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| **Texas SET Change Control Request Form** **Change Control Number: 2022 -838** **Implementation Version: 5.0**  |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:** ERCOT | **Phone Number:** 512-248-6747 |
| **Date of Submission:**08/26/2022 | **Affected TX SET Transaction(s):** 814\_19 | **Submitter’s E-Mail Address:** Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:**  | Protocol Impact (Y/N):N |
| **Detailed Description and Reason for Proposed Change(s):**Add the ASI02 of 001 (Change) to the 814\_19 Response to allow the response transaction to match the 814\_18 when a Change request is submitted. Add additional Reject Codes to the 814\_19.This Change Control also includes the Reject Codes from Approved Change Control 2021-833 for reference. 11.16.2022 – There are 2 codes NAC and NCC that are both being used when there is not a current CSA. To prevent confusion, we will remove NAC and all rejects when there is not a Current or Active CSA will use NCC.  |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.**Please submit this completed form via e-mail to**txsetchangecontrol@ercot.com and RMS Chair***.*** |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |
| --- | --- | --- |
| **Texas SET Recommendation:**Recommend for Approval | **Recommendation for Emergency (Y/N):**N | **Date of TX SET Recommendation:**09/01/2022 |
| **Detailed Description and Reason for Revision:** Recommend Approval for 5.0 with addition of DIV in REF0301/18/2023 – Recommend RMS to Approve for Texas SET 5.0 |
| **RMS Decision:**Approved for Texas SET 5.0 | **Emergency (Y/N):**N | **Date of RMS Decision:**03/07/2023 |
| **Summary of RMS Discussion:** 09/13/2022 – RMS approved this change control as non-emergency for Texas SET 5.003/07/2023 - RMS reviewed edits from January 2023 and Approves as non-emergency for Texas SET 5.0 |

**Segment: ASI Action or Status Indicator**

 **Position:** 020

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** 1

 **Purpose:** To indicate the action to be taken with the information provided or the status of the entity described

 **Syntax Notes:**

 **Semantic Notes:**

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | Identifies the action to be taken or the status of a requested action for the service identified in the LIN segment. |
|  |  | Required |
|  |  | ASI~WQ~021 |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **ASI01** | **306** | **Action Code** | **M** |  | **ID 1/2** |
|  | Code indicating type of action |
|   | U |  | Reject |
|   | WQ |  | Accept |
| **Must Use** | **ASI02** | **875** | **Maintenance Type Code** | **M** |  | **ID 3/3** |
|  | Code identifying the specific type of item maintenance |
|   | 001 |  | Change |
|  | Changing CSA End Date for ESIID |
|   | 002 |  | Delete |
|  | Drop |
|   | 021 |  | Addition |

**Segment: REF Reference Identification (Rejection Reason)**

 **Position:** 030

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** >1

 **Purpose:** To specify identifying information

 **Syntax Notes:** **1** At least one of REF02 or REF03 is required.

 **2** If either C04003 or C04004 is present, then the other is required.

 **3** If either C04005 or C04006 is present, then the other is required.

 **Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.Accept Response: Not Used Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | 7G |  | Data Quality Reject Reason |
|  | Reject reasons associated with a reject status notification. |
| **Must Use** | **REF02** | **127** | **Reference Identification** | **X** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|   | 008 |  | ESI ID exists but is not active |
|  | Retired |
|   | 017 |  | Service Terminated Because the Service Provider Went Out of Business |
|  | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use only. |
|   | A13 |  | Other |
|  | Explanation Required in REF03.  |
|   | A76 |  | ESI ID Invalid or Not Found |
|   | A83 |  | Invalid or Unauthorized Action |
|  | Information provided was not supported in the Texas SET Standards. |
|   | ACI |  | Action Code (ASI01) Invalid |
|   | ANM |  | Energy Supplier not certified by Utility/Clearinghouse |
|  | CR does not have a service agreement with the TDSP. |
|   | API |  | Required information missing |
|  | Explanation Required in REF03. May not be used in place of other, more specific error codes. |
|   | B30 |  | Currently Enrolled |
|  | Already the CSA CR for this ESI ID |
|  | BED |  | Backdated CSA End Date |
|   | CEF |  | CSA End Date too far in the Future |
|  | End Date requested is too far in the future |
|   | D76 |  | DUNS Number Invalid or Not Found |
|   | DIV |  | Date Invalid |
|  | Use REF03 to further describe the invalid date |
|   | DNR |  | Start and End Date Not Required on Deletes |
|  | Neither the Start Date nor the End Date is valid on a request to Delete a CSA |
|   | DOT |  | Duplicate Original Transaction ID |
|  | Original Transaction ID (BGN02) already submitted on ESI-ID. For ERCOT Use Only. MIMO Rules, ERCOT 27 |
|   | DUP |  | Duplicate |
|  | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. MIMO Rules, ERCOT 27. For ERCOT use only. |
|  | EDR |  | CSA End Date Required  |
|  | CSA End Date is Required for the CSA Change |
|   | FRB |  | Incorrect Billing Type (REF~BLT) Requested |
|  | Billing type indicated not supported by billing party |
|   | IMI |  | Invalid Membership Number or ID |
|  | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only.  |
|   | MTI |  | Maintenance Type Code (ASI02) Invalid |
|  |  |  |  |
|   | NFI |  | Not First In |
|  | For ERCOT Use Only. |
|  | SDC |  | CSA Start Date Must Be Current Date or Date in the Future |
|  | SDR |  | CSA Start Date Required  |
|  | Start Date is Required for the CSA Establish |
|  | SNR |  | CSA Start Date Not Required  |
|  | CSA Start Date is Not Required for the CSA Change |
|   | ZIP |  | Invalid Zip Code |
|  | Only applicable to the first five characters of the zip code, which are used for validation. |
| **Dep** | **REF03** | **352** | **Description** | **X** |  | **AN 1/80** |
|  | A free-form description to clarify the related data elements and their content |
|  | Used to further describe the status reason code sent in REF02. Code "A13", "API" and “DIV” require a text explanation in this element. |