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| COPMGRR Number | [052](https://www.ercot.com/mktrules/issues/COPMGRR052) | COPMGRR Title | Related to NPRR1306, Removal of Digital Certificate References for Market Participants with ERCOT MIS Access |
| Date Posted | | October 28, 2025 | |
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| Requested Resolution | | Normal | |
| Commercial Operations Market Guide Sections Requiring Revision | | 8.2, Settlement Statements and Invoices  11.1.3, Dispute Access | |
| Related Documents Requiring Revision/Related Revision Requests | | Nodal Protocol Revision Request (NPRR) 1306, Removal of Digital Certificate References for Market Participants with ERCOT MIS Access  Retail Market Guide Revision Request (RMGRR) 184, Related to NPRR1306, Removal of Digital Certificate References for Market Participants with ERCOT MIS Access  Verifiable Cost Manual Revision Request (VCMRR) 045, Related to NPRR1306, Removal of Digital Certificate References for Market Participants with ERCOT MIS Access | |
| Revision Description | | This Commercial Operations Market Guide Revision Request (COPMGRR) replaces the concept of “Digital Certificates” throughout the Commercial Operations Market Guide with a new concept where individuals affiliated with a Market Participant can be granted certain restricted access by each individual Market Participant’s User Security Administrator (USA). | |
| Reason for Revision | | [Strategic Plan](https://www.ercot.com/files/docs/2023/08/25/ERCOT-Strategic-Plan-2024-2028.pdf) Objective 1 – Be an industry leader for grid reliability and resilience  [Strategic Plan](https://www.ercot.com/files/docs/2023/08/25/ERCOT-Strategic-Plan-2024-2028.pdf) Objective 2 - Enhance the ERCOT region’s economic competitiveness with respect to trends in wholesale power rates and retail electricity prices to consumers  [Strategic Plan](https://www.ercot.com/files/docs/2023/08/25/ERCOT-Strategic-Plan-2024-2028.pdf) Objective 3 - Advance ERCOT, Inc. as an independent leading industry expert and an employer of choice by fostering innovation, investing in our people, and emphasizing the importance of our mission  General system and/or process improvement(s)  Regulatory requirements  ERCOT Board/PUCT Directive  *(please select ONLY ONE – if more than one apply, please select the ONE that is most relevant)* | |
| Justification of Reason for Revision and Market Impacts | | This COPMGRR accompanies NPRR1306, which proposes changes to the binding language in the Protocols that limit ERCOT to using Digital Certificates for Market Participant’s access to the Market Information System (MIS) Secure Area and the MIS Certified Area.  These changes will allow ERCOT to implement modern technology and systems for access for Market Participants in a more secure way using “multi-factor authentication” (MFA). These modifications also future-proof the Protocols which will allow ERCOT, and the industry, to continue to provide efficient and secure access as technology changes.  This COPMGRR also enables ERCOT to improve user experience through future projects to improve ERCOT’s security posture. Currently, one user is required to have separate Digital Certificates for each Market Participant and each instance of registration the Market Participant they represent has in ERCOT systems. For an individual who is affiliated with multiple Market Participants, each of which may have multiple registered instances, that one person will have multiple Digital Certificates to keep track of, which is unwieldy when navigating which Digital Certificate is being used for which Market Participant. NPRR1306 will allow future projects to remove Digital Certificates as a mandatory use case for each Market Participant represented and, instead, access will be allowed through a single identity. | |

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| Market Segment | Not Applicable |

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| Market Rules Notes |

None

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| Proposed Guide Language Revision |

8.2 Settlement Statements and Invoices

(1) The Real-Time Market (RTM), the Day-Ahead Market (DAM), and the Congestion Revenue Right (CRR) Auction are settled separately. Each type of Settlement has a unique set of statements and/or Invoices and settles according to a different timeline. Settlement Statements and Invoices can apply to a registered CRR Account Holder (CRRAH), a registered Qualified Scheduling Entity (QSE), or both. The table below provides details regarding the various statements and Invoices.

| **Type** | **Invoice or Statement** | **Recipient** | **Frequency** | **Post Timing** | **Payment Due** |
| --- | --- | --- | --- | --- | --- |
| CRR | CRR Auction Invoice | * CRRAHs | Monthly | 1st Business Day after completion of a CRR Auction (Monthly and Annual CRR Auctions will be invoiced separately) | 3rd Bank Business Day after Invoice posts *(or next day that is both Business Day and Bank Business Day)* |
| CRR Auction Revenue Distribution Invoice | * QSEs (with Load) | Monthly | 1st Business Day after RTM Initial Statement posts for the last day of the relevant month  and  1st Business Day after RTM Final Statement posts for the last day of the relevant month | 5th Bank Business Day after Invoice posts *(or next day that is both Business Day and Bank Business Day)* |
| CRR Balancing Account Invoice | * CRRAH (due a shortfall refund) * QSEs (with load) | Monthly | 1st Business Day after RTM Initial Statement posts for the last day of the relevant month | 1st Bank Business Day after the due date of the Settlement Invoice that includes the RTM Initial Settlement statement for the last day of the month *(or next day that is both Business Day and Bank Business Day)*  \*Note: Payout to market only |
| DAM | DAM Statement | * QSEs * CRRAHs | Daily | 2nd Business after the Operating Day | n/a |
| DAM Resettlement Statement | * QSEs * CRRAHs | Ad hoc | Ad hoc *(on Business Day)* | n/a |
| RTM | RTM Initial Settlement Statement | * QSEs * CRRAHs | Daily | Operating Day + 5 *(or next Business Day)* | n/a |
| RTM Final Settlement Statement | * QSEs * CRRAHs | Daily | Operating Day + 55 *(or next Business Day)* | n/a |
| RTM True-Up Settlement Statement | * QSEs   CRRAHs | Daily | Operating Day + 180 *(or next Business Day)* | n/a |
| RTM Resettlement Settlement Statement | * QSEs   CRRAHs | Ad hoc | Ad hoc *(on Business Day)* | n/a |
| STL | Settlement Invoice | * QSE * CRRAHs | Daily | Every Business Day – Rolls up all statements posted that day | 2nd Bank Business Day after the Invoice posts, *(or next day that is both Business Day and Bank Business Day)* |
| Default Uplift Invoice | * QSEs * CRRAHs | Ad hoc | Ad hoc *(on Business Day)*  \*Market Notice required | 5th Bank Business Day after the Invoice posts, *(or next day that is both Business Day and Bank Business Day)* |
|  |  |  |  |  |
| MISC | Miscellaneous Invoice | * QSEs * CRRAHs | Ad hoc | Ad hoc *(on Business Day)*  \*Market Notice required | Specified in the Market Notice |

(2) Upon approval and posting of Settlement Statements and Invoices, the associated data is sent to the Credit Monitoring & Management (CMM) system for use in credit calculations. Similarly, when payment is made to or from the QSE/CRRAH, the payment data is subsequently sent to the CMM system.

(3) The QSE Settlement Statements and Invoices and the CRRAH Statements and Invoices are available on the Market Information System (MIS) Certified Area. Statements and Invoices are ‘MIS Certified’ meaning they are Market Participant specific and have data proprietary to individual Entities. Therefore, these files are only available to those Entities owning the data and having a matching Data Universal Numbering System (DUNS) Number.

(4) Market Participants must have access to the ERCOT MIS appropriate roles in order to retrieve data via the MIS and/or Application Programmatic Interface (API). MIS access must be obtained from your Entity’s User Security Administrator (USA) and must contain the role of QSE Extracts (for QSEs) or CRR Extracts (for CRRs) in order to view and download statements, Invoices and/or related Settlement extracts.

(5) Invoices, statements and extracts are also available via the API. To download the information from the API, utilize the report type IDs as listed in the ERCOT Market Information List (EMIL), along with the GetReport functionality on the API. For details regarding this option, refer to the External Web Services information posted to the ERCOT website.

(6) In addition to statements and Invoices, the Settlements Calendar which provides statement, Invoice and dispute posting information details, is provided as an extract and can be found on the ERCOT website. Supporting information for the Settlements Calendar can be found in the Settlements Calendar User Guide and in the Data Definition Language (DDL) and Extensible Markup Language (XML)SchemaDefinition (XSD).

(7) Settlement details, including the supporting input, intermediate, and output Settlement billing determinants and other data associated with Settlement Statements and Invoices are found in the appropriate Settlements extracts and reports. When viewing QSE/CRRAH Settlement Statements, a negative amount represents a payment due to the QSE/CRRAH and a positive amount represents a payment due to ERCOT. More information about the available extracts and reports, as well as example statements and Invoices, are available on the ERCOT website. Descriptions and definitions for the billing determinants can be found on the ERCOT website in the Settlement Charge Matrix and in the relevant Protocol sections. (Also see Section 10, Extracts and Reports).

(8) Information about the Settlements Calendar, statements, and Invoices may be found in Protocol Section 9, Settlement and Billing.

11.1.3 Dispute Access

(1) There are two methods available for filing a dispute, MIS Web Portal and Application Programmatic Interface (API).

(a) MIS Web Portal – The web portal user interface utilizes the Market Participant MIS access credentials for authentication. The disputes area can be found by navigating to the on Markets/Settlements landing page or the applications home page. From either page, you can open the dispute application, based on roles, Create Nodal Settlement Dispute or Find Nodal Settlement Disputes.

Market Participants also have the ability to search for or view disputes using the ‘Find Nodal Settlement Disputes’ function on the Graphic User Interface (GUI). Disputes may be viewed and searched for by dispute IDs and various other criteria.

(b) API – Allows you to utilize a third-party application to submit your disputes to and from ERCOT. See the External Interfaces Specification (EIP) document on the ERCOT.com website for the API technical requirements.