

ERCOT Market Education

Resources and Real-Time Operations



Greetings
and
Introductions

Format	Title
WBT	Resources in ERCOT
	Resource Responsibilities in ERCOT

Format	Title	Topic
ILT	Resources and Day-Ahead Operations	Resource Constraints in the Day-Ahead Market
		Resource Commitment in the Day-Ahead Market
		Resource Commitment after the Day-Ahead Market
	Resources and Real-Time Operations	Resource Dispatch in Real-Time
		Resource Reserve Deployment in Real-Time
		Resources and their Financial Impacts

WebEx Tips

- Windows
- Buttons

Attendance

Questions / Chat



Unmute ▾ Start video ▾ Share [Smiley Face] [More] [Close] Participants Chat

PROTOCOL DISCLAIMER

This presentation provides a general overview of the Texas Nodal Market and is not intended to be a substitute for the ERCOT Protocols, as amended from time to time. If any conflict exists between this presentation and the ERCOT Protocols, the ERCOT Protocols shall control in all respects.

For more information, please visit:

<http://www.ercot.com/mktrules/nprotocols/>

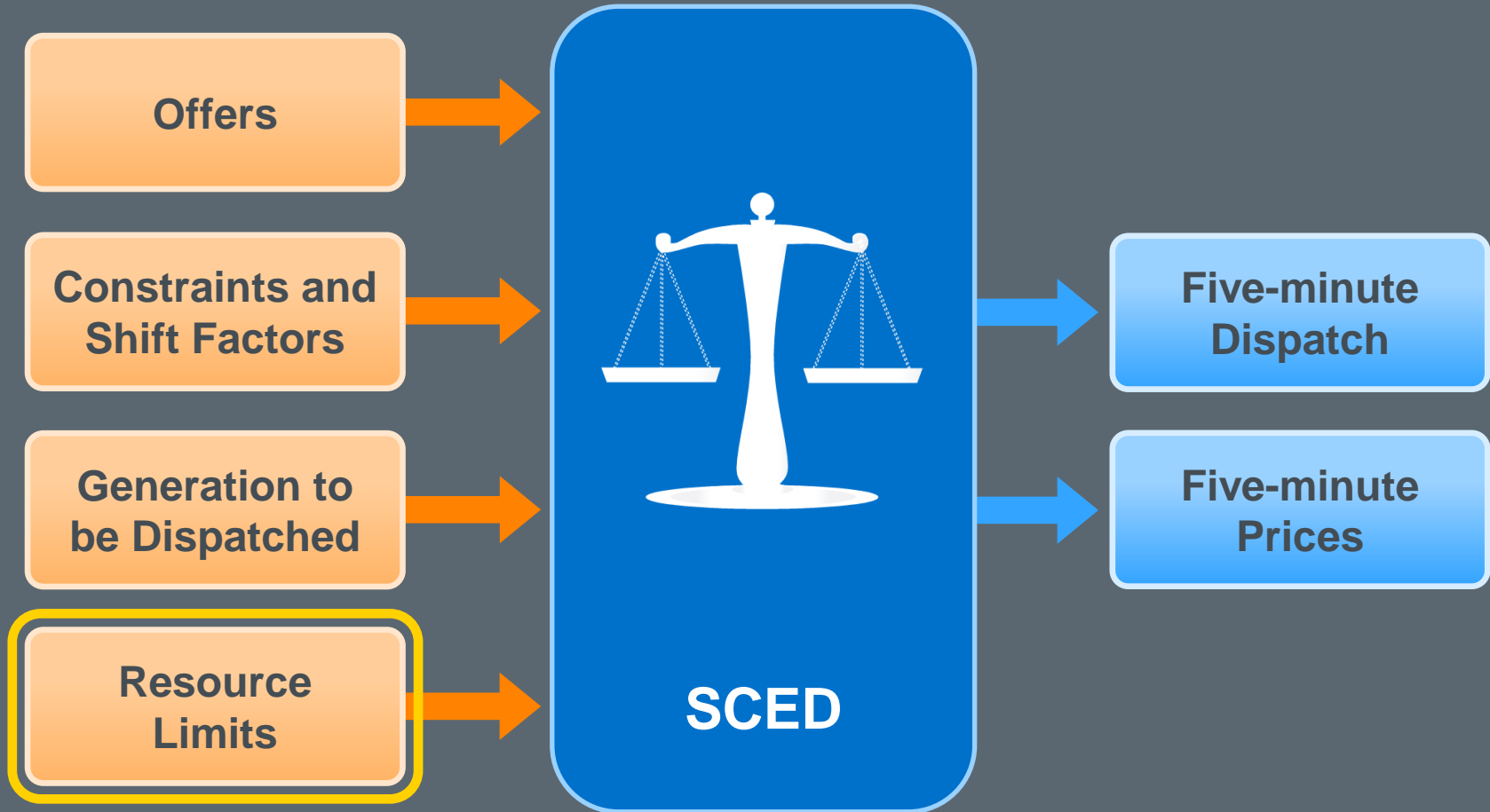
Resource Dispatch in Real-Time

1

Resource Limits in Real-Time Dispatch

2

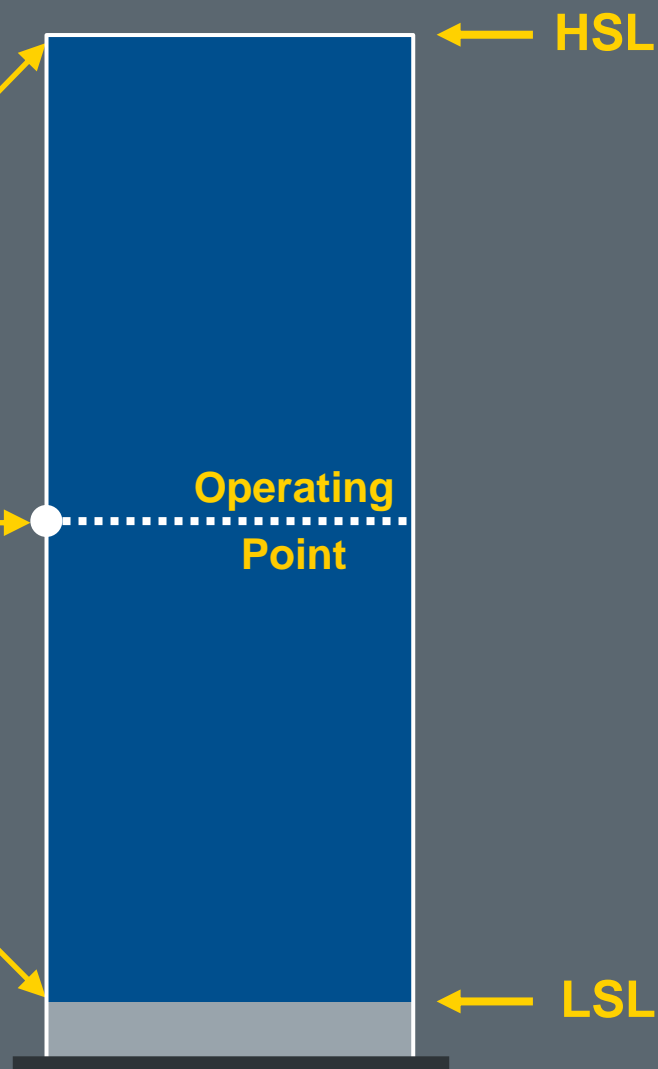
Real-Time Dispatch Process



High Sustained Limit

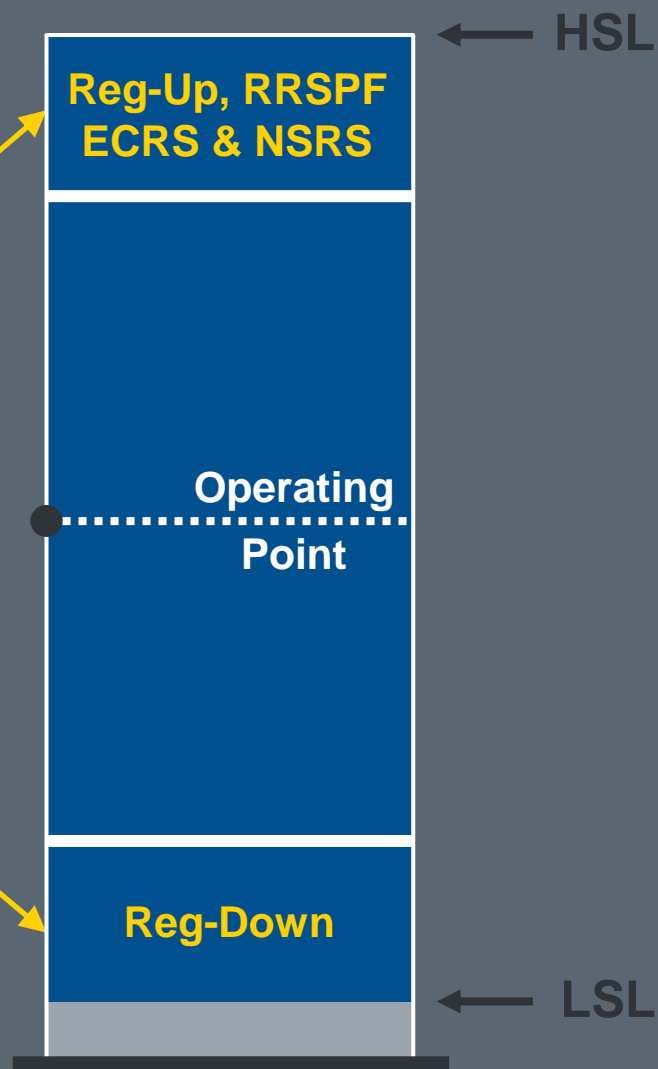
Telemetered by the QSE every few seconds

Low Sustained Limit



High Sustained Limit

Telemetered by the QSE every few seconds



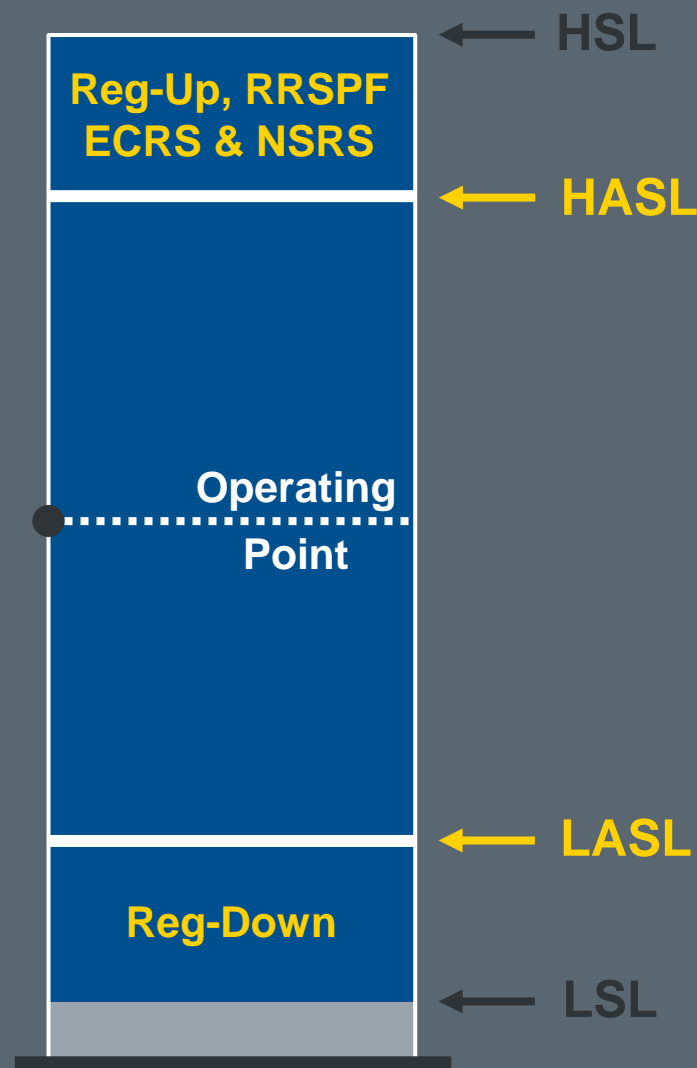
Low Sustained Limit

High Ancillary Service Limit

- = HSL – Reg-Up Responsibility
- RRSPF Schedule
- ECRS Schedule
- Non-Spin Schedule

Low Ancillary Service Limit

= LSL + Reg-Down Responsibility



SCED Up Ramp Rate (SURAMP)

= Up Ramp Rate

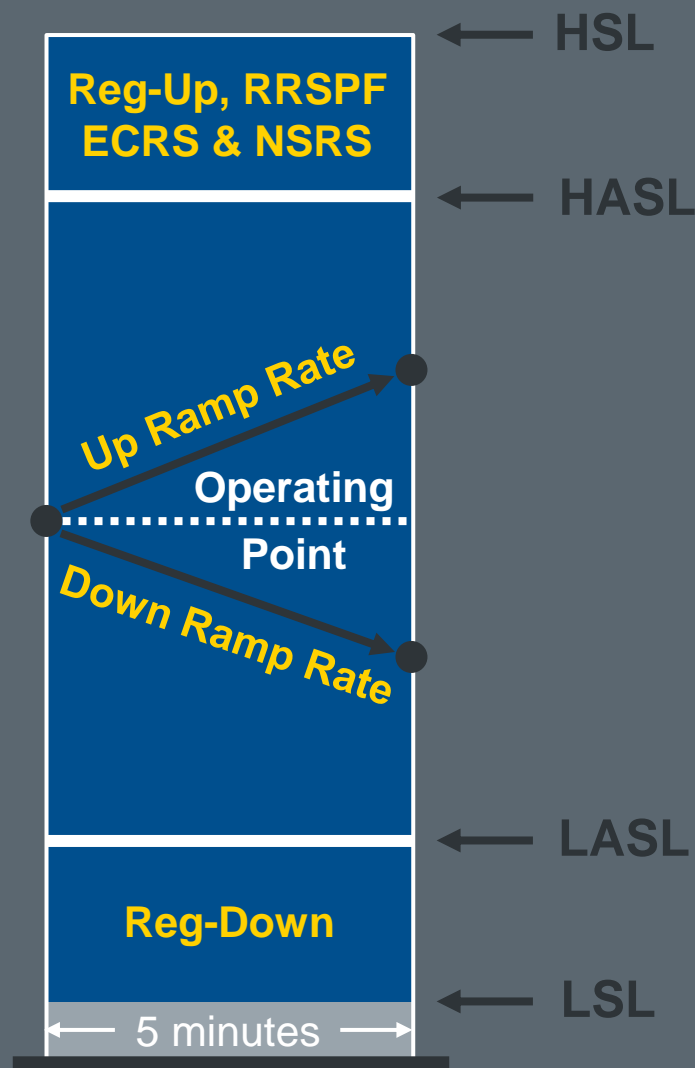
$$- (1 - \text{RDSDEPLP}) * (\text{Reg-Up Resp} / 7)$$



SCED Down Ramp Rate (SDRAMP)

= Down Ramp Rate

$$- (1 - \text{RUSDEPLP}) * (\text{Reg-Down Resp} / 7)$$

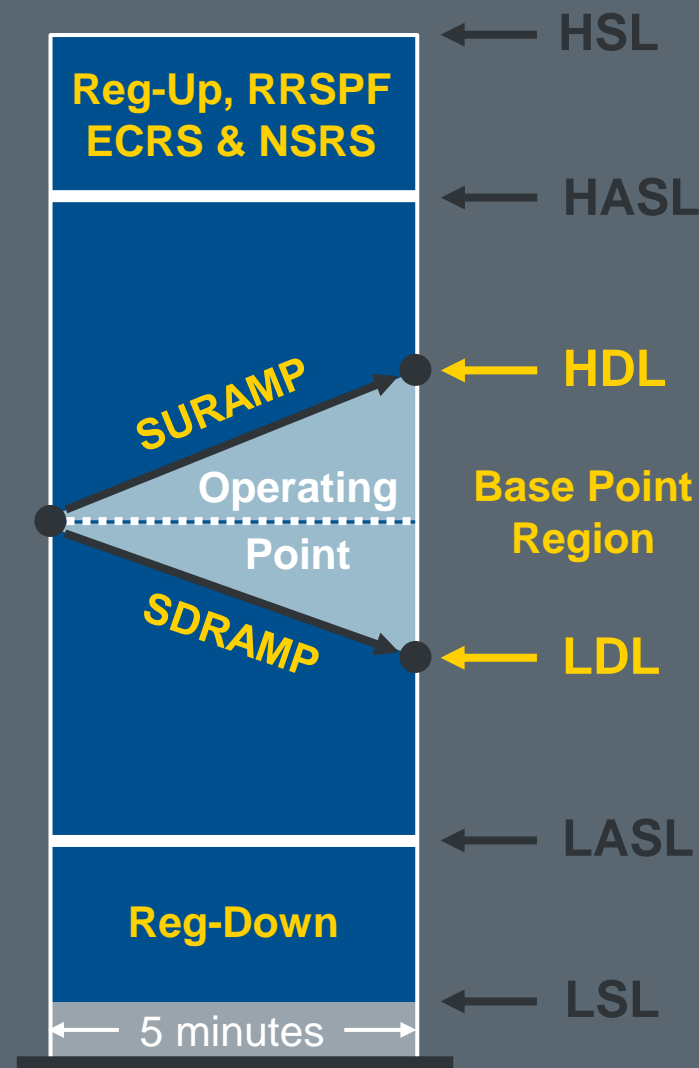


High Dispatch Limit

$$\text{HDL} = \text{Operating Point} + (\text{SURAMP} * 5)$$

Low Dispatch Limit

$$\text{LDL} = \text{Operating Point} - (\text{SDRAMP} * 5)$$

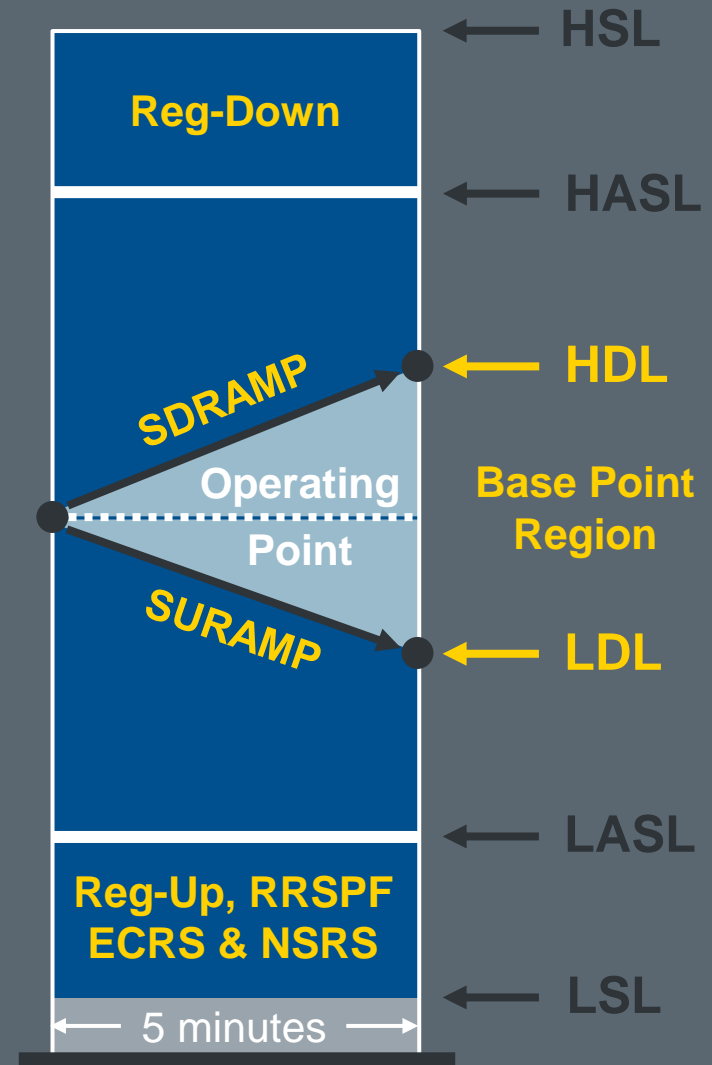


High Dispatch Limit

$$\text{HDL} = \text{Operating Point} + (\text{SDRAMP} * 5)$$

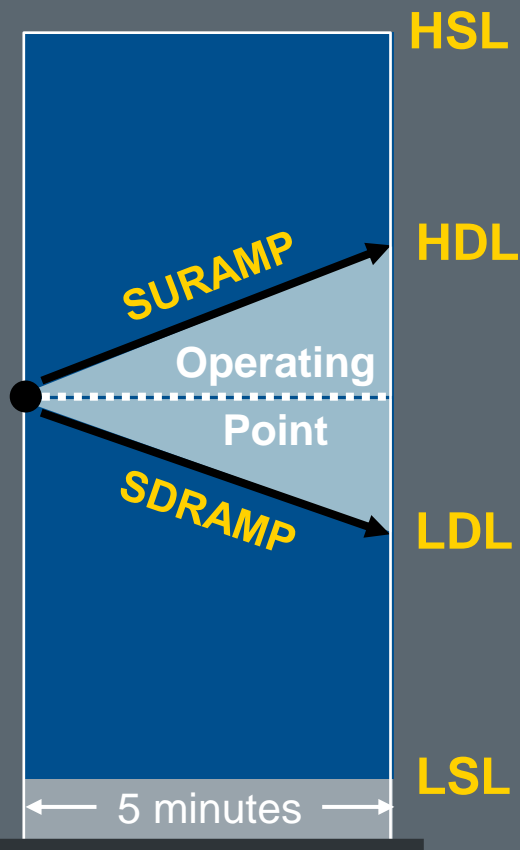
Low Dispatch Limit

$$\text{LDL} = \text{Operating Point} - (\text{SURAMP} * 5)$$





Generator has a current operating point of 150MW



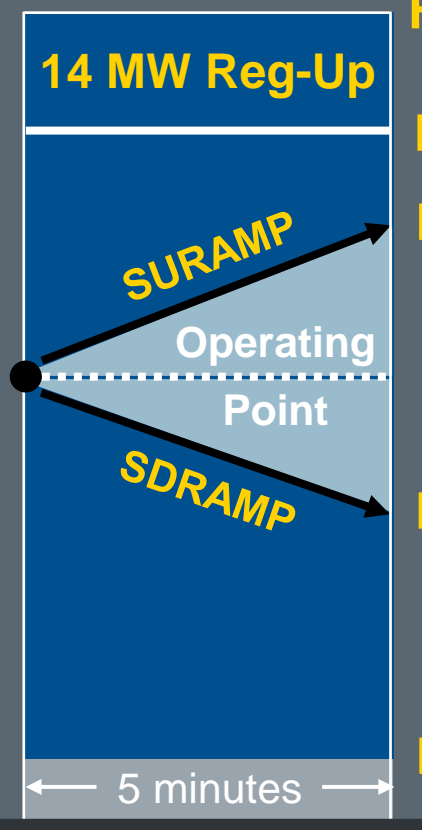
Ramp Rates:

- Normal Up = 3MW/min
- Normal Down = 4MW/min

What are HDL and LDL?



Generator has a current operating point of 150MW



HSL

Ramp Rates:

- Normal Up = 3MW/min
- Normal Down = 4MW/min

HASL

HDL

Reg-Down deployment percentage = 50%

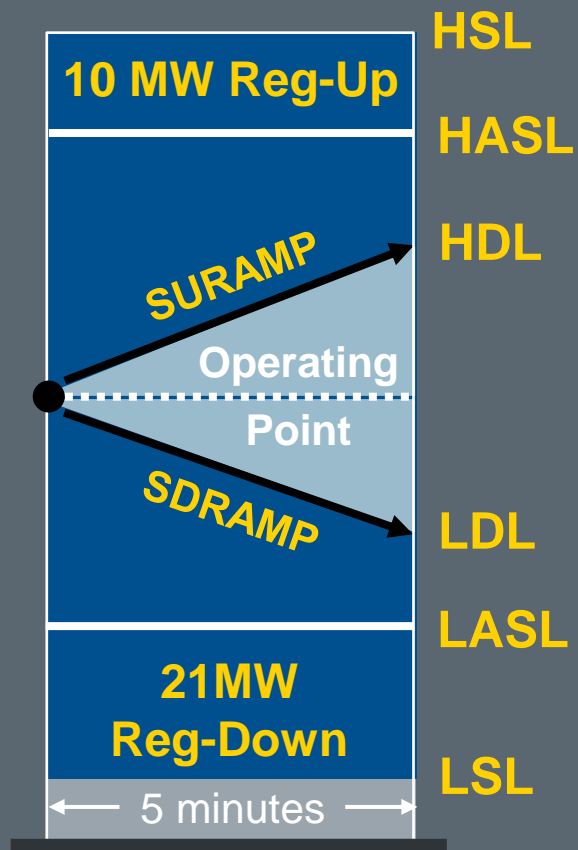
What is HDL?

LDL

LSL



Generator has a current operating point of 150MW



Ramp Rates:

- Normal Up = 3MW/min
- Normal Down = 4MW/min

Reg-Up deployment percentage = 0%

What is LDL?

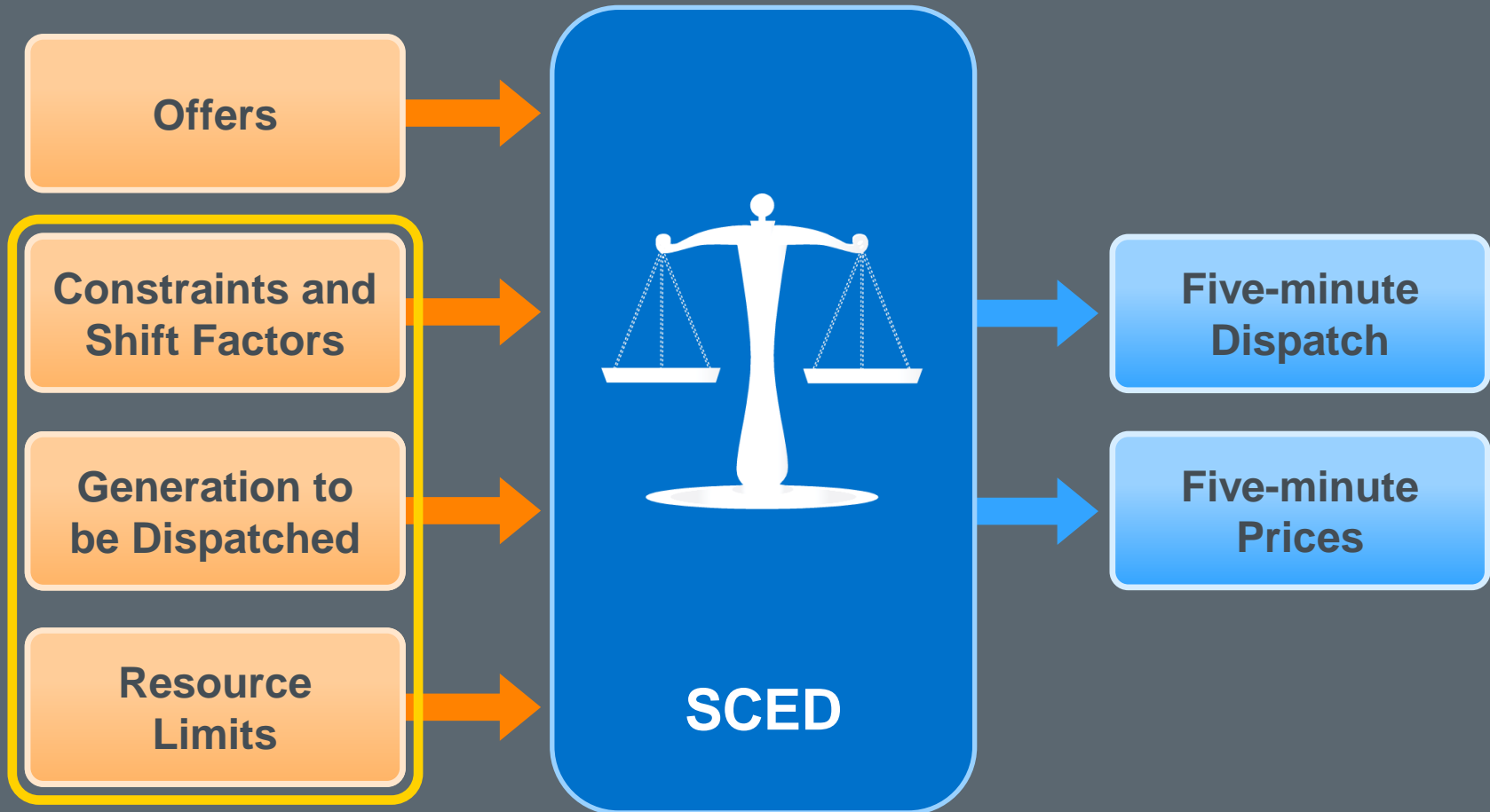
1

Resource Limits in Real-Time Dispatch

2

Real-Time Dispatch Process

Economically optimized subject to constraints

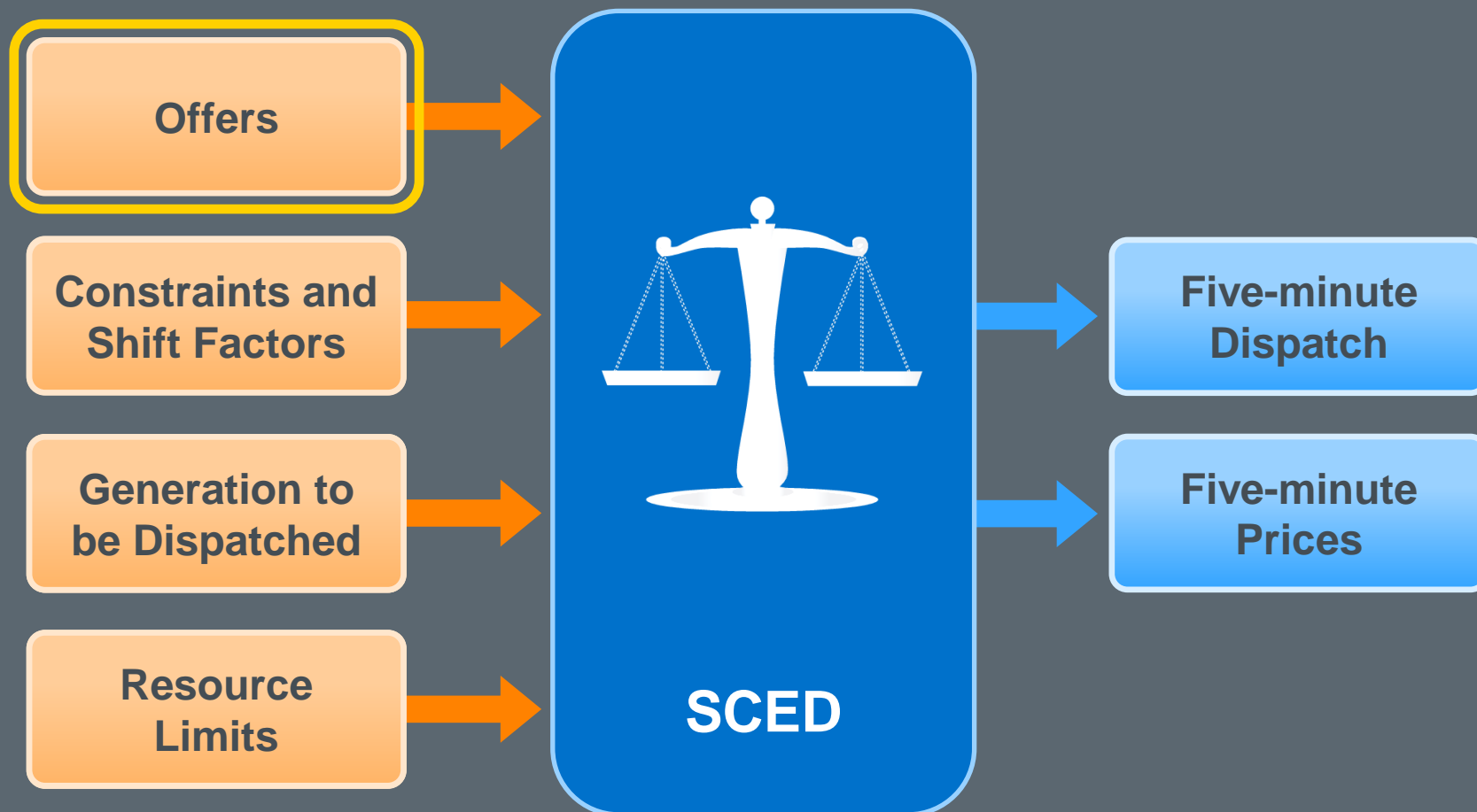


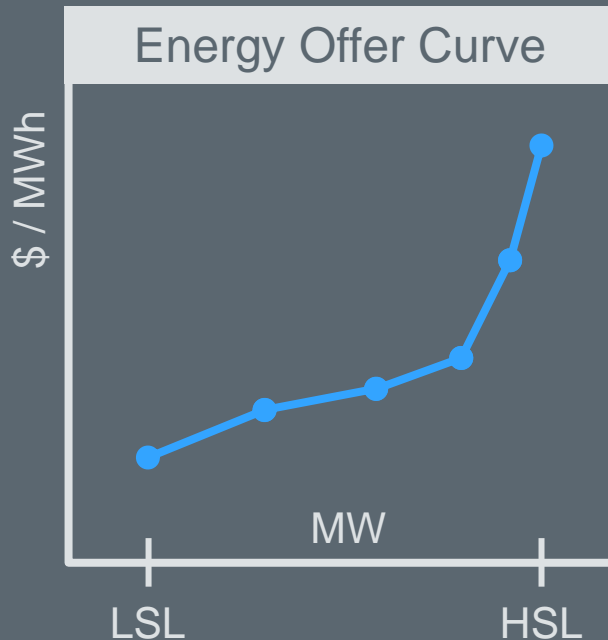
Constraints Enforced by SCED

Type	Constraints
Network Security	Power Balance Constraint
	Transmission Constraint
Resource	Dispatch Limits



Economically optimized subject to constraints

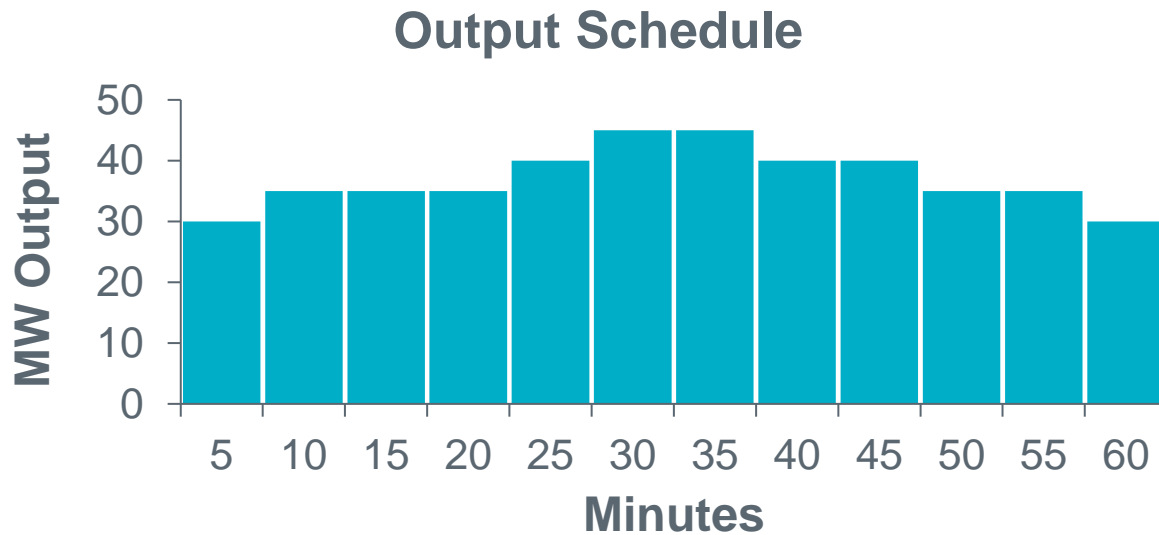


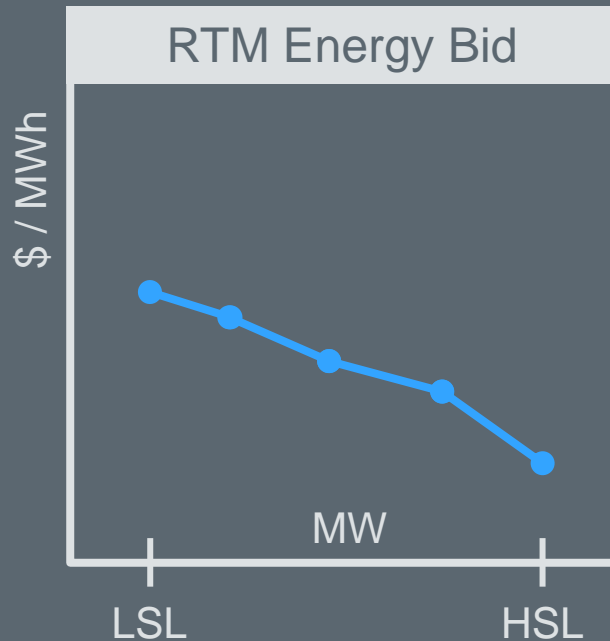


Submitted for:

- Online Resource
- Quick Start Generation Resource (QSGR)
- Offline Resource providing Non-Spin

Resource will be a Price Taker

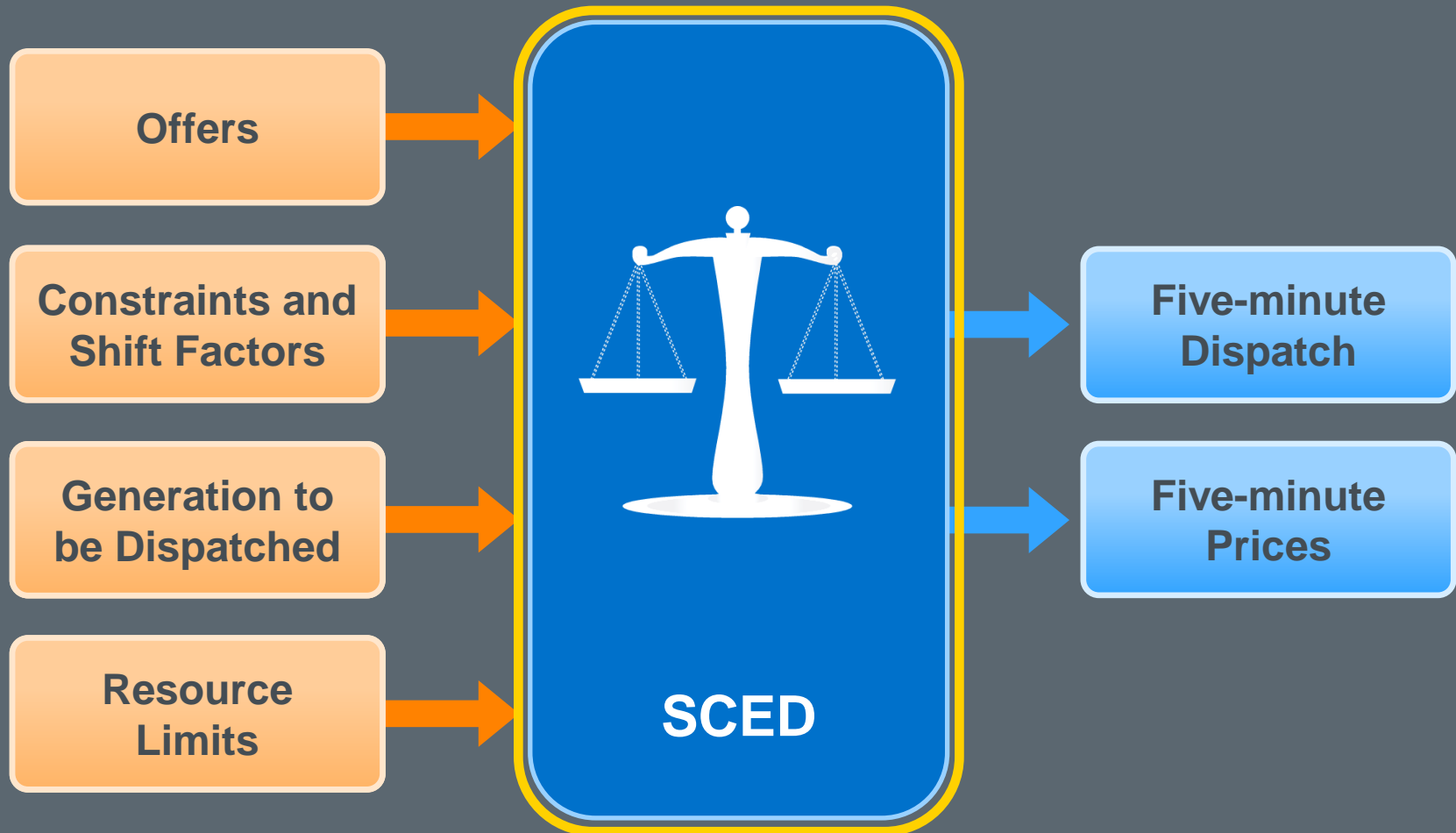




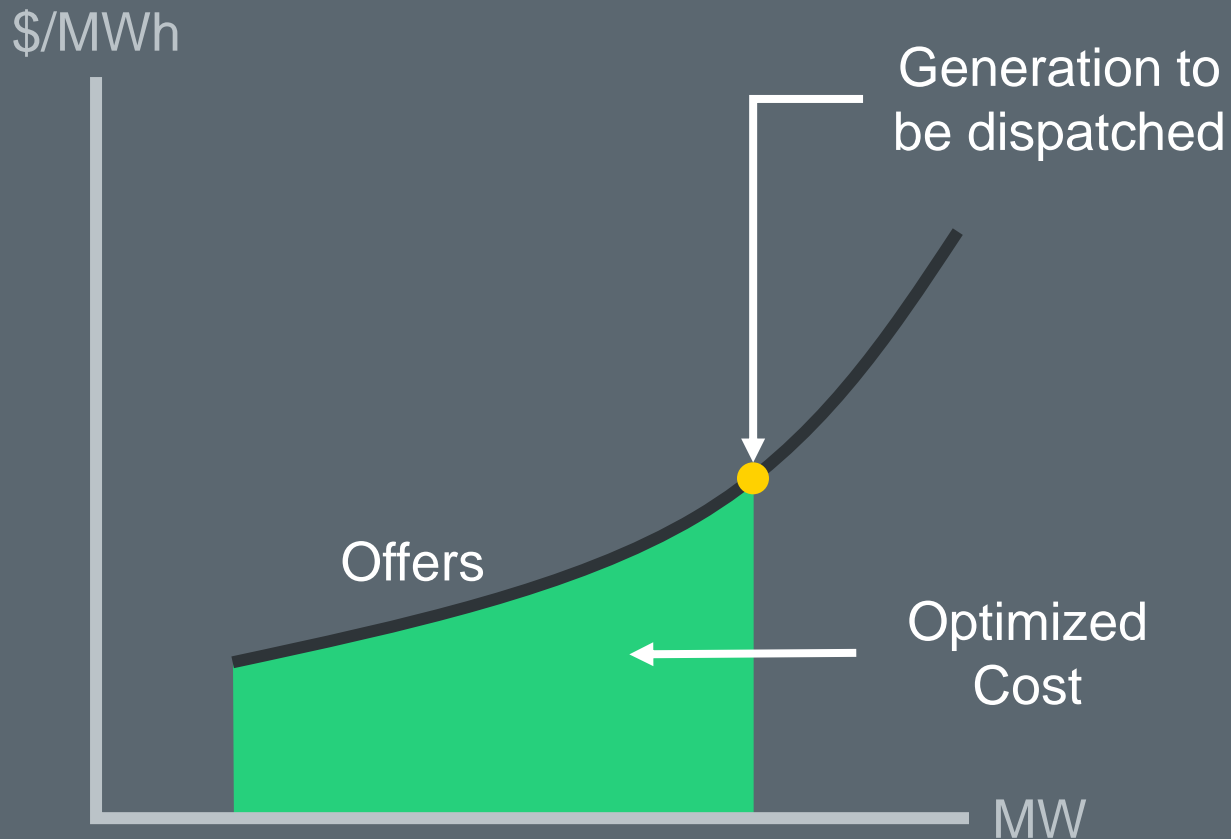
Submitted for:

- Controllable Load Resource
- Aggregate Load Resource
- Energy Storage Resource

Economically optimized subject to constraints



Minimize Dispatch Costs



SCED Optimization calculates Shadow Prices

- SP_{demand} for the Power Balance Constraint
- SP_c for each Transmission Constraint



Locational Marginal Prices for Energy

$$LMP_{bus} = SP_{demand} - \sum_c SF_{bus,c} * SP_c$$

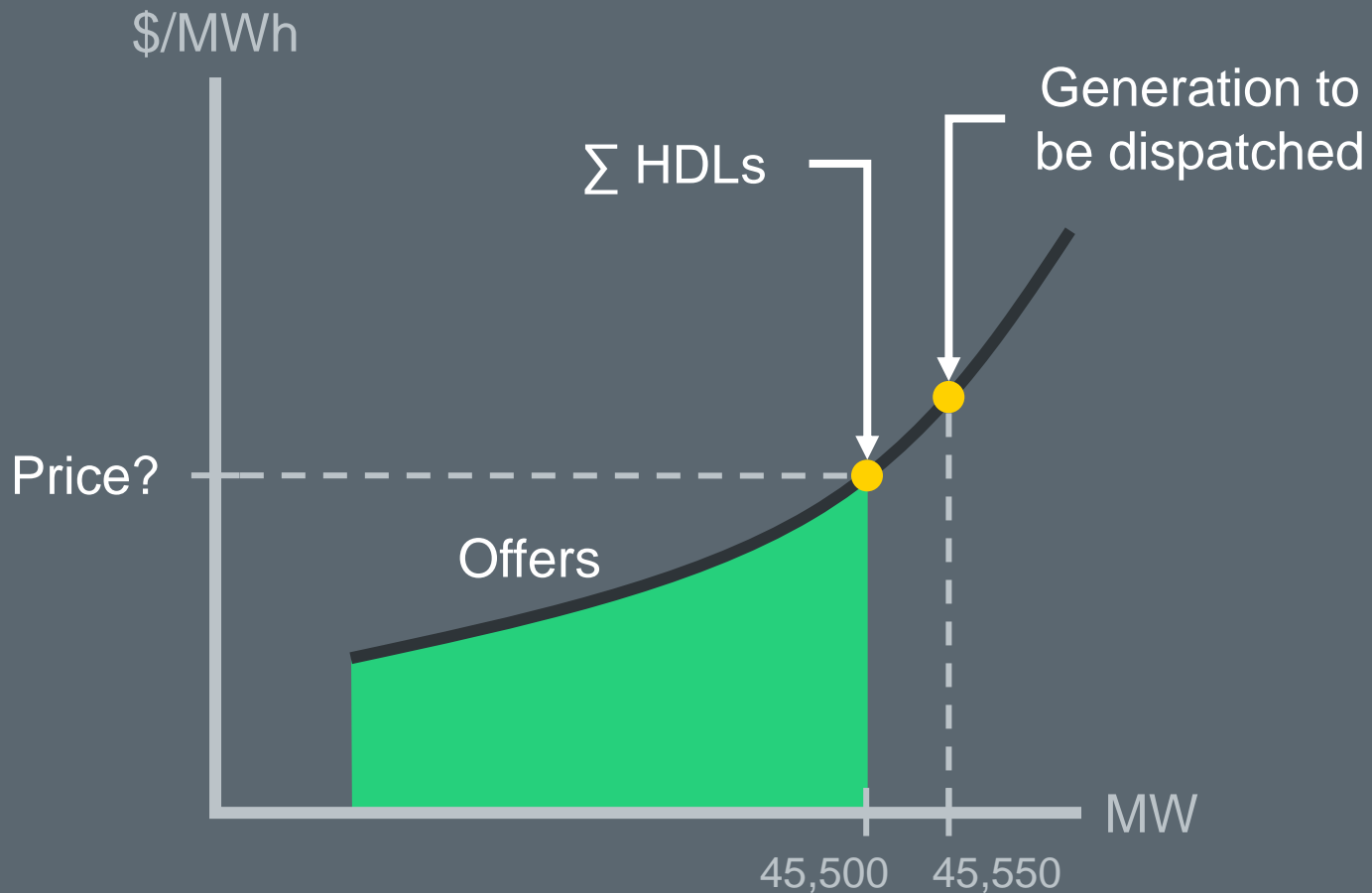
Shift Factor of the bus on
Transmission Constraint "c"

Also known as
System Lambda (λ)

SCED Shadow Prices subject to caps



What is SCED going to do?



Sets SP_{demand} when SCED violates Power Balance

Under-Generation

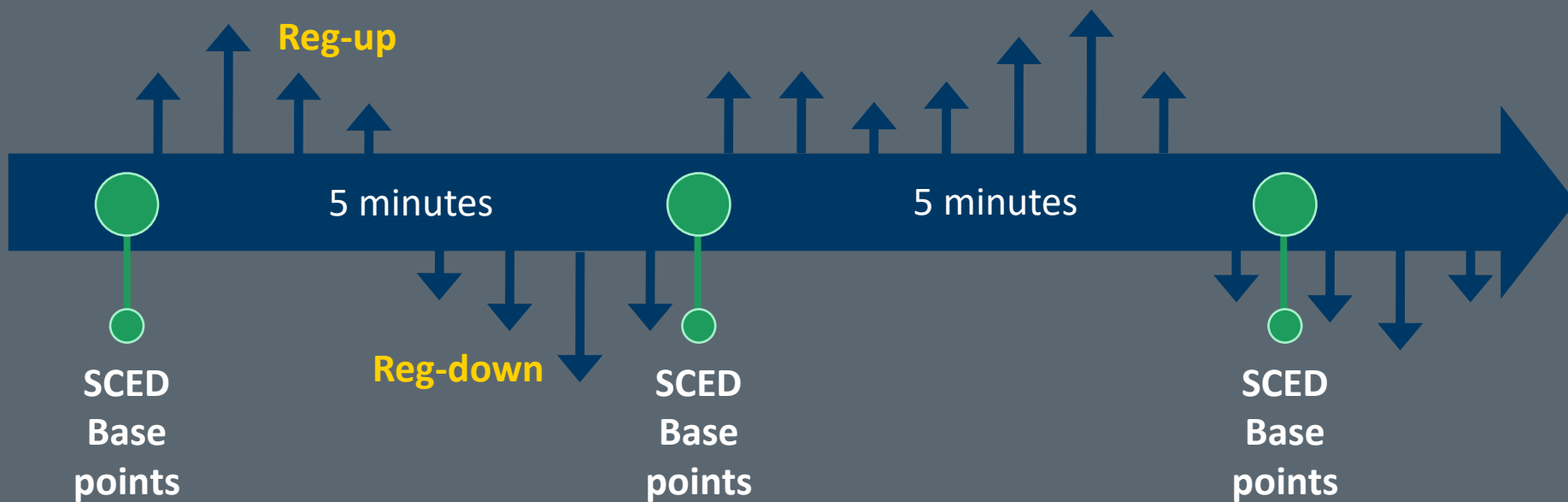
MW Violation	\$/MWh
$MW \leq 5$	250
$5 < MW \leq 10$	300
$10 < MW \leq 20$	400
$20 < MW \leq 30$	500
$30 < MW \leq 40$	1000
$40 < MW \leq 50$	2250
$50 < MW \leq 100$	4500
$MW > 100$	5001

Over-Generation

MW Violation	\$/MWh
$MW < 100,000$	-250



Any Power Balance violation by SCED will be covered by Regulation



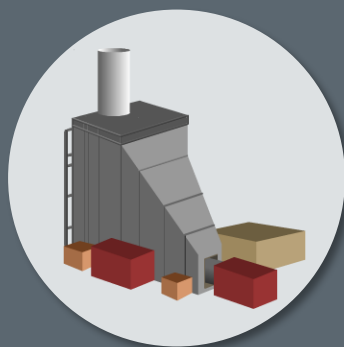
SCED will violate constraint whose cap is reached

Type of Constraint	Transmission Voltage	SP _c Cap (\$/MWh)
Base Case	N/A	5251
N-1 Constraint	Voltage < 100kV	2800
	100kV ≤ Voltage ≤ 200kV	3500
	200kV < Voltage	4500

Impact to LMPs depends on Shift Factors



$LMP_A = ?$



SF = +0.4

Constraint



A

B

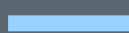
$SP_c = \$50/MWh$

Load

$SP_{demand} = \$100/MWh$

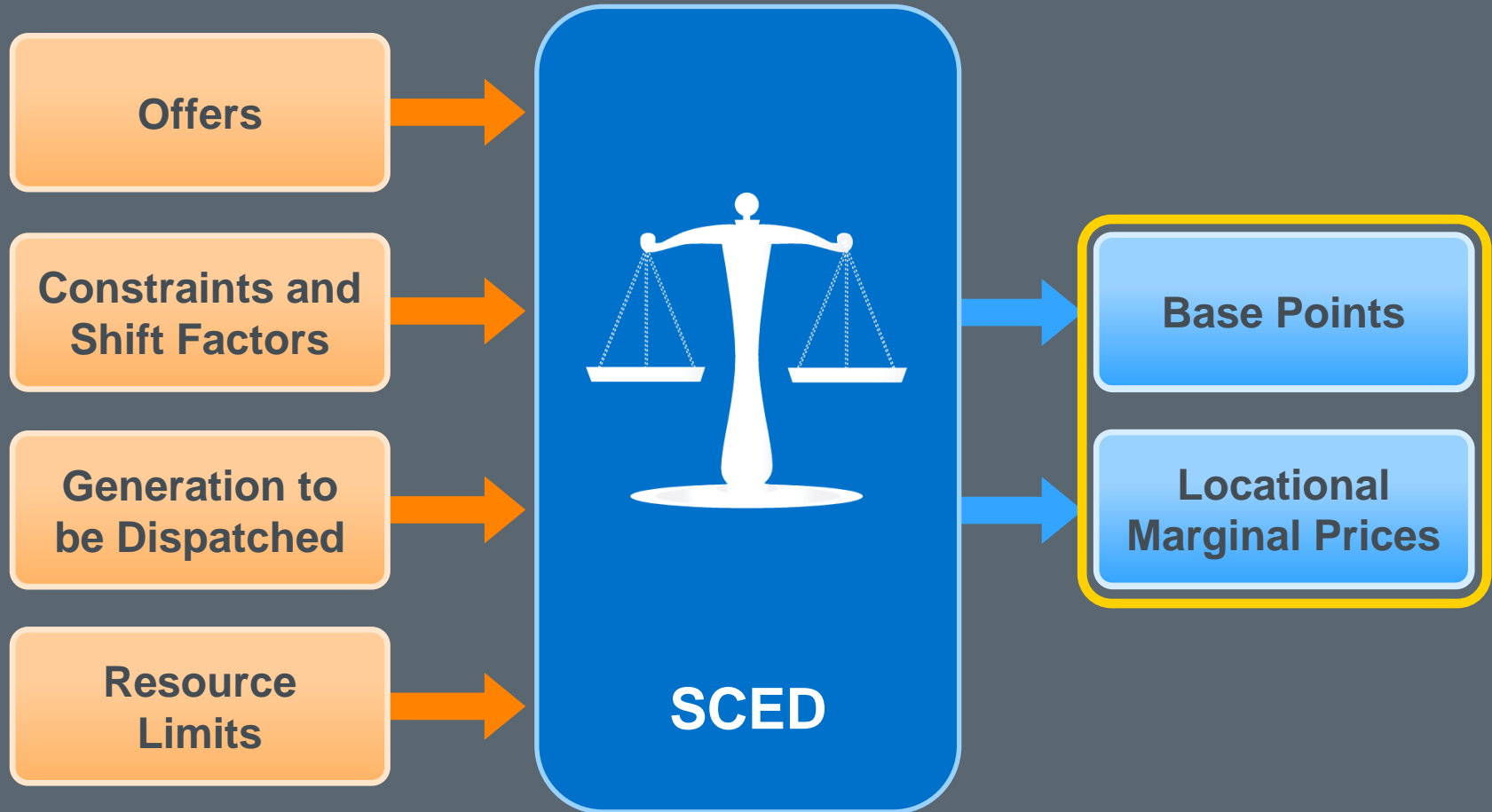


Resource Node

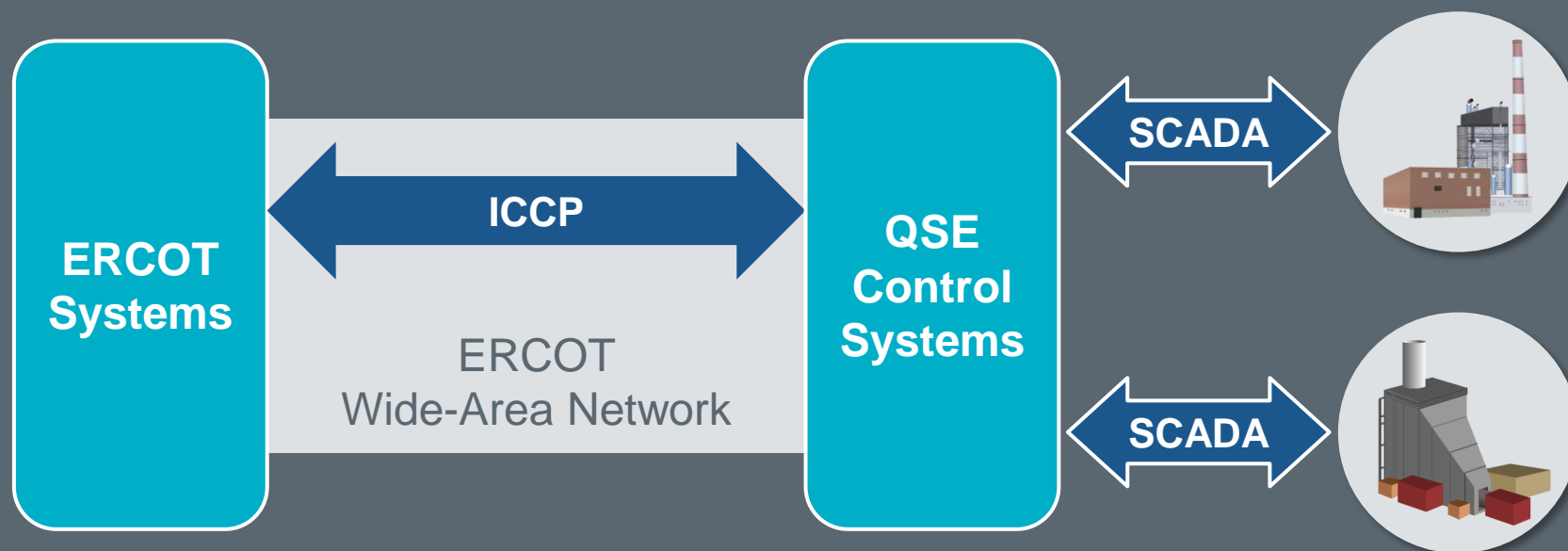


Transmission Line

Economically optimized subject to constraints



Resource-specific Base Points sent to QSEs



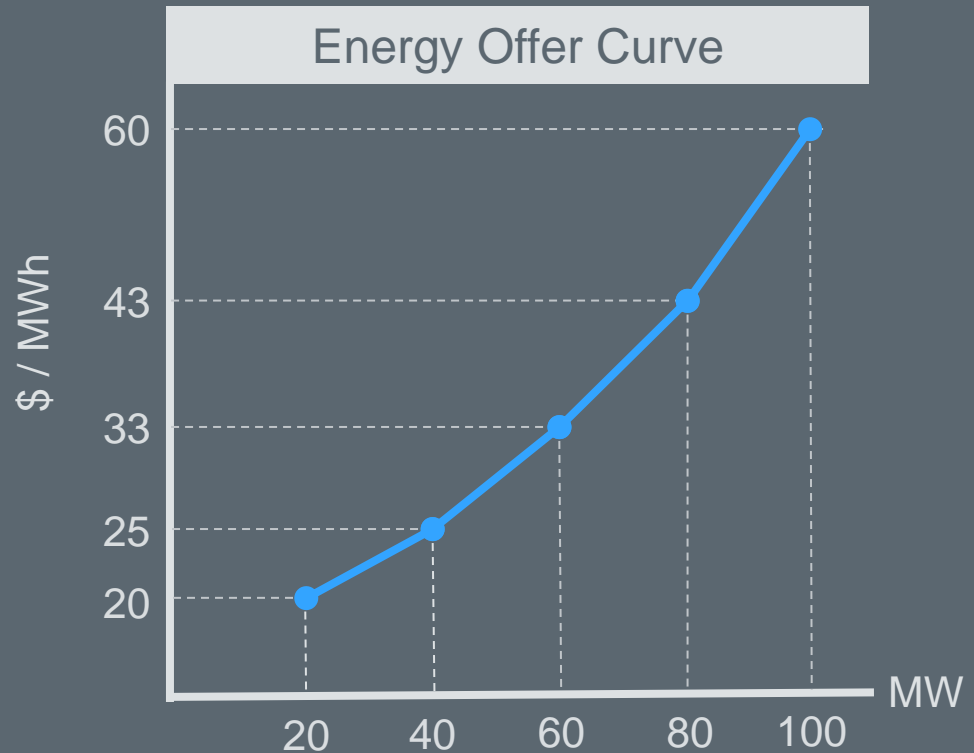


A Generation Resource is available for SCED dispatch

SCED runs at 1100 and dispatches the Resource to 80MW @\$43.

SCED runs at 1105 and dispatches Resource to 55MW @ -\$1000

What is happening?



Resource Reserve Deployment in Real-Time

1

Load Frequency Control
& Primary Frequency Response

2

Ancillary Service Limits

3

Ancillary Service Deployment Methodologies



Load Frequency Control

- Maintains system frequency, by
- Increasing/decreasing real power output,
- Without cost optimization

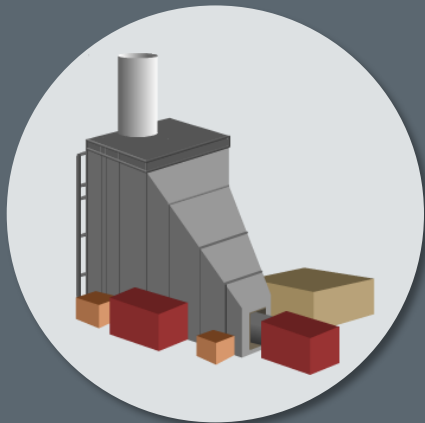


Primary Frequency Response

- Stabilizes system frequency, by
- Increasing/decreasing real power output,
- Without cost optimization

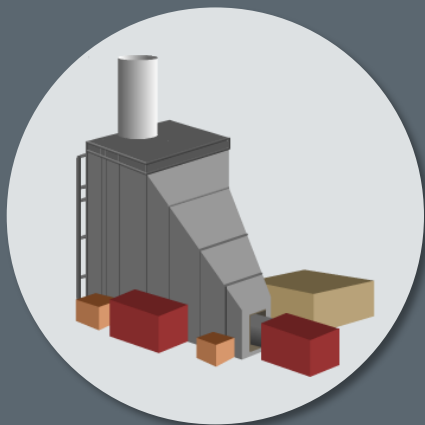
The following units must have Governor systems:

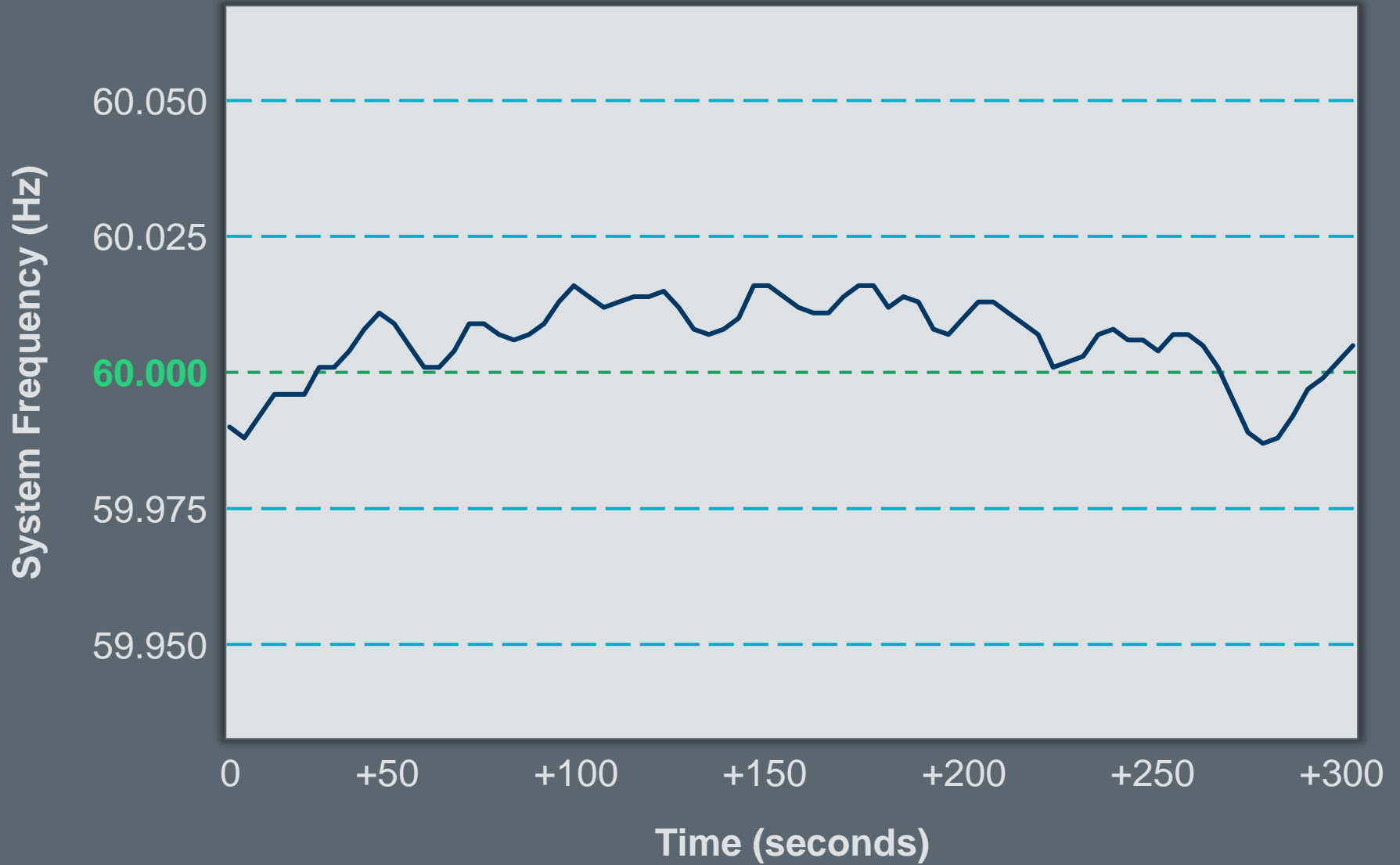
- Generation Resources
- Settlement Only Transmission Generators
- Settlement Only Transmission Self-Generators
- Controllable Load Resources

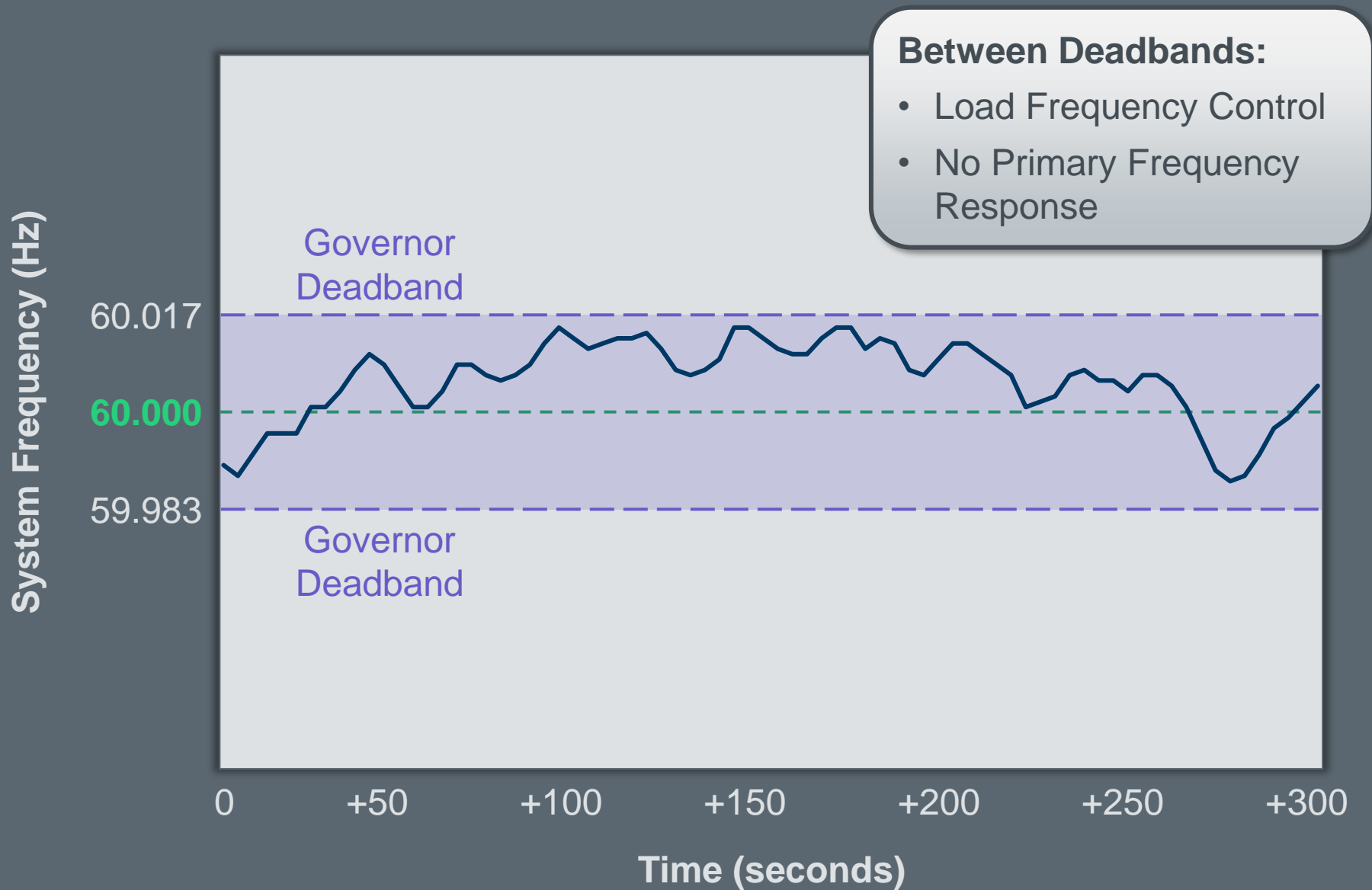


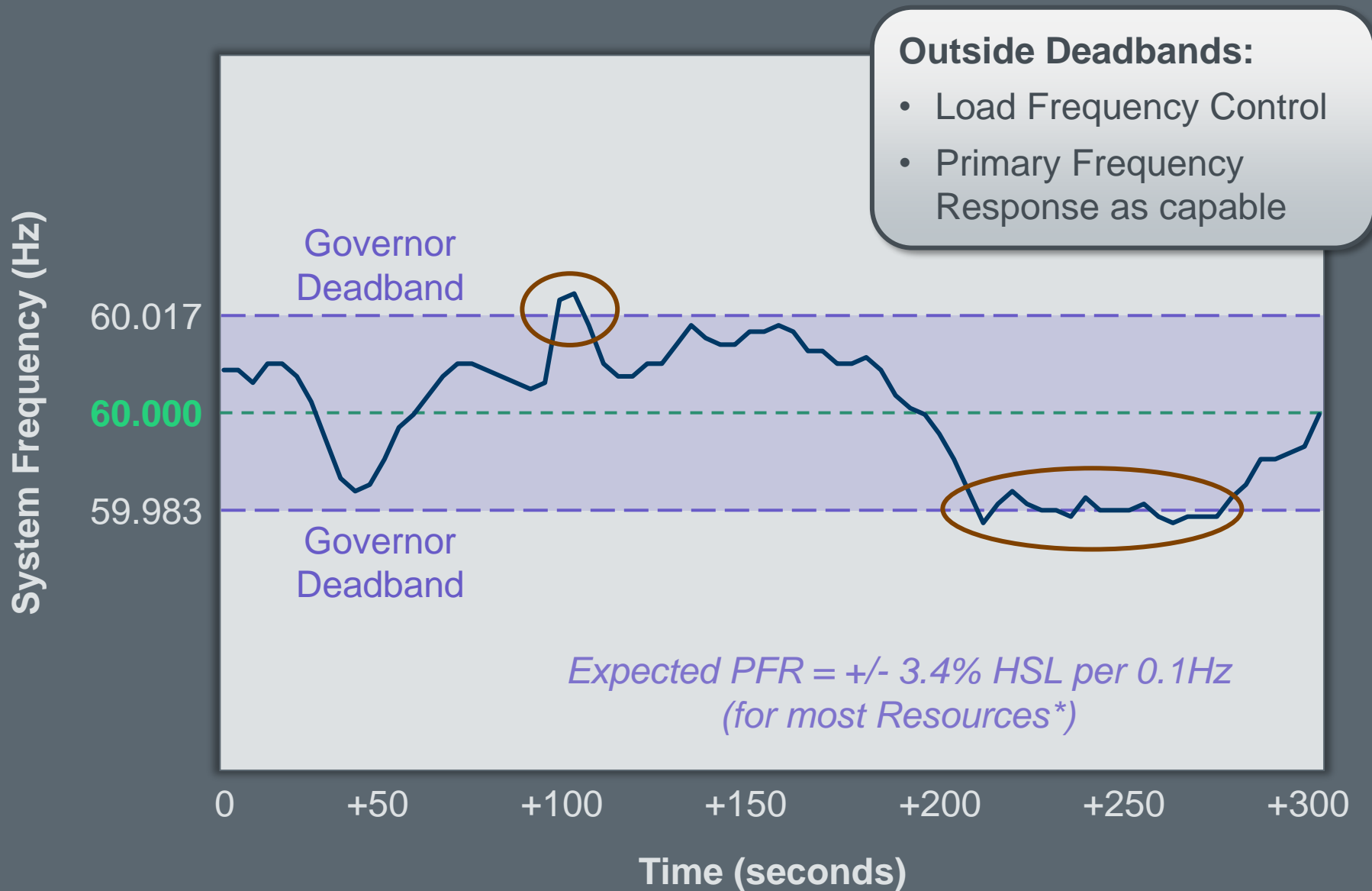
Governors must respond to changes in system frequency

... after a point

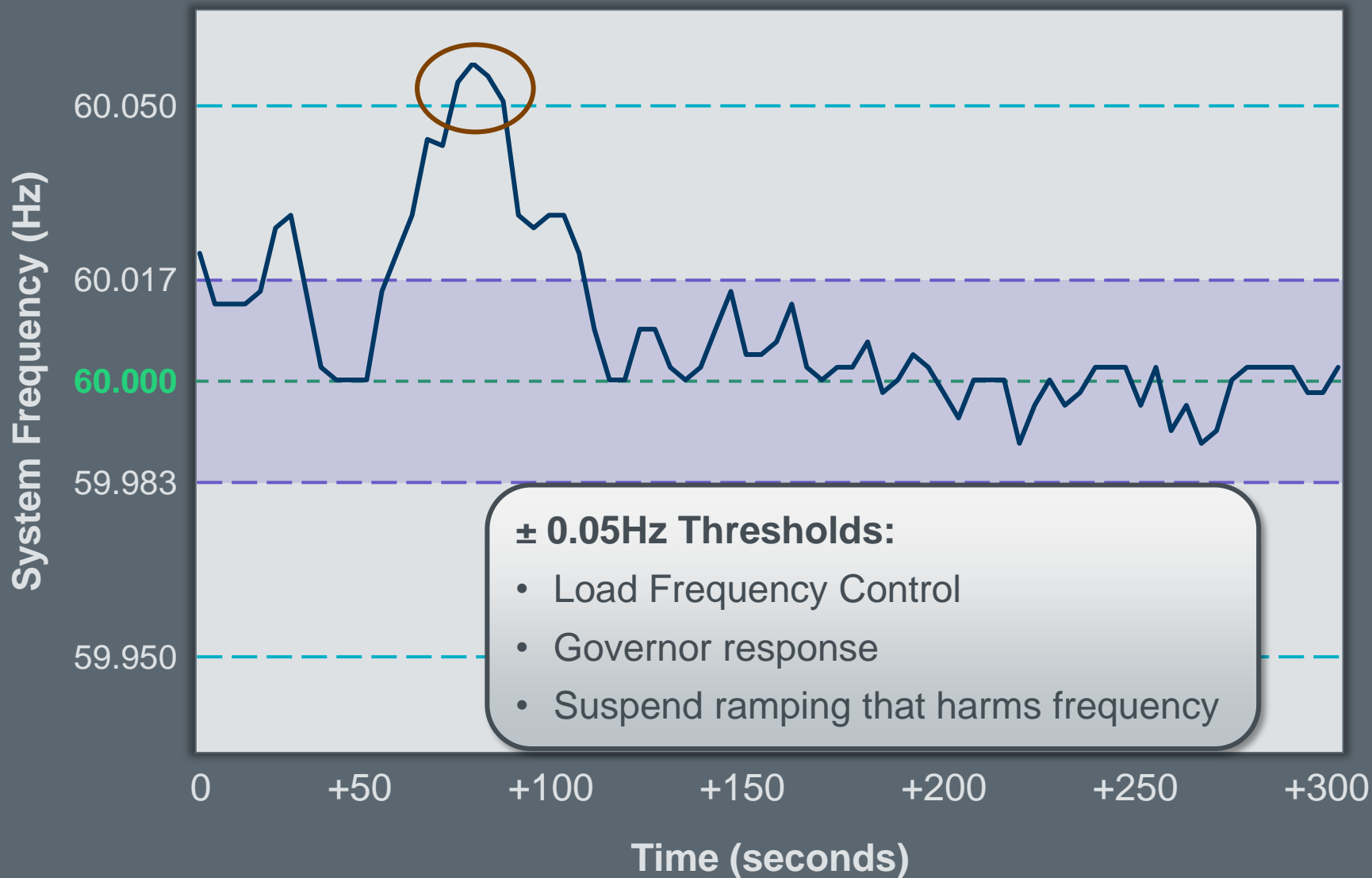


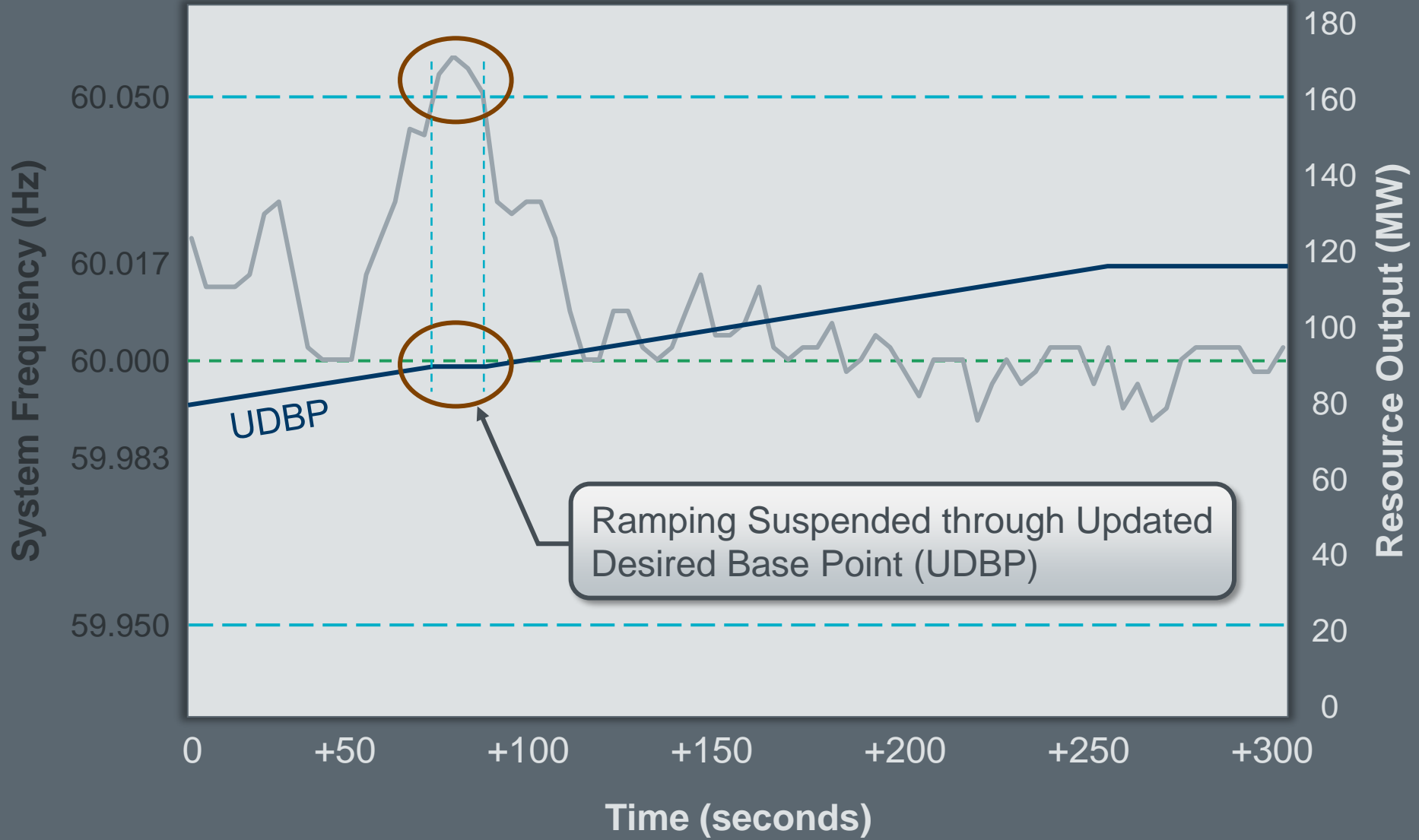






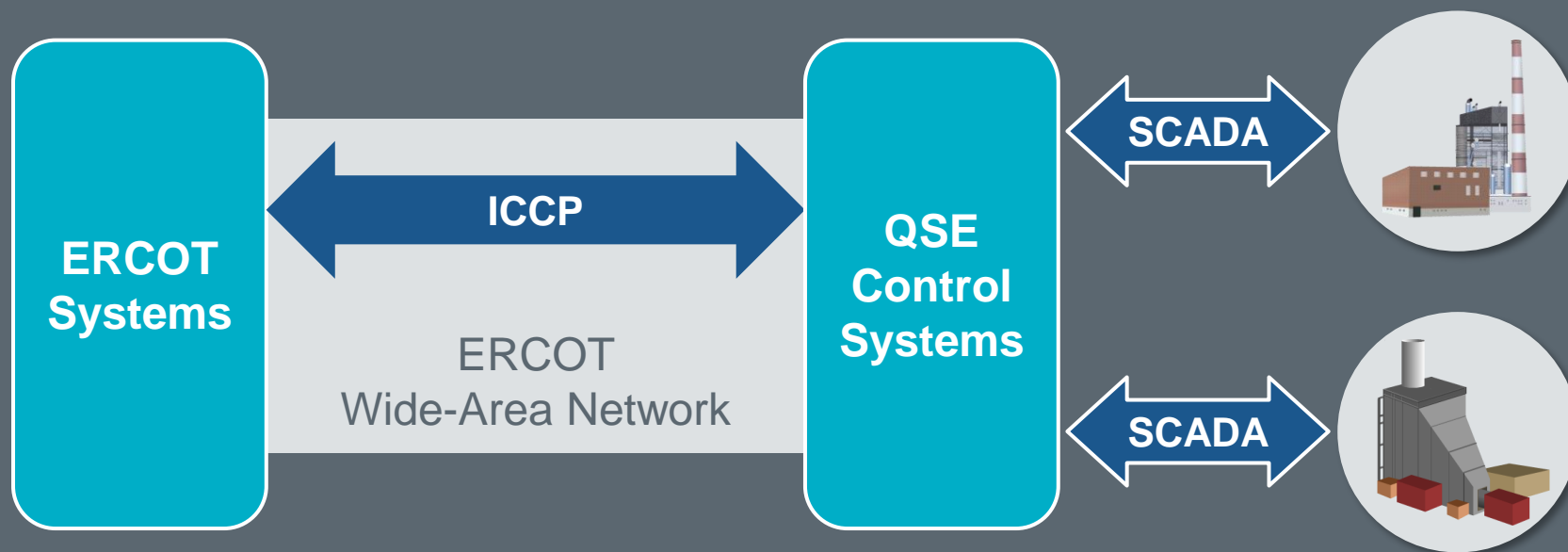
* See Nodal Operating Guide Sections 2 and 8 for more details





UDBPs sent to QSEs every 4 seconds

- Expected MW output
- Does not include Regulation



1

Load Frequency Control
& Primary Frequency Response

2

Ancillary Service Limits

3

Ancillary Service Deployment Methodologies

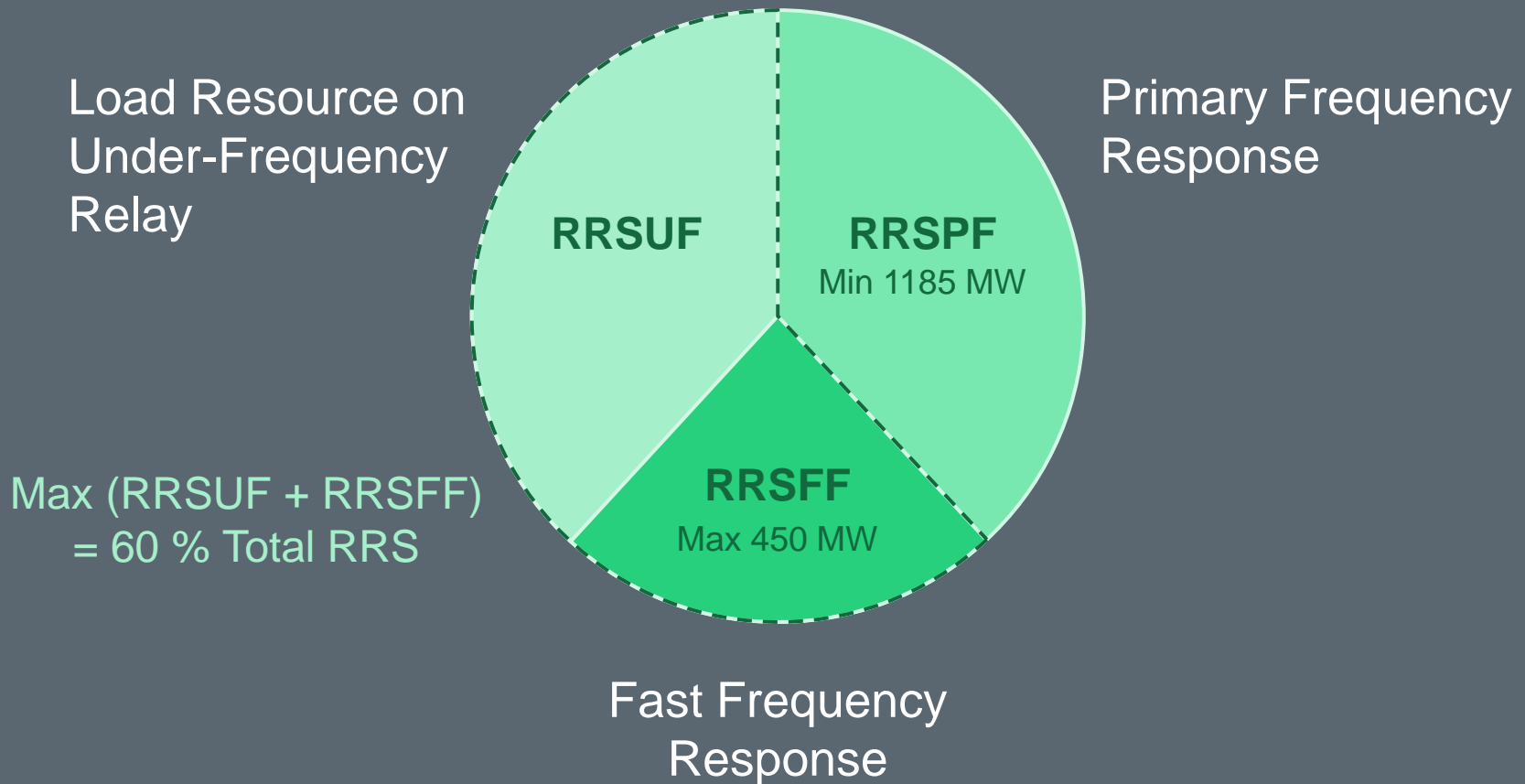
Limits enforced in Real-Time:

Generation Resources	Responsive Reserve (RRSPF subtype)	\leq RRSPF% of HSL (20% or Proven)
	Responsive Reserve (RRSFF subtype)	\leq 15-minute capacity
	ERCOT Contingency Reserve Service (ECRS)	$\leq 10 * \text{Emergency Ramp Rate}$
Hydro as Synchronous Condenser	Responsive Reserve	\leq 20 second capability
All Resources	$\text{LSL} + \text{Non-Spin} + \text{ECRS} + \text{Responsive} + \text{Reg-Up} \leq \text{HSL}$	

Fast Responding Regulation Service

Fast Responding Regulation Up	System total capped at 65 MW
Fast Responding Regulation Down	System total capped at 35 MW

Responsive Reserve Service Subtypes



1

Load Frequency Control
& Primary Frequency Response

2

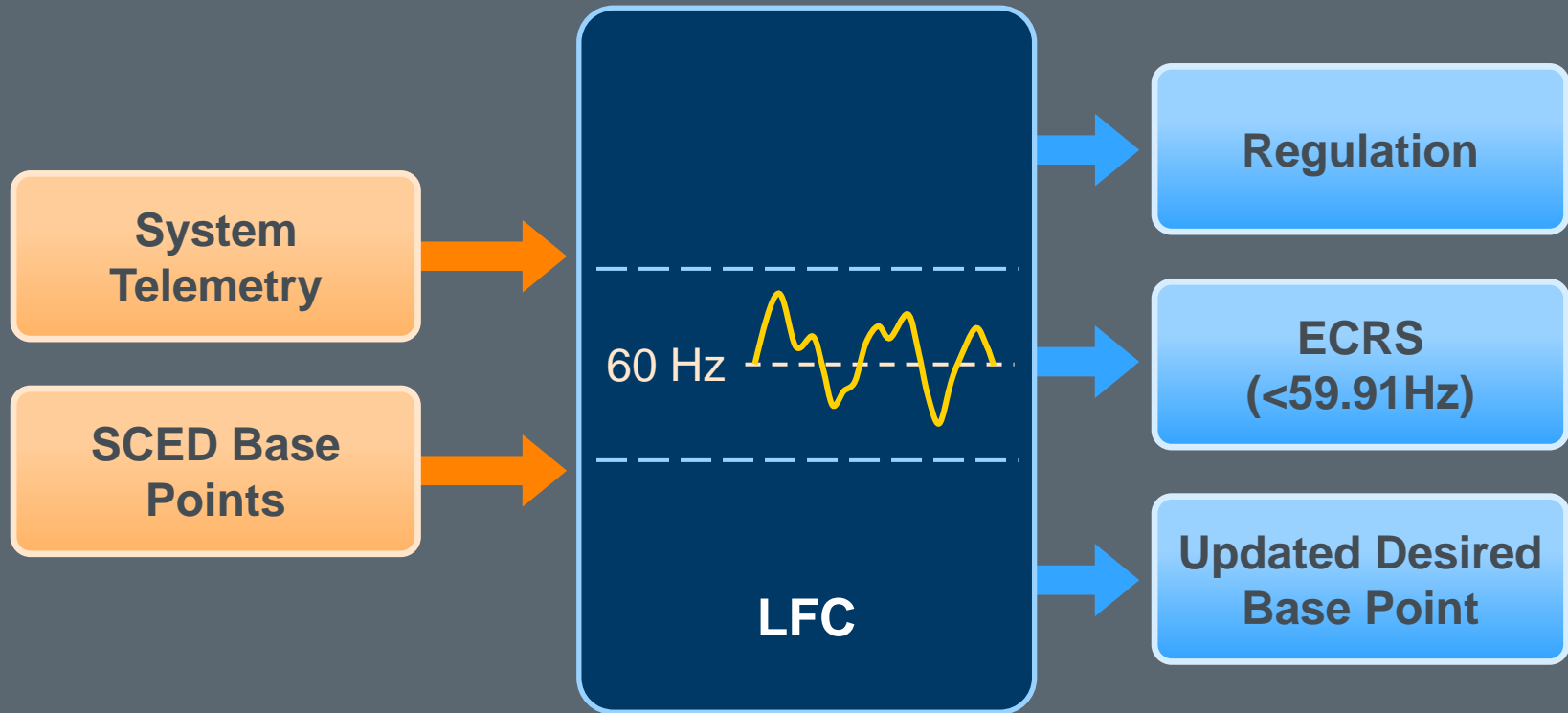
Ancillary Service Limits

3

Ancillary Service Deployment Methodologies

Methodology Varies by Service

AS Type	Deployment Methodology
Regulation Service	Load Frequency Control
Responsive Reserve Service (RRS)	Frequency Trigger
ERCOT Contingency Reserve Service (ECRS)	Operator Dispatch Instruction Load Frequency Control
Non-Spinning Reserve Service (NSRS)	Operator Dispatch Instruction Standing Deployment



Runs every 4 seconds!

Regulation Service



Proportional by QSE Share

- Not Resource-specific
- No price consideration

Must be able to ramp through reserved capacity in 5 minutes

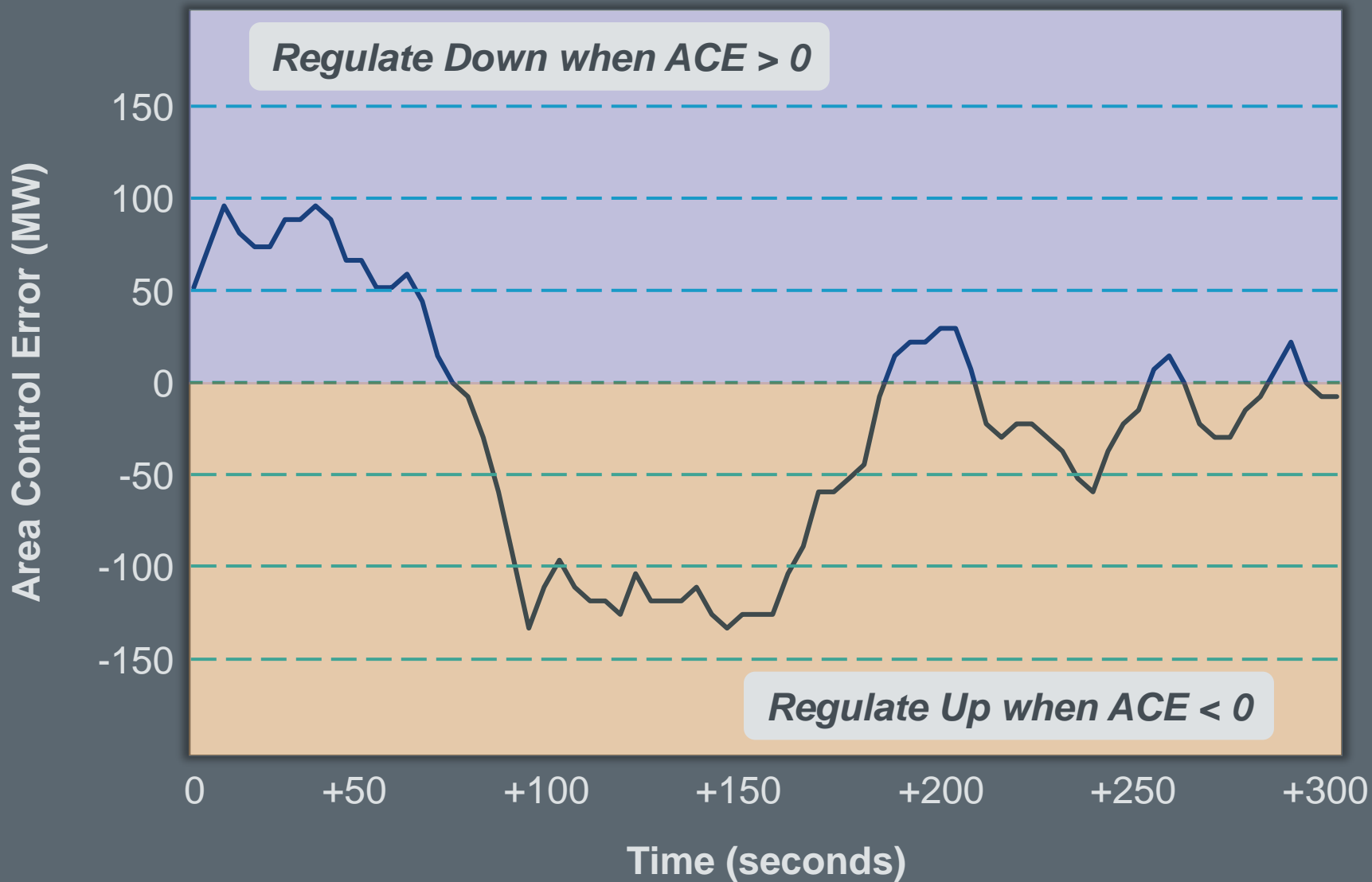
Calculates MWs needed to correct system frequency

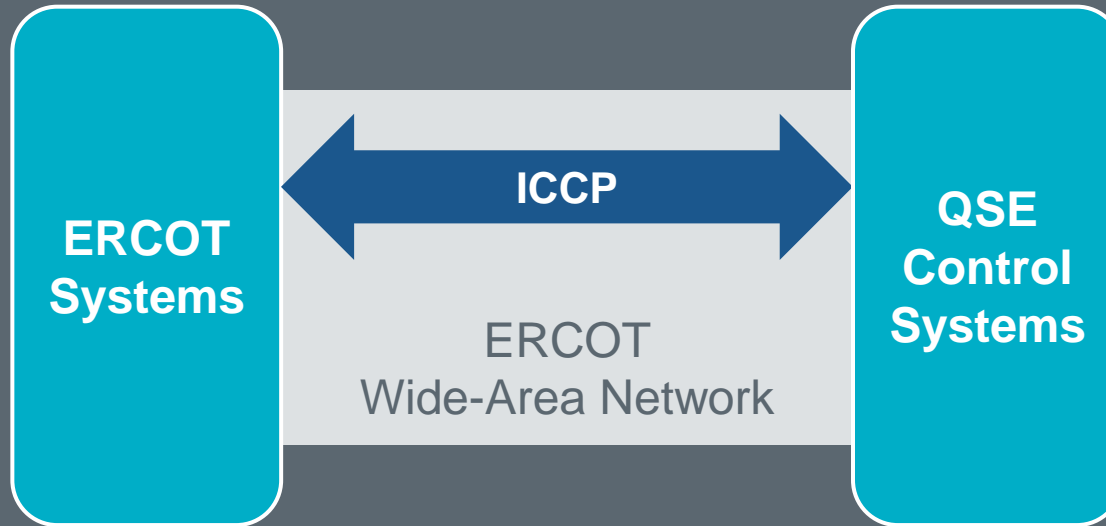
The Equation

$$\text{ERCOT ACE} = -10\beta (F_S - F_A)$$

*Adjusted for difference
between Resources' UDBP
and actual MW output*

Legend	
F_S	Scheduled Frequency
F_A	Actual Frequency
β	System Frequency Bias (Currently 895 MW/0.1Hz)





ERCOT to QSEs:

- Regulation MW
- Fast Responding Regulation MW

QSEs to ERCOT:

- AS Resource Responsibility
- Participation Factors
- Raise/Lower Block Status

Two modes of deployment



By ERCOT ICCP Control Signal

- ERCOT determines MW
- Resource deploys in 60 cycles

By Frequency Trigger

- Auto deploys at +/- 0.09Hz
- Must deliver 100% in 60 cycles

ERCOT recalls when system frequency recovers

Responsive Reserve is frequency responsive



RRSPF – Primary Frequency Response

- Automatic Response at 59.983 Hz
- May be deployed manually

RRSFF – Fast Frequency Response

- Auto-deployed at 59.85 Hz
- Responds within 15 Cycles
- Sustain 15 min / Restore 15 min

RRSUF – Load Resource on UFR

- Auto-deployed at 59.70 Hz
- Trips within 30 cycles

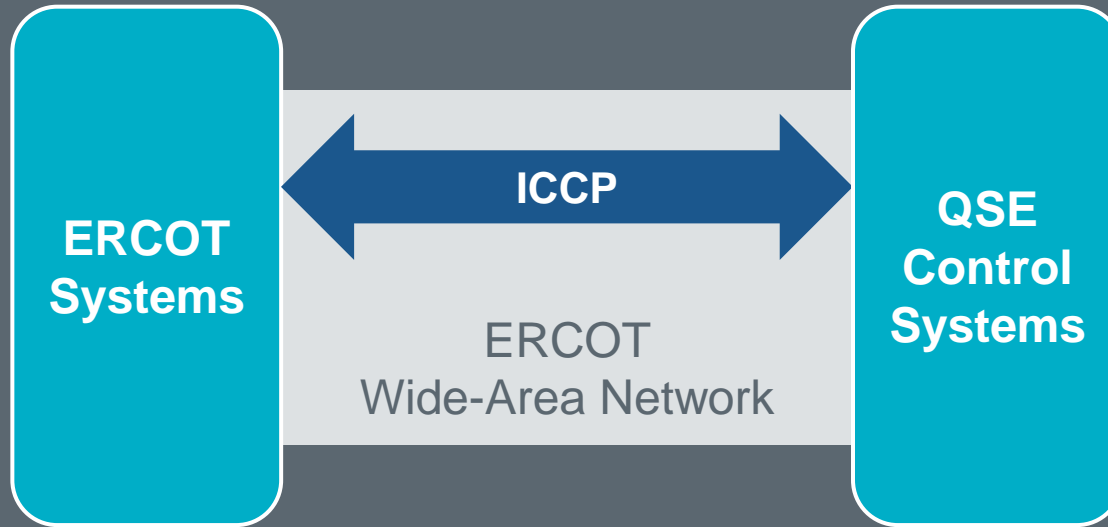
ERCOT Contingency Reserve Service



May be deployed manually or by Load Frequency Control

- Releases reserves to SCED
- Process varies by Resource Type

Must be able to ramp through reserved capacity in 10 minutes



ERCOT to QSEs:

- ECRS MW
- Resource Base Point MW

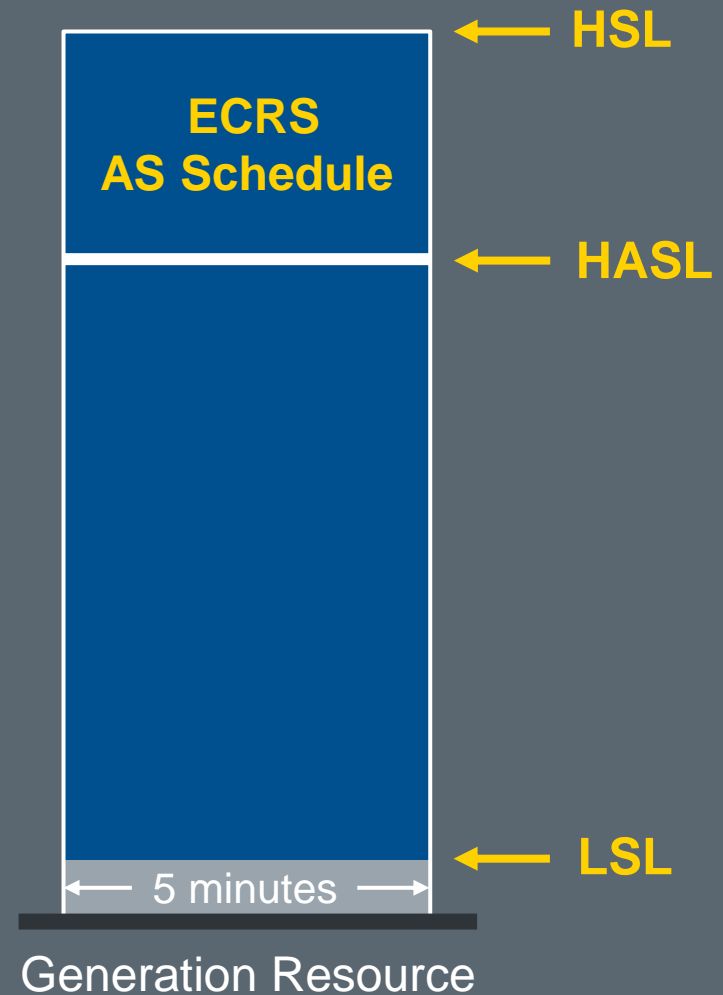
QSEs to ERCOT:

- AS Resource Responsibility
- AS Schedule



Releases reserves to SCED

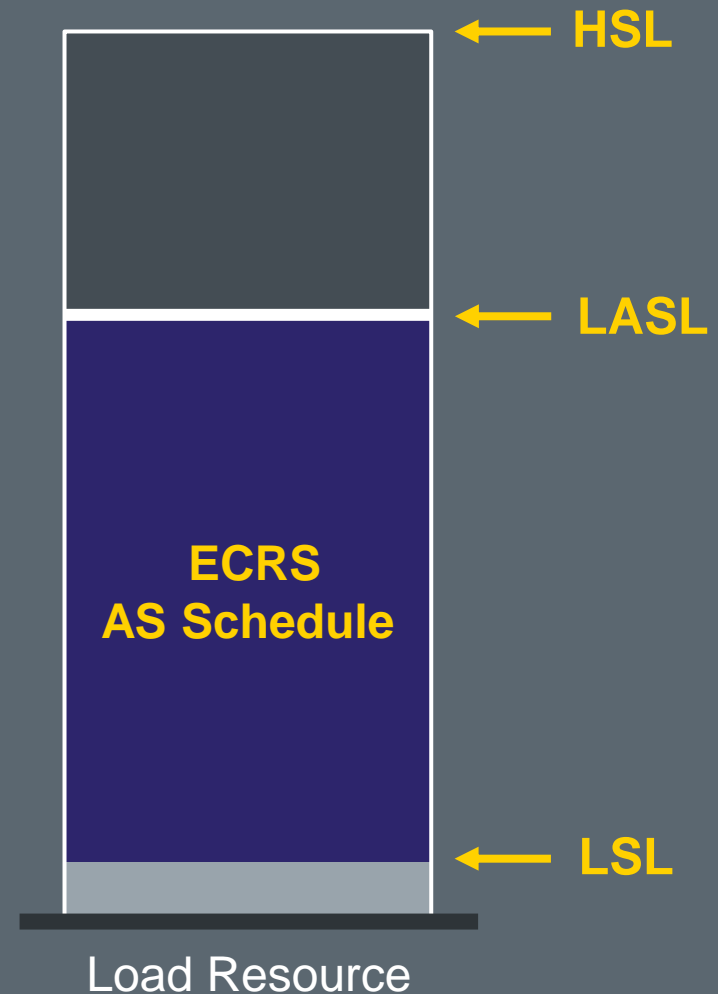
1. *ERCOT deploys ECRS*
2. *QSE reduces AS Schedule within 1 minute*
3. *ERCOT runs SCED*
4. *SCED calculates new Base Point and price*



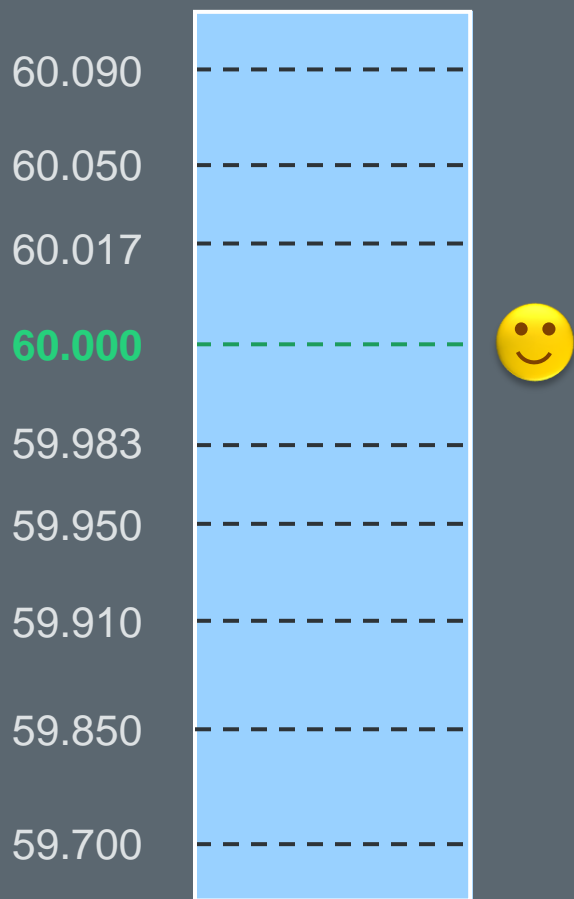


Releases reserves to system

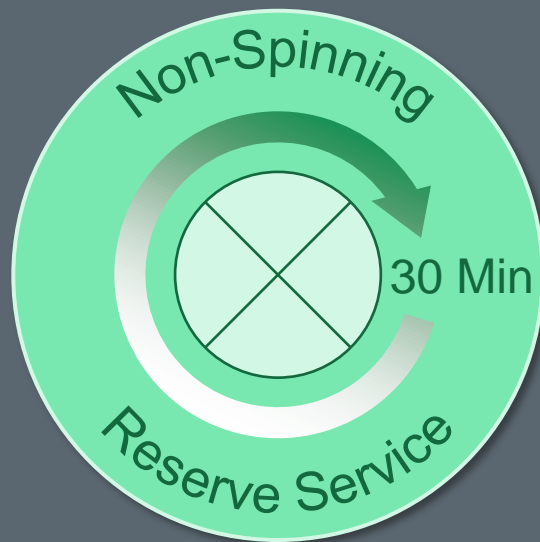
1. *ERCOT deploys ECRS*
2. *QSE reduces AS Schedule within 1 minute*
3. *SCED calculates new CLR Base Point and Price*
4. *Non-CLR drops load within 10 minutes*



Name that Frequency!



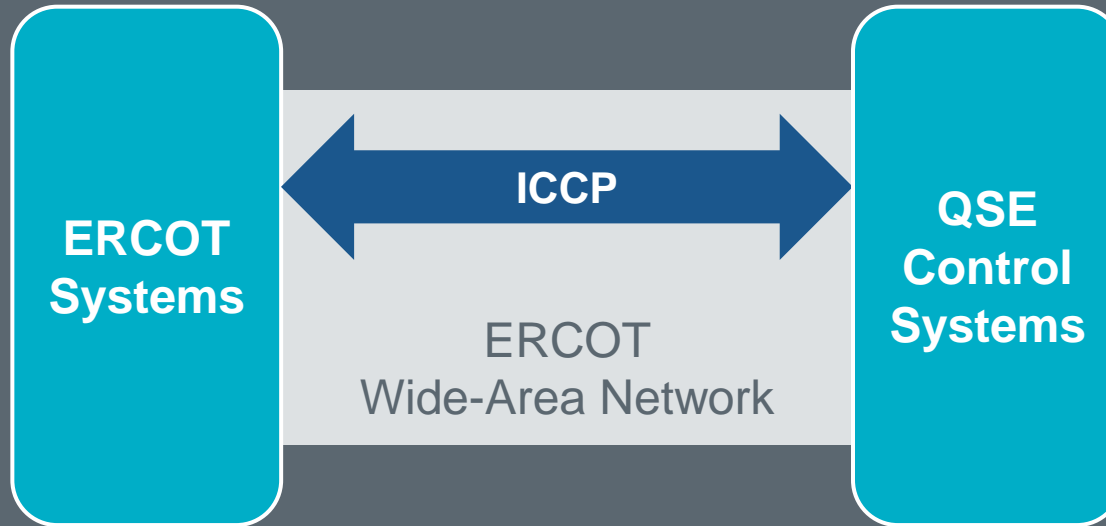
Non-Spinning Reserve Service



Resource-specific deployment

- Releases additional reserves to SCED
- Methodology varies by Resource type

Must be able to ramp through reserved capacity in 30 minutes



ERCOT to QSEs:

- Non-Spin Deployment
- Resource Base Point MW

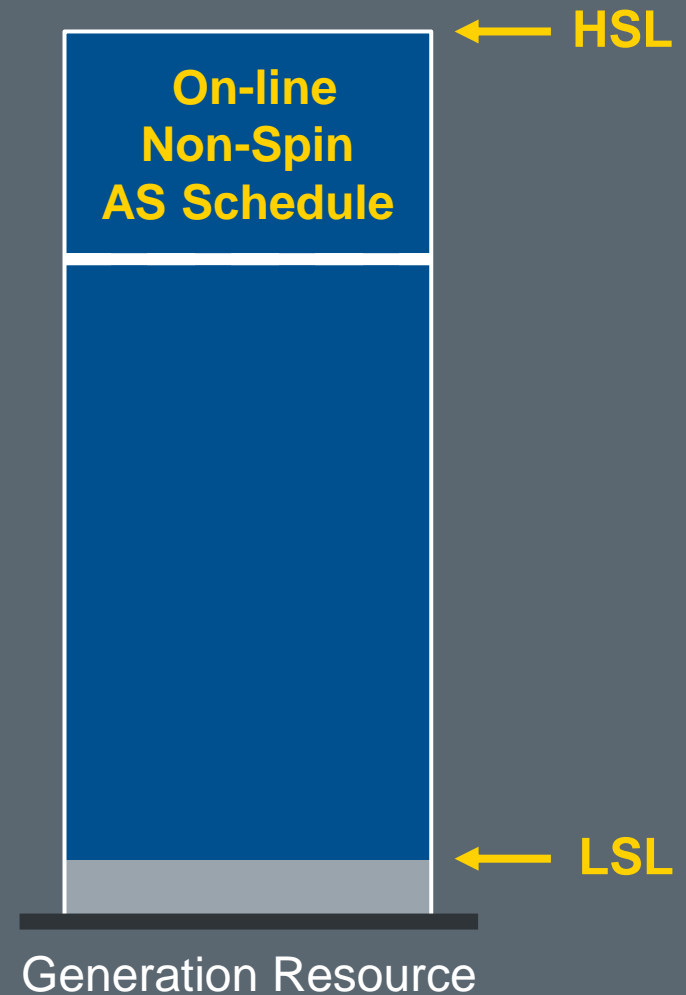
QSEs to ERCOT:

- AS Resource Responsibility
- AS Schedule



Releases reserves to SCED

1. *QSE self-deploys at beginning of Operating Hour*
2. *Released capacity priced at or above \$75/MWh*
3. *SCED will utilize if economic*

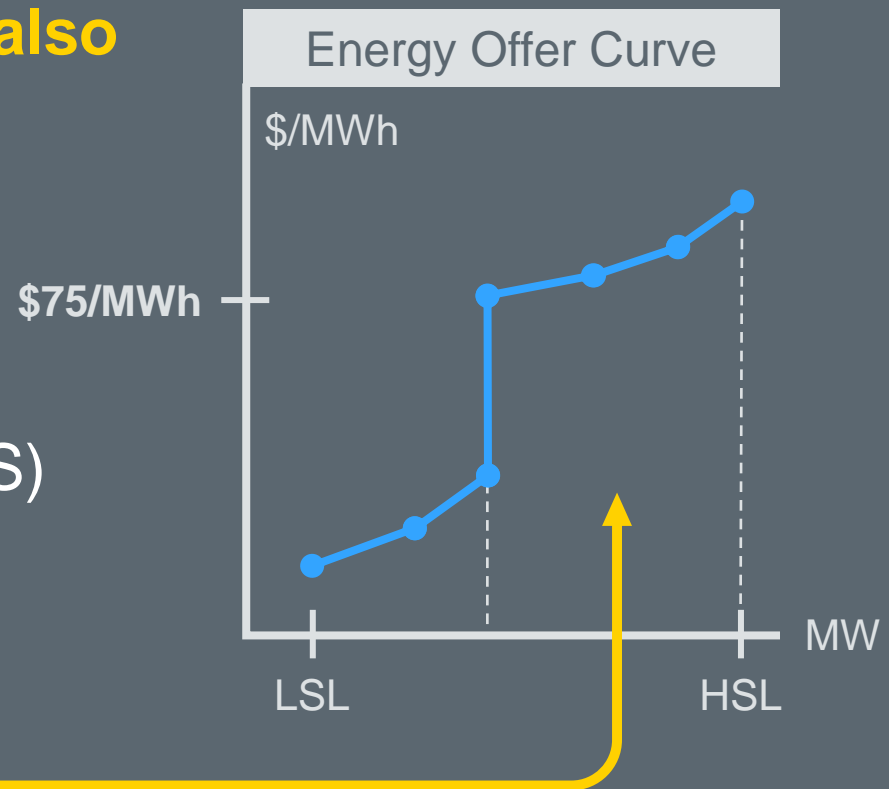


When combined with other Ancillary Services

The following products must also be priced at or above \$75

- Regulation Up
- Responsive Reserve
- Contingency Reserve (ECSR)

Ancillary Service Responsibilities





Releases reserves to SCED

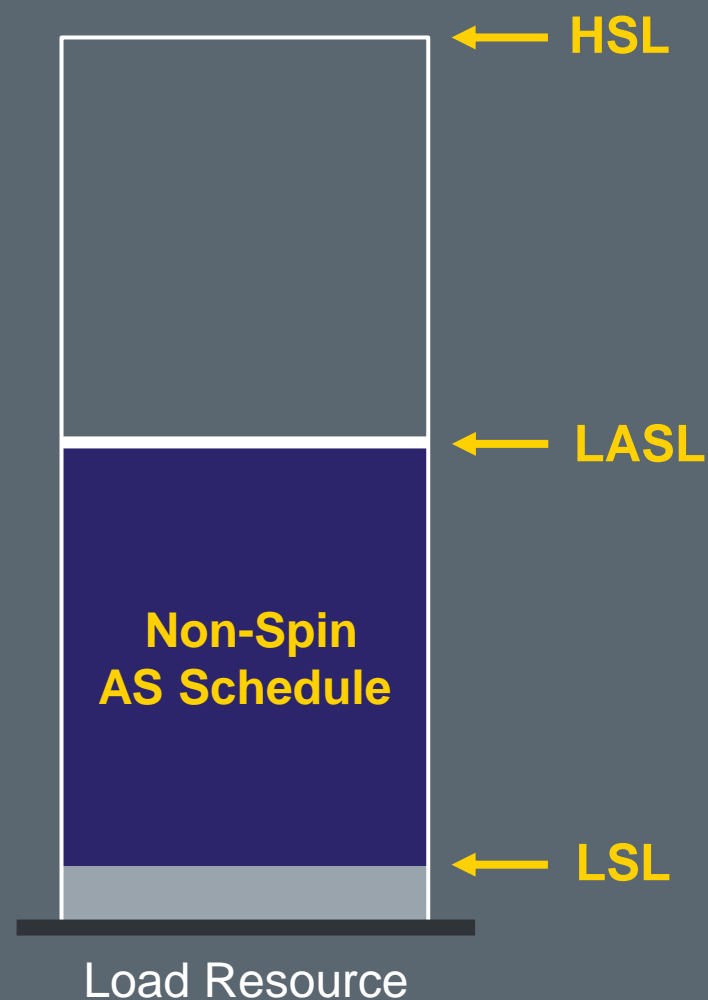
1. *ERCOT Deploys Non-Spin from this Resource*
2. *QSE releases Capacity within 20 minutes*
3. *SCED dispatches according to economics*





Releases reserves to system

1. *ERCOT deploys Non-Spin*
2. *QSE releases Capacity within 1 minute*
3. *SCED dispatches CLR according to economics*
4. *Non-CLR drops load within 30 minutes*



Resources and their Financial Impacts

1

Day-Ahead Market Resource Settlement

2

Real-Time Resource Settlement

3

Settlement of RUC-Committed Resources

Payment for awarded Energy Offer

$$= (-1) * \text{Awarded MWs} * \text{DASPP}$$

Payment for awarded Ancillary Service Offer

$$= (-1) * \text{Awarded MWs} * \text{MCPC}$$

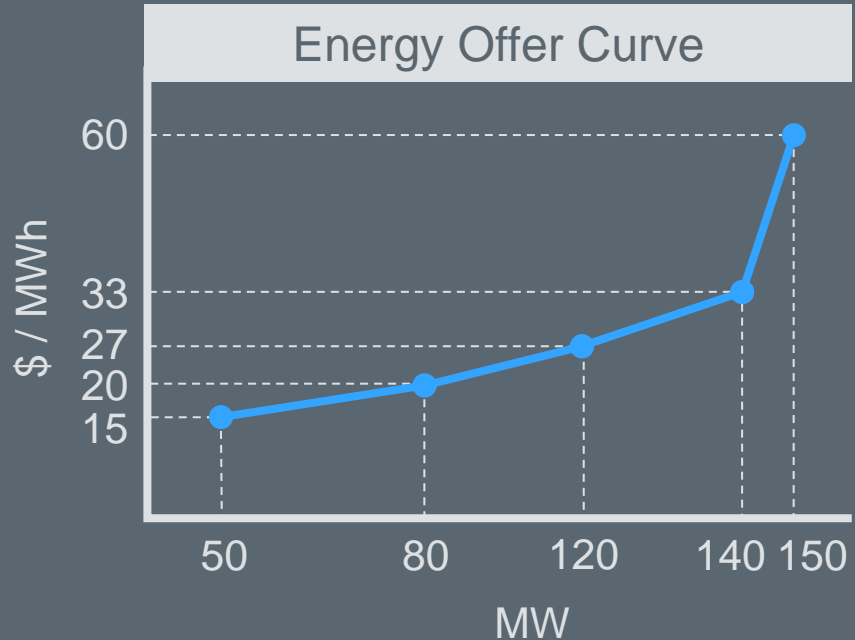




Generation Resource BIGGEN1 is offered in DAM

- Startup Offer: \$3000
- Minimum-Energy Offer: \$30/MWh, LSL = 50 MW
- ECRS Offer: 10MW @ \$10/MW

Assume Startup and Minimum-Energy Offers are at costs





Generation Resource BIGGEN1 is awarded for 4 hours

- Energy Award: 80MW @ \$20
- ECRS Award: 10MW @ \$10

Total Revenue = \$6800

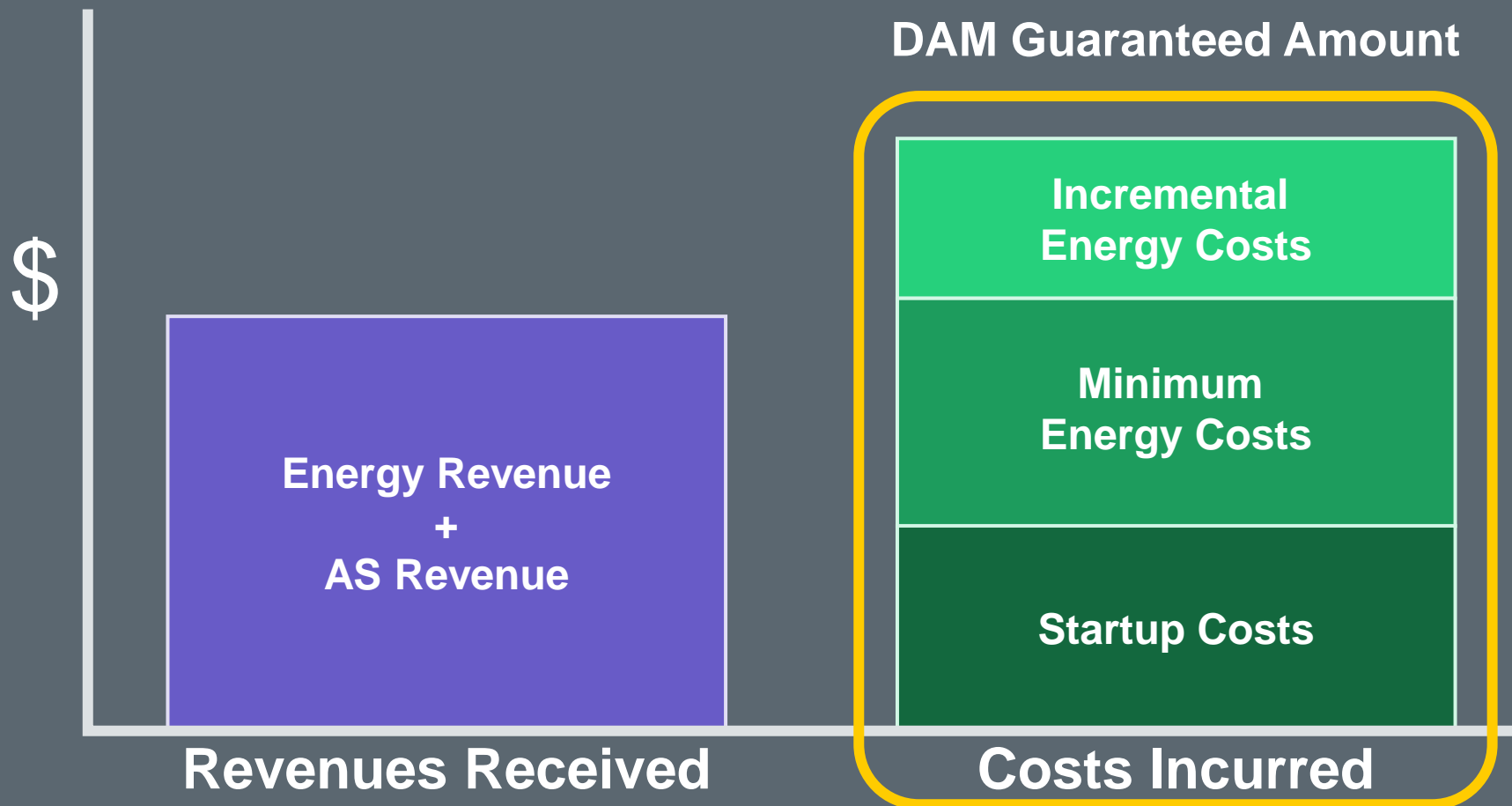
Does that cover their costs?

	Energy Revenue	AS Revenue
Hour 1	\$1600	\$100
Hour 2	\$1600	\$100
Hour 3	\$1600	\$100
Hour 4	\$1600	\$100
Total	\$6400	\$400

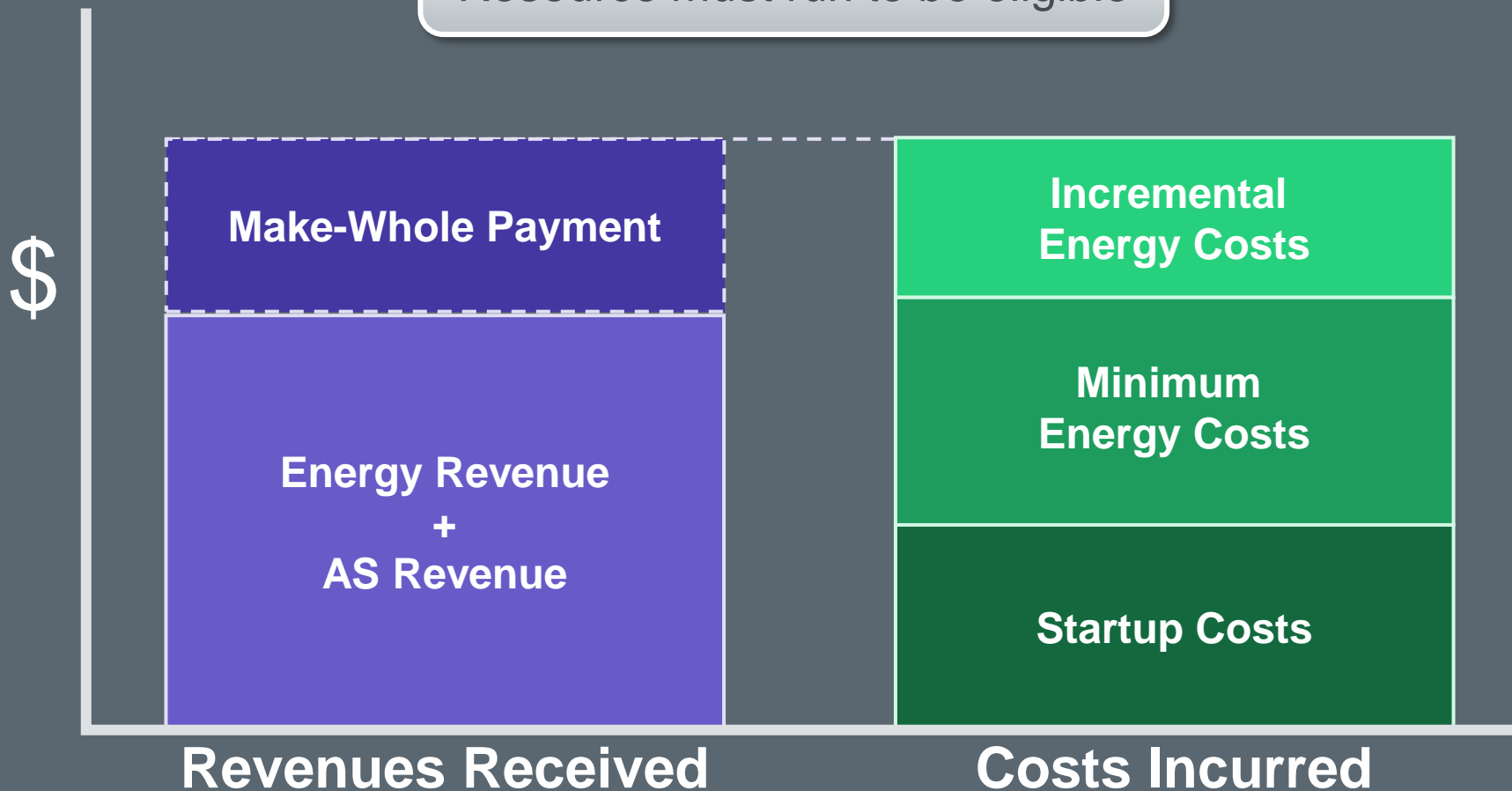
What Happened?



LMPs are determined from incremental costs

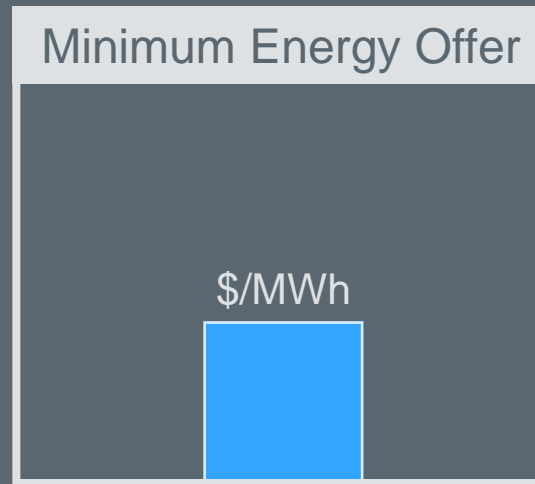


Resource must run to be eligible



Make-Whole costs are capped

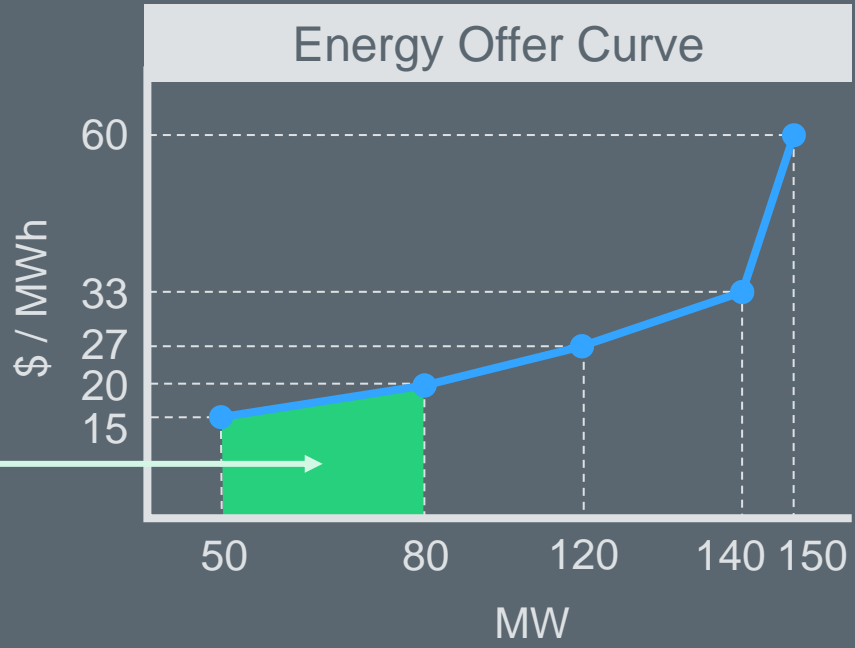
- Generic Costs from Protocols
- Approved Verifiable Costs for Start-up and Minimum Energy

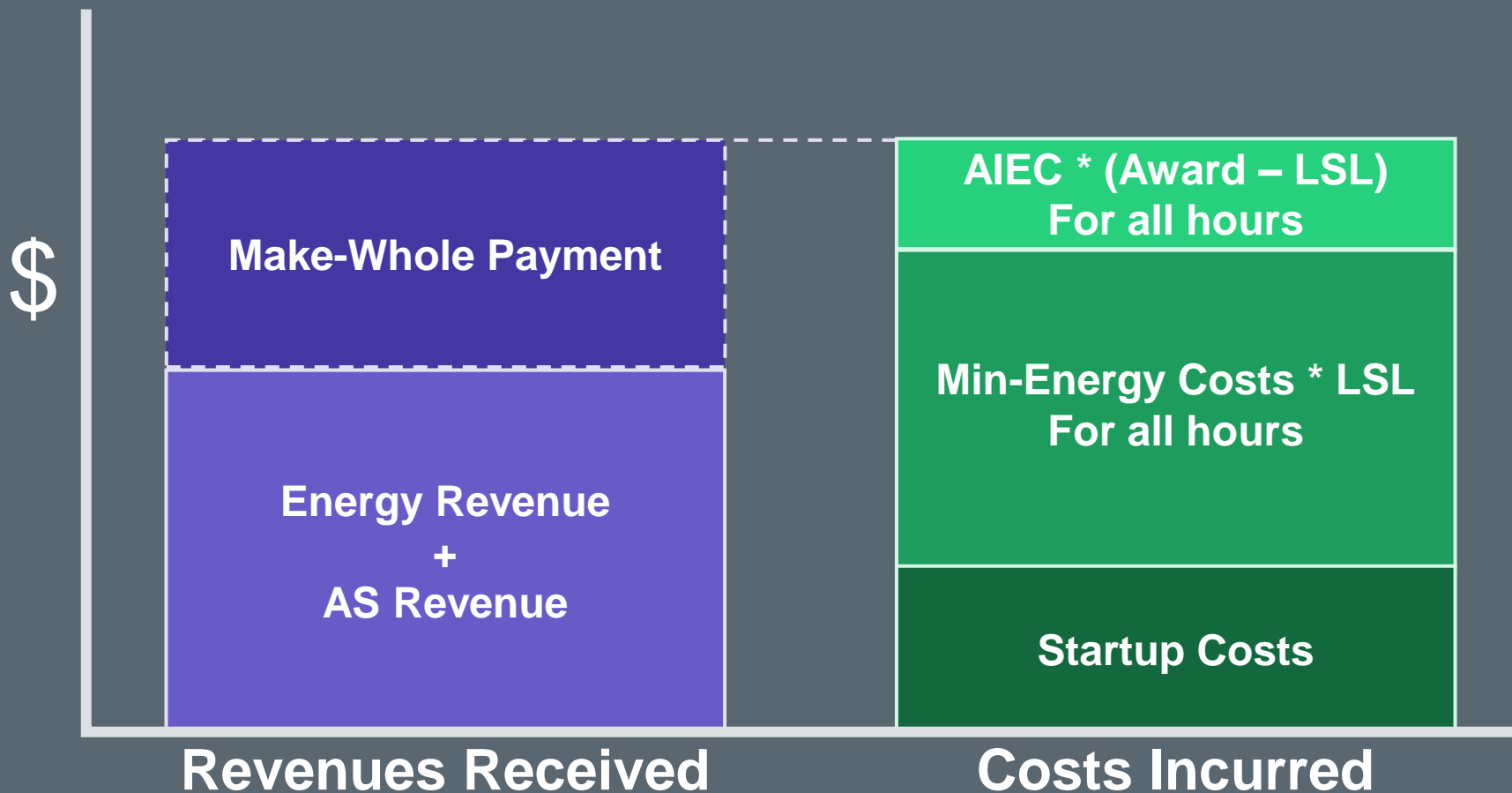


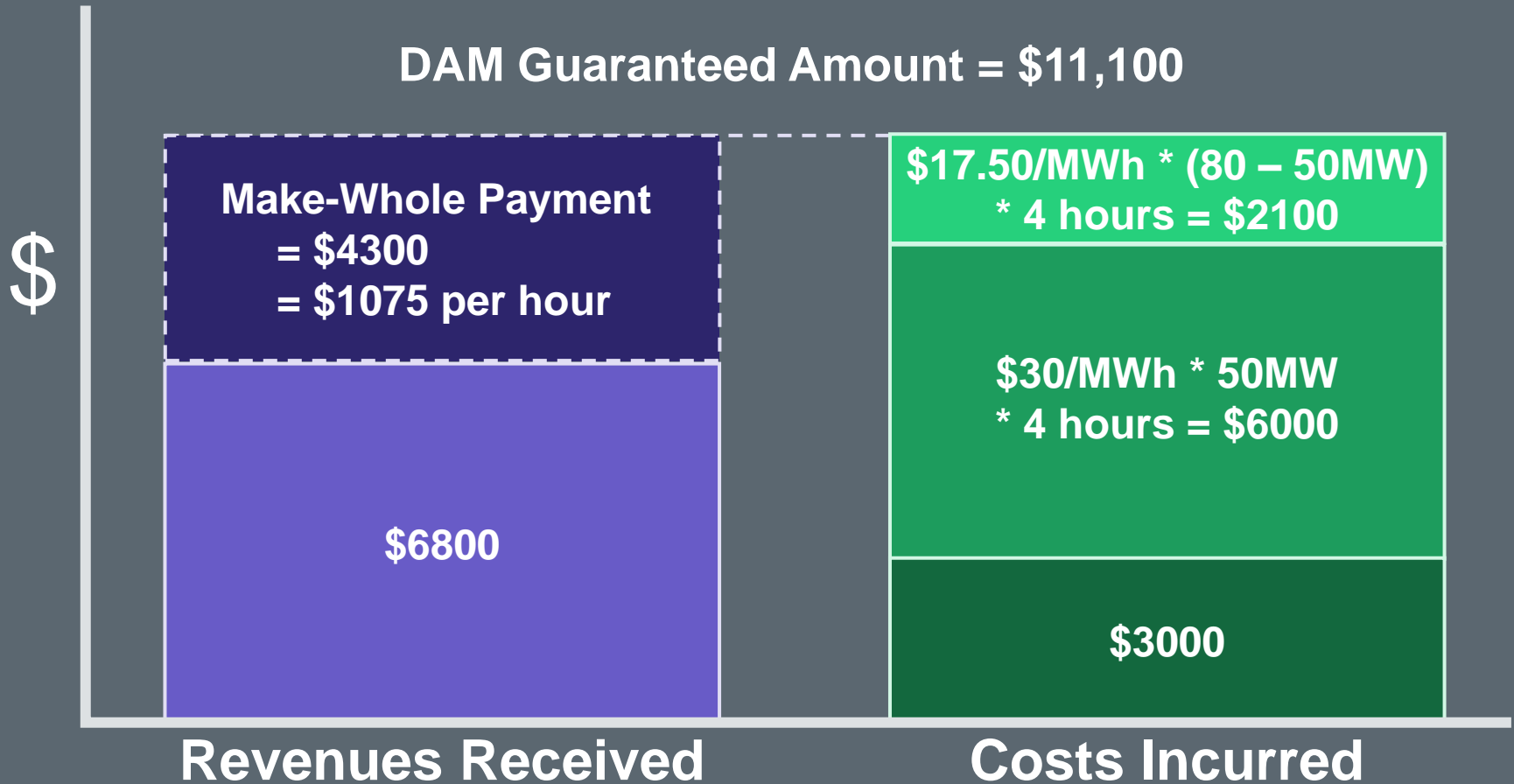
Generation Resource BIGGEN1 is awarded for 4 hours

- Startup Cost: \$3000
- Minimum-Energy Cost: \$30/MWh @ 50 MW LSL

Average Incremental Energy Cost
= Area / (MW above LSL)
= \$17.50 / MWh









Generation Resource BIGGEN1 is awarded for 4 hours

- Energy Award: 80MW @ \$20
- ECRS Award: 10MW @ \$10

	Energy Revenue	AS Revenue	Make-Whole Revenue	Total
Hour 1	\$1600	\$100	\$1075	\$2775
Hour 2	\$1600	\$100	\$1075	\$2775
Hour 3	\$1600	\$100	\$1075	\$2775
Hour 4	\$1600	\$100	\$1075	\$2775
Total	\$6400	\$400	\$4300	\$11,100

1

Day-Ahead Market Resource Settlement

2

Real-Time Resource Settlement

3

Settlement of RUC-Committed Resources

Real-Time Energy Imbalance

$$\begin{aligned} & (-1) \left(\left(\begin{array}{c} \text{DAM Energy Purchases} \\ + \\ \text{Trade Energy Purchases} \end{array} \right) - \left(\begin{array}{c} \text{DAM Energy Sales} \\ + \\ \text{Trade Energy Sales} \end{array} \right) \right) * \text{RTSPP} \\ & + (-1) \left(\text{Metered Generation} \right) * \text{RTRMPR} \end{aligned}$$



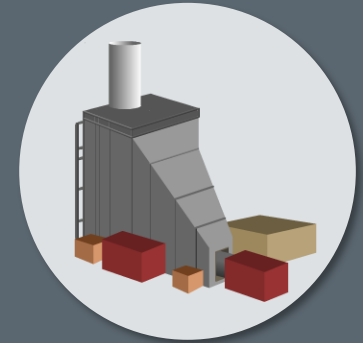
RTSPP = Real-Time Settlement Point Price

Settles Financial
Transactions

$$\text{RTSPP} = \text{RTRSVPOR} + \text{RTRDP} + \text{Time-Weighted Average (LMPs)}$$

RTRSVPOR	Real-Time Reserve Price for On-Line Reserves
RTRDP	Real-Time On-Line Reliability Deployment Price

RTRMPR = Real-Time Resource Meter Price



Settles Physical Energy Production

$$\text{RTRMPR} = \text{RTRSVPOR} + \text{RTRDP} + (\text{Base-Point} * \text{Time})\text{-Weighted Average (LMPs)}$$

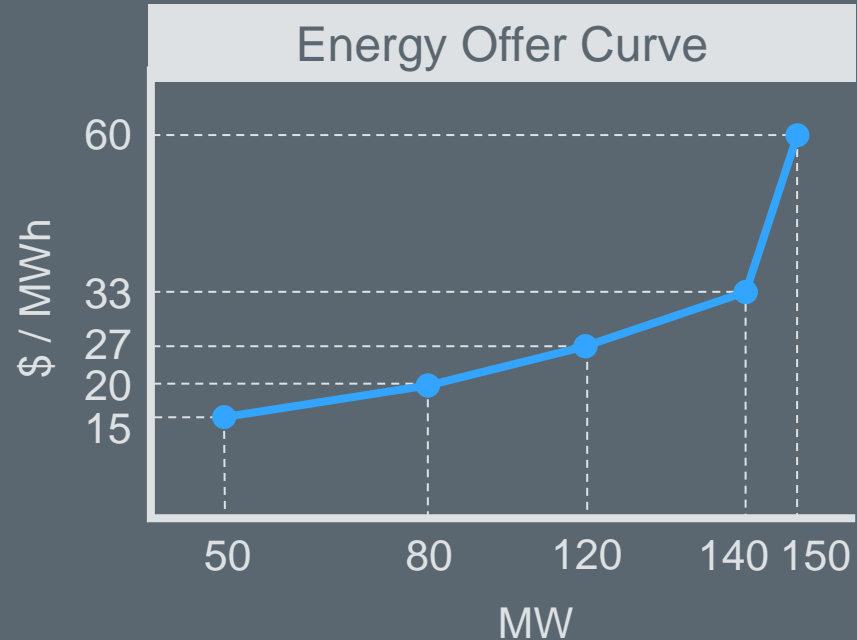
RTRSVPOR	Real-Time Reserve Price for On-Line Reserves
RTRDP	Real-Time On-Line Reliability Deployment Price

Real-Time Energy Settlement Scenario 1

Generation Resource **BIGGEN1** was awarded in DAM and runs in Real-Time

- Awarded 80MW each hour for Hours 1 - 4
- On-line and dispatched in Real-Time during Hours 1 - 4

Hour 1	RTRMPR	RTSPP	MWh
0015	19	18	18
0030	20	20	20
0045	22	21	22
0100	23	22	24
		Total	84



Real-Time Energy Imbalance for Interval 0015:

$$\begin{aligned}
 & (-1) \left(\left(\begin{array}{c} \text{DAM Energy Purchases} \\ + \\ \text{Trade Energy Purchases} \end{array} \right) - \left(\begin{array}{c} \text{DAM Energy Sales} \\ + \\ \text{Trade Energy Sales} \end{array} \right) \right) * \text{RTSPP} \\
 & \quad + (-1) \left(\text{Metered Generation} \right) * \text{RTRMPR}
 \end{aligned}$$

Which simplifies and re-arranges to . . .

$$(-1) * \left(\begin{array}{c} \text{Metered} \\ \text{Generation} \end{array} * \text{RTRMPR} - \left(\begin{array}{c} \text{DAM Energy Sales} \\ + \\ \text{Trade Energy Sales} \end{array} \right) * \text{RTSPP} \right)$$

Real-Time Energy Imbalance for Interval 0015:

$$(-1) * \left[\text{Metered Generation} * \text{RTRMMPR} - \left(\frac{\text{DAM Energy Sales}}{+} \text{Trade Energy Sales} \right) * \text{RTSPP} \right]$$

$$(-1) * \left[18 \text{ MWh} * \$19/\text{MWh} - \boxed{?} * \$18/\text{MWh} \right] = \boxed{?}$$

Real-Time Energy Imbalance for Interval 0045:

$$(-1) * \left[\text{Metered Generation} * \text{RTRMPR} - \left(\frac{\text{DAM Energy Sales}}{+} \text{Trade Energy Sales} \right) * \text{RTSPP} \right]$$

$$(-1) * \left[22 \text{ MWh} * \$22/\text{MWh} - \boxed{?} * \$21/\text{MWh} \right] = \boxed{?}$$

Real-Time Revenues across all hours

- On-line and dispatched in Real-Time during Hours 1 – 4
- Assume ECRS was never deployed

Is that all the revenue for BIGGEN1?

	Real-Time Revenue
Hour 1	\$158
Hour 2	\$200
Hour 3	\$225
Hour 4	\$250
Total	\$833

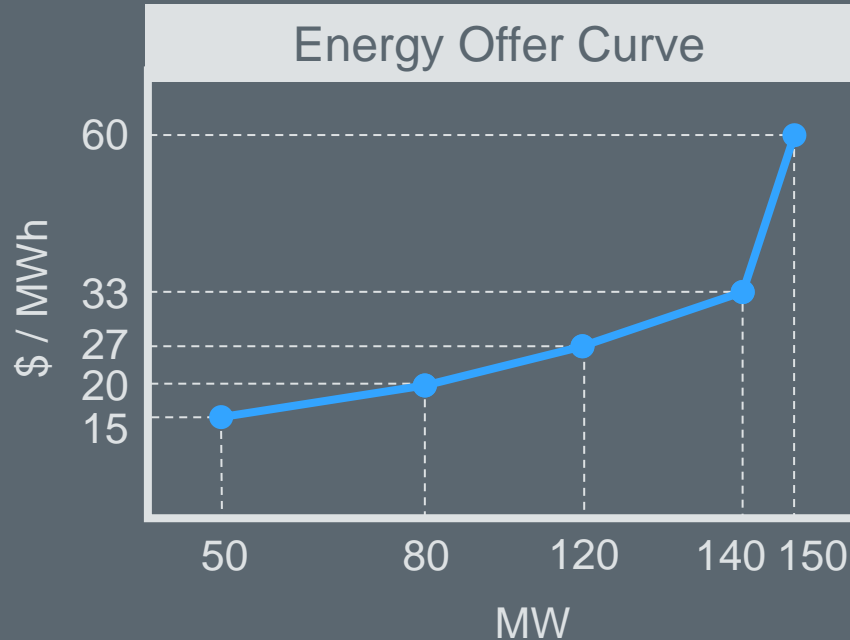
BIGGEN1 was also awarded Energy and Responsive Reserve in DAM

- Energy Award: 80MW @ \$20 for Hours 1 - 4
- ECRS Award: 10MW @ \$10 for Hours 1 - 4
- Make-Whole payment of \$1075 per hour

	Real-Time Revenue	DAM Revenue	Total
Hour 1	\$158	\$2775	\$2993
Hour 2	\$200	\$2775	\$2975
Hour 3	\$225	\$2775	\$3000
Hour 4	\$250	\$2775	\$3025
Total	\$833	\$11,100	\$11,993

QSE schedules trades on BIGGEN1 and runs in Real-Time

- Startup Cost: \$3000
- Minimum-Energy Cost: \$30/MWh,
- LSL = 50 MW

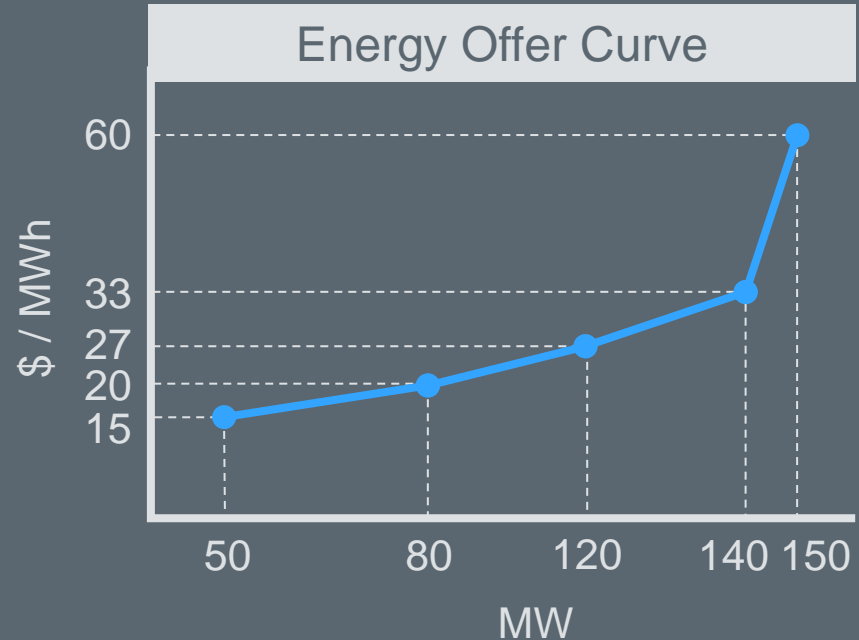


Real-Time Energy Settlement Scenario 2

QSE schedules trades on BIGGEN1 and runs in Real-Time

- 100MW in trades at BIGGEN Resource Node for Hours 15-18
- QSE starts BIGGEN1 for Hour 15 and runs through Hour 18

Hour 15	RTRMPR	RTSPP	MWh
1415	27	27	30
1430	29	28	31
1445	31	30	33
1500	34	33	35
Total			129



Real-Time Energy Imbalance for Interval 1415:

$$(-1) * \left[\text{Metered Generation} * \text{RTRMPR} - \left(\begin{array}{c} \text{DAM Energy Sales} \\ + \\ \text{Trade Energy Sales} \end{array} \right) * \text{RTSPP} \right]$$

$$(-1) * \left[30 \text{ MWh} * \$27/\text{MWh} - \boxed{?} * \$27/\text{MWh} \right] = \boxed{?}$$

Real-Time Revenues across all hours

- 100MW in trades at BIGGEN Resource Node for Hours 15-18
- QSE starts BIGGEN1 for Hour 15 and runs through Hour 18

Is this Real-Time Revenue sufficient for BIGGEN1?

	Real-Time Revenue
Hour 14	\$300
Hour 15	\$972
Hour 16	\$1122
Hour 17	\$1275
Hour 18	\$802
Hour 19	\$406
Total	\$ 4877

1

Day-Ahead Market Resource Settlement

2

Real-Time Resource Settlement

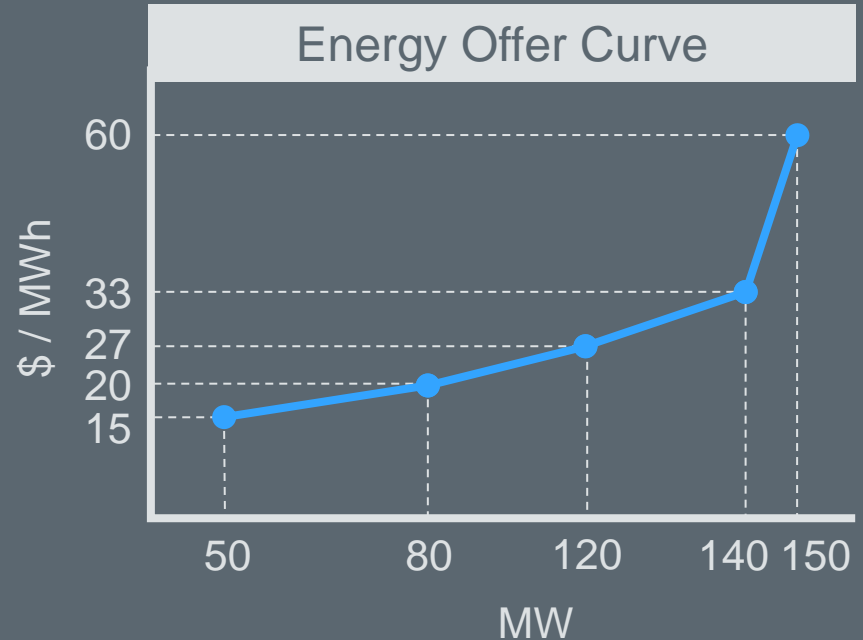
3

Settlement of RUC-Committed Resources



Generation Resource BIGGEN1 is committed by RUC

- Startup Cost: \$3000
- Minimum-Energy Cost: \$30/MWh
- LSL = 50 MW

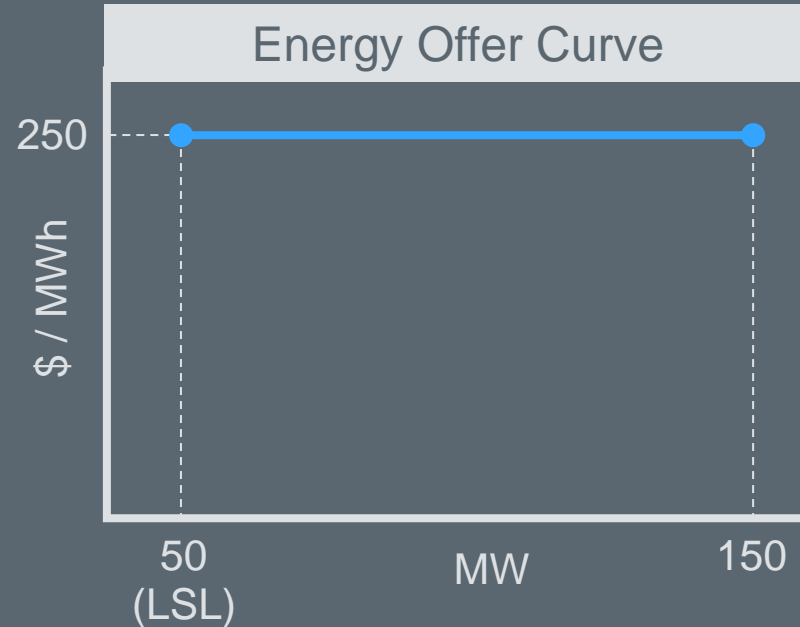




Generation Resource BIGGEN1 is committed by RUC

- Committed for Hours 7-10
- QSE starts Resource and ramps to LSL by 0600

Hour 7	RTRMPR	RTSPP	MWh
0615	20	20	12.5
0630	20	20	12.5
0645	20	20	12.5
0700	20	20	12.5
Total			50



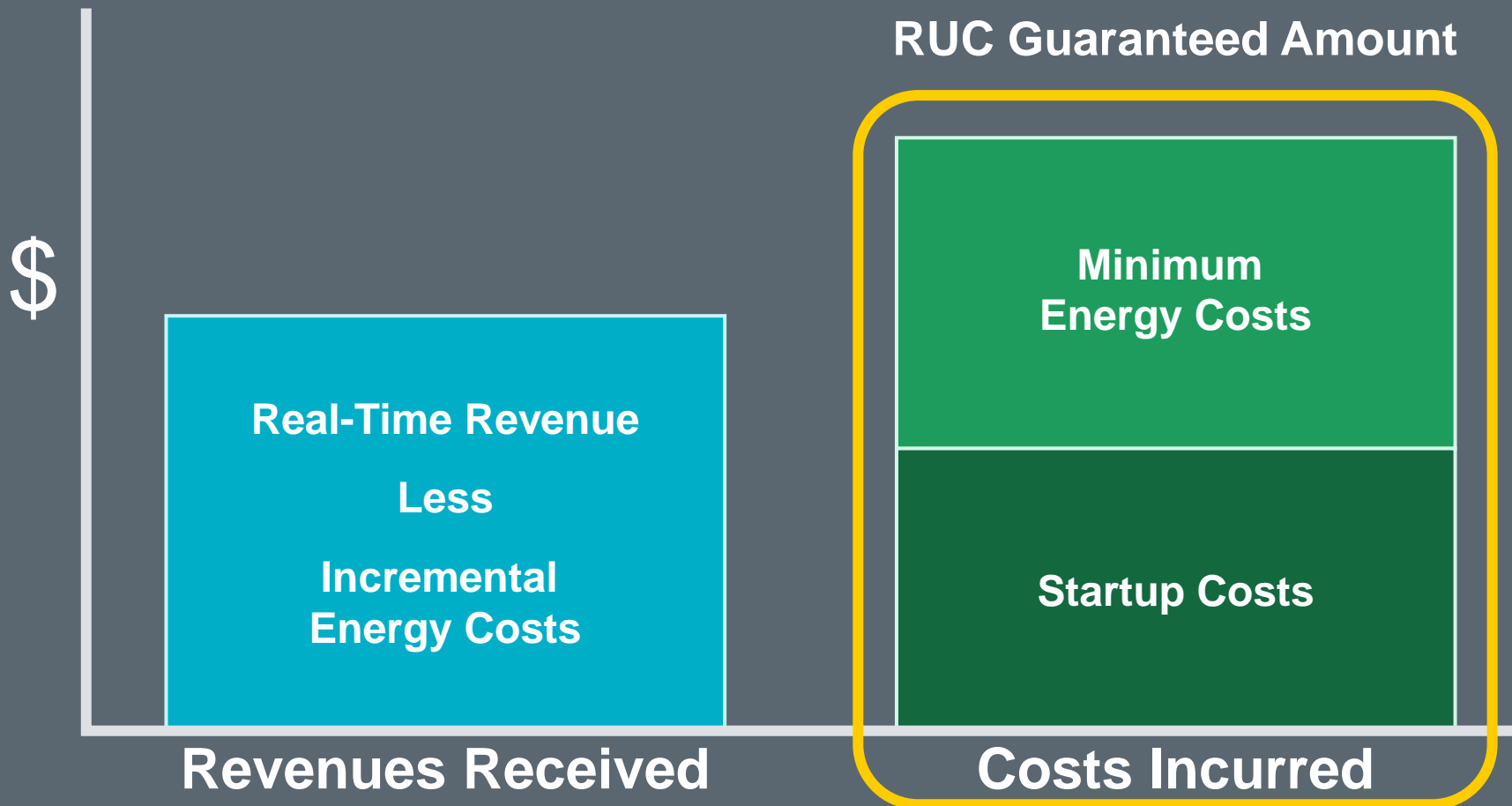


Real-Time Energy Imbalance for Interval 0615:

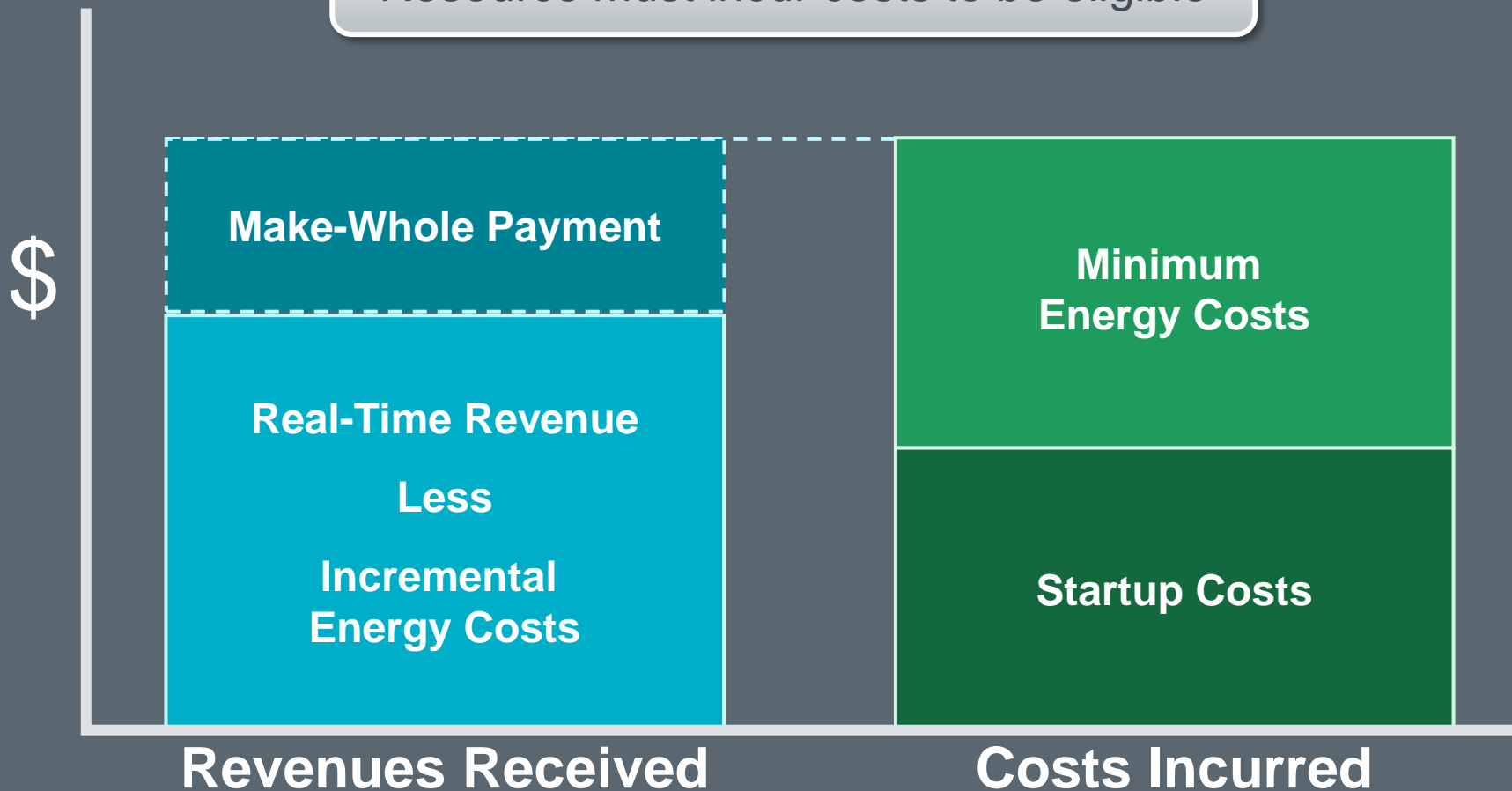
$$(-1) * \left(\text{Metered Generation} * \text{RTRMPR} - \left(\begin{array}{c} \text{DAM Energy Sales} \\ + \\ \text{Trade Energy Sales} \end{array} \right) * \text{RTSPP} \right)$$

$$(-1) * \left(12.5 \text{ MWh} * \$20/\text{MWh} - \boxed{?} \right) = \boxed{?}$$

At this rate, will they recover their Startup and Minimum-Energy Costs?



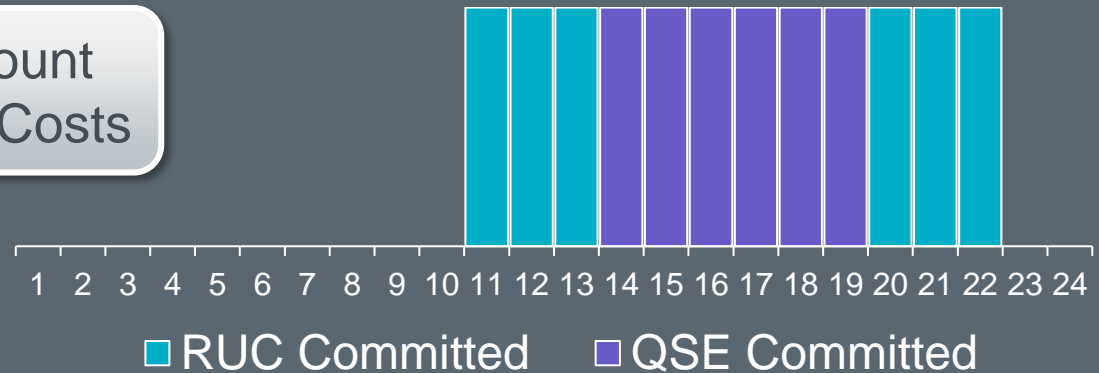
Resource must incur costs to be eligible



One Start per contiguous block of committed hours

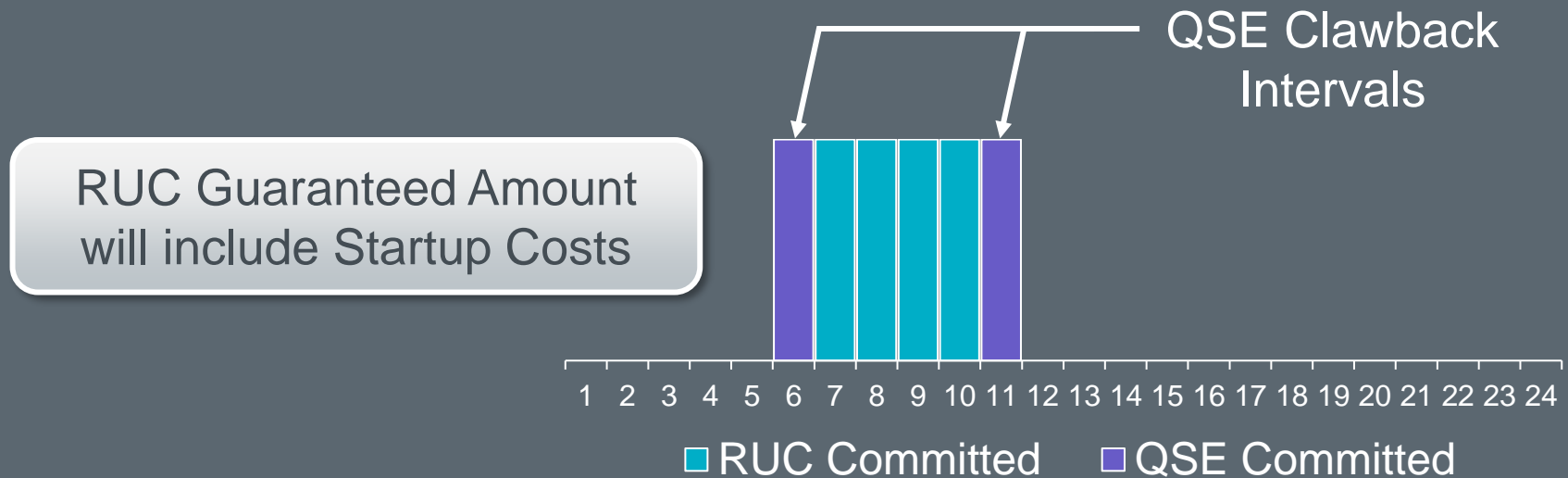
- QSE initially commits
- RUC extends commitment

RUC Guaranteed Amount
will not include Startup Costs



One Start per contiguous block of committed hours

- RUC initially commits
- QSE extends commitment

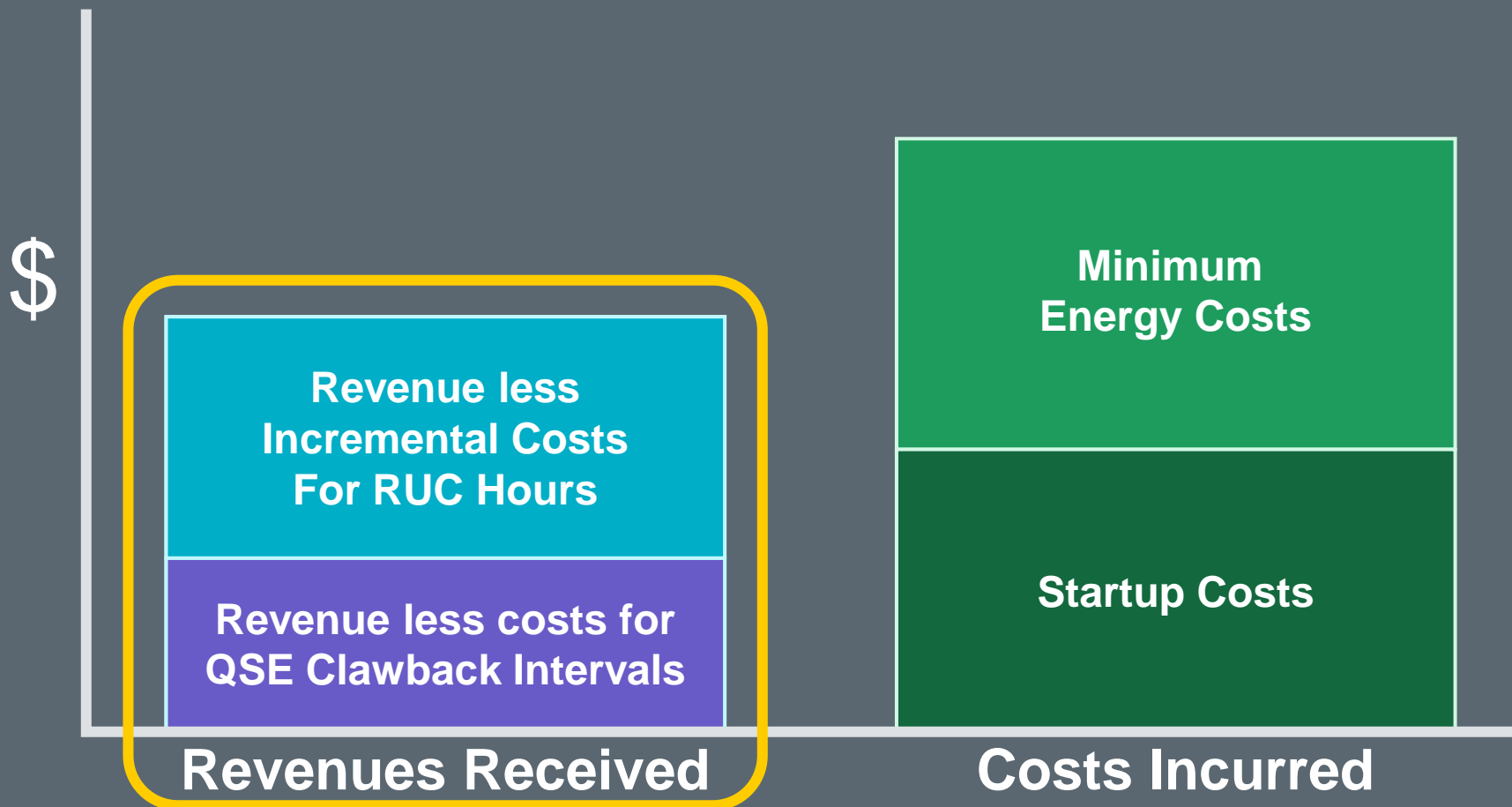


Make-Whole costs are capped

- Approved Verifiable Costs, if available
- Otherwise, Generic Costs from Protocols

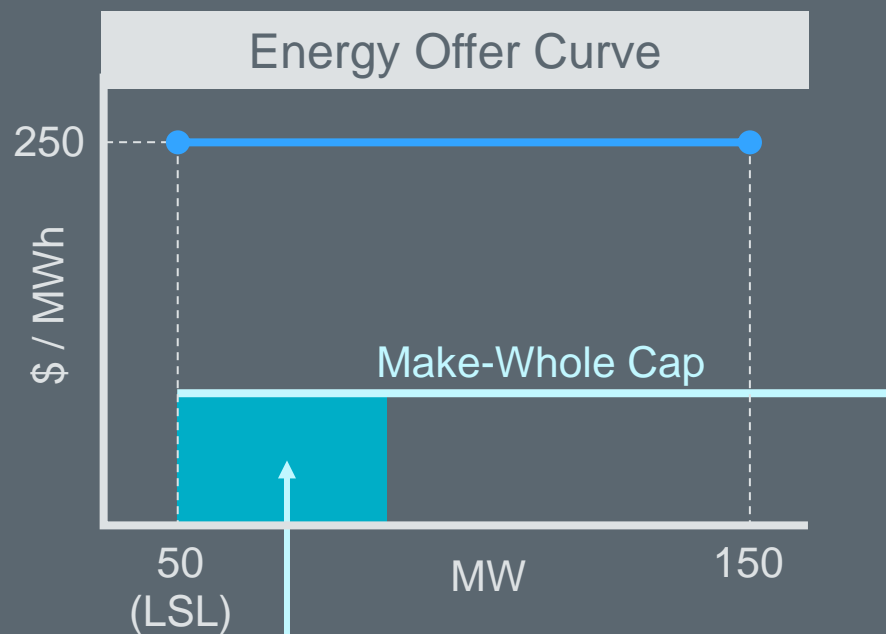


Real-Time Revenue divided into two types



Revenue less Incremental Costs for RUC Hours

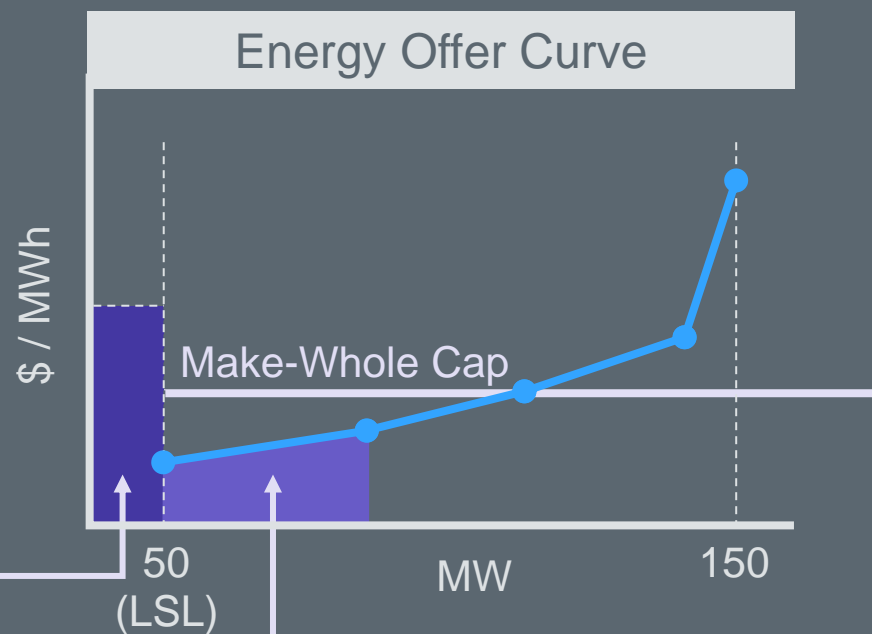
- Incremental Costs from Energy Offer Curve
- Subject to Cap



**Average Incremental Energy Cost
= Area / (MW Output above LSL)**

Revenue less costs for QSE Clawback Intervals

- Incremental Costs from Energy Offer Curve
- Subject to Cap



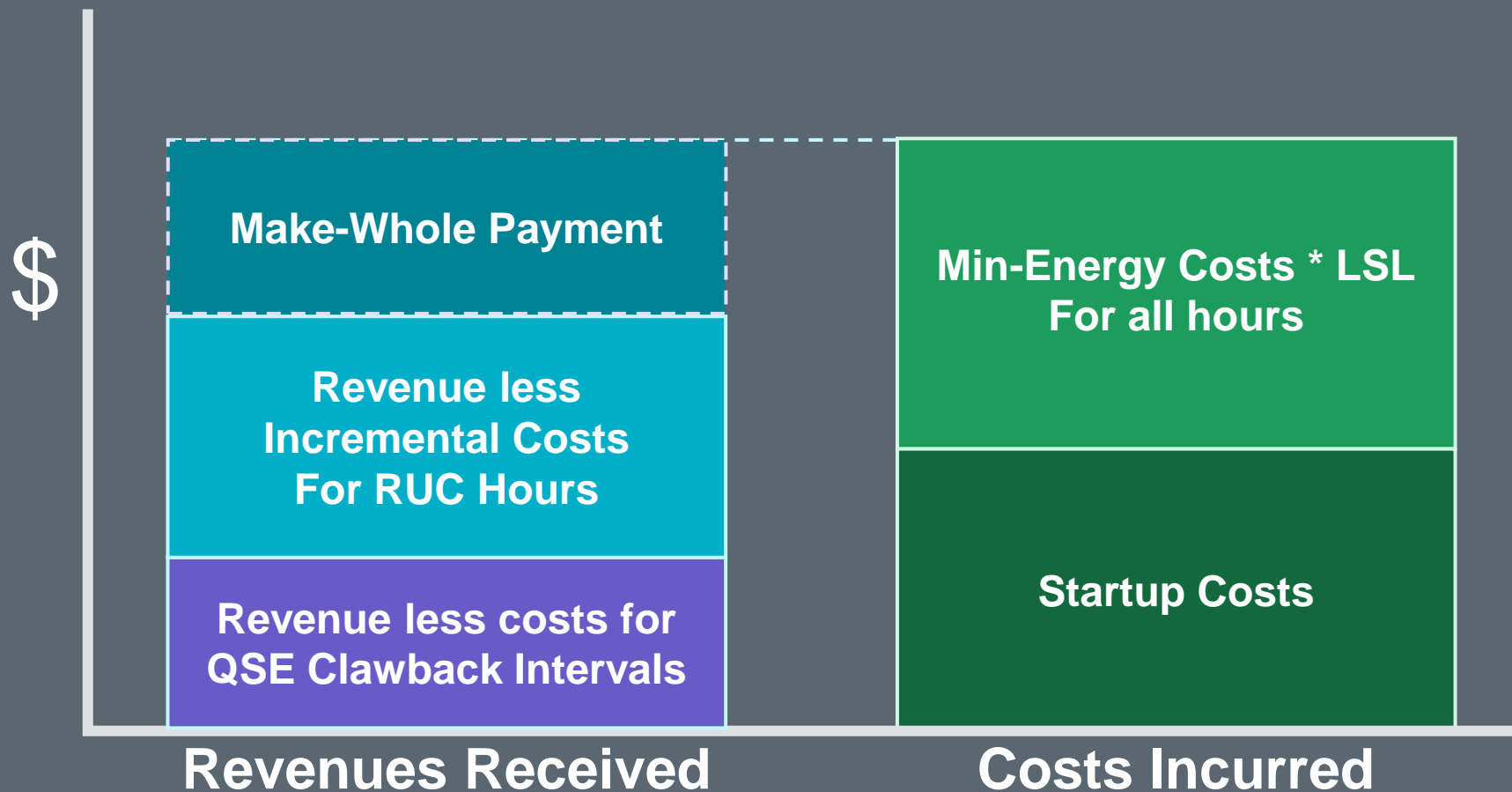
Minimum-Energy Cost

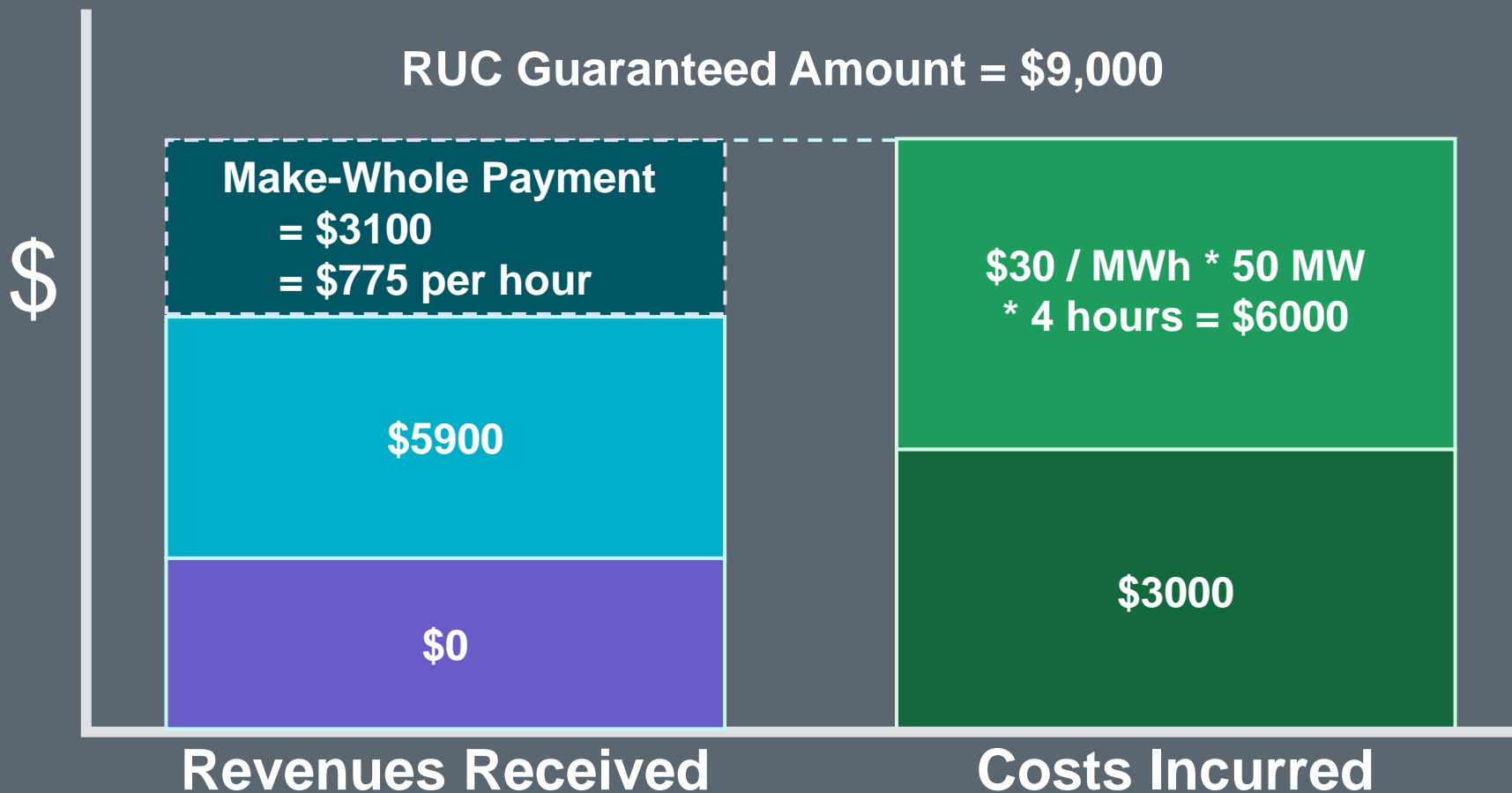
**Average Incremental Energy Cost
= Area / (MW Output above LSL)**



BIGGEN1 starts in Hour 6 and shuts down in Hour 11

	Revenue less Incremental Costs for RUC Hours	Revenue less Costs for QSE Clawback Intervals
Hour 6		\$0
Hour 7	\$1000	
Hour 8	\$1900	
Hour 9	\$2000	
Hour 10	\$1000	
Hour 11		\$0
Total	\$5900	\$0



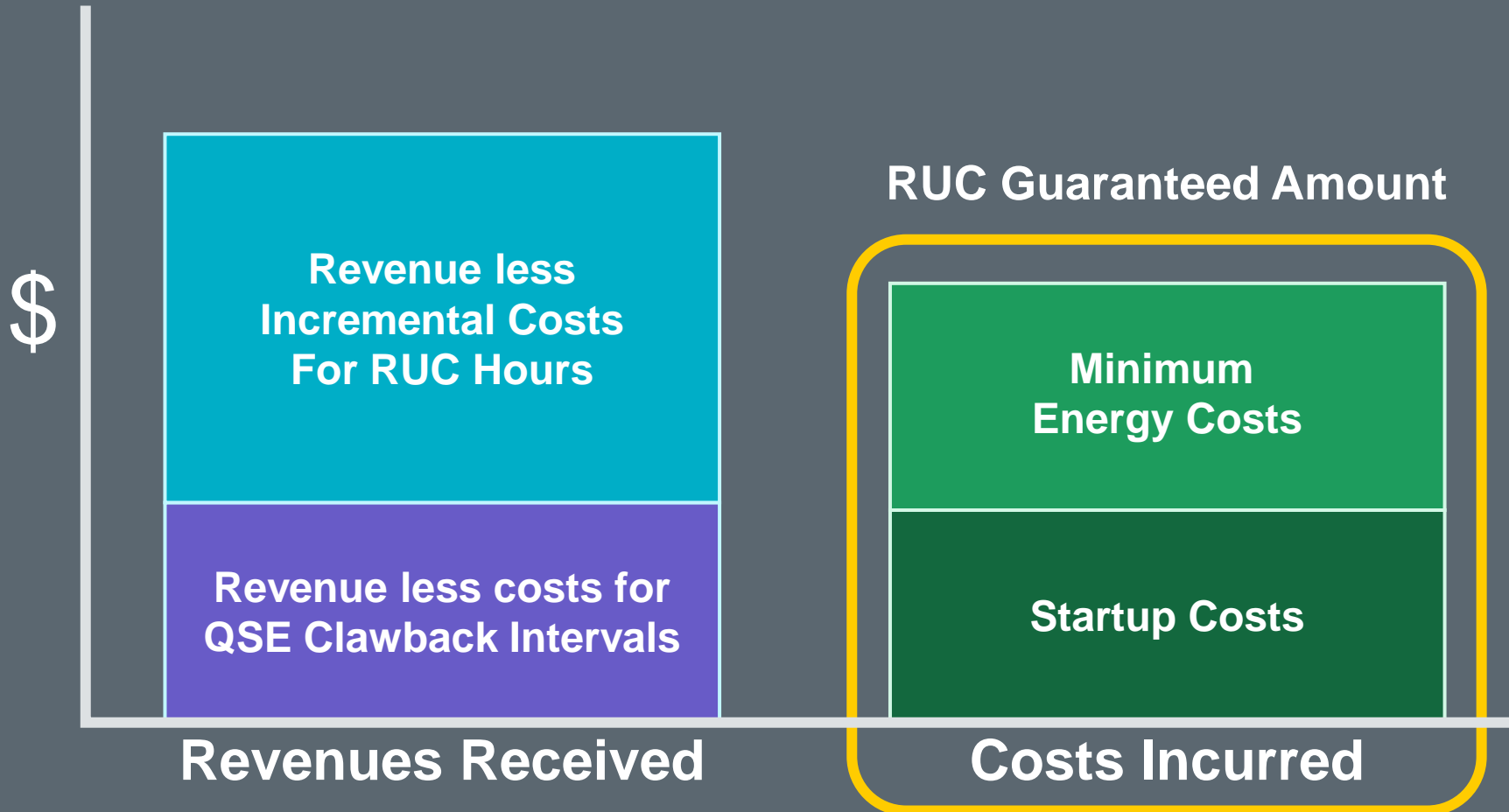




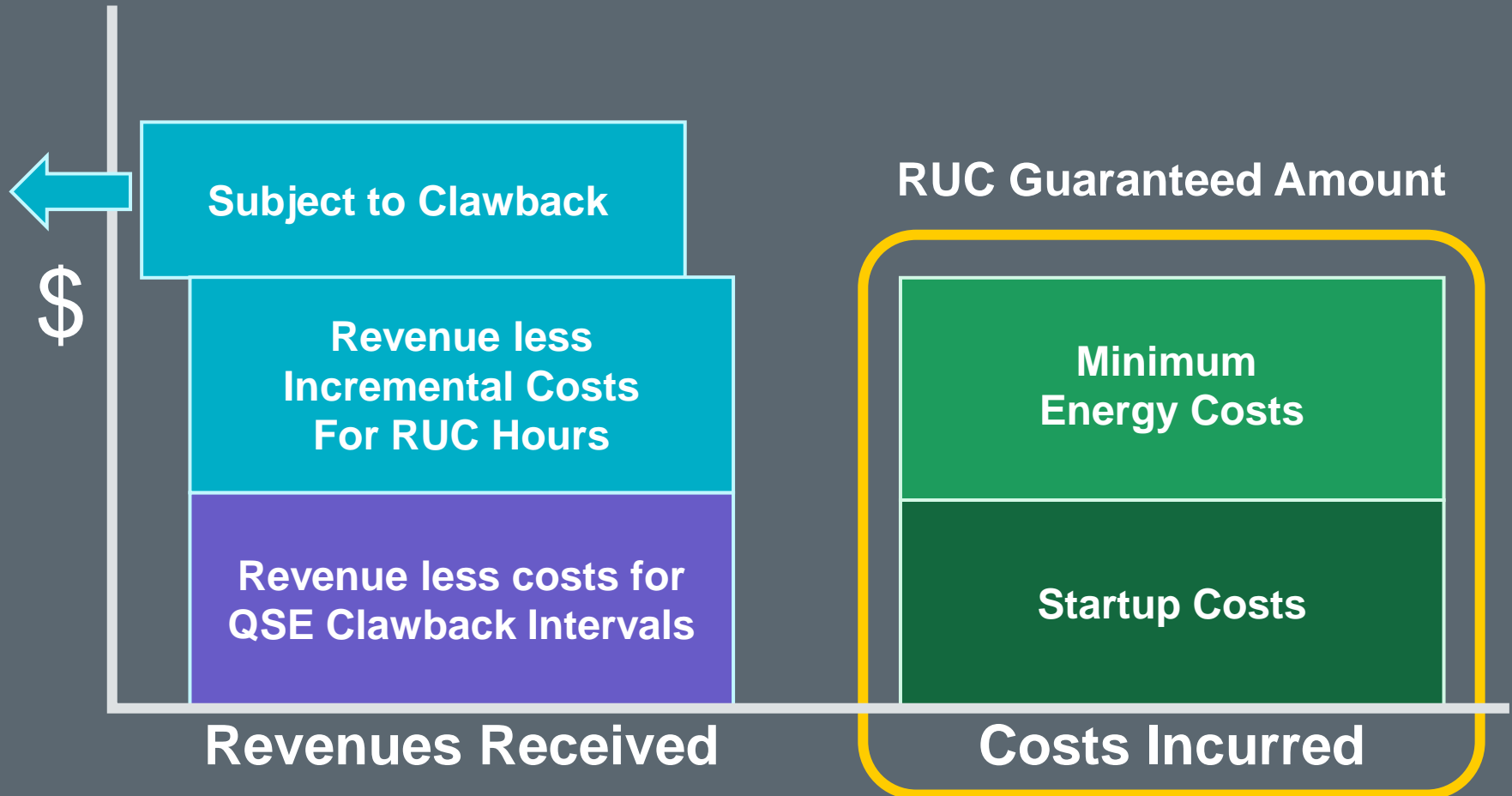
Generation Resource BIGGEN1 is committed by RUC

	Real-Time Revenue	Make-Whole Revenue	Total
Hour 6	\$500	N/A	\$500
Hour 7	\$1000	\$775	\$1775
Hour 8	\$2800	\$775	\$3575
Hour 9	\$3020	\$775	\$3795
Hour 10	\$1000	\$775	\$1775
Hour 11	\$500	N/A	\$500
Total	\$8820	\$3100	\$11,920

What if revenues are greater than costs?



What if revenues are greater than costs?





Clawback amount depends on circumstances

	Three-Part Supply Offer in DAM	No Three-Part Supply Offer in DAM
Clawback Percentage for RUC hours	50%	100%
Clawback Percentage for QSE Clawback Intervals	0%	50%

Any way for QSEs to avoid RUC Clawback Charges?

Course Wrap-Up

Format	Title
WBT	Resources in ERCOT
	Resource Responsibilities in ERCOT

Format	Title	Topic
ILT	Resources and Day-Ahead Operations	Resource Constraints in the Day-Ahead Market
		Resource Commitment in the Day-Ahead Market
		Resource Commitment after the Day-Ahead Market
	Resources and Real-Time Operations	Resource Dispatch in Real-Time
		Resource Reserve Deployment in Real-Time
		Resources and their Financial Impacts

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