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| **Texas SET Change Control Request Form**  **Change Control Number: 2022 -838**  **Implementation Version: 5.0** |

**This Section Is Completed by Submitter of Change Control Request Only:**

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| --- | --- | --- |
| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:**  ERCOT | **Phone Number:**  512-248-6747 |
| **Date of Submission:**  08/26/2022 | **Affected TX SET Transaction(s):**  814\_19 | **Submitter’s E-Mail Address:**  Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:** | Protocol Impact (Y/N):  N |
| **Detailed Description and Reason for Proposed Change(s):**  Add the ASI02 of 001 (Change) to the 814\_19 Response to allow the response transaction to match the 814\_18 when a Change request is submitted.  Add additional Reject Codes to the 814\_19.  This Change Control also includes the Reject Codes from Approved Change Control 2021-833 for reference.  11.16.2022 – There are 2 codes NAC and NCC that are both being used when there is not a current CSA. To prevent confusion, we will remove NAC and all rejects when there is not a Current or Active CSA will use NCC. | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com) and RMS Chair***.*** | | |

**For ERCOT Change Control Manager Use Only:**

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| --- | --- | --- |
| **Texas SET Recommendation:**  Recommend for Approval | **Recommendation for Emergency (Y/N):**  N | **Date of TX SET Recommendation:**  09/01/2022 |
| **Detailed Description and Reason for Revision:**  Recommend Approval for 5.0 with addition of DIV in REF03  01/18/2023 – Recommend RMS to Approve for Texas SET 5.0 | | |
| **RMS Decision:**  Approved for Texas SET 5.0 | **Emergency (Y/N):**  N | **Date of RMS Decision:**  03/07/2023 |
| **Summary of RMS Discussion:**  09/13/2022 – RMS approved this change control as non-emergency for Texas SET 5.0  03/07/2023 - RMS reviewed edits from January 2023 and Approves as non-emergency for Texas SET 5.0 | | |

**Segment: ASI Action or Status Indicator**

**Position:** 020

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** 1

**Purpose:** To indicate the action to be taken with the information provided or the status of the entity described

**Syntax Notes:**

**Semantic Notes:**

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Identifies the action to be taken or the status of a requested action for the service identified in the LIN segment. |
|  |  | Required |
|  |  | ASI~WQ~021 |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **ASI01** | **306** | **Action Code** | | | | **M** |  | **ID 1/2** | | |
|  | | | Code indicating type of action | | | | | | | |
|  | | | | U |  | Reject | | | | |
|  | | | | WQ |  | Accept | | | | |
| **Must Use** | **ASI02** | **875** | **Maintenance Type Code** | | | | **M** |  | **ID 3/3** | | |
|  | | | Code identifying the specific type of item maintenance | | | | | | | |
|  | | | | 001 |  | Change | | | | | |
|  | | | | | | Changing CSA End Date for ESIID | | | |
|  | | | | 002 |  | Delete | | | | |
|  | | | | | | Drop | | | |
|  | | | | 021 |  | Addition | | | | |

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | | |
|  | | | | 008 |  | ESI ID exists but is not active | | | | | |
|  | | | | | | Retired | | | | |
|  | | | | 017 |  | Service Terminated Because the Service Provider Went Out of Business | | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use only. | | | | |
|  | | | | A13 |  | Other | | | | | |
|  | | | | | | Explanation Required in REF03. | | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | | |
|  | | | | ANM |  | Energy Supplier not certified by Utility/Clearinghouse | | | | | |
|  | | | | | | CR does not have a service agreement with the TDSP. | | | | |
|  | | | | API |  | Required information missing | | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | | |
|  | | | | B30 |  | Currently Enrolled | | | | | |
|  | | | | | | Already the CSA CR for this ESI ID | | | | |
|  | | | | BED | |  | Backdated CSA End Date | | | | | |
|  | | | | CEF |  | CSA End Date too far in the Future | | | | | | |
|  | | | | | | End Date requested is too far in the future | | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | | |
|  | | | | DIV |  | Date Invalid | | | | | | |
|  | | | | | | Use REF03 to further describe the invalid date | | | | |
|  | | | | DNR |  | Start and End Date Not Required on Deletes | | | | | | |
|  | | | | | | Neither the Start Date nor the End Date is valid on a request to Delete a CSA | | | | |
|  | | | | DOT |  | Duplicate Original Transaction ID | | | | | |
|  | | | | | | Original Transaction ID (BGN02) already submitted on ESI-ID. For ERCOT Use Only. MIMO Rules, ERCOT 27 | | | | |
|  | | | | DUP |  | Duplicate | | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. MIMO Rules, ERCOT 27. For ERCOT use only. | | | | |
|  | | | | EDR | |  | CSA End Date Required | | | | | |
|  | | | | | | CSA End Date is Required for the CSA Change | | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | | |
|  | | | |  | |  |  | | | | | |
|  | | | | NFI |  | Not First In | | | | | |
|  | | | | | | For ERCOT Use Only. | | | | |
|  | | | | SDC | |  | CSA Start Date Must Be Current Date or Date in the Future | | | | | |
|  | | | | SDR | |  | CSA Start Date Required | | | | | |
|  | | | | | | Start Date is Required for the CSA Establish | | | | |
|  | | | | SNR | |  | CSA Start Date Not Required | | | | | |
|  | | | | | | CSA Start Date is Not Required for the CSA Change | | | | |
|  | | | | ZIP |  | Invalid Zip Code | | | | | |
|  | | | | | | Only applicable to the first five characters of the zip code, which are used for validation. | | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | | |
|  | | | Used to further describe the status reason code sent in REF02. Code "A13", "API" and “DIV” require a text explanation in this element. | | | | | | | | |