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| **Texas SET Change Control Request Form**  **Change Control Number: 2023 -842**  **Implementation Version: 5.0** |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:**  ERCOT | **Phone Number:**  512-248-6747 |
| **Date of Submission:**  02/07/2023 | **Affected TX SET Transaction(s):**  814\_04 & 814\_25 | **Submitter’s E-Mail Address:**  Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:** | Protocol Impact (Y/N):  N |
| **Detailed Description and Reason for Proposed Change(s):**  Update the gray box for the 814\_04 and 814\_25 for the I2M - Invalid Second Move Out | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com) and RMS Chair***.*** | | |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |
| --- | --- | --- |
| **Texas SET Recommendation:**    Recommend Approval | **Recommendation for Emergency (Y/N):**  N | **Date of TX SET Recommendation:**  02/15/2023 |
| **Detailed Description and Reason for Revision:**  Texas SET Recommends Approval for 5.0 | | |
| **RMS Decision:**  Approved for Texas SET 5.0 | **Emergency (Y/N):**  N | **Date of RMS Decision:**  03/07/2023 |
| **Summary of RMS Discussion:**  RMS reviewed and Approves as non-emergency for Texas SET 5.0 | | |

**814\_04**

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI ID Exists But is Not Active | | | | |
|  | | | | | | Retired | | | |
|  | | | | 017 |  | Service Terminated because Service Provider went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use Only. | | | |
|  | | | | 090 |  | Greater than 90 in the future | | | | |
|  | | | | | | Transaction requested a date greater than 90 days in the future. | | | |
|  | | | | 150 |  | Move In Date Greater Than 150 Days in the Past | | | | |
|  | | | | | | TDSP's Usage and Invoicing transactions are limited to 150 Days in the Past.  (Inadvertent Gain/Loss or Customer Rescission Reject) | | | |
|  | | | | 270 |  | Greater than 270 in the past | | | | |
|  | | | | | | Transaction requested a date greater than 270 days in the past. | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service Already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ABN |  | Duplicate Request Received | | | | |
|  | | | | | | Used by TDSP to reject an 814\_03, which contains the same value in the BGN02 as a previously submitted 814\_03. The ABN code is to be used only for transactions between the TDSP and ERCOT. The code is not sent to the CR by ERCOT. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ANK |  | Invalid Source Information | | | | |
|  | | | | | | Unnecessary Billing Information Included. | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | BIM |  | Billing Information Missing | | | | |
|  | | | | | | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. | | | |
|  | | | | CCL |  | Critical Care or Critical Load | | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DIP |  | Date In Past | | | | |
|  | | | | | | Request cannot be backdated. | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | |
|  | | | | I2M |  | Invalid Second Move Out | | | | |
|  | | | | | | Received invalid 2MR code. | | | |
|  | | | | IBO |  | Invalid Backdate Originator | | | | |
|  | | | | | | Backdated request not part of a coordinated back-office clean up. | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | |
|  | | | | LFG |  | Leapfrog Scenario | | | | |
|  | | | | | | Third Party has gained or in the process of gaining this ESI ID.  (Inadvertent Gain/Loss or Customer Rescission Reject) | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | MVO |  | Move-Out | | | | |
|  | | | | | | Move-Out is scheduled or has been completed by the TDSP.  (Inadvertent Gain/Loss or Customer Rescission Reject) | | | |
|  | | | | NFI |  | Not First In | | | | |
|  | | | | | | Explanation Required in REF03. An initiating transaction has a requested date that is the same as the scheduled meter read date on another scheduled transaction. | | | |
|  | | | | NVS |  | No Valid Safety Net | | | | |
|  | | | | | | Backdated request with no valid safety net. | | | |
|  | | | | PCI |  | Priority Code Invalid | | | | |
|  | | | | | | Priority Code Invalid or in conflict with date requested. | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date. | | | |
|  | | | | SBD |  | Scheduled to be De-energized | | | | |
|  | | | | | | ESI ID exists but scheduled to be de-energized on date requested. | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Switch request caused conflict with transaction currently scheduled. | | | |
|  | | | | SHF |  | Switch Hold Indicator | | | | |
|  | | | | | | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP. | | | |
|  | | | | SNP |  | Safety NET Request Pending for Different CR | | | | |
|  | | | | | | For TDSP use when a Safety Net Move-In is scheduled and Mass Transition or Acquisition (BGN07='TS' or BGN07='AQ') transaction is received from ERCOT. This code is valid only when BGN07='TS' or BGN07='AQ'. | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
|  | | | | TMI |  | Invalid Move In on Temporary Service | | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13", "API", and "NFI" require a text explanation in this element. | | | | | | | |

**814\_25**

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI ID exists but is not active | | | | |
|  | | | | | | Retired | | | |
|  | | | | 017 |  | Service Terminated Because the Service Provider Went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT Use Only. | | | |
|  | | | | 090 |  | Greater than 90 in the future | | | | |
|  | | | | | | Transaction requested a date greater than 90 days in the future. | | | |
|  | | | | 270 |  | Greater than 270 in the past | | | | |
|  | | | | | | Transaction requested a date greater than 270 days in the past. | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service Already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | A84 |  | Invalid Relationship | | | | |
|  | | | | | | CR does not have a valid relationship with this customer for this action. Submitting CR is not, or is not scheduled to be the Rep of Record at date of request. | | | |
|  | | | | ABN |  | Duplicate Request Received | | | | |
|  | | | | | | Used by TDSP to reject an 814\_24, which contains the same value in the BGN02 as a previously submitted 814\_24. The ABN code is to be used only for transactions between the TDSP and ERCOT. This code is not used on the 814\_25 for the transaction sent by ERCOT to the CR. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ANK |  | Invalid Source Information | | | | |
|  | | | | | | Unnecessary Billing Information Included | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | BIM |  | Billing Information Missing | | | | |
|  | | | | | | Information required in the N1~BT (Customer Billing Loop) not received.  Used by MOU / Coop only | | | |
|  | | | | CCL |  | Critical Care or Critical Load | | | | |
|  | | | | CSA |  | CSA Bypass REF~2W not submitted by current CSA CR or no CSA CR exists | | | | |
|  | | | | | | For ERCOT use only | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DIP |  | Date In Past | | | | |
|  | | | | | | Request cannot be backdated. | | | |
|  | | | | DOT |  | Duplicate Original Transaction ID | | | | |
|  | | | | | | Original Transaction ID (BGN02) already submitted on ESI-ID. For ERCOT Use Only. | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT Use Only. | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | |
|  | | | | FRG |  | Backdated Transaction Not Valid | | | | |
|  | | | | | | Backdated requested date is prior to transaction already completed or scheduled. For ERCOT Use Only. | | | |
|  | | | | I2M |  | Invalid Second Move Out | | | | |
|  | | | | | | Received invalid 2MR code | | | |
|  | | | | IBO |  | Invalid Backdate Originator | | | | |
|  | | | | | | Backdated request not part of a coordinated back-office clean up. | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NFI |  | Not First In | | | | |
|  | | | | | | Explanation Required in REF03. An initiating transaction has a requested date that is the same as the scheduled meter read date on another scheduled transaction. | | | |
|  | | | | NVS |  | No Valid Safety Net | | | | |
|  | | | | | | No open safety net with matching backdated date | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date. For ERCOT Use Only. | | | |
|  | | | | SBD |  | Scheduled to be De-energized | | | | |
|  | | | | | | ESI ID exists but scheduled to be de-energized on date requested. Used only by ERCOT after retry period on an 814\_25. | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Requested date caused conflict with transaction currently scheduled. Currently scheduled transaction scheduled to execute within 2 business days. | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
|  | | | | ZIP |  | Invalid Zip Code | | | | |
|  | | | | | | Only applicable to the first five characters of the zip code, which are used for validation. ERCOT is the only entity that may validate on Zip Code. | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13", "API" and "NFI", require a text explanation in this element. | | | | | | | |