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| **High Level Requirement #** | **REQUIREMENT** | **DESCRIPTION** |
| 1 | Revise **Inadvertent Gain/Loss/Rescission workflows** to remove Ready to Receive transition | * Removing TDSP from workflows * Adding “Provide Regaining BGN02” transition with “Proposed Regain Date” as a required field (excluding Rescission which will be prepopulated with DOL+1) * Following validations on ‘proposed regain date’. If any are not met, appropriate hard stop error messages will populate calling for new proposed regain date to be submitted   + Regain Date is not < Date of Loss + 1 day   + Regain Date is not > MarkeTrak submit date + 10 days   + Regain Date is not > 150 days in the past |
| 2 | Develop a unique set of **Unexecutable Reasons** for each applicable Subtype | Cancel w/Approval – “Unable to Cancel” reasons:   * Transaction is already Complete in the TDSP system * Per ERCOT Protocols, the CR must send an 814\_08 Cancel Request unless there is a system outage and the CR is unable to send/receive TXSET EDI transactions * Incorrect ESI ID Provided * Transaction does not exist in TDSP system * Incorrect Original Tran ID provided   Switch Hold Removal – secondary drop down when “Documentation Invalid/Incomplete” is selected   * Incorrect or missing documents * Illegible supporting documents * Address not listed on documents * Missing phone number on New Occupant Statement * Signatures missing on the lease agreement * Address on the lease does not match the service address * The name on the lease does not match New Occupant Statement * ESI ID invalid or not found * ESI ID not listed on New Occupant Statement * New customer is same as current tenant * Other   + Comments Required |
| 3 | Add a **150-day validation** on the **Proposed Regain Date** for Inadvertent Gain, Inadvertent Loss workflows | * Pop up warning validation message: “*Regain dates > 150 days in the past will be rejected by the TDSP*” * User may select OK to move the issue forward or select Cancel and change the Proposed Regain Date. |
| 4 | Reduce **25 day validatio**n on the **Customer Rescission** workflow to 15 days | * Current hard stop 25-day validation will be reduced to 15 days. * Updated error message to display: “*Error:  This issue is unable to proceed because the effective date of the originating transaction at this premise was more than 15 calendar days in the past.  Please enter a different ESIID or consult the Retail Market Guide to determine the proper course of action.”* |
| 5 | Creation of a new **Meter Cycle Change Request** subtype | * May only be submitted by the REP of Record * Required fields: Assignee, Assign to Pending, ESI ID, Comments (optional) * New fields:   + “Current Meter Read Cycle” – 2 digits (e.g. cycle 1 would be entered as 01)   + “Proposed Meter Read Cycle” - 2 digits * New transition: “Approved” * Unexecutable transition with dropdown “Unexecutable Reason”:   + Only one meter cycle change per ESIID per Rep of Record for this Customer   + Causes cycle imbalance   + Unable to Accommodate     - Comments required if this value selected   + Surpasses TDSP cycle threshold * Workflow to follow “Other” subtype |
| 6 | Creation of a new **867 vs. Sum of LSE Intervals-Dispute** subtype | * Dispute requiring the 867 TRAN ID to be entered for reference and start date/time and end date/time stamps should match the 867\_03 transaction dates for validation * Will follow “Usage & Billing – Dispute” workflow * Required fields: Assignee, Assign to Pending, ESI ID, Comments * Format of dates will remove the hh:mm:ss |
| 7 | Redesign **AMS LSE-Dispute** subtype | * Redesigning existing AMS LSE-Dispute subtype to support various reasons for submittal. * New field “Reason to Dispute” dropdown:   + Disputing Peak Interval   + Requesting Estimation Methodology Utilized   + Disputing interval allocation of estimated consumption   + 4CP Verification   + Other     - Comments required if ‘Other’ selected |
| 8 | Add radio button to **Usage & Billing-Dispute** subtype to **clarify expected results** | Adding a radio button (YES/NO) if corrections are to be expected as a result of the dispute.  New field: “Corrections Expected” with radio button |
| 9 | **Expand Siebel validations** on the Submit transition for **Missing Enrollment TXNs** subtype | When submitting the Missing Enrollment TXN subtype, new validations will be performed:   1. Checking status of transaction requested – if “Cancelled” – **hard stop**    1. *“The service order for the Global ID provided has been cancelled in ERCOT Registration System. Please update the ESIID/Original Tran ID provided and click OK or click Cancel to exit”.* 2. If 867\_04 has been posted – **hard stop**    1. *“The 867\_04 transaction has already been sent””* 3. Review date parameter on 814\_04/05 and consider date changes/cancels – if > 5 days, allow MT to proceed – **warning**    1. *“Please allow at least 5 days for the 867\_04 to be sent by the TDSP”.* |
| 10 | Modify **Switch Hold Removal** subtype to all **TDSPs to execute Time Limit Exceeded transition** | Adding a new transition that will allow the TDSPs to release a Switch Hold and complete the MarkeTrak when REPs fail to respond.   * “Time Limit Exceeded” button added in TDSP workflow when issue in a state of “New” and “In Progress” |
| 11 | Create **additional validations** on the Submit transition for **Switch Hold Removal** subtype | Two additional validations to be performed when a REP is submitting a Switch Hold:   1. If no Switch Hold exists on the ESI – **warning**    1. *“ERCOT system indicates there is no Switch Hold currently in place on the ESIID provided. ”* 2. If the Submitting REP is the current REP of Record – **warning**    1. *“Switch Hold Removal issues should not be submitted by the current Rep of Record. Click OK to continue with the submit process or Cancel to exit.”* |
| 12 | Modify **Switch Hold Removal** subtype for ERCOT to **auto populate the holding CR** | * ERCOT to auto-populate the holding CR prior to transitioning the MT to the TDSP * If the ESI ID is de-energized (in ERCOT) and there is no current ROR, ERCOT will transition to TDSP with a null value in Assignee field * Pre-populated Assignee value can be modified by TDSP |
| 13 | Change the name of the Complete transition to **Agree/Complete** on **Siebel Chg/Info** subtype | Modify the “Complete” transition button to “Agree/Complete” providing clarity the market participant agrees to the proposed change |
| 14 | Add a **warning message** on the Submit transition for **Inadvertent Gain, Inadvertent Loss, Customer Rescission** subtypes for **third party transactions that are “Scheduled” or “In Review”** | During the submittal of a IAG or IAL MT, a warning message will populate if any third-party or MVO transactions are “Scheduled” or “In Review” that would result in an unexecutable response and/or a rejected transaction.  **Warning** message:   * *“There is a third party or Move Out transaction in a status of “Scheduled” or “In Review” in the ERCOT Registration System for the ESIID provided. Please review prior to proceeding with Submit to avoid a potential Unexecutable situation.”* |
| 15 | Add **new reject codes** to the **Reject TXNs** subtype – ERCOT requirement to support TX Set 5.0 | Modify the reject code field to eliminate the drop down reject codes due to the 36 new codes to be added to TXSET v5.0 and move to a freeform field with Comments required if reject code = A13 |