**Texas**

**Standard**

**Electronic**

**Transaction**

**814\_03:**

##### Enrollment Notification Request

Electronic Data Interchange

ANSI ASC X12 Ver/Rel 004010

Transaction Set 814

**Texas 814\_03:**

Enrollment Notification Request

This transaction set, from ERCOT to the TDSP, passes information from the 814\_01, 814\_10, 814\_16, or 814\_24 (move-out where CSA exists), with the addition of two data elements: (1) the TDSP associated with this Premise and (2) the available switch date.

This transaction set will be initiated by ERCOT and transmitted to the TDSP in the event of a Mass Transition.

Document Flow:

* ERCOT to TDSP

The Functional Acknowledgement (997) transaction set from the receiver of the originating transaction to the sender of the originating transaction, is used to acknowledge the receipt of the originating transaction and indicate whether the transaction passed ANSI X12 validation. This acknowledgement does not imply that the originating transaction passed Texas SET validation. “CR, TDSP, or ERCOT shall respond with a 997 within 24 hours of receipt of an inbound transaction.”

|  |  |  |
| --- | --- | --- |
|  |  | **Summary of Changes** |
| November 30, 2010  Version 3.0A |  | Initial Release |
| June 11, 2012  Version 4.0 |  | Updated examples for TX SET 4.0 |
| November 11, 2024  Version 5.0 |  | Added new examples for   * Inadvertent Gain * Power Outage Contact |

814\_03 Example #1 of 12

Enrollment Notification Request –ERCOT to TDSP

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Self Selected Switch Request Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~201207101956534~~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, SET Transaction Number |
| N1~8S~TDSP NAME~1~00987654~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~98765432 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~CUSTOMERLAST, CUSTOMERFIRST~TE~8005551212 | Contact Name, Telephone Number |
| LIN~1~SH~EL~SH~CE~SH~SW~SH~HU | | Self Selected Switch and Historical Summarized Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~MRR~20120715 | Special Read Date for Self Selected Switch |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~17~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #2 of 12

Enrollment Notification Request –ERCOT to TDSP– FOR MUNI/CO-OP MARKET ONLY

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Standard Switch Request Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~9~9876543211000 | | CR Name and DUNS Number |
| N1~8R~CUSTOMER NAME | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~CUSTOMERLAST, CUSTOMERFIRST\*TE\*8005551212 | Contact Name, Telephone Number |
| LIN~1~SH~EL~SH~CE~SH~HU | | Standard Switch with Historical Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~1W~~1234567890 | Membership ID |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~656~20090513 | First Available Switch Date |
| SE~17~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #3 of 12

Mass Transition Request from ERCOT - ERCOT to TDSP– FOR USE In ‘IOU’ MARKET ONLY

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Self Selected Switch Request for Mass Transition Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~200805101201001~20080510~~~20080510195653~TS~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~MASS TRANSITION CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~MASS TRANSITION CUSTOMER | Contact Name |
| LIN~1~SH~EL~SH~CE~SH~SW~SH~HI | | Self Selected Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~MRR~20090224 | Special Read Date for Self Selected Switch |
|  | DTM~656~20090224 | First Available Switch Date |
| SE~17~000000001 | | Number of Segments, Transaction Set Control Number |

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Mass Transition Request from ERCOT –ERCOT to TDSP– FOR USE IN ‘MUNI/CO-OP’ MARKET ONLY-

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to MUNI/CO-OP TDSP Self Selected Switch Request for Mass Transition Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~200805101201001~20080510~~~200805101956534~TS~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Mass Transition Transfer, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT and DUNS Number, Sender |
| N1~SJ~CR NAME~9~9876543211000 | | CR Name and DUNS Number |
| N1~8R~MASS TRANSITION CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~MASS TRANSITION CUSTOMER | Contact Name |
| N1~BT~MASS TRANSITION CUSTOMER | | Customer Billing Name |
|  | N3~123 N MAIN ST~ADDITIONAL ADDRESS INFORMATION | Customer Billing Address |
|  | N4~ANYTOWN~TX~78111 | City, State, Zip Code |
| LIN~1~SH~EL~SH~CE~SH~SW~SH~HI | | Self Selected Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~BLT~LDC | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~1W~~1234567890 | Membership ID |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~MRR~20090513 | Special Read Date for Self Selected Switch |
|  | DTM~656~20090513 | First Available Switch Date |
| SE~21~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #5 of 12

Acquisition Transfer Request from ERCOT - ERCOT to TDSP– FOR USE In ‘IOU’ MARKET ONLY

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Self Selected Switch Request for Acquisition Transfer Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~20120710195653~AQ~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~ACQUISITION TRANSFER CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~ACQUISITION TRANSFER CUSTOMER | Contact Name |
| LIN~1~SH~EL~SH~CE~SH~SW~SH~HI | | Self Selected Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~MRR~20120724 | Special Read Date for Self Selected Switch |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~17~000000001 | | Number of Segments, Transaction Set Control Number |

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Acquisition Transfer Request from ERCOT –ERCOT to TDSP– FOR USE IN ‘MUNI/CO-OP’ MARKET ONLY-

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to MUNI/CO-OP TDSP Self Selected Switch Request for Acquisition Transfer Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~201207101956534~AQ~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT and DUNS Number, Sender |
| N1~SJ~CR NAME~9~9876543211000 | | CR Name and DUNS Number |
| N1~8R~ACQUISITION TRANSFER CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~ACQUISITION TRANSFER CUSTOMER | Contact Name |
| N1~BT~ACQUISITION TRANSFER CUSTOMER | | Customer Billing Name |
|  | N3~123 N MAIN ST~ADDITIONAL ADDRESS INFORMATION | Customer Billing Address |
|  | N4~ANYTOWN~TX~78111 | City, State, Zip Code |
| LIN~1~SH~EL~SH~CE~SH~SW~SH~HI | | Self Selected Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~BLT~LDC | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~1W~~9999999999 | Membership ID |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~MRR~20120713 | Special Read Date for Self Selected Switch |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~21~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #7 of 12

Acquisition Transfer Request from ERCOT - ERCOT to TDSP– FOR USE In ‘IOU’ MARKET ONLY

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Standard Switch Request for Acquisition Transfer Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~20120710195653~AQ~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~ACQUISITION TRANSFER CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~ACQUISITION TRANSFER CUSTOMER | Contact Name, Telephone Number |
| LIN~1~SH~EL~SH~CE~SH~HI | | Standard Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~16~000000001 | | Number of Segments, Transaction Set Control Number |

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Acquisition Transfer Request from ERCOT –ERCOT to TDSP– FOR USE IN ‘MUNI/CO-OP’ MARKET ONLY-

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to MUNI/CO-OP TDSP Standard Switch Request for Acquisition Transfer Valid | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~201207101956534~AQ~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT and DUNS Number, Sender |
| N1~SJ~CR NAME~9~9876543211000 | | CR Name and DUNS Number |
| N1~8R~ACQUISITION TRANSFER CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~ACQUISITION TRANSFER CUSTOMER | Contact Name |
| N1~BT~ACQUISITION TRANSFER CUSTOMER | | Customer Billing Name |
|  | N3~123 N MAIN ST~ADDITIONAL ADDRESS INFORMATION | Customer Billing Address |
|  | N4~ANYTOWN~TX~78111 | City, State, Zip Code |
| LIN~1~SH~EL~SH~CE~SH~HI | | Standard Switch and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~BLT~LDC | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~1W~~9999999999 | Membership ID |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~20~000000001 | | Number of Segments, Transaction Set Control Number |

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Move In with Meter Access Information

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Move In Request Containing Meter Access Information | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~20120710195653~~~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~MOVE IN CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~MOVE IN CUSTOMER~TE~8889995555 | Contact Name, Telephone Number |
| LIN~1~SH~EL~SH~CE~SH~HU~SH~MVI | | Move In and Historical Summary Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~RAA~~GATE COMBO 1953 | Instructions |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~375~20120715 | Move In Date |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~18~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #10 of 12

Move In from ERCOT –ERCOT to TDSP– FOR USE IN ‘MUNI/CO-OP’ MARKET ONLY-

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to MUNI/CO-OP TDSP Move In Request with Meter Access Information | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20120710~~~201207101956534~AQ~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Acquisition Transfer, SET Transaction Number |
| N1~8S~MCTDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT and DUNS Number, Sender |
| N1~SJ~CR NAME~9~9876543211000 | | CR Name and DUNS Number |
| N1~8R~Move In CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~Move In CUSTOMER | Contact Name |
| N1~BT~Move In CUSTOMER | | Customer Billing Name |
|  | N3~123 N MAIN ST~ADDITIONAL ADDRESS INFORMATION | Customer Billing Address |
|  | N4~ANYTOWN~TX~78111 | City, State, Zip Code |
| LIN~1~SH~EL~SH~CE~SH~HU~SH~MVI | | Move In and Historical Interval Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~BLT~LDC | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~RAA~~GATE COMBO 1953 | Instructions |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~1W~~9999999999 | Membership ID |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~375~20120715 | Move In Date |
|  | DTM~656~20120710 | First Available Switch Date |
| SE~22~000000001 | | Number of Segments, Transaction Set Control Number |

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Move In (to Reverse a Switch or Move-In due to an Inadvertent Gain)

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP on a Move In to Reverse an Inadvertent Gain | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20230710~~~20120710195653~IA~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, Inadvertent Gain, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~MOVE IN CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~MOVE IN CUSTOMER~TE~8889995555 | Contact Name, Telephone Number |
| LIN~1~SH~EL~SH~CE~SH~HU~SH~MVI | | Move In and Historical Summary Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~RAA~~GATE COMBO 1953 | Instructions |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~375~20230701 | Move In Date |
|  | DTM~656~20230710 | First Available Switch Date |
| SE~18~000000001 | | Number of Segments, Transaction Set Control Number |

814\_03 Example #12 of 12

Move In with Power Outage Contact

|  |  |  |
| --- | --- | --- |
| ERCOT Enrollment Request Information to TDSP Move In providing Power Outage Contact | | |
| ST~814~000000001 | | Transaction Type, Transaction Set Control Number |
| BGN~13~201207101201001~20230710~~~20120710195653~~3 | | Request, Unique Transaction Number, Transaction Date, Original Transaction ID, SET Transaction Number |
| N1~8S~TDSP NAME~1~009876543~~40 | | TDSP Name and DUNS Number, Receiver |
| N1~AY~ERCOT~1~183529049~~41 | | ERCOT Name and DUNS Number, Sender |
| N1~SJ~CR NAME~1~987654321 | | CR Name and DUNS Number |
| N1~8R~MOVE IN CUSTOMER | | Customer Name |
|  | N4~~~77777 | Zip Code |
|  | PER~IC~MOVE IN CUSTOMER~TE~8889995555 | Contact Name, Telephone Number |
|  | PER~PO~~TE~7775552222~PC~5558182222~EM~NAME@ISP.COM | Power Outage Contact Phone Number, Cell Phone Number, Email Address |
| LIN~1~SH~EL~SH~CE~SH~HU~SH~MVI | | Move In and Historical Summary Usage Request |
|  | ASI~7~101 | Request Change in CR |
|  | REF~Q5~~12345678910111231 | ESI ID |
|  | REF~BLT~ESP | Billing Type |
|  | REF~PC~DUAL | Bill Calculator |
|  | REF~RAA~~GATE COMBO 1953 | Instructions |
|  | REF~SU~N | Special Needs Indicator |
|  | DTM~375~20230715 | Move In Date |
|  | DTM~656~20230710 | First Available Switch Date |
| SE~19~000000001 | | Number of Segments, Transaction Set Control Number |