Planning Guide Section 9.2.1.1(1)(f)(iv) of the Planning Guide?	Always	If Yes → IFS-8
IFS-8	Text/Attachment	If yes, explain how the ILLE satisfies the requirements in Planning Guide Section 9.2.1.1(1)(f)(iv) of the Planning Guide. Provide supporting documentation.	If IFS-7 is Yes	—
INSTRUCTION (applies to the questions below). <i>Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties</i> For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02. The questions that follow do not apply to the identity of, or the ILLE's relationship to, the guarantor on a corporate or parental guaranty. (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE; (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE; (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.				
IFS-9	Yes / No	Did the ILLE rely on an Eligibility Affiliate, or on a non-eligibility affiliated third party acting as the ILLE's agent, to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(f)(iv)?	Always	If Yes → IFS-10

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IFS-10	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If IFS-9 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
IFS-10a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
IFS-10b	Select all that apply	Select the basis for eligibility affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation instructions above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
IFS-10c	Text	Describe the basis for eligibility affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis for eligibility affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the contractual arrangement designating it to satisfy the eligibility requirement on the ILLE's behalf.	Always	—
IFS-10d	Text/Attachment	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the eligibility affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—

INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

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IFS-11	Text	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(iv) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
IFS-12	Text	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

ILLE QUESTIONS CONTINUE BELOW

DISCRETIONARY SITE APPROVALS

In the Discretionary Site Approvals section, the ILLE must provide the following information and documents evidencing that it has obtained all discretionary approvals required by the applicable municipality or governmental entity at the location where the ILLE is requesting interconnection, or, if no such approval is required, that it has attested to that conclusion with a supporting statement, as required by Planning Guide Section 9.2.1.1(1)(f)(iii).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DSA-1	Yes / No	Are any discretionary approvals required by the applicable municipality or governmental entity at the location where the ILLE is requesting interconnection?	Always	If Yes → DSA-2; If No → DSA-3
DSA-2	Set of questions that can repeat if ILLE has more than one discretionary site approval	Complete one set for each discretionary approval required by a municipality or governmental entity at the location where the ILLE is requesting interconnection. To add another, select 'Add Another Discretionary Site Approval' and complete the questions again. Provide a separate set for every discretionary site approval; do not combine multiple discretionary site approval in a single set.	If DSA-1 is Yes	Repeat for each Discretionary Site Approval

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DSA-2a	Text	Identify and describe the discretionary approval, including the type of approval (for example, zoning, special use permit, conditional use permit, or similar).	Always	—
DSA-2b	Text	Identify the municipality or governmental entity that requires the approval.	Always	—
DSA-2c	Yes / No	Has the ILLE obtained this approval?	Always	If Yes → DSA-2d, DSA-2e, DSA-2g; If No → DSA-2f
DSA-2d	Date	State the date the ILLE obtained this approval.	If DSA-2c is Yes	—
DSA-2e	Text/Attachment	Produce documentation evidencing the ILLE's receipt of this approval, including without limitation any order, permit, resolution, notice, or statement. Identify each document by file name and the page(s) and section(s) evidencing the approval.	If DSA-2c is Yes	—
DSA-2f	Text	Explain the status of this approval and when the ILLE expects to obtain it.	If DSA-2c is No	—
DSA-2g	Text	Was this approval obtained by the ILLE, an Eligibility Affiliate of the ILLE, or anon-eligibility affiliated third party? If obtained by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the party.	If DSA-2c is Yes	—
DSA-3	Text/Attachment	Produce the ILLE's statement supporting its conclusion that no discretionary approval is required by a municipality or governmental entity at the location where the ILLE is requesting interconnection. Identify the applicable municipality or governmental entity, and produce any documents supporting the statement, identified by document file name and page number.	If DSA-1 is No	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO**INSTRUCTION (applies to the questions below).** Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or
- (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.

DSA-4	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(f)(iii)?	Always	If Yes → DSA-5
DSA-5	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If DSA-4 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
DSA-5a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
DSA-5b	Select all that apply	Select the basis for eligibility affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
DSA-5c	Text	Describe the basis on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party.	Always	—

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DSA-5d	Text	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the eligibility affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—
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INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

DSA6	Text	If the ILLE believes any information or document relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(iii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number.	Not required	—
DSA-7	Text	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

ILLE QUESTIONS CONTINUE BELOW**GENERAL CONTRACTOR**

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
GC-1	Yes / No	Has the ILLE executed a binding contract with a general contractor for construction of the ILLE's planned Load Facilities at the location where the ILLE is requesting interconnection?	Always	If Yes → GC-2–GC-6; If No → GC-7

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GC-2	Text	Identify each general contractor with which the ILLE has executed a binding contract for construction of the ILLE's planned Load Facilities.	If GC-1 is Yes	—
GC-3	Text/Attachment	Produce a complete copy of each binding contract between the ILLE and a general contractor for construction of the ILLE's planned Load Facilities at the location where the ILLE is requesting interconnection. For each contract, identify it by document file name and the corresponding general contractor, and identify the page and signature blocks showing execution by all parties.	If GC-1 is Yes	—
GC-4	Text	Do the contract or contracts produced in response to GC-3, taken together, cover the full scope of work necessary to complete the ILLE's planned Load Facilities? If no, identify each portion of the scope of work necessary to complete the ILLE's planned Load Facilities that is not covered by an executed binding contract with a general contractor.	If GC-1 is Yes	—
GC-6	Text/Attachment	Produce every amendment, change order, side letter, memorandum of understanding, or other agreement that modifies, supplements, conditions, or limits the scope of work, the completion obligation, or either party's right to terminate under any contract produced in response to GC-3. If no such agreement exists as to a given contract, so state. For each produced document, identify all relevant pages and sections, identify by document file name the specific contract affected, and explain how it alters or may alter the parties' rights.	If GC-1 is Yes	—
GC-7	Text	State the basis for the ILLE's position with respect to the general contractor requirement in Planning Guide Section 9.2.1.1(1)(f)(vii), explain the status of the ILLE's efforts to execute a binding contract with a general contractor, and produce any supporting documents, identified by file name and page number.	If GC-1 is No	—

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INSTRUCTION (applies to the questions below). Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02. (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE; (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE; (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.				
GC-8	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(f)(vii)?	Always	If Yes → GC-9
GC-9	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If GC-8 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
GC-9a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
GC-9b	Select all that apply	Select the basis for eligibility affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
GC-9c	Text	Describe the basis for eligibility affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party.	Always	—

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GC-9d	Text	Produce all documents establishing the selected basis: for an Eligibility Affiliate, documents establishing the eligibility affiliation; for a non-eligibility affiliated third party, documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—
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INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

GC-16	Text	If the ILLE believes any information or document relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(vii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number.	Always	—
GC-17	Text	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Always	—

ILLE QUESTIONS CONTINUE BELOW**SUBSTATION CONTRACTOR**

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SSC-1	Text	Describe the ILLE's substation facilities to be constructed at the location where the ILLE is requesting interconnection, and identify which are to be owned by the ILLE and which are to be owned by the Interconnecting DSP or TSP.	Always	—

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SSC-2	Yes / No	Will any of the ILLE's substation facilities at the location be constructed by a substation contractor other than the Interconnecting DSP or TSP?	Always	If Yes → SSC-3–SSC-6; If No → SSC-7
SSC-3	Text/Attachment	Produce a complete copy of each binding contract between the ILLE and a substation contractor for construction of the ILLE's substation facilities at the location where the ILLE is requesting interconnection. Together, the produced contracts must cover all such facilities not owned by the Interconnecting DSP or TSP. For each contract, identify it by document file name and the corresponding substation contractor, and identify the page and signature blocks showing execution by all parties. This request does not apply to substation facilities owned by the Interconnecting DSP or TSP.	If SSC-2 is Yes	—
SSC-4	Text	Do the contracts produced in response to SSC-3, taken together, cover the full scope of work necessary to construct all of the ILLE's substation facilities at the location that are not owned by the Interconnecting DSP or TSP? Identify each portion of the substation facilities, or scope of work, not covered by a produced contract.	If SSC-2 is Yes	—
SSC-5	Text/Attachment	For each contract produced in response to SS-3, produce every amendment, change order, side letter, memorandum of understanding, or other agreement that modifies, supplements, conditions, or limits the scope of work, the completion obligation, or either party's right to terminate. For each produced document, identify all relevant pages and sections, identify by document file name the specific contract affected, and explain how it alters or may alter the parties' rights. If no such agreement exists as to a given contract, so state.	If SSC-2 is Yes	—
SSC-6	Text/Attachment	For each substation contractor, state whether the contractor holds an electrical contractor license issued by the Texas Department of Licensing and Regulation or performs the work through licensed subcontractors. Produce a copy of the applicable license(s), identified by document file name. This request does not apply to substation facilities owned by the Interconnecting DSP or TSP.	If SSC-2 is Yes	—

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SSC-7	Text	If none of the ILLE's substation facilities at the location will be constructed by a substation contractor other than the Interconnecting DSP or TSP, explain how the ILLE's substation facilities will be constructed.	If SSC-2 is No	—
INSTRUCTION (applies to the questions below). Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties For the following questions, "Basis for Eligibility Affiliation" means one or more of the categories listed below. The terms "person" and "entity" have the meaning set forth in Texas Business Organizations Code Section 1.02. (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE; (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE; (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.				
SSC-8	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(f)(viii)?	Always	If Yes → SSC-9
SSC-9	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select 'Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party' and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If SSC-8 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
SSC-9a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
SSC-9b	Select all that apply	Select the basis for eligibility affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—

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SSC-9c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party.	Always	—
SSC-9d	Text	Produce all documents establishing the selected basis: for an Eligibility Affiliate, documents establishing the eligibility affiliation; for a non-eligibility affiliated third party, documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—

INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

SSC-10	Text/Attachment ³	If the ILLE believes any information or document relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(viii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by file name and page number.	Always	—
SSC-11	Text/Attachment ⁴	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by file name, describe how it differs, and explain the reason for the difference.	Always	—

ILLE QUESTIONS CONTINUE BELOW

ILLE BUSINESS ORGANIZATION

In the Affiliate section, the ILLE must provide the following information and documents concerning its affiliates, as identified below.

³ [Revised in RFI v1.1 to not a required question.](#)

⁴ [Revised in RFI v1.1 to not a required question.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IBO-1	Text/Attachment	Provide a chart showing the ILLE's complete business organizational structure and all Eligibility Affiliates relied on in connection with the application to satisfy the criteria in Section 9.2.1.1(1)(f). If not indicated on the chart, include a statement or produce documents that include ownership percentages and other necessary information to establish direct ownership between entities, including any entities in between Eligibility Affiliates and equity owners. For each document produced, identify all relevant pages and sections that set forth the ownership percentages and other necessary information to establish direct ownership between the entities. To the extent an Eligibility Affiliate or person in the proposed structure is a foreign entity, state where the entity was incorporated, please note the type of foreign entity and, where applicable, its registration number.	Always	—
IBO-2	Yes / No	Is the ILLE headquartered in China, Iran, North Korea, or Russia?	Always	—
IBO-3	Yes / No	Is the ILLE owned by or the majority of stock or other ownership interest of the ILLE held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—
IBO-4	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(f) headquartered in China, Iran, North Korea, or Russia?	Always	—
IBO-5	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(f) owned by or the majority of stock or other ownership interest of the Eligibility Affiliate held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—
ILLE QUESTIONS CONTINUE BELOW				

ILLE ATTESTATION AND EXHIBIT LIST				
Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IAT-1	Attachment	Confirm that the information submitted by the ILLE in response to these RFI questions is true, accurate, and complete to the best of your knowledge and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided by submitting the notarized attestation available on ERCOT's Large Load Interconnecting.	Always	—
IAT-2	Attachment	Prior to submission of the RFI response, the ILLE shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—

END OF ILLE QUESTIONS

FORM OF FINANCIAL SECURITY — INTERCONNECTING TSP

In the Form of Financial Security section, the Interconnecting TSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting TSP's acceptance of that security, as required by Planning Guide Section 9.2.1.1(1)(f)(iv).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TSFF-1	Select all that apply	Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable. (1) Cash collateral (2) Corporate or parental guaranty (3) Letter of credit (4) Other (5) Interconnecting TSP did not require the ILLE to post financial security (6) Interconnecting TSP required financial security, but the ILLE did not post it	Always	If option 1, 2, 3, or 4 → TSFF-2–TSFF-4; If option 1 → TSFF-5; If option 2 → TSFF-6–TSFF-11; If option 3 → TSFF-12–TSFF-16; If option 4 → TSFF-17; If option 5 → TSFF-18; If option 6 → TSFF-19
TSFF-2	Tex/Attachment	Produce the interconnection or alternative agreement obligating the ILLE to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting TSP and the ILLE. Identify the agreement by document file name and the page(s) and section(s) stating the financial-security obligation.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-3	Number	State the amount in US dollars of financial security the Interconnecting TSP required of the ILLE for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-4	Number	State the amount in US dollars of financial security the ILLE posted with the Interconnecting TSP for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-5	Text	Produce all documents evidencing that the ILLE posted, and that the Interconnecting TSP received irrevocable access to, the cash collateral on or before July 10, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by document file name and the relevant page number(s) showing the amount, the payee, and date. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 1	—
TSFF-6	Text/Attachment	Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If TSFF-1 is option 2	—
TSFF-7	Text	Identify the guarantor by name (the corporation or parent corporation providing the guaranty), and circle the guarantor on the organizational chart that is later required by IBO-1..	If TSFF-1 is option 2	—
TSFF-8	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If TSFF-1 is option 2	—
TSFF-9⁵	Text/Attachment	State whether the corporation's or parent corporation's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(2) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-8, identified by document file name and page number. If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(f)(iv).	If TSFF-1 is option 2	—
TSFF-10	Text/Attachment	Identify all financial records or statements the Interconnecting TSP required under Planning Guide Section 9.2.1.1(1)(f)(iv)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all documents and information that the ILLE provided to the Interconnecting TSP, identified by document file name and page number.	If TSFF-1 is option 2	—

⁵ [Revised in RFI v1.1 the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-11	Text/Attachment ⁶	If the ILLE posted more than one corporate or parental guaranty with the Interconnecting TSP, produce each additional guaranty and provide the same information required in TSFF-6 through TSFF-10 for each, identified by document file name and page number.	If TSFF-1 is option 2	—
TSFF-12	Text/Attachment	Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state.	If TSFF-1 is option 3	—
TSFF-13	Text/Attachment	State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(3), and produce all supporting documents, identified by document file name and page number.	If TSFF-1 is option 3	—
TSFF-14	Table	State the credit rating assigned to the issuing bank by each of Standard & Poor's, Moody's, and Fitch. If the issuing bank is not rated by one of these agencies, so state for that agency.	If TSFF-1 is option 3	—
TSFF-15	Text/Attachment	State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) meets Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(3) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the financial security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(f)(iv) and produce supporting documentation.	If TSFF-1 is option 3	—

⁶ Revised in RFI v1.1 to allow, but not require, an attachment.

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-16	Text/Attachment	If the ILLE posted more than one letter of credit with the Interconnecting TSP, produce each additional letter of credit and provide the same information required in TSFF-12 through TSFF-15 for each, identified by document file name and page number.	If TSFF-1 is option 3	—
TSFF-17	Text/Attachment	Explain the basis on which the financial security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.1(1)(f)(iv), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.1(1)(f)(iv)(A). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 4	—
TSFF-18	Text	Explain why the Interconnecting TSP did not require the ILLE to post financial security for this Large Load.	If TSFF-1 is option 5	—
TSFF-19	Text	Explain why the Large Load was submitted to ERCOT if the Interconnecting TSP required financial security and the ILLE did not post it.	If TSFF-1 is option 6	—

INTERCONNECTION TSP QUESTIONS CONTINUE BELOW**FINANCIAL SECURITY METHODOLOGY — INTERCONNECTING TSP**

In the Financial Security Methodology section, the Interconnecting TSP must provide the following information and documents concerning how the Interconnecting TSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(f)(iv).

For Question TSFF-20, the choices are as follows:

- (1) Regional Planning Group (RPG)-project-based cost estimate: the amount was based on the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement, divided by the total MW peak Demand of new Large Loads contributing to the need for the project, multiplied by this Large Load's peak Demand; or, if the total contributing MW could not be determined, \$50,000 per MW peak Demand.
- (2) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (3) \$0 based on a study to ERCOT: the Interconnecting TSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting TSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (4) The Interconnecting TSP did not set or collect a financial security requirement for this Large Load.

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TSFF-20	Select all that apply	Identify the methodology the Interconnecting TSP used to determine the financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, or state that the Interconnecting TSP did not set or collect security. Referring to the methodology descriptions in the instructions above, select one: (1) Regional Planning Group (RPG)-project-based cost estimate (2) Legacy LLIS-based cost estimate (3) \$0 based on approved study (4) Interconnecting TSP did not set or collect a financial security requirement for this Large Load	Always	If option 1 → TSFF-21–TSFF-22; If option 2 → TSFF-23; If option 3 → TSFF-24; If option 4 → TSFF-25
TSFF-21	Text	State the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement. Identify the report and the page(s) where the relevant improvements and any cost estimate appear. If the cost estimate is from a different document, produce the document in response to TSFF-26 and identify the document and relevant page numbers.	If TSFF-20 is option 1	—
TSFF-22	Yes / No	Was the Interconnecting TSP unable to determine a cost estimate such that the \$50,000 per MW peak Demand default was used instead of the calculation?	If TSFF-20 is option 1	—
TSFF-23	Select all that apply	For the legacy LLIS-based methodology, identify which outcome applied: (1) A cost estimate was determined (2) No cost estimate could be determined (3) No improvements required	If TSFF-20 is option 2	—
TSFF-24	Text	Identify the study and the page number(s) demonstrating that the addition of the Large Load does not result in any planning-criteria violations or the need for Transmission Facility improvements requiring RPG review.	If TSFF-20 is option 3	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-25	Text	Explain why the Interconnecting TSP did not set or collect a financial security requirement for this Large Load.	If TSFF-20 is option 4	—
TSFF-26	Text	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(iii) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Always	—

INTERCONNECTION TSP QUESTIONS CONTINUE BELOW**INTERCONNECTING TSP CIAC**

In the contribution in aid of construction (CIAC) section, the Interconnecting TSP must provide the following information and documents concerning the ILLE's satisfaction of its direct interconnection costs through CIAC, as required by Planning Guide Section 9.2.1.1(1)(f)(v).**

For Question TCIAC-1, the choices are as follows:

- (1) The Interconnecting TSP is responsible for direct interconnection facilities, and the Interconnecting TSP and ILLE executed an interconnection agreement or equivalent agreement, on or before July 10, 2026, that sets forth CIAC requirements and terms.
- (2) The Interconnecting TSP is responsible for direct interconnection facilities, but the Interconnecting TSP and ILLE did not execute such an agreement on or before July 10, 2026.
- (3) The Interconnecting TSP is not responsible for the direct interconnection facilities.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
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FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TCIAC-1	Select one	Referring to the choices in the instructions above, select the statement that describes the Interconnecting TSP's role in the direct interconnection facilities and the ILLE's CIAC: (1) Interconnecting TSP responsible; agreement executed on or before July 10, 2026 (2) Interconnecting TSP responsible; no such agreement (3) Interconnecting TSP not responsible for the direct interconnection facilities	Always	If option 1 → TCIAC-2–TCIAC-6; If option 2 → TCIAC-7; If option 3 → TCIAC-8
TCIAC-2	Text/Attachment	Produce the interconnection agreement or equivalent agreement. Identify the document file name of the responsive document and the page number(s) where the direct interconnection costs appear.	If TCIAC-1 is option 1	—
TCIAC-3	Number	State in US dollars the amount of CIAC required of the ILLE under the agreement.	If TCIAC-1 is option 1	—
TCIAC-4	Number	State in US dollars the amount of CIAC the ILLE paid or posted.	If TCIAC-1 is option 1	—
TCIAC-5	Text/Attachment	Produce documentation confirming the ILLE's payment or posting of CIAC, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement, identified by document file name and page number. If the ILLE did not satisfy its CIAC obligation under the agreement in full, explain the extent to which it was not satisfied and state the unpaid or unposted amount.	If TCIAC-1 is option 1	—
TCIAC-6	Text	State how the agreement provides for the ILLE's direct interconnection costs to be satisfied, identify the provision setting the CIAC amount, and state whether that amount was offset by a standard contribution or other allowance. Identify the agreement by document file name and the page(s) and section(s) of the relevant provision.	If TCIAC-1 is option 1	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TCIAC-7	Text/Attachment	State whether the ILLE satisfied its direct interconnection costs in full through CIAC, by direct cash payment or posted financial security not offset by any standard contribution or other allowance, on or before July 10, 2026. Produce documentation confirming the payment or posted financial security, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, an executed corporate or parental guaranty, or a letter of credit, identified by document file name and page number. If the ILLE did not satisfy its direct interconnection costs in full on or before July 10, 2026, explain the extent to which it did not and state the unsatisfied amount.	If TCIAC-1 is option 2	—
TCIAC-8	Text	Explain the basis for the Interconnecting TSP's position that it is not responsible for the direct interconnection facilities.	If TCIAC-1 is option 3	—
TCIAC-9	Text/Attachment	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(v) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Always	—

INTERCONNECTION TSP QUESTIONS CONTINUE BELOW**ATTESTATION AND EXHIBIT LIST — INTERCONNECTING TSP**

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TAT-1	Attachment	Confirm that the information submitted by the Responsible TSP/DSP to ERCOT in response to these RFIs is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided by completing the attestation.	Always	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TAT-2	Attachment	Prior to submission of the RFI response, the Interconnecting TSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—
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END OF INTERCONNECTING TSP QUESTIONS

FORM OF FINANCIAL SECURITY — INTERCONNECTING DSP

In the Financial Security Methodology section, the Interconnecting DSP must provide the following information and documents concerning how the Interconnecting DSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(f)(iv).

For Question DSFF-20, the choices are as follows:

- (1) Regional Planning Group (RPG)-project-based cost estimate: the amount was based on the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement, divided by the total MW peak Demand of new Large Loads contributing to the need for the project, multiplied by this Large Load's peak Demand; or, if the total contributing MW could not be determined, \$50,000 per MW peak Demand.
- (2) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (3) \$0 based on a study to ERCOT: the Interconnecting DSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting DSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DSFF-1	Select one	Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable. (1) Cash collateral (2) Corporate or parental guaranty (3) Letter of credit (4) Other (5) The Interconnecting DSP did not require the ILLE to post financial security (6) The Interconnecting DSP required financial security, but the ILLE did not post it	Always	If option 1, 2, 3, or 4 → DSFF-2–DSFF-4; If option 1 → DSFF-5; If option 2 → DSFF-6–DSFF-11; If option 3 → DSFF-12–DSFF-16; If option 4 → DSFF-17; If option 5 → DSFF-18; If option 6 → DSFF-19

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-2	Text/Attachment	Produce the interconnection or alternative agreement obligating the ILLE to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting TSP and the ILLE. Identify the agreement by document file name and the page(s) and section(s) stating the financial-security obligation.	If DSFF-1 is option 1, 2, 3, or 4	—
DSFF-3	Number	State the amount in US dollars of financial security the Interconnecting DSP required of the ILLE for system upgrades necessary to reliably serve the ILLE.	If DSFF-1 is option 1, 2, 3, or 4	—
DSFF-4	Number	State the amount in US dollars of financial security the ILLE posted with the Interconnecting DSP for system upgrades necessary to reliably serve the ILLE.	If DSFF-1 is option 1, 2, 3, or 4	—
DSFF-5	Text/Attachment ⁷	Produce all documents evidencing that the ILLE posted, and that the Interconnecting DSP received irrevocable access to, the cash collateral on or before July 10, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If DSFF-1 is option 1	—
DSFF-6	Text/Attachment	Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If DSFF-1 is option 2	—
DSFF-7	Text	Identify the guarantor (the corporation or parent corporation providing the guaranty).	If DSFF-1 is option 2	—

⁷ [Revised in RFI v1.1 from a number field to a free-text box; respondents may now enter text as well as numbers.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-8	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If DSFF-1 is option 2	—
DSFF-9	Text/Attachment	State whether the rating governing under DSFF-10 (the second-highest rating if multiply rated, otherwise the sole rating) satisfies Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(2) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). If it does not, explain the basis on which the financial security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(f)(iv). Produce documentation evidencing the ratings stated in DSFF-8, identified by document file name and page number.	If DSFF-1 is option 2	—
DSFF-10	Text/Attachment	Identify all financial records or statements the Interconnecting DSP required under Planning Guide Section 9.2.1.1(1)(f)(iv)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all that the ILLE provided to the Interconnecting DSP, identified by document file name and page number. If none, so state.	If DSFF-1 is option 2	—
DSFF-11	Text/Attachment	If the ILLE posted more than one corporate or parental guaranty with the Interconnecting DSP, produce each additional guaranty and provide the same information required in DSFF-6 through DSFF-10 for each, identified by document file name and page number.	If DSFF-1 is option 2	—
DSFF-12	Text/Attachment	Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state.	If DSFF-1 is option 3	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-13	Text/Attachment	State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(3), and produce all supporting documents, identified by document file name and page number.	If DSFF-1 is option 3	—
DSFF-14	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If DSFF-1 is option 3	—
DSFF-15	Text/Attachment	State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) Planning Guide Section 9.2.1.1(1)(f)(iv)(A)(3) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in DSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the financial security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(f)(iv) and produce supporting documentation.	If DSFF-1 is option 3	—
DSFF-16	Text/Attachment	If the ILLE posted more than one letter of credit with the Interconnecting DSP, produce each additional letter of credit and provide the same information required in DSFF-12 through DSFF-15 for each, identified by document file name and page number.	If DSFF-1 is option 3	—
DSFF-17	Text/Attachment	Explain the basis on which the financial security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.1(1)(f)(iv), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.1(1)(f)(iv)(A). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If DSFF-1 is option 4	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-18	Text	Explain why the Interconnecting DSP did not require the ILLE to post financial security for this Large Load.	If DSFF-1 is option 5	—
DSFF-19	Text	Explain why the Large Load was submitted to ERCOT if the Interconnecting DSP required financial security and the ILLE did not post it.	If DSFF-1 is option 6	—

INTERCONNECTING DSP QUESTIONS CONTINUE BELOW**FINANCIAL SECURITY METHODOLOGY — INTERCONNECTING DSP**

In the Financial Security Methodology section, the Interconnecting DSP must provide the following information and documents concerning how the Interconnecting DSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(f)(iv).

For Question DSFF-20, the choices are as follows:

- (1) Regional Planning Group (RPG)-project-based cost estimate: the amount was based on the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement, divided by the total MW peak Demand of new Large Loads contributing to the need for the project, multiplied by this Large Load's peak Demand; or, if the total contributing MW could not be determined, \$50,000 per MW peak Demand.
- (2) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (3) \$0 based on a study to ERCOT: the Interconnecting DSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting DSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
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DSFF-20	Select one	Identify the methodology the Interconnecting DSP used to determine the financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, or state that the Interconnecting DSP did not set or collect security. Referring to the methodology descriptions in the instructions above, select one: (1) Regional Planning Group (RPG)-project-based cost estimate (2) Legacy LLIS-based cost estimate (3) \$0 based on approved study (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load	Always	If option 1 → DSFF-21–DSFF-22; If option 2 → DSFF-23; If option 3 → DSFF-24; If option 4 → DSFF-25
DSFF-21	Text	State the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement. Identify the report and the page(s) where the relevant improvements and any cost estimate appear. If the cost estimate is from a different document, produce the document in response to DSFF-26 and identify the document and relevant page numbers.	If DSFF-20 is option 1	—
DSFF-22	Yes / No	Was the Interconnecting DSP unable to determine a cost estimate such that the \$50,000 per MW peak Demand default was used instead of the calculation?	If DSFF-20 is option 1	—
DSFF-23	Select all that apply	For the legacy LLIS-based methodology, identify which outcome applied: (1) Regional Planning Group (RPG)-project-based cost estimate (2) Legacy LLIS-based cost estimate (3) \$0 based on approved study (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load	If DSFF-20 is option 2	—
DSFF-24	Text	Identify the study and the page number(s) demonstrating that the addition of the Large Load does not result in any planning-criteria violations or the need for Transmission Facility improvements requiring RPG review.	If DSFF-20 is option 3	—

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DSFF-25	Text	Explain why the Interconnecting DSP did not set or collect a financial security requirement for this Large Load.	If DSFF-20 is option 4	—
DSFF-26	Text/Attachment	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(iii) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—

INTERCONNECTING DSP QUESTIONS CONTINUE BELOW

INTERCONNECTING DSP CIAC

In the CIAC section, the Interconnecting DSP must provide the following information and documents concerning the ILLE's satisfaction of its direct interconnection costs through contribution in aid of construction (CIAC), as required by Planning Guide Section 9.2.1.1(1)(f)(v).

For Question DCIAC-1, the choices are as follows:

- (1) The Interconnecting DSP is responsible for direct interconnection facilities, and the Interconnecting DSP and ILLE executed an interconnection agreement or equivalent agreement, on or before July 10, 2026, that sets forth CIAC requirements and terms.
- (2) The Interconnecting DSP is responsible for direct interconnection facilities, but the Interconnecting DSP and ILLE did not execute such an agreement on or before July 10, 2026.
- (3) The Interconnecting DSP is not responsible for the direct interconnection facilities.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DCIAC-1	Select one	Referring to the choices in the instructions above, select the statement that describes the Interconnecting DSP's role in the direct interconnection facilities and the ILLE's CIAC:	Always	If option 1 → DCIAC-2–DCIAC-6; If option 2 → DCIAC-7; If option 3 → DCIAC-8
DCIAC-2	Text/Attachment	Produce the interconnection agreement or equivalent agreement. Identify it by document file name and the page number(s) where the direct interconnection costs appear.	If DCIAC-1 is option 1	—
DCIAC-3	Number	State in US dollars the amount of CIAC required of the ILLE under the agreement.	If DCIAC-1 is option 1	—

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DCIAC-4	Number	State in dollars the amount of CIAC the ILLE paid or posted.	If DCIAC-1 is option 1	—
DCIAC-5	Text/Attachment	Produce documentation confirming the ILLE's payment or posting of CIAC, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement, identified by document file name and page number. If the ILLE did not satisfy its CIAC obligation under the agreement in full, explain the extent to which it was not satisfied and state the unpaid or unposted amount.	If DCIAC-1 is option 1	—
DCIAC-6	Text	State how the agreement provides for the ILLE's direct interconnection costs to be satisfied, identify the provision setting the CIAC amount, and state whether that amount was offset by a standard contribution or other allowance. Identify the agreement by document file name and the page(s) and section(s) of the relevant provision.	If DCIAC-1 is option 1	—
DCIAC-7	Text/Attachment	State whether the ILLE satisfied its direct interconnection costs in full through CIAC, by direct cash payment or posted financial security not offset by any standard contribution or other allowance, on or before July 10, 2026. Produce documentation confirming the payment or posted financial security, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, an executed corporate or parental guaranty, or a letter of credit, identified by document file name and page number. If the ILLE did not satisfy its direct interconnection costs in full on or before July 10, 2026, explain the extent to which it did not and state the unsatisfied amount.	If DCIAC-1 is option 2 Not required	—
DCIAC-8	Text	Explain the basis for the Interconnecting DSP's position that it is not responsible for the direct interconnection facilities.	If DCIAC-1 is option 3	—
DCIAC-9	Text/Attachment	If the Interconnecting DSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(f)(v) is not captured by its response to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Not required	—

INTERCONNECTING DSP QUESTIONS CONTINUE BELOW

ATTESTATION AND EXHIBIT LIST — INTERCONNECTING DSP — DSP

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DAT-1	Attachment	Confirm that the information submitted by the Interconnecting TSP to ERCOT in response to these RFIs is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided by completing the attestation	Always	—
DAT-2	Attachment	Prior to submission of the RFI response, the Interconnecting DSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—

END OF INTERCONNECTING TSP QUESTIONS

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