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| **Texas SET Change Control Request Form** **Change Control Number: 2023 -842** **Implementation Version: 5.0**  |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:** Kathryn Thurman | **Submitting Company Name:** ERCOT | **Phone Number:** 512-248-6747 |
| **Date of Submission:**02/07/2023 | **Affected TX SET Transaction(s):** 814\_04 & 814\_25 | **Submitter’s E-Mail Address:** Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:**  | Protocol Impact (Y/N):N |
| **Detailed Description and Reason for Proposed Change(s):**Update the gray box for the 814\_04 and 814\_25 for the I2M - Invalid Second Move Out |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.**Please submit this completed form via e-mail to**txsetchangecontrol@ercot.com and RMS Chair***.*** |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |
| --- | --- | --- |
| **Texas SET Recommendation:**Recommend Approval | **Recommendation for Emergency (Y/N):**N | **Date of TX SET Recommendation:**02/15/2023 |
| **Detailed Description and Reason for Revision:** Texas SET Recommends Approval for 5.0 |
| **RMS Decision:**Approved for Texas SET 5.0 | **Emergency (Y/N):**N | **Date of RMS Decision:**03/07/2023 |
| **Summary of RMS Discussion:** RMS reviewed and Approves as non-emergency for Texas SET 5.0 |

**814\_04**

**Segment: REF Reference Identification (Rejection Reason)**

 **Position:** 030

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** >1

 **Purpose:** To specify identifying information

 **Syntax Notes:** **1** At least one of REF02 or REF03 is required.

 **2** If either C04003 or C04004 is present, then the other is required.

 **3** If either C04005 or C04006 is present, then the other is required.

 **Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.Accept Response: Not Used Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | 7G |  | Data Quality Reject Reason |
|  | Reject reasons associated with a reject status notification. |
| **Must Use** | **REF02** | **127** | **Reference Identification** | **X** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|   | 008 |  | ESI ID Exists But is Not Active |
|  | Retired |
|   | 017 |  | Service Terminated because Service Provider went Out of Business |
|  | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use Only. |
|   | 090 |  | Greater than 90 in the future |
|  | Transaction requested a date greater than 90 days in the future. |
|   | 150 |  | Move In Date Greater Than 150 Days in the Past |
|  | TDSP's Usage and Invoicing transactions are limited to 150 Days in the Past. (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | 270 |  | Greater than 270 in the past |
|  | Transaction requested a date greater than 270 days in the past. |
|   | A13 |  | Other |
|  | Explanation Required in REF03.  |
|   | A76 |  | ESI ID Invalid or Not Found |
|   | A78 |  | Item or Service Already Established |
|  | Requested action has already completed. Used by TDSP and ERCOT only. |
|   | A83 |  | Invalid or Unauthorized Action |
|  | Information provided was not supported in the Texas SET Standards. |
|   | ABN |  | Duplicate Request Received |
|  | Used by TDSP to reject an 814\_03, which contains the same value in the BGN02 as a previously submitted 814\_03. The ABN code is to be used only for transactions between the TDSP and ERCOT. The code is not sent to the CR by ERCOT. |
|   | ACI |  | Action Code (ASI01) Invalid |
|   | ANK |  | Invalid Source Information |
|  | Unnecessary Billing Information Included. |
|   | API |  | Required information missing |
|  | Explanation Required in REF03. May not be used in place of other, more specific error codes. |
|   | BIM |  | Billing Information Missing |
|  | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. |
|   | CCL |  | Critical Care or Critical Load |
|   | D76 |  | DUNS Number Invalid or Not Found |
|   | DIP |  | Date In Past |
|  | Request cannot be backdated. |
|   | FME |  | Force Majeure Event |
|   | FRB |  | Incorrect Billing Type (REF~BLT) Requested |
|  | Billing type indicated not supported by billing party |
|   | I2M |  | Invalid Second Move Out |
|  | Received invalid 2MR code. |
|   | IBO |  | Invalid Backdate Originator |
|  | Backdated request not part of a coordinated back-office clean up. |
|   | IMI |  | Invalid Membership Number or ID |
|  | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only.  |
|   | LFG |  | Leapfrog Scenario |
|  | Third Party has gained or in the process of gaining this ESI ID. (Inadvertent Gain/Loss or Customer Rescission Reject)  |
|   | MTI |  | Maintenance Type Code (ASI02) Invalid |
|   | MVO |  | Move-Out |
|  | Move-Out is scheduled or has been completed by the TDSP.(Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | NFI |  | Not First In |
|  | Explanation Required in REF03. An initiating transaction has a requested date that is the same as the scheduled meter read date on another scheduled transaction. |
|   | NVS |  | No Valid Safety Net |
|  | Backdated request with no valid safety net. |
|   | PCI |  | Priority Code Invalid |
|  | Priority Code Invalid or in conflict with date requested. |
|   | RNE |  | Request Not Eligible |
|  | Start date requested is earlier than the ESI-ID start date. |
|   | SBD |  | Scheduled to be De-energized |
|  | ESI ID exists but scheduled to be de-energized on date requested. |
|   | SCP |  | Scheduling Conflict Priority |
|  | Switch request caused conflict with transaction currently scheduled. |
|   | SHF |  | Switch Hold Indicator |
|  | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP. |
|   | SNP |  | Safety NET Request Pending for Different CR |
|  | For TDSP use when a Safety Net Move-In is scheduled and Mass Transition or Acquisition (BGN07='TS' or BGN07='AQ') transaction is received from ERCOT. This code is valid only when BGN07='TS' or BGN07='AQ'. |
|   | TCC |  | Competing Transaction Scheduled for the Same Date |
|   | TMI |  | Invalid Move In on Temporary Service |
| **Dep** | **REF03** | **352** | **Description** | **X** |  | **AN 1/80** |
|  | A free-form description to clarify the related data elements and their content |
|  | Used to further describe the reason code sent in REF02. Codes "A13", "API", and "NFI" require a text explanation in this element.  |

**814\_25**

**Segment: REF Reference Identification (Rejection Reason)**

 **Position:** 030

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** >1

 **Purpose:** To specify identifying information

 **Syntax Notes:** **1** At least one of REF02 or REF03 is required.

 **2** If either C04003 or C04004 is present, then the other is required.

 **3** If either C04005 or C04006 is present, then the other is required.

 **Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.Accept Response: Not Used Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | 7G |  | Data Quality Reject Reason |
|  | Reject reasons associated with a reject status notification. |
| **Must Use** | **REF02** | **127** | **Reference Identification** | **X** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|   | 008 |  | ESI ID exists but is not active |
|  | Retired |
|   | 017 |  | Service Terminated Because the Service Provider Went Out of Business |
|  | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT Use Only. |
|   | 090 |  | Greater than 90 in the future |
|  | Transaction requested a date greater than 90 days in the future. |
|   | 270 |  | Greater than 270 in the past |
|  | Transaction requested a date greater than 270 days in the past. |
|   | A13 |  | Other |
|  | Explanation Required in REF03.  |
|   | A76 |  | ESI ID Invalid or Not Found |
|   | A78 |  | Item or Service Already Established |
|  | Requested action has already completed. Used by TDSP and ERCOT only. |
|   | A83 |  | Invalid or Unauthorized Action |
|  | Information provided was not supported in the Texas SET Standards. |
|   | A84 |  | Invalid Relationship |
|  | CR does not have a valid relationship with this customer for this action. Submitting CR is not, or is not scheduled to be the Rep of Record at date of request. |
|   | ABN |  | Duplicate Request Received |
|  | Used by TDSP to reject an 814\_24, which contains the same value in the BGN02 as a previously submitted 814\_24. The ABN code is to be used only for transactions between the TDSP and ERCOT. This code is not used on the 814\_25 for the transaction sent by ERCOT to the CR. |
|   | ACI |  | Action Code (ASI01) Invalid |
|   | ANK |  | Invalid Source Information |
|  | Unnecessary Billing Information Included |
|   | API |  | Required information missing |
|  | Explanation Required in REF03. May not be used in place of other, more specific error codes. |
|   | BIM |  | Billing Information Missing  |
|  | Information required in the N1~BT (Customer Billing Loop) not received.Used by MOU / Coop only |
|   | CCL |  | Critical Care or Critical Load |
|   | CSA |  | CSA Bypass REF~2W not submitted by current CSA CR or no CSA CR exists |
|  | For ERCOT use only |
|   | D76 |  | DUNS Number Invalid or Not Found |
|   | DIP |  | Date In Past |
|  | Request cannot be backdated. |
|   | DOT |  | Duplicate Original Transaction ID |
|  | Original Transaction ID (BGN02) already submitted on ESI-ID. For ERCOT Use Only. |
|   | DUP |  | Duplicate |
|  | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT Use Only. |
|   | FME |  | Force Majeure Event |
|   | FRB |  | Incorrect Billing Type (REF~BLT) Requested |
|  | Billing type indicated not supported by billing party |
|   | FRG |  | Backdated Transaction Not Valid |
|  | Backdated requested date is prior to transaction already completed or scheduled. For ERCOT Use Only. |
|   | I2M |  | Invalid Second Move Out |
|  | Received invalid 2MR code |
|   | IBO |  | Invalid Backdate Originator |
|  | Backdated request not part of a coordinated back-office clean up. |
|   | IMI |  | Invalid Membership Number or ID |
|  | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only.  |
|   | MTI |  | Maintenance Type Code (ASI02) Invalid |
|   | NFI |  | Not First In |
|  | Explanation Required in REF03. An initiating transaction has a requested date that is the same as the scheduled meter read date on another scheduled transaction. |
|   | NVS |  | No Valid Safety Net |
|  | No open safety net with matching backdated date |
|   | RNE |  | Request Not Eligible |
|  | Start date requested is earlier than the ESI-ID start date. For ERCOT Use Only. |
|   | SBD |  | Scheduled to be De-energized |
|  | ESI ID exists but scheduled to be de-energized on date requested. Used only by ERCOT after retry period on an 814\_25. |
|   | SCP |  | Scheduling Conflict Priority |
|  | Requested date caused conflict with transaction currently scheduled. Currently scheduled transaction scheduled to execute within 2 business days. |
|   | TCC |  | Competing Transaction Scheduled for the Same Date |
|   | ZIP |  | Invalid Zip Code |
|  | Only applicable to the first five characters of the zip code, which are used for validation. ERCOT is the only entity that may validate on Zip Code. |
| **Dep** | **REF03** | **352** | **Description** | **X** |  | **AN 1/80** |
|  | A free-form description to clarify the related data elements and their content |
|  | Used to further describe the reason code sent in REF02. Codes "A13", "API" and "NFI", require a text explanation in this element.  |