

ERCOT Batch Zero Process for Large Load Interconnection: Affiliate Form

Instructions: This Affiliate Form (“Form”) must be completed by an authorized representative of the designated Interconnecting Large Load Entity (“Designated ILLE”) and an authorized representative of the Affiliate identified below. The authorized representative may be the same individual for both the Designated ILLE and Affiliate. The Designated ILLE is providing this Form in support of its eligibility determination under the ERCOT Batch Zero Process for Large Load Interconnection. The Designated ILLE should record reliance on an affiliate in the first reserved field in Section 4 of the Batch Zero Load Information Form. All fields must be completed unless otherwise noted. This Form must be returned to the Interconnecting Transmission Service Provider (“TSP”) or Interconnecting Distribution Service Provider (“DSP”), as applicable, with the Designated ILLE’s other eligibility materials by the date specified in Planning Guide Revision Request 145. If the Designated ILLE is relying on multiple affiliates to satisfy the eligibility criteria, then it must submit a separate Affiliate Form for each affiliate. Questions regarding this Form may be sent to: llwg_feedback@ercot.com.

Designated Large Load Information

Identification information provided in this section must be consistent with information provided in the Batch Zero Load Information Form.

ILLE Name: _____

Name of Large Load Facility: _____

Batch Zero Serial Number: BZ-_____

Interconnecting TSP or Interconnecting DSP, as applicable: _____

Affiliate Information

Affiliate Name: _____

State or Jurisdiction of Formation: _____

Affiliate Principal Business Address: _____

Affiliate Contact Name: _____

Affiliate Contact Title: _____

Affiliate Contact Email: _____

Affiliate Contact Phone Number: _____

Eligibility Requirement Supported by Affiliate

The Designated ILLE is relying upon the Affiliate identified above to satisfy the following eligibility requirement(s) for the Designated Large Load. The Designated ILLE should select the appropriate entry path for the Designated Large Load identified above and check all that apply:

Requirements under Planning Guide Section 9.2.1.1(1)(b):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)

Requirement under Planning Guide Section 9.2.1.1(1)(c):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)

Requirement under Planning Guide Section 9.2.1.1(1)(d):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)
- Dynamic Load model and supporting documentation under Section 9.2.2(3)

Requirement under Planning Guide Section 9.2.1.1(1)(e):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)
- Dynamic Load model and supporting documentation under Section 9.2.2(3)
- One or more Section 9.7 disclosures required under Section 9.2.1.1(1)(e)(ii)
- Equipment order attestation under Section 9.2.1.1(1)(e)(iii)
- Notice to Proceed (NTP) attestation under Section 9.2.1.1(1)(e)(iv)
- End-use-customer / developer attestation under Section 9.2.1.1(1)(e)(v)
- Posted financial security for system upgrades under Section 9.2.1.1(1)(e)(vi)
- Satisfied financial responsibility for direct interconnection costs under Section 9.2.1.1(1)(e)(vii)
- Site control under Section 9.2.1.1(1)(e)(viii)

Requirement under Planning Guide Section 9.2.1.1(1)(f):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)
- Dynamic Load model and supporting documentation under Section 9.2.2(3)
- One or more Section 9.7 disclosures required under Section 9.2.1.1(1)(f)(ii)
- Discretionary approvals under Section 9.2.1.1(1)(f)(iii)
- Posted financial security for system upgrades under Section 9.2.1.1(1)(f)(iv)

Customer specific information submitted to ERCOT by an Interconnecting DSP or Interconnecting TSP in this document is considered Protected Information under paragraph (1)(r) of Protocol Section 1.3.1.1, Items Considered Protected Information.

- Satisfied financial responsibility for direct interconnection costs under Section 9.2.1.1(1)(f)(v)
- Site control evidence under Section 9.2.1.1(1)(f)(vi)
- General conductor contract under Section 9.2.1.1(1)(f)(vii)
- Substation contractor contract under Section 9.2.1.1(1)(f)(viii)

Requirement under Planning Guide Section 9.2.1.1(1)(g):

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)
- Dynamic Load model and supporting documentation under Section 9.2.2(3)
- One or more Section 9.7 disclosures required under Section 9.2.1.1(1)(g)(ii)
- Posted financial security for system upgrades under Section 9.2.1.1(1)(g)(iii)

Requirement under Planning Guide Section 9.2.1.2(1)

- Written acknowledgement of change notification obligations under Section 9.2.2(1)(c)
- Dynamic Load model and supporting documentation under Section 9.2.2(3)
- One or more Section 9.7 disclosures required under Section 9.2.1.2(1)(d)
- Site control under Section 9.2.1.2(1)(b)
- Satisfied financial security for direct interconnection costs under Section 9.2.1.2(1)(c)

Other: _____

Basis for Affiliate Status

The Designated ILLE and Affiliate represent that the Affiliate qualifies as an Affiliate of the Designated ILLE. Check the applicable basis or bases for Affiliate status:

- A person who directly or indirectly owns or holds at least 5.0% of the voting securities or membership interests of the Designated ILLE;
- A person in a chain of successive ownership of at least 5.0% of the voting securities or membership interests of the Designated ILLE;
- A corporation that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by the ILLE;
- A corporation that has at least 5.0% of its voting securities or membership interests owned or controlled, directly or indirectly, by:

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(i) a person who directly or indirectly owns or controls at least 5.0% of the voting securities of the Designated ILLE; or

(ii) a person in a chain of successive ownership of at least 5.0% of the voting securities of the Designated ILLE;

- A person who is an officer or director of the Designated ILLE or of a corporation in a chain of successive ownership of at least 5.0% of the voting securities or membership interests in of the Designated ILLE.

Signature Block

Designated ILLE:

By executing this Form, the undersigned represents that he or she is a representative, official, officer, or other authorized person with binding authority over _____ (Designated ILLE), is authorized to execute this Form on behalf of the Designated ILLE, and that the statements contained herein are true, correct, and complete to the best of the undersigned's knowledge and belief as of the date of execution.

By: _____

Individual's Name: _____

Individual's Title with the Designated ILLE: _____

Individual's Contact Information: _____

Date: _____

Affiliate:

By executing this Form, the undersigned represents that he or she is a representative, official, officer, or other authorized person with binding authority over _____ (Affiliate), is authorized to execute this Form on behalf of the Affiliate, and that the statements contained herein are true, correct, and complete to the best of the undersigned's knowledge and belief as of the date of execution.

By: _____

Individual's Name: _____

Individual's Title with the Affiliate: _____

Individual's Contact Information: _____

Date: _____