

ERCOT Eligibility Verification RFI — Load Type E — Question Reference Guide

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RFI version 1.2

What this reference guide provides: The following table lists every question in ERCOT’s request for information (RFI) for Large Loads conditionally classified as base load under Planning Guide Section 9.2.1.1(1)(e). The RFI responses are used to verify that a Large Load is eligible for its conditional classification. This document is a reference guide only; the RFIs in the response portal built in Resource Integration and Ongoing Operations (RIOO) are the controlling documents. Changes from the preceding version(s) of the Verification RFI are indicated by footnotes. These changes are technical or typographical only and do not affect any answer already submitted. Answers submitted in RIOO are retained across versions, so no action is required.

How to read the table: Each row identifies a question included in ERCOT’s Verification RFI and the columns provide additional information for each question. By navigating the table, you can determine which questions an Interconnecting Large Load Entity (ILLE), the Interconnecting Transmission Service Provider (TSP), or the Interconnecting Distribution Service Provider (DSP) must answer. The color-coded legend below identifies the questions specific to an ILLE, TSP, or DSP. Yellow rows provide instructions that govern the questions beneath them.

- The column titled “Question ID” provides a unique identifier for each question. Question IDs ending in a letter (e.g., SCE1-2a) identify sub-questions within a repeating set; these IDs are used here for reference only and do not appear in the RFI response portal.
- The column titled “Question” and “Answer format” provide the text of each question and identify whether the question requires a “yes” or “no” answer, a narrative response, multi- or single-choice options, and/or supporting documentation.
- The column titled “When to answer this question” identifies whether the question is universal, or if it is triggered only by the respondent’s answer to a prior question (e.g., “If SCE1-1 is Yes”).
- The column titled “Answer opens follow-up questions” identifies whether the respondent’s answer to that question triggers additional questions (e.g., “If Yes → SCE1-2”). The presence of a dash in that column means no additional questions are triggered, and the respondent simply continues to the next universal question identified as “Always” in the “When to answer this question” column.

Key — color coding

Interconnecting Large Load Entity (ILLE)

Interconnecting Transmission Service Provider (TSP)

Interconnecting Distribution Service Provider (DSP)

Instruction (governs the questions beneath it)

General Instructions:

- STOP. This RFI applies to a Large Load seeking inclusion in Batch Zero as base load not subject to additional study under Planning Guide Section 9.2.1.1(1)(e). Before continuing, the Interconnecting Transmission Service Provider (Interconnecting TSP) must confirm that it is using the correct template for the applicable eligibility path and that it has added the Interconnecting Large Load Entity (ILLE, also referred to as Load Entity in this RFI) contact and, if different from the Interconnecting TSP, a contact for the Interconnecting DSP. The system will create an account for each contact added, allowing that entity to complete its portion of the RFI.
- The Interconnecting Transmission Service Provider (Interconnecting TSP) must submit the response to the Request for Information (RFI) to ERCOT within 10 Business Days of ERCOT's issuance of the RFI. The Interconnecting TSP may request a 5-Business Day extension by emailing ERCOT at LargeLoadVerification@ercot.com. The email subject line must include the Large Load's Batch Zero number and the ILLE's name, in the following format: [Batch Zero number] – [ILLE name].
- The ILLE must coordinate with the Interconnecting TSP so that the Interconnecting TSP receives all ILLE-provided information and documentation with sufficient time to submit a complete response within 10 Business Days of ERCOT's issuance of the RFI. The ILLE's failure to provide necessary information and supporting documentation in time for the Interconnecting TSP to timely submit a completed response to this RFI may result in the Large Load's removal from the Batch Zero Process.
- Customer-specific information submitted to ERCOT by the Interconnecting TSP pursuant to this RFI is considered Protected Information under ERCOT Protocols § 1.3.1.1(1)(r) (Items Considered Protected Information). To ensure the ILLE's information receives this treatment, the ILLE must provide all information and supporting documentation through RIOO as part of the response the Interconnecting TSP submits. Any information submitted directly to ERCOT pursuant to this RFI by the ILLE may not qualify as Protected Information and therefore could be subject to public disclosure.
- If you have any questions about the substance of the RFI, please submit them to LargeLoadVerification@ercot.com.
- If you have any technical questions about or issues using the RIOO platform, please submit them to servicedesk@ercot.com.
- Please review Planning Guide Revision Request (PGRR) 145 and Planning Guide Section 9 for assistance in answering the RFI. PGRR 145 is accessible at <https://www.ercot.com/mktrules/issues/PGRR145#keydocs>.
- Capitalized terms used in the RFI are defined either within the RFI, Planning Guide Section 9, or Protocol Section 2.

How the RFI is Completed and Submitted

This RFI is completed by the Interconnecting TSP, the ILLE, and, if different from the Interconnecting TSP, the Interconnecting DSP, in the following sequence:

- (1) The Interconnecting TSP logs in to RIOO and adds the ILLE contact (and, if different, the Interconnecting DSP contact). The RIOO system creates an account for each contact added.
- (2) The ILLE, the Interconnecting TSP, and, if different, the Interconnecting DSP each complete their own portions of the RFI and upload their supporting documents and exhibit lists. The three may complete their portions at the same time.
- (3) Once all portions are complete, the Interconnecting TSP submits the completed response to ERCOT within 10 Business Days of ERCOT's issuance of the RFI.

For step-by-step instructions on completing and submitting the RFI in RIOO, refer to the user guide on the ERCOT website.

Document Submission Instructions:

Any documentation that is requested by ERCOT or referenced in or relied upon by the ILLE or Interconnecting TSP or DSP in an RFI response must be submitted pursuant to this RFI even if you previously submitted the document to ERCOT. DO NOT state in an RFI that you have already submitted such document.

Any documentation provided pursuant to the RFI must be submitted as individual, paginated exhibits and saved as search-capable electronic files (Word, PDF, Excel, etc.). Each exhibit should be identified as Exhibit A, Exhibit B, Exhibit C, etc. using the following file naming convention:

- [BZNumber]_[Submitter]_[ExhibitIdentifier]_VerificationRFI_[YYYYMMDD]
- Ex: BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_TSP_ExhibitA_VerificationRFI_20260817
- Ex: BZ9999_DSP_ExhibitA_VerificationRFI_20260817
- *The “BZNumber” is the unique identifier assigned to the Large Load interconnection request.*
- *“Submitter” should be “ILLE,” “TSP,” or “DSP” depending on which entity submitted the document.*
- *“Exhibit Identifier” is the chronological identification of the exhibits.*

If the same document is responsive to more than one question, the ILLE, Interconnecting TSP, or Interconnecting DSP *must upload it in response to each such question*. The exhibit file name must remain the same each time the document is uploaded. Do not rename the document or assign it a new Exhibit Identifier. The document is listed only once on the exhibit list, and each response citing it uses the same Exhibit Identifier and file name.

Prior to submission of the RFI response, the ILLE and Interconnecting TSP or DSP shall separately upload an exhibit list identifying each document the submitter respectively provided, referenced, or relied upon in their response to the RFI.

The exhibit list must reflect the following format, incorporating the required file naming convention addressed above (examples provided):

Example for the ILLE:

Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	ILLE	Executed property deed	BZ9999_ILLE_ExhibitA_VerificationRFI_20260817
B	ILLE	Financial Security	BZ9999_ILLE_ExhibitB_VerificationRFI_20260817

Example for the Interconnecting TSP:

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Exhibit Identifier	Submitter	Title of Supporting Document	File Name of Supporting Document
A	Interconnecting TSP	Executed property deed	BZ9999_TSP_ExhibitA_VerificationRFI_20260817
B	Interconnecting TSP	Financial Security	BZ9999_TSP_ExhibitB_VerificationRFI_20260817

- For each document provided pursuant to, referenced in, or relied upon for an RFI response, the ILLE and Interconnecting TSP or DSP must include the following information in said response: (1) the Exhibit Identifier, (2) the document file name, and (3) the specific page number(s) supporting the response, if applicable.
 - Example: See BZ9999_ILLE_Exhibit A_VerificationRFI_20260817 at pages 10-14

The RFI requires:

- The ILLE submit a sworn and notarized ILLE RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the ILLE stating that the responses, information, and documents provided are true and correct;
- The Interconnecting DSP submit a sworn and notarized TSP/DSP RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the Interconnecting DSP stating that the responses, information, and documents provided are true and correct; and
- The Interconnecting TSP submit a sworn and notarized TSP/DSP RFI Attestation in the format posted on the ERCOT Large Load Integration page, which must be executed by an official, officer, or other authorized person with authority to bind the Interconnecting TSP/DSP stating that the responses, information, and documents provided are true and correct.

ILLE's Reliance on an Eligibility Affiliate or Non-Affiliated Third Party

An eligibility criterion may be satisfied by an Eligibility Affiliate of the ILLE or by a non-affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy the criterion on the ILLE's behalf. 'Eligibility Affiliate' means an entity that qualifies under one of the bases set out below and is defined solely for determining eligibility and reliance in the Batch Zero Process and differs from, and does not incorporate, the definition of 'Affiliate' in the ERCOT Protocols. The bases on which an entity qualifies as an Eligibility Affiliate are set out below. A non-affiliated third party is not an Eligibility Affiliate. In each applicable Section, the ILLE may identify a non-affiliated third party by selecting option (6) in that Section. Where the ILLE relies on an Eligibility Affiliate or a non-affiliated third party to satisfy any criterion addressed in this RFI, the ILLE must, in the applicable Section: (1) identify the Eligibility Affiliate or non-affiliated third party and the criterion it satisfied; (2) respond to the questions in that Section as to the Eligibility Affiliate's or non-affiliated third party's actions or documents to the same extent as if they were the ILLE's; and (3) provide the basis of affiliation (for an Eligibility Affiliate) or the contractual agency designation (for a non-affiliated third party), and the supporting proof, as required in the applicable Section.

Basis for Eligibility Affiliation:

For purposes of this RFI, an entity qualifies as an Eligibility Affiliate of the ILLE on one of the following bases (these correspond to the bases for Eligibility Affiliate status in the Batch Zero Affiliate Form, in which "Designated ILLE" has the same meaning as "ILLE" in this RFI). The terms 'person' and 'entity' have the meaning set forth in Texas Business Organizations Code Section 1.02.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; or
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE.

The RFI was updated on September 19, 2026. Updates in RFI v1.1:

- [IFS-6a, replaced corporate or parental guaranty with letter of credit](#)
- [TSFF-9, 10, and 11, revised the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11](#)
- [TSFF-11, revised to not require an attachment](#)
- [DSFF-5, revised from a number field to a free-text box](#)

The RFI was updated on September 22, 2026. Updates in RFI v1.2:

- [EUC-5, revised to not require an attachment](#)

SITE CONTROL AND EVIDENCE

In the Site Control and Evidence section, the Interconnecting Large Load Entity (ILLE) must provide the following information and documents evidencing that it holds one of the qualifying property interests listed in Planning Guide Section 9.2.1.1(1)(e)(viii), covering one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location. Part One addresses the qualifying property interest. Part Two addresses the sufficiency of the parcels covered. Part Three addresses reliance on Eligibility Affiliates and non-eligibility affiliated third parties and supplemental and updated information.

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

If any lease, deed, or purchase and sale agreement responsive to this Section is held by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party rather than by the ILLE, respond to the questions in this Part as to that document, and identify the holder. The basis of eligibility affiliation, or the basis for reliance on the non-eligibility affiliated third party, and the supporting proof, are addressed in Site Control and Evidence Part Three.

PART ONE: QUALIFYING PROPERTY INTEREST

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE1-1	Yes / No	Does the ILLE have a signed and executed lease agreement with a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand as stated in its LCP for any part of the property that makes up the location of the planned Load Facility?	Always	If Yes → SCE1-2
SCE1-2	Set of questions that can repeat if ILLE has more than one lease to report	Complete one set for each produced lease that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add Another Lease' and complete the questions again. Provide a separate set for every Lease; do not combine multiple leases in a single set.	If SCE1-1 is Yes	Repeat for each Lease
SCE1-2a	Text/Attachment	Produce a complete copy of the lease, identified by document file name.	Always	—
SCE1-2b	Text	Identify the page and section of the lease that contains the lease term, and explain how the lease satisfies the requirement that it be for a term of at least five years from the date the ILLE is expected to reach the total non-coincident peak Demand as stated in its LCP.	Always	—
SCE1-2c	Yes / No	Does the lease recite the size of the parcel it covers?	Always	If Yes → SCE1-2d
SCE1-2d	Number	State the size of the parcel as recited in the lease.	If SCE1-2c is Yes	—
SCE1-2e	Text	Is the lessee under the lease the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If the lessee is an Eligibility Affiliate or a non-eligibility affiliated third party, identify the lessee.	Always	—
SCE1-2f	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that affect termination rights, extension rights, or other rights related to the term or duration of this lease?	Always	If Yes → SCE1-2g

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SCE1-2g	Text/Attachment	Produce each such document. For each, identify it by document file name and the specific lease affected, identify all relevant pages and sections, and explain how it alters or may alter the parties' rights.	If SCE1-2f is Yes	—
SCE1-3	Yes / No	Does the ILLE have a deed conveying to it any part of the property that makes up the site of the planned Load Facility?	Always	If Yes → SCE1-4
SCE1-4	Set of questions that can repeat if ILLE has more than one deed to report	Complete one set for each produced deed that makes up all or part of the ILLE's property interest in the parcels needed to accommodate the planned Load Facilities. To add another, select 'Add Another Deed' and complete the questions again. Provide a separate set for every deed; do not combine multiple deeds in a single set.	If SCE1-3 is Yes	Repeat for each Deed
SCE1-4a	Text/Attachment	Produce a complete copy of the deed, identified by document file name.	Always	—
SCE1-4b	Yes / No	Does the deed recite the size of the parcel it covers?	Always	If Yes → SCE1-4c
SCE1-4c	Number	State the size of the parcel as recited in the deed.	If SCE1-4b is Yes	—
SCE1-4d	Text	Is the deed held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder.	Always	—
SCE1-4e	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, easements, or other documents that alter or may alter the property rights of the ILLE to construct its planned Load Facility on the parcel?	Always	If Yes → SCE1-4f
SCE1-4f	Text/Attachment	Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's property rights.	If SCE1-4e is Yes	—
SCE1-5	Yes / No	Does the ILLE have a signed and executed purchase and sale agreement to acquire any part of the property that makes up the site of the planned Load Facility?	Always	If Yes → SCE1-6

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SCE1-6	Set of questions that can repeat if ILLE has more than one purchase and sale agreement to report	Complete one set for each purchase and sale agreement that makes up all or part of the ILLE's property interest in the parcel(s) needed to accommodate the planned Load Facilities. To add another, select 'Add Another Purchase and Sale Agreement' and complete the questions again. Provide a separate set for every Purchase and Sale Agreement; do not combine multiple Purchase and Sale Agreement in a single set.	If SCE1-5 is Yes	Repeat for each Purchase and Sale Agreement
SCE1-6a	Text/Attachment	Produce a complete copy of the purchase and sale agreement, identified by document file name.	Always	—
SCE1-6b	Yes / No	Does the purchase and sale agreement recite the size of the parcel it covers?	Always	If Yes → SCE1-6c
SCE1-6c	Number	State the size of the parcel as recited in the purchase and sale agreement.	If SCE1-6b is Yes	—
SCE1-6d	Text	Is the purchase and sale agreement held by the ILLE, an Eligibility Affiliate of the ILLE, or a non-eligibility affiliated third party? If held by an Eligibility Affiliate or a non-eligibility affiliated third party, identify the holder.	Always	—
SCE1-6e	Yes / No	Are there any amendments, side letters, memoranda of understanding, addenda, or other documents that alter or may alter the ILLE's rights under the purchase and sale agreement to construct its planned Load Facility on the parcel?	Always	If Yes → SCE1-6f
SCE1-6f	Text/Attachment	Produce each such document. For each, identify all relevant pages and sections, identify it by document file name, and explain how it alters or may alter the ILLE's rights under the purchase and sale agreement.	If SCE1-6e is Yes	—

PART TWO: SUFFICIENCY OF THE SITE

In this Part, the ILLE must demonstrate that the qualifying property interests established in Site Control and Evidence Part One cover one or more parcels of land sufficient to accommodate the ILLE's planned Load Facilities at the proposed Large Load location.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE2-7	Number	State the total acreage of the proposed Large Load site.	Always	—

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SCE2-8	Number	State the total acreage required to accommodate the planned Load Facilities as designed.	Always	—
SCE2-9	Yes / No	Do the qualifying property interests evidenced by the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE-4, and SCE1-6), taken together, cover the full acreage identified in SCE2-7?	Always	If No → SCE2-10
SCE2-10	Text	Identify each portion of the property interest not covered by any produced document, by parcel or legal description.	If SCE2-9 is No	—
SCE2-11	Number	State the total acreage covered by the qualifying property interests evidenced by the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, and SCE1-6).	Always	—
SCE2-12	Yes / No	Is the acreage identified in SCE2-11 either equal to or greater than the acreage identified in SCE2-8?	Always	If No → SCE2-13
SCE2-13	Text	State the amount of shortfall in acres and identify the required acreage not covered by a qualifying property interest. Explain how the ILLE plans to acquire the required acreage.	If SCE2-12 is No	—
SCE2-14	Text/Attachment	Produce the current site plan for the proposed Large Load site, dated and drawn to scale, showing (a) the boundaries of each parcel identified in the leases, deeds, and purchase and sale agreements produced in Part One (SCE1-2, SCE1-4, and SCE1-6), keyed to the parcel identifiers or legal descriptions provided in those responses, and (b) the footprint and location of all planned Load Facilities. Identify any planned facility or infrastructure that falls outside the acreage covered by the produced documents. If more than one version of the site plan exists, produce the most recent version and identify it by document file name and date	Always	—

SITE CONTROL AND EVIDENCE — PART THREE: RELIANCE AND SUPPLEMENTAL INFORMATION

This Part applies to the responses in Site Control and Evidence Part One (Qualifying Property Interest) and Part Two (Sufficiency of the Site).

INSTRUCTION (applies to the questions SCE3-15 through SCE3-16d). *Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties*

For the following question, select the basis on which the ILLE relies on the entity. Options (1) through (5) are the bases on which an entity qualifies as an Eligibility Affiliate of the ILLE, and option (6) is for a non-eligibility affiliated third party. The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02. Select one or more of (1) through (5) for an Eligibility Affiliate, or (6) for a non-eligibility affiliated third party, but not both.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or
- (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE’s behalf.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
SCE3-15	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(e)(viii)?	Always	If Yes → SCE3-16
SCE3-16	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select ‘Add Another Affiliate’ and complete the questions again. Provide a separate set for every Affiliate; do not combine multiple Affiliates in a single set.	If SCE3-15 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
SCE3-16a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—

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SCE3-16b	Multiple choice	Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Eligibility Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
SCE3-16c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis for affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the relationship between the ILLE and the non-eligibility affiliated third party.	Always	—
SCE3-16d	Text/Attachment	Produce all documents establishing the selected basis for affiliation: For an Eligibility Affiliate, produce documents establishing the affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—
INSTRUCTION (applies to the questions below). <i>Supplemental and Updated Information</i>				
SCE3-17	Text	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(viii) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by file name and page number.	Not required	—
SCE3-18	Text	If any document produced in response to Site Control and Evidence Part One or Part Two differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each document by file name, describe how it differs, and explain the reason for the difference.	Not required	—

ILLE FINANCIAL SECURITY FOR SYSTEM UPGRADES

In the Financial Security section, the ILLE must provide the following information and documents evidencing that it posted financial security for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

Reliance on Eligibility Affiliates and Third-Parties

If any financial security responsive to this Financial Security Section was posted or provided by an Eligibility Affiliate of the ILLE or by a non-eligibility affiliated entity acting as the ILLE's agent rather than by the ILLE, respond to the questions in this Section as to that financial security, and identify the party that posted or provided it. The basis of affiliation or agency, and the supporting proof, are addressed at the end. This paragraph does not apply to a corporate or parental guaranty, where the guarantor and its relationship to the ILLE are addressed in the corporate or parental guaranty questions in this Section.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IFS-1	Yes / No	Did the ILLE post cash collateral as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-2
IFS-2	Set of questions that can repeat if ILLE has more than one cash collateral to report	Complete one set for each cash collateral posting. To add another, select 'Add Another Cash Collateral' and complete the questions again. Provide a separate set for every cash collateral posting; do not combine multiple cash collateral postings in a single set.	If IFS-1 is Yes	Repeat for each Cash Collateral
IFS-2a	Select one	Was the cash collateral posted with the Interconnecting TSP or DSP? Choose Interconnecting TSP or Interconnecting DSP.	Always	—
IFS-2b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-2c	Number	State the amount of cash collateral posted in US dollars.	Always	—
IFS-2d	Date	State the date the cash collateral was posted.	Always	—

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IFS-2e	Text/Attachment	Produce documentation confirming that the cash collateral was posted and that the Interconnecting DSP or TSP received access to it, which may include without limitation, wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement. Identify each document by file name and page number. If the cash collateral was provided by an Eligibility Affiliate or a non-eligibility affiliated entity, identify the party and state which it is.	Always	—
IFS-3	Yes / No	Did the ILLE post one or more corporate or parental guaranties as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-4
IFS-4	Set of questions that can repeat if ILLE has more than one corporate or parental guaranty to report	Complete one set for each corporate or parental guaranty posted. To add another, select ‘Add Another Corporate or Parental Guaranty’ and complete the questions again. Provide a separate set for every corporate or parental guaranty; do not combine multiple corporate or parental guaranties in a single set.	If IFS-3 is Yes	Repeat for each Corporate or Parental Guaranty
IFS-4a	Select one	Identify with whom the guaranty was posted: the Interconnecting DSP or the Interconnecting TSP. Choose Interconnecting TSP or Interconnecting DSP.	Always	—
IFS-4b	Text	State the name of the Interconnecting TSP or Interconnecting DSP.	Always	—
IFS-4c	Number	State the amount of the guaranty in US dollars.	Always	—
IFS-4d	Text	Identify the guarantor by name (the corporation or parent corporation providing the guaranty), and circle the guarantor on the organizational chart that is later required by IBO-1.	Always	—
IFS-4e	Select all that apply	Identify the credit rating agency whose rating the guarantor relies on to meet the requirement that it have a credit rating of at least “BBB-” from Standard & Poor’s, “Baa3” from Moody’s, or “BBB-” from Fitch: (1) Standard & Poor’s (2) Moody’s (3) Fitch (4) Other/None	Always	If option 2, 1, or 3 → IFS-4f; If option 4 → IFS-4g

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IFS-4f	Text/Attachment	Produce documentation evidencing that rating and identify it by document file name and page number. If the guarantor is rated by more than one of Standard & Poor's, Moody's, and Fitch, state the second-highest rating.	If IFS-4e is option 1, 2, or 3	—
IFS-4g	Text/Attachment	If the ILLE selected, "Other/None," explain the basis on which the ILLE contends the guarantor satisfies the credit rating requirement of Section 9.2.1.1(1)(e)(vi)(A)(2), notwithstanding that the guarantor's rating is not from Standard & Poor's, Moody's, or Fitch. Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFS-4e is option 4	—
IFS-4h	Text/Attachment	Produce a complete copy of the guaranty. Identify it by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	Always	—
IFS-5	Yes / No	Did the ILLE post one or more letters of credit as financial security for system upgrades necessary to reliably serve the ILLE?	Always	If Yes → IFS-6
IFS-6	Set of questions that can repeat if ILLE has more than one letter of credit to report	Complete one set for each letter of credit posted. To add another, select 'Add Another Letter of Credit' and complete the questions again. Provide a separate set for every letter of credit; do not combine multiple letters of credit in a single set.	If IFS-5 is Yes	Repeat for each Letter of Credit
IFS-6a	Select one	Was the letter of credit ¹ posted with the Interconnecting TSP or DSP? Choose Interconnecting TSP or Interconnecting DSP.	Always	—
IFS-6b	Text	Name of the Interconnecting TSP or DSP.	Always	—
IFS-6c	Text	Identify the issuing bank.	Always	—
IFS-6d	Number	State the face amount of the letter of credit in US dollars.	Always	—

¹ [Revised in RFI v1.1 from corporate or parental guaranty to letter of credit.](#)

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IFS-6e	Date	State the date the letter of credit was posted.	Always	—
IFS-6f	Text/Attachment	Produce a complete copy of the letter of credit. Identify it by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE's agent, so state and identify the party.	Always	—
IFS-6g	Yes / No	Are there any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If none, so state.	Always	If Yes → IFS-6h
IFS-6h	Text/Attachment	Produce all amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. For each, identify by document file name the letter of credit affected and describe how it alters the parties' rights.	If IFS-6g is Yes	—
IFS-6i	Select one	Which of the following describes the issuing bank? (1) A major U.S. commercial bank (2) A U.S. branch office of a major foreign commercial bank (3) Neither of the above	Always	If (3) → IFS-6j
IFS-6j	Text/Attachment	If the issuing bank is not a major U.S. commercial bank or a U.S. branch office of a major foreign commercial bank, state where the issuing bank is located and explain the basis on which the ILLE contends the letter of credit satisfies the issuer requirement of Section 9.2.1.1(1)(e)(vi)(A)(3), notwithstanding that the issuing bank is neither a major U.S. commercial bank nor a U.S. branch office of a major foreign commercial bank. Produce all supporting documents, identified by document file name and page number.	If IFS-6i is (3)	—

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IFS-6k	Select all that apply	Identify the credit rating agency whose rating the issuing bank relies on to meet the requirement that it have a credit rating of at least “A-” from Standard & Poor’s, “A3” from Moody’s, or “A-” from Fitch: (1) Standard & Poor’s (2) Moody’s (3) Fitch (4) Other/None	Always	If option 1, 2, or 3 → IFS-6l; If option 4 → IFS-6m
IFS-6l	Text/Attachment	Produce documentation evidencing that rating and identify it by document file name and page number.	If IFS-6k is option 1, 2, or 3	—
IFS-6m	Text/Attachment	If the ILLE selected, “Other/None,” explain the basis on which the ILLE contends the letter of credit satisfies the credit rating requirement of Section 9.2.1.1(1)(e)(vi)(A)(3), notwithstanding that the issuing bank’s rating is not from Standard & Poor’s, Moody’s, or Fitch (including because the issuing bank is unrated or is rated only by another agency). Identify the rating relied on and the agency that issued it, and produce all supporting documents, identified by document file name and page number.	If IFS-6k is option 4	—
IFS-7	Yes / No	Did the ILLE post security in a form not recognized in Planning Guide Section 9.2.1.1(1)(e)(vi) of the Planning Guide?	Always	If Yes → IFS-8
IFS-8	Text/Attachment	If yes, explain how the ILLE satisfies the requirements in Planning Guide Section 9.2.1.1(1)(e)(vi) of the Planning Guide. Provide supporting documentation.	If IFS-7 is Yes	—

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INSTRUCTION (applies to the questions IFS-9 through IFS-10). *Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties*

For the following question, select the basis on which the ILLE relies on the entity. Options (1) through (5) are the bases on which an entity qualifies as an Eligibility Affiliate of the ILLE, and option (6) is for a non-eligibility affiliated third party. The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02. Select one or more of (1) through (5) for an Eligibility Affiliate, or (6) for a non-eligibility affiliated third party, but not both.

- (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE;
- (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE;
- (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE;
- (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or
- (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE’s behalf.

IFS-9	Yes / No	Did the ILLE rely on an Eligibility Affiliate, or on a non-eligibility affiliated third party acting as the ILLE’s agent, to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(e)(vi)?	Always	If Yes → IFS-10
IFS-10	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select ‘Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party’ and complete the questions again. Provide a separate set for every Eligibility Affiliate/Non-Eligibility Affiliated Third Party; do not combine multiple Eligibility Affiliates/Non-Eligibility Affiliated Third Parties in a single set.	If IFS-9 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
IFS-10a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
IFS-10b	Select all that apply	Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Affiliation instructions above, select either one or more of bases (1) through (5) (for an Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—

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IFS-10c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis for affiliation selected applies to the Eligibility Affiliate. If the entity is a non-eligibility affiliated third party, describe the contractual arrangement designating it to satisfy the eligibility requirement on the ILLE's behalf.	Always	—
IFS-10d	Text/Attachment	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—
INSTRUCTION (applies to the questions below). <i>Supplemental and Updated Information</i>				
IFS-11	Text/Attachment	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(vi) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
IFS-12	Text/Attachment	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

LONG-LEAD EQUIPMENT

In the Long-Lead Equipment section, the ILLE must provide the following information and documents evidencing that it ordered all equipment with a lead time of at least 18 months and will take delivery sufficiently in advance of its requested Initial Energization date so that the equipment can be installed by that date, as required by Planning Guide Section 9.2.1.1(1)(e)(iii).

Reliance on Eligibility Affiliates and Third Parties

If any equipment responsive to this Long-Lead Equipment Section was ordered or provided by a party other than the ILLE, respond to the questions in this Section as to that equipment, and identify the party that ordered or provided it and whether it is an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE's agent. The basis of eligibility affiliation or agency, and the supporting proof, are addressed in the Eligibility Affiliate and Non-Eligibility Affiliated Third Party Reliance subsection at the end.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
LLE-1	Yes / No	Does the planned Load Facility require any equipment for the customer-side interconnection facilities with an 18-month lead time?	Always	If No → LLE-2; If Yes → LLE-3–LLE-6
LLE-2	Text/Attachment	State the basis for the ILLE's determination that no equipment required for the customer-side interconnection facilities has a lead time of at least 18 months, describe the method the ILLE used to determine lead times, and produce any documents supporting that determination and the lead-time figures, identified by document file name and page number. Produce the current one-line diagram, substation site plan, and elevation drawings for the customer interconnection facilities.	If LLE-1 is No	—
LLE-3	Text/Attachment	Explain how the ILLE identified the equipment required for the customer-side interconnection facilities, including the method the ILLE used to determine each item's lead time. Produce documents supporting the lead-time determination, identified by document file name and page number.	If LLE-1 is Yes	—
LLE-4	Table	List equipment required for the customer interconnection facilities with a lead time of 18 months or more, including but not limited to breakers, switches, and transformers. Assign each item a sequential number beginning with 1. Use this same item number on the diagrams and plans produced in response to LLE-5 and in each item's entry in the repeating group below.	If LLE-1 is Yes	—

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LLE-5	Text/Attachment	Produce the current one-line diagram, substation site plan, and elevation drawings for the customer interconnection facilities. On those documents, label each item of equipment with the item number assigned in LLE-4, and show the location of each item. Identify each document by file name. Identify any item in LLE-4 that does not appear on these documents.	If LLE-1 is Yes	—
LLE-6	Set of questions that can repeat if ILLE has more than one piece of equipment to report	Complete one set for each item listed in LLE-4, using the same item number assigned in LLE-4. To add another, select ‘Add Another Equipment’ and complete the questions again. Provide a separate set for every identified piece of equipment. Do not combine multiple pieces of equipment in a single set.	If LLE-1 is Yes	Repeat for each Equipment
LLE-6a	Number	Item number (as assigned in LLE-4).	Always	—
LLE-6b	Text	Equipment item (as listed in LLE-4).	Always	—
LLE-6c	Yes / No	Has this item been ordered?	Always	If Yes → LLE-6d–LLE-6h; If No → LLE-6j
LLE-6d	Month / Year	Order date.	If LLE-6c is Yes	—
LLE-6e	Month / Year	Expected delivery date.	If LLE-6c is Yes	—
LLE-6f	Text/Attachment	Produce documents showing the order (purchase orders, order confirmations, delivery schedules, or similar) and showing that the ordered equipment will be used at the planned Load Facility that is the subject of this RFI. Identify by document file name and page the provision showing the order date and the expected delivery date.	If LLE-6c is Yes	—
LLE-6g	Text	If this item was ordered or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party acting as the ILLE’s agent, so state and identify the party.	If LLE-6c is Yes	—
LLE-6h	Yes / No	Will the ILLE take delivery of this item sufficiently in advance of the requested Initial Energization Date to allow installation by that date?	If LLE-6c is Yes	If No → LLE-6i

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LLE-6i	Text	Explain why the ILLE will not take delivery of this item sufficiently in advance of the Requested Initial Energization Date to allow installation by that date.	If LLE-6h is No	—
LLE-6j	Text	Explain why this item has not been ordered.	If LLE-6c is No	—
INSTRUCTION (applies to the questions LLE-7 through LLE-8). <i>Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties</i> For the following question, select the basis on which the ILLE relies on the entity. Options (1) through (5) are the bases on which an entity qualifies as an Eligibility Affiliate of the ILLE, and option (6) is for a non-eligibility affiliated third party. The terms ‘person’ and ‘entity’ have the meaning set forth in Texas Business Organizations Code Section 1.02. Select one or more of (1) through (5) for an Eligibility Affiliate, or (6) for a non-eligibility affiliated third party, but not both. (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE; (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE; (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE’s behalf.				
LLE-7	Yes / No	Did the ILLE rely on an Eligibility Affiliate, or on a non-eligibility affiliated third party acting as the ILLE’s agent, to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(e)(iii)?	Always	If Yes → LLE-8
LLE-8	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select ‘Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party’ and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If LLE-7 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
LLE-8a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
LLE-8b	Select all that apply	Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Affiliation list above, select either one or more of bases (1) through (5) (for an Eligibility Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—

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LLE-8c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the contractual arrangement designating it to satisfy the eligibility requirement on the ILLE's behalf.	Always	—
LLE-8d	Text/Attachment	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—
INSTRUCTION (applies to the questions below). <i>Supplemental and Updated Information</i>				
LLE-9	Text/Attachment	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(iii) is not captured by its responses to the questions above, provide the information with an explanation, and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
LLE-10	Text/Attachment	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

END-USE CUSTOMER

In the End-Use Customer section, the ILLE must provide the following information and documents evidencing that it is the end-use customer or, if the ILLE is a developer, that it has executed a binding contract with an end-use customer to take service at the location where the ILLE is requesting interconnection, as required by Planning Guide Section 9.2.1.1(1)(e)(v).

In this section, "Qualifying Contract" means an executed, binding contract with a term of at least five years from the date the Large Load is expected to reach total non-coincident peak Demand as stated in the LCP.

Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties

If any contract responsive to this End-Use Customer Section was executed or provided by a party other than the ILLE, respond to the questions in this Section as to that contract, and identify the party and whether it is an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party. The basis of affiliation, or the basis for reliance on the non-eligibility affiliated third party, and the supporting proof, are addressed in the Eligibility Affiliate and Non-Eligibility Affiliated Third Party Reliance subsection at the end.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
EUC-1	Yes / No	Will the ILLE be the end-use customer at the planned Load Facility?	Always	If Yes → EUC-2; If No → EUC-3–EUC-5
EUC-2	Text/Attachment	Describe how the ILLE will be the end-use customer at the planned Load Facility, including the load the ILLE will take at the interconnection location and the nature of the ILLE's operations at the site. Produce all documents establishing that the ILLE will be the end-use customer at the planned Load Facility, such as a retail electric service agreement, identified by document file name and page number. If no single document establishes that the ILLE will be the end-use customer, state the factual basis for the ILLE's position.	If EUC-1 is Yes	—
EUC-3	Table	Identify every end-use customer that will take service at the location where the ILLE is requesting interconnection, and provide the following for each. Assign each customer a sequential number beginning with 1. Qualifying Contract is defined in the instructions for this section.	If EUC-1 is No	—

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EUC-4	Text/Attachment	Produce a complete copy of each executed, binding contract that obligates the end-use customer identified in EUC-3 to take service at the location where the ILLE is requesting interconnection. For each contract, identify it by document file name and the corresponding end-use customer, identify the page and section that contains the contract term, and explain how the contract satisfies the requirement that it be for a term of at least five years from the date the Large Load is expected to reach the total non-coincident peak Demand as stated in its LCP.	If EUC-1 is No	—
EUC-5	Text/Attachment ²	Produce all amendments, side letters, memoranda of understanding, addenda, or other documents that affect termination rights, extension rights, or other rights related to the term, duration, or the customer's obligation to take service under any contract between the ILLE and an end-use customer at the interconnection location. If no such documents exist, so state. For each produced document, identify all relevant pages and sections, identify by document file name the specific contract affected, and explain how it alters or may alter the parties' rights.	If EUC-1 is No	—
INSTRUCTION (applies to the questions EUC-6 through EUC-7). <i>Reliance on Eligibility Affiliates and Non-Eligibility Affiliated Third Parties</i> For the following question, select the basis on which the ILLE relies on the entity. Options (1) through (5) are the bases on which an entity qualifies as an Eligibility Affiliate of the ILLE, and option (6) is for a non-eligibility affiliated third party. The terms 'person' and 'entity' have the meaning set forth in Texas Business Organizations Code Section 1.02. Select one or more of (1) through (5) for an Eligibility Affiliate, or (6) for a non-eligibility affiliated third party, but not both. (1) A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the ILLE; (2) A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; (3) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE; (4) An entity that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by: (i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the ILLE; or (ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the ILLE; (5) A person who is an officer or director of the ILLE or of an entity in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the ILLE; or (6) A non-eligibility affiliated third party that the ILLE has designated to act as its agent, under a contractual arrangement, to satisfy one or more eligibility requirements on the ILLE's behalf.				
EUC-6	Yes / No	Did the ILLE rely on an Eligibility Affiliate or a non-eligibility affiliated third party to satisfy the requirements in Planning Guide Section 9.2.1.1(1)(e)(v)?	Always	If Yes → EUC-7

² Revised in RFI v1.2 to allow, but not require, an attachment.

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EUC-7	Set of questions that can repeat if ILLE has more than one eligibility affiliate or non-eligibility affiliated third-party to report	Complete one set for each Eligibility Affiliate or non-eligibility affiliated third party relied on. To add another, select ‘Add Another Eligibility Affiliate/Non-Eligibility Affiliated Third Party’ and complete the questions again. Provide a separate set for every Eligibility Affiliate or non-eligibility affiliated third party; do not combine multiple Eligibility Affiliates or non-eligibility affiliated third parties in a single set.	If EUC-6 is Yes	Repeat for each Eligibility Affiliate/Non-Eligibility Affiliated Third Party
EUC-7a	Text	Name of the Eligibility Affiliate or non-eligibility affiliated third party.	Always	—
EUC-7b	Select all that apply	Select the basis for affiliation on which this entity is relied upon for eligibility. Select all that apply. Referring to the Basis for Affiliation list above, select either one or more of bases (1) through (5) (for an Affiliate) or basis (6) (for a non-eligibility affiliated third party), but not both.	Always	—
EUC-7c	Text	Describe the basis for affiliation on which the ILLE relied on this entity. If the entity is an Eligibility Affiliate, explain how each basis selected applies. If the entity is a non-eligibility affiliated third party, describe the contractual arrangement designating it to satisfy the eligibility requirement on the ILLE’s behalf.	Always	—
EUC-7d	Text/Attachment	Produce all documents establishing the selected basis for affiliation. For an Eligibility Affiliate, produce documents establishing the affiliation. For a non-eligibility affiliated third party, produce documents evidencing and governing the relationship between the ILLE and the non-eligibility affiliated third party. Identify each document by file name and the page(s) and section(s) establishing the basis.	Always	—

INSTRUCTION (applies to the questions below). *Supplemental and Updated Information*

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EUC-9³	Text/Attachment	If the ILLE believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(v) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
EUC-10	Text/Attachment	If any document produced in response to this Section differs in any respect from the document(s) provided to the Interconnecting DSP or TSP in support of any Attestation required under Planning Guide Section 9 the ILLE previously provided, identify each by document file name, describe how it differs, and explain the reason for the difference.	Not required	—

ILLE BUSINESS ORGANIZATION

In the ILLE Business Organization section, the ILLE must provide the following information and documents concerning its business and Eligibility Affiliates, as identified below.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IBO-1	Text/Attachment	Provide a chart showing the ILLE's complete business organizational structure and all Eligibility Affiliates relied on in connection with the application to satisfy the criteria in Section 9.2.1.1(1)(e). If not indicated on the chart, include a statement or produce documents that include ownership percentages and other necessary information to establish direct ownership between entities, including any entities in between Eligibility Affiliates and equity owners. For each document produced, identify all relevant pages and sections that set forth the ownership percentages and other necessary information to establish direct ownership between the entities. To the extent an Eligibility Affiliate or person in the proposed structure is a foreign entity, state where the entity was incorporated, please note the type of foreign entity and, where applicable, its registration number.	Always	—
IBO-2	Yes / No	Is the ILLE headquartered in China, Iran, North Korea, or Russia?	Always	—

³ EUC-8 is not included in the RFI.

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IBO-3	Yes / No	Is the ILLE owned by or the majority of stock or other ownership interest of the ILLE held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—
IBO-4	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(e) headquartered in China, Iran, North Korea, or Russia?	Always	—
IBO-5	Yes / No	Is any Eligibility Affiliate upon which the ILLE relied to satisfy the criteria in Section 9.2.1.1(1)(e) owned by or the majority of stock or other ownership interest of the Eligibility Affiliate held or controlled by: (i) individuals who are citizens of China, Iran, North Korea, or Russia; or (ii) a company or other entity, including a governmental entity, that is owned or controlled by citizens of or is directly controlled by the government of China, Iran, North Korea, or Russia?	Always	—

ILLE ATTESTATION AND EXHIBIT LIST				
Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
IAT-1	Attachment (required attestation available on ERCOT's Large Load Integration page)	By completing and submitting the attached ILLE RFI Attestation, the ILLE confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided.	Always	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

IAT-2	Attachment (template available on ERCOT's Large Load Integration page)	Prior to submission of the RFI response, the ILLE shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—
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FORM OF FINANCIAL SECURITY — INTERCONNECTING TSP

In the Form of Financial Security section, the Interconnecting TSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting TSP's acceptance of that security, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TSFF-1	Select all that apply	Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable. (1) Cash collateral (2) Corporate or parental guaranty (3) Letter of credit (4) Other (5) Interconnecting TSP did not require the ILLE to post financial security (6) Interconnecting TSP required financial security, but the ILLE did not post it.	Always	If option 1, 2, 3, or 4 → TSFF-2–TSFF-4; If option 1 → TSFF-5; If option 2 → TSFF-6–TSFF-11; If option 3 → TSFF-12–TSFF-16; If option 4 → TSFF-17; If option 5 → TSFF-18; If option 6 → TSFF-19
TSFF-2	Text/Attachment	Produce the interconnection or alternative agreement obligating the ILLE to post financial security for system upgrades necessary to reliably serve the ILLE. Identify the agreement by document file name and the page(s) and section(s) stating the financial-security obligation.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-3	Number	State the amount in US dollars of financial security the Interconnecting TSP required of the ILLE for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—
TSFF-4	Number	State the amount in US dollars of financial security the ILLE posted with the Interconnecting TSP for system upgrades necessary to reliably serve the ILLE.	If TSFF-1 is option 1, 2, 3, or 4	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-5	Text/Attachment	Produce all documents evidencing that the ILLE posted, and that the Interconnecting TSP received irrevocable access to, the cash collateral on or before July 10, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by document file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 1	—
TSFF-6	Text/Attachment	Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If TSFF-1 is option 2	—
TSFF-7	Text	Identify the guarantor by name (the corporation or parent corporation providing the guaranty), and circle the guarantor on the organizational chart that is later required by IBO-1.	If TSFF-1 is option 2	—
TSFF-8	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If TSFF-1 is option 2	—
TSFF-9⁴	Text/Attachment	State whether the corporation's or parent corporation's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) meets Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(2) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-8, identified by document file name and page number. If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(e)(vi).	If TSFF-1 is option 2	—
TSFF-10	Text/Attachment	Identify all financial records or statements the Interconnecting TSP required under Planning Guide Section 9.2.1.1(1)(e)(vi)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all documents and information that the ILLE provided to the Interconnecting TSP, identified by document file name and page number.	If TSFF-1 is option 2	—

⁴ [Revised in RFI v1.1 the Question Id from TSFS-9, 10, and 11 to TSFF-9, 10, and 11.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-11	Text/Attachment ⁵	If the ILLE posted more than one corporate or parental guaranty with the Interconnecting TSP, produce each additional guaranty and provide the same information required in TSFF-6 through TSFF-10 for each, identified by document file name and page number.	If TSFF-1 is option 2	—
TSFF-12	Text/Attachment	Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state.	If TSFF-1 is option 3	—
TSFF-13	Text/Attachment	State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(3), and produce all supporting documents, identified by document file name and page number.	If TSFF-1 is option 3	—
TSFF-14	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If TSFF-1 is option 3	—

⁵ [Revised in RFI v1.1 to allow, but not require, an attachment.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TSFF-15	Text/Attachment	State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) meets Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(3) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in TSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(e)(vi) and produce supporting documentation.	If TSFF-1 is option 3	—
TSFF-16	Text/Attachment	If the ILLE posted more than one letter of credit with the Interconnecting TSP, produce each additional letter of credit and provide the same information required in TSFF-12 through TSFF-15 for each, identified by document file name and page number.	If TSFF-1 is option 3	—
TSFF-17	Text/Attachment	Explain the basis on which the financial security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.1(1)(e)(vi), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.1(1)(e)(vi)(A). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If TSFF-1 is option 4	—
TSFF-18	Text	Explain why the Interconnecting TSP did not require the ILLE to post financial security for this Large Load.	If TSFF-1 is option 5	—
TSFF-19	Text	Explain why the Large Load was submitted to ERCOT if the Interconnecting TSP required financial security and the ILLE did not post it.	If TSFF-1 is option 6	—

FINANCIAL SECURITY METHODOLOGY — INTERCONNECTING TSP

In the Financial Security Methodology section, the Interconnecting TSP must provide the following information and documents concerning how the Interconnecting TSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

INSTRUCTION (applies to the questions below).

In the Financial Security Methodology section, the Interconnecting TSP must provide the following information and documents concerning how the Interconnecting TSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

For Question TSFF-20, the choices are as follows:

- (1) Regional Planning Group (RPG)-project-based cost estimate: the amount was based on the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement, divided by the total MW peak Demand of new Large Loads contributing to the need for the project, multiplied by this Large Load's peak Demand; or, if the total contributing MW could not be determined, \$50,000 per MW peak Demand.
- (2) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (3) \$0 based on a study to ERCOT: the Interconnecting TSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting TSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (4) The Interconnecting TSP did not set or collect a financial security requirement for this Large Load.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TSFF-20	Choose one	Identify the methodology the Interconnecting TSP used to determine the financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, or state that the Interconnecting TSP did not set or collect security. Referring to the methodology descriptions in the instructions above, select one: (1) Regional Planning Group (RPG)-project-based cost estimate (2) Legacy LLIS-based cost estimate (3) \$0 based on approved study (4) Interconnecting TSP did not set or collect a financial security requirement for this Large Load	Always	If option 1 → TSFF-21–TSFF-22; If option 2 → TSFF-23; If option 3 → TSFF-24; If option 4 → TSFF-25
TSFF-21	Text	State the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement. Identify the report and the page(s) where the relevant improvements and any cost estimate appear. If the cost estimate is from a different document, produce the document in response to TSFF-26 and identify the document and relevant page numbers.	If TSFF-20 is option 1	—
TSFF-22	Yes / No	Was the Interconnecting TSP unable to determine a cost estimate such that the \$50,000 per MW peak Demand default was used instead of the calculation?	If TSFF-20 is option 1	—

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TSFF-23	Select one	For the legacy LLIS-based methodology, identify which outcome applied: (1) A cost estimate was determined (2) No cost estimate could be determined (3) No improvements required	If TSFF-20 is option 2	—
TSFF-24	Text	Identify the study and the page number(s) demonstrating that the addition of the Large Load does not result in any planning-criteria violations or the need for Transmission Facility improvements requiring RPG review.	If TSFF-20 is option 3	—
TSFF-25	Text	Explain why the Interconnecting TSP did not set or collect a financial security requirement for this Large Load.	If TSFF-20 is option 4	—
TSFF-26	Text/Attachment	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(vi) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Always	—

NOTICE TO PROCEED — INTERCONNECTING TSP

In the Notice to Proceed section, the Interconnecting TSP must provide the following information and documents concerning the notice(s) to proceed with the construction of all required interconnection Facilities that the ILLE was required to issue, as required by Planning Guide Section 9.2.1.1(1)(e)(iv).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
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FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TNTP-1	Select one	Select the statement that describes the Interconnecting TSP's construction of interconnection facilities for the planned Load Facility and the status of the ILLE's notice(s) to proceed: (1) Interconnecting TSP must construct interconnection facilities; ILLE has issued the notice(s) to proceed (2) Interconnecting TSP must construct interconnection facilities; ILLE has not issued the notice(s) to proceed (3) Interconnecting TSP does not need to construct interconnection facilities (4) Interconnecting TSP did not estimate the cost of interconnection facilities on or before July 10, 2026	Always	If option 1 → TNTP-2–TNTP-3; If option 1 or 2 → TNTP-4–TNTP-5; If option 3 → TNTP-6; If option 4 → TNTP-7
TNTP-2	Date	State the date the ILLE issued the notice(s) to proceed.	If TNTP-1 is option 1	—
TNTP-3	Text/Attachment	Produce all notices to proceed with the construction of all required Interconnecting TSP Facilities issued by the ILLE. If any notice to proceed was issued by a party other than the ILLE, identify the party. Identify each by document file name and relevant page number(s).	If TNTP-1 is option 1	—
TNTP-4	Text/Attachment	Produce all agreements related to the construction of the interconnection Facilities to be constructed by the Interconnecting TSP. Identify each by document file name and the page(s) and section(s) defining the scope of work. If no such agreement exists, so state and explain how the scope of the interconnection Facilities to be constructed by the Interconnecting TSP is otherwise defined.	If TNTP-1 is option 1 or 2	—
TNTP-5	Text	State whether the Interconnecting TSP provided an estimate of direct interconnection costs and a facility schedule setting forth the specific facilities applicable to those costs by July 10, 2026. If not, explain why not.	If TNTP-1 is option 1 or 2	—
TNTP-6	Text	Explain the basis for the Interconnecting TSP's determination that it does not need to construct interconnection facilities for the planned Load Facility.	If TNTP-1 is option 3	—
TNTP-7	Text	Explain why the Interconnecting DSP did not estimate the cost of the interconnection facilities on or before July 10, 2026.	If TNTP-1 is option 4	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TNTP-8	Text/Attachment	If the Interconnecting TSP believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(iv) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here.	Not required	—
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INTERCONNECTING TSP CIAC

In the contribution in aid of construction (CIAC) section, the Interconnecting TSP must provide the following information and documents concerning the ILLE's satisfaction of its direct interconnection costs through CIAC, as required by Planning Guide Section 9.2.1.1(1)(e)(vii).

For Question TCAC-1, the choices are as follows:

- (1) The Interconnecting TSP is responsible for direct interconnection facilities, and the Interconnecting TSP and ILLE executed an interconnection agreement or equivalent agreement, on or before July 10, 2026, that sets forth CIAC requirements and terms.
- (2) The Interconnecting TSP is responsible for direct interconnection facilities, but the Interconnecting TSP and ILLE did not execute such an agreement on or before July 10, 2026.
- (3) The Interconnecting TSP is not responsible for the direct interconnection facilities.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TCIAC-1	Select one	Referring to the choices in the instructions above, select the statement that describes the Interconnecting TSP's role in the direct interconnection facilities and the ILLE's CIAC: (1) Interconnecting TSP responsible; agreement executed on or before July 10, 2026 (2) Interconnecting TSP responsible; no such agreement (3) Interconnecting TSP not responsible for the direct interconnection facilities	Always	If option 1 → TCIAC-2–TCIAC-6; If option 2 → TCIAC-7; If option 3 → TCIAC-8
TCIAC-2	Text/Attachment	Produce the interconnection agreement or equivalent agreement. Identify the document file name of the responsive document and the page number(s) where the direct interconnection costs appear.	If TCIAC-1 is option 1	—

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TCIAC-3	Number	State in US dollars the amount of CIAC required of the ILLE under the agreement.	If TCIAC-1 is option 1	—
TCIAC-4	Number	State in US dollars the amount of CIAC the ILLE paid or posted.	If TCIAC-1 is option 1	—
TCIAC-5	Text/Attachment	Produce documentation confirming the ILLE's payment or posting of CIAC, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement, identified by document file name and page number. If the ILLE did not satisfy its CIAC obligation under the agreement in full, explain the extent to which it was not satisfied and state the unpaid or unposted amount.	If TCIAC-1 is option 1	—
TCIAC-6	Text	State how the agreement provides for the ILLE's direct interconnection costs to be satisfied, identify the provision setting the CIAC amount, and state whether that amount was offset by a standard contribution or other allowance. Identify the agreement by document file name and the page(s) and section(s) of the relevant provision.	If TCIAC-1 is option 1	—
TCIAC-7	Text/Attachment	State whether the ILLE satisfied its direct interconnection costs in full through CIAC, by direct cash payment or posted financial security not offset by any standard contribution or other allowance, on or before July 10, 2026. Produce documentation confirming the payment or posted financial security, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, an executed corporate or parental guaranty, or a letter of credit, identified by document file name and page number. If the ILLE did not satisfy its direct interconnection costs in full on or before July 10, 2026, explain the extent to which it did not and state the unsatisfied amount.	If TCIAC-1 is option 2	—
TCIAC-8	Text	Explain the basis for the Interconnecting TSP's position that it is not responsible for the direct interconnection facilities.	If TCIAC-1 is option 3	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

TCIAC-9	Text/Attachment	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(vii) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—
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ATTESTATION AND EXHIBIT LIST — INTERCONNECTING TSP

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
TAT-1	Attachment (required attestation available on ERCOT's Large Load Integration page)	By completing and submitting the attached TSP/DSP RFI Attestation, the Interconnecting TSP confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided.	Always	—
TAT-2	Attachment (template available on ERCOT's Large Load Integration page)	Prior to submission of the RFI response, the Interconnecting TSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—

FORM OF FINANCIAL SECURITY — INTERCONNECTING DSP

In the Form of Financial Security section, the Interconnecting DSP must provide the following information and documents concerning the form and amount of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE, and the Interconnecting DSP's acceptance of that security, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DSFF-1	Select all that apply	Identify which of the following forms of financial security the ILLE posted for system upgrades necessary to reliably serve the ILLE. Select all that apply. Options (5) and (6) each apply only if none of (1) through (4) are applicable. (1) Cash collateral (2) Corporate or parental guaranty (3) Letter of credit (4) Other (5) The Interconnecting DSP did not require the ILLE to post financial security (6) The Interconnecting DSP required financial security, but the ILLE did not post it	Always	If option 1, 2, 3, or 4 → DSFF-2–DSFF-4; If option 1 → DSFF-5; If option 2 → DSFF-6–DSFF-11; If option 3 → DSFF-12–DSFF-16; If option 4 → DSFF-17; If option 5 → DSFF-18; If option 6 → DSFF-19
DSFF-2	Text/Attachment	Produce the interconnection or alternative agreement obligating the ILLE to post financial security for system upgrades necessary to reliably serve the ILLE is set out in an interconnection agreement or equivalent agreement between the Interconnecting TSP and the ILLE. Identify the agreement by document file name and the page(s) and section(s) stating the financial-security obligation.	If DSFF-1 is option 1, 2, 3, or 4	—
DSFF-3	Number	State the amount in US dollars of financial security the Interconnecting DSP required of the ILLE for system upgrades necessary to reliably serve the ILLE.	If DSFF-1 is option 1, 2, 3, or 4	—
DSFF-4	Number	State the amount in US dollars of financial security the ILLE posted with the Interconnecting DSP for system upgrades necessary to reliably serve the ILLE.	If DSFF-1 is option 1, 2, 3, or 4	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-5	Text ⁶ /Attachment	Produce all documents evidencing that the ILLE posted, and that the Interconnecting DSP had irrevocable access to, the cash collateral on or before July 10, 2026. This may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, or other similar financial documentation. Identify each document by file name and page number. If the cash collateral was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If DSFF-1 is option 1	—
DSFF-6	Text/Attachment	Produce a complete copy of the corporate or parental guaranty, identified by document file name and the page(s) and section(s) stating the guarantor, the amount guaranteed, and the term.	If DSFF-1 is option 2	—
DSFF-7	Text	Identify the guarantor (the corporation or parent corporation providing the guaranty).	If DSFF-1 is option 2	—
DSFF-8	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If DSFF-1 is option 2	—
DSFF-9	Text/Attachment	State whether the rating governing under DSFF-10 (the second-highest rating if multiply rated, otherwise the sole rating) meets Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(2) (at least "BBB-" from Standard & Poor's, "Baa3" from Moody's, or "BBB-" from Fitch). If it does not, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(e)(vi). Produce documentation evidencing the ratings stated in DSFF-8, identified by document file name and page number.	If DSFF-1 is option 2	—
DSFF-10	Text/Attachment	Identify all financial records or statements the Interconnecting DSP required under Planning Guide Section 9.2.1.1(1)(e)(vi)(B) to determine the ILLE's financial stability, identify any that were requested but not provided, and produce all that the ILLE provided to the Interconnecting DSP, identified by document file name and page number. If none, so state.	If DSFF-1 is option 2 Not required	—

⁶ [Revised in RFI v1.1 from a number field to a free-text box; respondents may now enter text as well as numbers.](#)

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-11	Text/Attachment	If the ILLE posted more than one corporate or parental guaranty with the Interconnecting DSP, produce each additional guaranty and provide the same information required in DSFF-6 through DSFF-10 for each, identified by document file name and page number.	If DSFF-1 is option 2 Not required	—
DSFF-12	Text	Produce a complete copy of the letter of credit, identified by document file name and the page(s) and section(s) stating the issuer, the face amount, and the expiration or term. If the letter of credit was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party. State whether there are any amendments, extensions, or other documents that alter or may alter the amount, term, or availability of the letter of credit. If so, produce each, and for each, identify by document file name the letter of credit affected and the page(s) and section(s) altered, and explain how it alters or may alter the amount, term, or availability of the letter of credit. If none, so state.	If DSFF-1 is option 3	—
DSFF-13	Text/Attachment	State whether the issuing bank is (a) a major U.S. commercial bank, (b) a U.S. branch office of a major foreign commercial bank, or (c) neither. If (a) or (b), produce documentation establishing the issuing bank's status, identified by document file name and page number. If (c), state where the issuing bank is located and explain the basis on which the letter of credit was accepted as satisfying the issuer requirement of Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(3), and produce all supporting documents, identified by document file name and page number.	If DSFF-1 is option 3	—
DSFF-14	Table	State the credit rating assigned to the guarantor by each of Standard & Poor's, Moody's, and Fitch. If the guarantor is not rated by one of these agencies, input 'N' for that agency.	If DSFF-1 is option 3	—
DSFF-15	Text/Attachment	State whether the issuing bank's credit rating (the second-highest rating if multiply rated, otherwise the sole rating) Planning Guide Section 9.2.1.1(1)(e)(vi)(A)(3) (at least "A-" from Standard & Poor's, "A3" from Moody's, or "A-" from Fitch). Produce documentation evidencing the ratings stated in DSFF-14, identified by document file name and page number. If the issuing bank does not meet the credit rating, explain the basis on which the security was accepted as satisfying Planning Guide Section 9.2.1.1(1)(e)(vi) and produce supporting documentation.	If DSFF-1 is option 3	—

FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DSFF-16	Text/Attachment	If the ILLE posted more than one letter of credit with the Interconnecting DSP, produce each additional letter of credit and provide the same information required in DSFF-12 through DSFF-15 for each, identified by document file name and page number.	If DSFF-1 is option 3 Not required	—
DSFF-17	Text/Attachment	Explain the basis on which the security was accepted as satisfying the requirements of Planning Guide Section 9.2.1.1(1)(e)(vi), notwithstanding that it is not in a form listed in Planning Guide Section 9.2.1.1(1)(e)(vi)(A). Produce the instrument evidencing the security, identified by document file name and the page(s) and section(s). If the security was posted or provided by an Eligibility Affiliate of the ILLE or a non-eligibility affiliated third party, so state and identify the party.	If DSFF-1 is option 4	—
DSFF-18	Text	Explain why the Interconnecting DSP did not require the ILLE to post financial security for this Large Load.	If DSFF-1 is option 5	—
DSFF-19	Text	Explain why the Large Load was submitted to ERCOT if the Interconnecting DSP required financial security and the ILLE did not post it.	If DSFF-1 is option 6	—

FINANCIAL SECURITY METHODOLOGY — INTERCONNECTING DSP

In the Financial Security Methodology section, the Interconnecting DSP must provide the following information and documents concerning how the Interconnecting DSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(e)(vi)

INSTRUCTION (applies to the questions below).

In the Financial Security Methodology section, the Interconnecting DSP must provide the following information and documents concerning how the Interconnecting DSP determined the amount of financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, as required by Planning Guide Section 9.2.1.1(1)(e)(vi).

For Question DSFF-20, the choices are as follows:

- (1) Regional Planning Group (RPG)-project-based cost estimate: the amount was based on the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement, divided by the total MW peak Demand of new Large Loads contributing to the need for the project, multiplied by this Large Load's peak Demand; or, if the total contributing MW could not be determined, \$50,000 per MW peak Demand.
- (2) Legacy LLIS-based cost estimate: the amount was based on the cost estimate for Transmission Facility improvements identified in the LLIS report that would not be required but for the ILLE's Large Load; or \$50,000 per MW peak Demand if those improvements were identified but not costed; or \$0 if no such improvements were identified.
- (3) \$0 based on a study to ERCOT: the Interconnecting DSP set the requirement at \$0 because the Large Load did not meet (1) or (2) and the Interconnecting DSP provided a study to ERCOT by July 24, 2026 demonstrating no planning-criteria violations or need for Transmission Facility improvements requiring RPG review.
- (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DSFF-20	Select one	Identify the methodology the Interconnecting DSP used to determine the financial security required of the ILLE for system upgrades necessary to reliably serve the ILLE, or state that the Interconnecting DSP did not set or collect security. Referring to the methodology descriptions in the instructions above, select one: (1) Regional Planning Group (RPG)-project-based cost estimate (2) Legacy LLIS-based cost estimate (3) \$0 based on approved study (4) The Interconnecting DSP did not set or collect a financial security requirement for this Large Load	Always	If option 1 → DSFF-21–DSFF-22; If option 2 → DSFF-23; If option 3 → DSFF-24; If option 4 → DSFF-25
DSFF-21	Text	State the cost estimate for the total set of Transmission Facility improvements from the report used as the basis for the RPG acceptance or ERCOT endorsement. Identify the report and the page(s) where the relevant improvements and any cost estimate appear. If the cost estimate is from a different document, produce the document in response to DSFF-26 and identify the document and relevant page numbers.	If DSFF-20 is option 1	—
DSFF-22	Yes / No	Was the Interconnecting DSP unable to determine a cost estimate such that the \$50,000 per MW peak Demand default was used instead of the calculation?	If DSFF-20 is option 1	—

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DSFF-23	Select one	For the legacy LLIS-based methodology, identify which outcome applied: (1) A cost estimate was determined (2) No cost estimate could be determined (3) No improvements required	If DSFF-20 is option 2	—
DSFF-24	Text/Attachment	Identify the study and the page number(s) demonstrating that the addition of the Large Load does not result in any planning-criteria violations or the need for Transmission Facility improvements requiring RPG review.	If DSFF-20 is option 3	—
DSFF-25	Text	Explain why the Interconnecting DSP did not set or collect a financial security requirement for this Large Load.	If DSFF-20 is option 4	—
DSFF-26	Text/Attachment	If the Interconnecting TSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(vi) is not captured by its responses to the questions above, provide the information with an explanation and identify and produce all supporting document(s) here, identified by document file name and page number.	Not required	—

INTERCONNECTING DSP CIAC

In the CIAC section, the Interconnecting DSP must provide the following information and documents concerning the ILLE's satisfaction of its direct interconnection costs through contribution in aid of construction (CIAC), as required by Planning Guide Section 9.2.1.1(1)(e)(vii).

For Question DCIAC-1, the choices are as follows:

- (1) The Interconnecting DSP is responsible for direct interconnection facilities, and the Interconnecting DSP and ILLE executed an interconnection agreement or equivalent agreement, on or before July 10, 2026, that sets forth CIAC requirements and terms.
- (2) The Interconnecting DSP is responsible for direct interconnection facilities, but the Interconnecting DSP and ILLE did not execute such an agreement on or before July 10, 2026.
- (3) The Interconnecting DSP is not responsible for the direct interconnection facilities.

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
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DCIAC-1	Select one	Referring to the choices in the instructions above, select the statement that describes the Interconnecting DSP's role in the direct interconnection facilities and the ILLE's CIAC: (1) Interconnecting DSP responsible; agreement executed on or before July 10, 2026 (2) Interconnecting DSP responsible; no such agreement (3) Interconnecting DSP not responsible for the direct interconnection facilities	Always	If option 1 → DCIAC-2–DCIAC-6; If option 2 → DCIAC-7; If option 3 → DCIAC-8
DCIAC-2	Text/Attachment	Produce the interconnection agreement or equivalent agreement. Identify it by document file name and the page number(s) where the direct interconnection costs appear.	If DCIAC-1 is option 1	—
DCIAC-3	Number	State in US dollars the amount of CIAC required of the ILLE under the agreement.	If DCIAC-1 is option 1	—
DCIAC-4	Number	State in US dollars the amount of CIAC the ILLE paid or posted.	If DCIAC-1 is option 1	—
DCIAC-5	Text/Attachment	Produce documentation confirming the ILLE's payment or posting of CIAC, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, or account statement, identified by document file name and page number. If the ILLE did not satisfy its CIAC obligation under the agreement in full, explain the extent to which it was not satisfied and state the unpaid or unposted amount.	If DCIAC-1 is option 1	—
DCIAC-6	Text	State how the agreement provides for the ILLE's direct interconnection costs to be satisfied, identify the provision setting the CIAC amount, and state whether that amount was offset by a standard contribution or other allowance. Identify the agreement by document file name and the page(s) and section(s) of the relevant provision.	If DCIAC-1 is option 1	—

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DCIAC-7	Text/Attachment	State whether the ILLE satisfied its direct interconnection costs in full through CIAC, by direct cash payment or posted financial security not offset by any standard contribution or other allowance, on or before July 10, 2026. Produce documentation confirming the payment or posted financial security, which may include without limitation wire-transfer confirmation, ACH payment confirmation, deposit receipt, account statement, an executed corporate or parental guaranty, or a letter of credit, identified by document file name and page number. If the ILLE did not satisfy its direct interconnection costs in full on or before July 10, 2026, explain the extent to which it did not and state the unsatisfied amount.	If DCIAC-1 is option 2 Not required	—
DCIAC-8	Text	Explain the basis for the Interconnecting DSP's position that it is not responsible for the direct interconnection facilities.	If DCIAC-1 is option 3	—
DCIAC-9	Text/Attachment	If the Interconnecting DSP believes any information or document relevant to the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(vii) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce all supporting document(s) here, identified by document file name and page number.	Not required	—

NOTICE TO PROCEED — INTERCONNECTING DSP

In the Notice to Proceed section, the Interconnecting DSP must provide the following information and documents concerning the notice(s) to proceed with the construction of all required interconnection Facilities that the ILLE was required to issue, as required by Planning Guide Section 9.2.1.1(1)(e)(iv).

Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
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FOR REFERENCE ONLY - RFI Responses Must be Submitted Through RIOO

DNTP-1	Select one	Select the statement that describes the Interconnecting DSP's construction of interconnection facilities for the planned Load Facility and the status of the ILLE's notice(s) to proceed: (1) Interconnecting DSP must construct interconnection facilities; ILLE has issued the notice(s) to proceed (2) Interconnecting DSP must construct interconnection facilities; ILLE has not issued the notice(s) to proceed (3) Interconnecting DSP does not need to construct interconnection facilities (4) Interconnecting DSP did not estimate the cost of interconnection facilities on or before July 10, 2026	Always	If option 1 → DNTP-2–DNTP-3; If option 1 or 2 → DNTP-4–DNTP-5; If option 3 → DNTP-6
DNTP-2	Date	State the date the ILLE provided the notice to proceed.	If DNTP-1 is option 1	—
DNTP-3	Text/Attachment	Produce all notices to proceed with the construction of all required Interconnecting DSP Facilities issued by the ILLE. Identify each by document file name and relevant page number(s).	If DNTP-1 is option 1	—
DNTP-4	Text/Attachment	Produce all agreements related to the construction of the interconnection Facilities to be constructed by the Interconnecting DSP. Identify each by document file name and the page(s) and section(s) defining the scope of work. If no such agreement exists, so state and explain how the scope of the interconnection Facilities to be constructed by the Interconnecting DSP is otherwise defined.	If DNTP-1 is option 1 or 2	—
DNTP-5	Text	State whether the Interconnecting DSP provided an estimate of direct interconnection costs and a facility schedule setting forth the specific facilities applicable to those costs by July 10, 2026. If not, explain why not.	If DNTP-1 is option 1 or 2	—
DNTP-6	Text	Explain the basis for the Interconnecting DSP's determination that it does not need to construct interconnection facilities for the planned Load Facility.	If DNTP-1 is option 3	—
DNTP-7	Text/Attachment	If the Interconnecting DSP believes any information or document(s) relevant to demonstrating the ILLE's satisfaction of the criteria in Planning Guide Section 9.2.1.1(1)(e)(iv) is not captured by its responses to the questions above, provide the information with an explanation and identify, describe, and produce the supporting document(s) here.	Not required	—

ATTESTATION AND EXHIBIT LIST — INTERCONNECTING DSP				
Question ID	Answer format	Question	When to answer this question	Answer triggers follow-up questions
DAT-1	Attachment (required attestation available on ERCOT's Large Load Integration page)	By completing and submitting the attached TSP/DSP RFI Attestation, the Interconnecting DSP confirms that the information submitted by the Interconnecting TSP in response to these RFI questions is true, accurate, and complete to the best of your knowledge, and that, after all reasonable inquiry, all material information reasonably responsive to the request has been provided.	Always	—
DAT-2	Attachment (template available on ERCOT's Large Load Integration page)	Prior to submission of the RFI response, the Interconnecting DSP shall upload an exhibit list identifying each document that it provided, referenced, or relied upon in its response to the RFI.	Always	—