**Texas**

**Standard**

**Electronic**

**Transaction**

**814\_14:**

##### Drop Enrollment Request

Electronic Data Interchange

ANSI ASC X12 Ver/Rel 004010

Transaction Set 814

**Texas 814\_14:**

Drop Enrollment Request

This transaction set is sent from ERCOT to the POLR or designated CR in response to a Mass Transition.

This transaction set is from ERCOT to the Gaining CR in response to an Acquisition Transfer.

Document Flow:

* ERCOT to POLR
* ERCOT to designated CR
* ERCOT to Gaining CR

The Functional Acknowledgement (997) transaction set from the receiver of the originating transaction to the sender of the originating transaction, is used to acknowledge the receipt of the originating transaction and indicate whether the transaction passed ANSI X12 validation. This acknowledgement does not imply that the originating transaction passed Texas SET validation. “CR, TDSP, or ERCOT shall respond with a 997 within 24 hours of receipt of an inbound transaction.”

|  |  |  |
| --- | --- | --- |
|  |  | **Summary of Changes** |
| November 30, 2010  Version 3.0A |  | Initial Release |
| June 11, 2012  Version 4.0 |  | Updated examples for TX SET 4.0 |
| November 11, 2024  Version 5.0 |  | Added County to N1\*8R address loop.  Added example for Metered Service Type. |

814\_14 Example #1 of 6

Drop Enrollment Request –ERCOT to POLR or Designated CR

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information to the Provider of Last Resort (or designated CR) as part of a Mass Transition event. In this example, ERCOT has included the optional RL code and DTM 376 indicating that the new CR shall immediately submit a Move-Out for this ESI ID for the requested move-out date indicated in the DTM 376. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~TS~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Mass Transition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Mass Transition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | ASI~RL~021 | | Reschedule Addition |
|  | REF~PTC~01 | | Premise Type |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~ESP | | Billing Type |
|  | REF~ACD~01 | | POLR Customer Class |
|  | REF~SH~Y | | Switch Hold Status Indicator |
|  | REF~SU~N | | Special Needs Indicator |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~WEST | | Substation ID |
|  | DTM~376~20080622 | | Reschedule Date for Move-Out |
|  | DTM~150~20080601 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~05397147 | | Meter Location, Meter Number |
|  |  | REF~LO~RESLOWR\_SOUTH\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~RESSRV | TDSP Rate Class |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~COMBO | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~4P~1.0~K1MON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~5.00~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~IX~5.00~K1MON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~02 | Meter Cycle Number |
| SE~34~000000001 | | | Number of Segments, Transaction SET Control Number |

814\_14 Example #2 of 6

Drop Enrollment Request – ERCOT to POLR or Designated CR – USE IN ‘MUNI/CO-OP’ MARKET ONLY

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information to the Provider of Last Resort (or designated CR) as part of a Mass Transition event. ESI ID is for MOU/EC Market therefore Membership ID is required. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~TS~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Mass Transition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Mass Transition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | ASI~7~021 | | Request Addition |
|  | REF~PTC~01 | | Premise Type |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~LDC | | Billing Type |
|  | REF~1W~~123456789 | | Membership ID |
|  | REF~ACD~2A | | POLR Customer Class |
|  | REF~SH~N | | Switch Hold Status Indicator |
|  | REF~SU~N | | Special Needs Indicator |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~ST1 | | Substation ID |
|  | DTM~150~20080823 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~TXSET15U1BA1 | | Meter Location, Meter Number |
|  |  | REF~LO~BUSLOLF\_WEST\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~00 | TDSP Rate Class |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~KHMON | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~4.0~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~21 | Meter Cycle Number |
| SE~32~000000001 | | | Number of Segments, Transaction SET Control Number |

814\_14 Example #3 of 6

Drop Enrollment Request – ERCOT to POLR or Designated CR

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information with Premise Information Critical Care Premise Type, Secondary Contact Information and AMS Indicator to the Provider of Last Resort (or designated CR) as part of a Mass Transition event. In this example, ERCOT has included the optional RL code and DTM 376 indicating that the new CR shall immediately submit a Move-Out for this ESI ID for the requested move-out date indicated in the DTM 376. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~TS~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Mass Transition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Mass Transition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | ASI~RL~021 | | Reschedule Addition |
|  | REF~PTC~02 | | Premise Type |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~ESP | | Billing Type |
|  | REF~ACD~01 | | POLR Customer Class |
|  | REF~SH~Y | | Switch Hold Status Indicator |
|  | REF~SU~Y~CLP | | Special Needs Indicator, Special Needs Customer Status |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~WEST | | Substation ID |
|  | DTM~376~20080622 | | Reschedule Date for Move-Out |
|  | DTM~150~20080601 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~05397147 | | Meter Location, Meter Number |
|  |  | REF~LO~BUSLOLF\_WEST\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~00 | TDSP Rate Class |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~COMBO | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~4P~1.0~K1MON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~5.00~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~IX~5.00~K1MON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~02 | Meter Cycle |
| SE~34~000000001 | | | Number of Segments in ST Loop, Control Number |

814\_14 Example #4 of 6

Drop Enrollment Request – ERCOT to POLR or Designated CR

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information with Premise Information Critical Care Premise Type, Emergency Contact Information and AMS Indicator to the Provider of Last Resort (or designated CR) as part of a Mass Transition event. In this example, ERCOT has included the optional RL code and DTM 376 indicating that the new CR shall immediately submit a Move-Out for this ESI ID for the requested move-out date indicated in the DTM 376. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~TS~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Mass Transition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Mass Transition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | ASI~RL~021 | | Reschedule Addition |
|  | REF~PTC~01 | | Premise Type |
|  | REF~MR~AMSR | | AMS Indicator |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~ESP | | Billing Type |
|  | REF~ACD~01 | | POLR Customer Class |
|  | REF~SH~Y | | Switch Hold Status Indicator |
|  | REF~SU~Y~CCC | | Special Needs Indicator, Special Needs Customer Status |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~WEST | | Substation ID |
|  | DTM~036~20100622 | | Expiration Date |
|  | DTM~376~20080622 | | Reschedule Date for Move-Out |
|  | DTM~150~20080601 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~05397147 | | Meter Location, Meter Number |
|  |  | REF~LO~RESLOWR\_SOUTH\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~RESSRV | TDSP Rate Class |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~KHMON | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~5.00~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~02 | Meter Cycle Number |
|  | NM1~SC~C~~~~~~EC~SNOW, JOE RAY JR | | Special Needs Emergency Contact Name |
|  |  | N3~123 N MAIN STREET~ANY ADDRESS | Special Needs Emergency Contact Mailing Address |
|  |  | N4~ANYTOWN~TX~78111 | Special Needs Emergency Contact Mailing Address |
|  |  | PER~SP~~TE~8005551212~OT~8005551212 | Special Needs Emergency Contact Telephone Numbers |
| SE~38~000000001 | | | Number of Segments in ST Loop, Control Number |

814\_14 Example #5 of 6

Drop Enrollment Request – ERCOT to POLR or Designated CR

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information with Premise Information Critical Care Premise Type, Emergency Contact Information and AMS Indicator to the Provider of Last Resort (or designated CR) as part of an Acquisition Transfer event. In this example, ERCOT has included the optional RL code and DTM 376 indicating that the new CR shall immediately submit a Move-Out for this ESI ID for the requested move-out date indicated in the DTM 376. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~AQ~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Acquisition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Acquisition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | ASI~RL~021 | | Reschedule Addition |
|  | REF~PTC~01 | | Premise Type |
|  | REF~MR~AMSR | | AMS Indicator |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~ESP | | Billing Type |
|  | REF~ACD~01 | | POLR Customer Class |
|  | REF~SU~Y~CCC | | Special Needs Indicator, Special Needs Customer Status |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~WEST | | Substation ID |
|  | DTM~036~20100622 | | Expiration Date |
|  | DTM~376~20080622 | | Reschedule Date for Move-Out |
|  | DTM~150~20080601 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~05397147 | | Meter Location, Meter Number |
|  |  | REF~LO~RESLOWR\_SOUTH\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~RESSRV | TDSP Rate Class |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~KHMON | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~5.00~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~02 | Meter Cycle Number |
|  | NM1~SC~C~~~~~~EC~SNOW, JOE RAY JR | | Special Needs Emergency Contact Name |
|  |  | N3~123 N MAIN STREET~ANY ADDRESS | Special Needs Emergency Contact Mailing Address |
|  |  | N4~ANYTOWN~TX~78111 | Special Needs Emergency Contact Mailing Address |
|  |  | PER~SP~~TE~8005551212~OT~8005551212 | Special Needs Emergency Contact Telephone Numbers |
| SE~37~000000001 | | | Number of Segments in ST Loop, Control Number |

814\_14 Example #6 of 6

Drop Enrollment Request – ERCOT to POLR or Designated CR

|  |  |  |  |
| --- | --- | --- | --- |
| ERCOT sends an 814\_14 Notice of Pending Customer Enrollment Information to the Provider of Last Resort (or designated CR) as part of a Mass Transition event. In this example, the TDSP has provided the Metered Service Type. | | | |
| ST~814~000000001 | | | Transaction Type, Control Number |
| BGN~13~200805101201001~20230510~~~200805101956534~TS~14 | | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543 | | | TDSP Name and DUNS Number |
| N1~AY~ERCOT~1~183529049~~41 | | | ERCOT Name and DUNS Number, Sender |
| N1~PLR~POLR NAME~1~000098765~~40 | | | POLR (or Designated CR) Name and DUNS Number, Receiver |
| N1~8R~CUSTOMER NAME | | | Mass Transition Customer |
|  | N3~123 MAIN AVE | | Customer Service Address |
|  | N4~ANYTOWN~TX~77777~~CO~HARRIS | | Customer Service City, State, Zip, County |
| N1~BT~CUSTOMER BILLING NAME | | | Customer Billing Name (Mass Transition Customer) |
|  | N3~123 MAIN AVE | | Customer Billing Address |
|  | N4~ANYTOWN~TX~77777 | | Customer Billing City, State, Zip |
| LIN~1~SH~EL~SH~CE | | | Energy Services |
|  | REF~PTC~01 | | Premise Type |
|  | REF~Q5~~12345678910111231 | | ESI ID |
|  | REF~BLT~ESP | | Billing Type |
|  | REF~ACD~01 | | POLR Customer Class |
|  | REF~SH~Y | | Switch Hold Status Indicator |
|  | REF~SU~N | | Special Needs Indicator |
|  | REF~AQ~A | | Distribution Loss Factor Code |
|  | REF~SPL~~WEST | | Substation ID |
|  | DTM~150~20230512 | | Service Period Start Date |
|  | NM1~MQ~3~~~~~~32~05397147 | | Meter Location, Meter Number |
|  |  | REF~LO~RESLOWR\_SOUTH\_NIDR\_NWS\_NOTOU | Load Profile |
|  |  | REF~NH~RESSRV | TDSP Rate Class |
|  |  | REF~MSL~M01 | Metered Service Type |
|  |  | REF~PR~0 | TDSP Rate Subclass |
|  |  | REF~MT~COMBO | Meter Type |
|  |  | REF~4P~1.0~KHMON~TU>51 | Meter Multiplier, Total |
|  |  | REF~4P~1.0~K1MON~TU>51 | Meter Multiplier, Total |
|  |  | REF~IX~5.00~KHMON~TU>51 | Number of Dials, Total |
|  |  | REF~IX~5.00~K1MON~TU>51 | Number of Dials, Total |
|  |  | REF~TZ~02 | Meter Cycle Number |
| SE~33~000000001 | | | Number of Segments, Transaction SET Control Number |