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| RMGRR Number |  | RMGRR Title | Require Opt-in MOU/EC who are Designating POLR to provide Mass Transition Methodology to ERCOT |
| Date Posted | |  | |
|  | |  | |
| Requested Resolution | | Normal | |
| Retail Market Guide Sections Requiring Revision | | 7.11.1 Transition Process of Competitive Retailer’s Electric Service Identifiers to Provider of Last Resort or Designated Competitive Retailer Pursuant to P.U.C. Subst. R. 25.43, Provider of Last Resort (POLR) or CR Voluntarily Leaving the Market | |
| Related Documents Requiring Revision/Related Revision Requests | | Appendix J7 - Mass Transition Allocation Methodology (English)  Appendix J8 – Attestation to Confirm Mass Transition Allocation Methodology | |
| Revision Description | | Describe the basic function of the Revision Request. | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](https://www.ercot.com/files/docs/2018/12/13/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | |
| Business Case | | This change requires that opt-in MOUs/ECs who may not or have not delegated authority to designate POLRs to the PUC must provide their Mass Transition allocation methodology to ERCOT 30 days prior to its effective date. This change also requires updates or confirmation of the methodology every 2 years. | |

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| Proposed Guide Language Revision |

7.11.1 Transition Process of Competitive Retailer’s Electric Service Identifiers to Provider of Last Resort or Designated Competitive Retailer Pursuant to P.U.C. Subst. R. 25.43, Provider of Last Resort (POLR) or CR Voluntarily Leaving the Market

(1) This Section 7.11.1 outlines a transition process that can be used when such circumstances exist pursuant to P.U.C. Subst. R. 25.43, Provider of Last Resort (POLR), referred to herein as a “Mass Transition,” and may include ESI IDs that are transferred to a designated CR as a result of an acquisition pursuant to P.U.C. Subst. R. 25.493, Acquisition and Transfer of Customers from one Retail Electric Provider to Another.

(2) Each opt-in MOU or opt-in EC that may not or have not delegated authority to designate POLRs to the Commission, as applicable to opt-in electric cooperatives, must provide its initial POLR allocation methodology to ERCOT no later than 30 days prior to the Customer Choice opt-in date using Appendix J7 - Mass Transition Allocation Methodology. Should the opt-in MOU or opt-in EC determine the allocation methodology must be changed at any time, such updates must be provided to ERCOT no later than 30 days prior to its effective date or at a time prior to the initiation of a Mass Transition as defined in Retail Market Guide 7.11.1.1. All updates to the allocation methodology must be provided using Appendix J7 – Mass Transition Allocation Methodology. Confirmation of all allocation methodologies must be submitted to ERCOT prior to January 1st of each odd numbered year using Appendix J8 – Attestation to Confirm Mass Transition Allocation Methodology.

(3) Market Participants that wish to transfer Customers for reasons other than P.U.C. Subst. R. 25.43 should contact ERCOT Client Relations and the Public Utility Commission of Texas (PUCT) Staff.

(4) Per Protocol Section 16.1.1, Re-Registration as a Market Participant, any Market Participant that has had its Customers dropped via the Mass Transition process must provide to ERCOT a new Data Universal Numbering System (DUNS) Number (DUNS #) to re-register as a Market Participant with ERCOT.

(5) For the purpose of a Mass Transition and the associated timeline, the following definitions shall apply:

(a) Notification Date - Date on which ERCOT sends the initial Mass Transition Market Notice to affected parties informing them that a Mass Transition will occur as a result of a Market Participant default, also known as the pre-Launch stage in the process.

(b) Calendar Day 0 - Date that ERCOT sends 814\_03, Enrollment Notification Request. This can be on the Notification Date.

(c) Mass Transition Date - Scheduled Meter Read Date (SMRD) will be equal to Calendar Day 0 plus two days and will be the date requested in the 814\_03 transaction from ERCOT to the TDSP. POLRs will be responsible for ESI IDs no earlier than the Mass Transition Date.

(6) The processes described in this Section presume that a decision to transfer the ESI IDs has already been made by ERCOT as a result of a Market Participant’s default of the Standard Form Market Participant Agreement with ERCOT.

(7) ERCOT may coordinate periodic testing with Market Participants of Mass Transition processes as defined in this Section and Section 11, Solution to Stacking.