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| NPRR Number | [1134](https://www.ercot.com/mktrules/issues/NPRR1134) | NPRR Title | Related to RMGRR168, Modify ERCOT’s Mass Transition Responsibilities |
| Date of Decision | | September 15, 2022 | |
| Action | | Approved | |
| Timeline | | Normal | |
| Effective Date | | Upon implementation of Retail Market Guide Revision Request (RMGRR) 168, Modify ERCOT’s Mass Transition Responsibilities | |
| Priority and Rank Assigned | | Not applicable | |
| Nodal Protocol Sections Requiring Revision | | 15.1.3.1, Mass Transition Process | |
| Related Documents Requiring Revision/Related Revision Requests | | RMGRR168 | |
| Revision Description | | This Nodal Protocol Revision Request (NPRR) synchronizes ERCOT’s role and responsibilities with current market transactional solutions by removing the negative impacts experienced by Customers when their switch transactions are executed within the 60 days following a Mass Transition event as described in the P.U.C. SUBST. R. 25.43, Provider of Last Resort (POLR). | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](https://www.ercot.com/files/docs/2018/12/13/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | |
| Business Case | | This NPRR resolves the disparity by removing references to First Available Switch Date (FASD). Observations following recent Mass Transition/POLR events indicated ERCOT’s use of FASD when processing switch transactions for impacted Electric Service Identifiers (ESI IDs) following a POLR event created an unintended negative Customer experience. ERCOT’s adjustment of the request date in their 814\_03, Enrollment Notification Request, transaction to the Transmission and/or Distribution Service Providers (TDSPs) introduces risk to both the Customer and the submitting Retail Electric Provider (REP) (e.g., creating misaligned contract terms of service and the REP’s scheduling of this Load with ERCOT). Any 814\_01, Switch Request, transactions submitted on a previously impacted POLR ESI ID within 60 days following the transition should be forwarded to the TDSP by ERCOT with the REP’s requested effective date and processed according to the TDSP’s current tariff timelines. | |
| Credit Work Group Review | | ERCOT Credit Staff and the Credit Work Group (Credit WG) have reviewed NPRR1134 and do not believe that it requires changes to credit monitoring activity or the calculation of liability. | |
| PRS Decision | | On 6/9/22, PRS voted unanimously to recommend approval of NPRR1134 as submitted. All Market Segments participated in the vote.  On 7/13/22, PRS voted unanimously to endorse and forward to TAC the 6/9/22 PRS Report and 6/14/22 Impact Analysis for NPRR1134. All Market Segments participated in the vote. | |
| Summary of PRS Discussion | | On 6/9/22, participants referenced the 6/8/22 RMS comments and clarified NPRR1134’s minimal impact towards POLR Customers requesting future switch transactions.  On 7/13/22, there was no discussion. | |
| TAC Decision | | On 7/27/22, TAC voted unanimously to recommend approval of NPRR1134 as recommended by PRS in the 7/13/22 PRS Report. All Market Segments participated in the vote. | |
| Summary of TAC Discussion | | On 7/27/22, participants reviewed NPRR1134 and the related ERCOT Opinion and ERCOT Market Impact Statement. | |
| ERCOT Opinion | | ERCOT supports approval of NPRR1134. | |
| ERCOT Market Impact Statement | | ERCOT Staff has reviewed NPRR1134 and believes the market impact for NPRR1134, along with RMGRR168, improves current operational issues by synchronizing ERCOT’s role and responsibilities regarding current market transactional solutions upon the removal of the “out-of-cycle” switch term and market process. | |
| ERCOT Board Decision | | On 8/16/22, the ERCOT Board voted unanimously to recommend approval of NPRR1134 as recommended by TAC in the 7/27/22 TAC Report. | |
| PUCT Decision | | On 9/15/22, the PUCT approved NPRR1134 and accompanying ERCOT Market Impact Statement as presented in Project No. 52934, Review of Rules Adopted by the Independent Organization. | |

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| Sponsor | |
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| Market Segment | Not Applicable |

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| **Comments Received** | |
| **Comment Author** | **Comment Summary** |
| RMS 060822 | Endorsed NPRR1134 as submitted |

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| **Market Rules Notes** |

None

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| Proposed Protocol Language Revision |

15.1.3.1 Mass Transition Process

(1) In a Mass Transition event, ERCOT shall submit the 814\_03, Enrollment Notification Request, requesting a meter read for the associated ESI IDs, for a date two days after the date ERCOT initiates such transactions to the TDSP. The 814\_03 transaction shall contain a request for historical usage and the requested date for the meter read date to transfer the ESI IDs. If an actual meter read cannot be obtained by the date requested in the 814\_03 transaction, then the meter read may be estimated by the TDSP. (See Retail Market Guide Section 9, Appendices, Appendix F2, Timeline for Initiation of a Mass Transition on a Business Day not Prior to a Weekend or ERCOT Holiday.)

(2) The TDSP shall respond to the 814\_03 transaction within two Retail Business Days with an 814\_04, Enrollment Notification Response, and an 867\_02, Historical Usage. Within one Retail Business Day of receiving the 814\_04 transaction, ERCOT will send an 814\_11, Drop Response, to the transitioning CR and forward an 814\_14, Drop Enrollment Request, with the scheduled meter read date, to the POLR(s) or designated CR. The TDSP shall submit an 867\_04, Initial Meter Read, with a meter read date equal to the scheduled meter read date in the 814\_04 transaction, which will also be known as the transition date. (See Retail Market Guide Section 9, Appendix D1, Transaction Timing Matrix, for specific transaction timings.)

(3) ERCOT shall identify and monitor transitioned ESI IDs for a period of 60 days from the Mass Transition Date, as defined in the Retail Market Guide. Identification of the transitioned ESI ID shall terminate either upon the first completed switch, move in, move out, or at the end of the 60 day period, whichever occurs first.

(4) For a detailed outline of the business process and responsibilities of all Entities involved in a Mass Transition event, refer to the Retail Market Guide Section 7, Market Processes.