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| **Texas SET Change Control Request Form**  **Change Control Number: 2023 -843**  **Implementation Version: 5.0** |

**This Section Is Completed by Submitter of Change Control Request Only:**

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| --- | --- | --- |
| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:**  ERCOT | **Phone Number:**  512-248-6747 |
| **Date of Submission:**  04/04/2023 | **Affected TX SET Transaction(s):**  814\_09 | **Submitter’s E-Mail Address:**  Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:**  N/A | Protocol Impact (Y/N):  N |
| **Detailed Description and Reason for Proposed Change(s):**  To provide more clarification for the SOP reject, the reject is being updated to the following:  SOP reject name - Subsequent Order Processed  SOP reject gray box - Rejected Due to Competing Transaction Already Pending, Scheduled or Completed | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com) and RMS Chair***.*** | | |

**For ERCOT Change Control Manager Use Only:**

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| **Texas SET Recommendation:**  Recommend to Approve | **Recommendation for Emergency (Y/N):**  N | **Date of TX SET Recommendation:**  04/19/2023 |
| **Detailed Description and Reason for Revision:**  Recommend to Approve for Texas SET 5.0 | | |
| **RMS Decision:**  Approve for Texas SET 5.0 | **Emergency (Y/N):**  N | **Date of RMS Decision:**  06/06/2023 |
| **Summary of RMS Discussion:**  RMS approves this Change Control as non-emergency to be included in Texas SET 5.0 | | |

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

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| **Notes:** |  | More than one rejection reason code may be sent, by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 017 |  | Service Terminated because Service Provider went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market- For ERCOT use only | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service Already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only | | | |
|  | | | | A79 |  | Item or Service Not Established | | | | |
|  | | | | | | The reference number (BGN06) does not match a previous reference number. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ACR |  | Acquisition Cancel Rejected | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is using the Acquisition Transfer process. For ERCOT use only. | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | CW1 |  | Cannot Cancel on the Day of Scheduled Meter Read Date or in the past. | | | | |
|  | | | | | | ERCOT and TDSPs will reject any 814\_08 transaction received on the scheduled move in, move out or switch date, as well as cancellation requests on orders that are scheduled in the past. | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DCR |  | Duplicate Cancel Reason | | | | |
|  | | | | | | Cancellation reason already received and pending for open business process. For ERCOT Use Only. | | | |
|  | | | | DIV |  | Date Invalid | | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT Use Only. | | | |
|  | | | | INF |  | Invalid Status Code | | | | |
|  | | | | | | Status Reason (REF~1P) provided on the 814\_08 from the CR is not a valid CR cancellation reason. For ERCOT use only | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NOR |  | Not Initiator of Enrollment Request | | | | |
|  | | | | | | Used when the CR submitting the cancellation did not submit the original switch. | | | |
|  | | | | SOP |  | Subsequent Order Processed | | | | |
|  | | | | | | Rejected Due to Competing Transaction Already Pending, Scheduled or Completed | | | |
|  | | | | ZIP |  | Invalid Zip Code | | | | |
|  | | | | | | Only applicable to the first five characters of the zip code, which are used for validation. ERCOT is the only entity that may validate on Zip Code. For ERCOT Use Only. | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13" and "API" require a text explanation in this element. | | | | | | | |