|  |
| --- |
| **Texas SET Change Control Request Form** **Change Control Number: 2021 - 832** **Implementation Version: 5.0**  |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:** Kathy Scott | **Submitting Company Name:** CenterPoint Energy  | **Phone Number:** 713-582-8654 |
| **Date of Submission:**06-14-2021 | **Affected TX SET Transaction(s):** 814\_04 and 814\_05  | **Submitter’s E-Mail Address:** Kathy.Scott@CenterPointEnergy.com |
| **Texas SET Issue cross-reference number:** N/A | Protocol Impact (Y/N):N |
| **Detailed Description and Reason for Proposed Change(s):**To support the automated processes that will be created with the implementation of Change Control 2021\_829 with new Inadvertent Gain/Loss Backdated Move-In (BDMVI) unique transactional identifiers there will be scenarios where the TDSP will be required to provide *valid reject* responses to the CR as part of this automated process. This Change Control adds three new specific *Rejection Reason* codes to the REF~7G to both the 814\_04 Enrollment Notification Response and the 814\_05 CR Enrollment Notification Response transactions: **814\_04 Enrollment Notification Response REF~7G (Rejection Reason)**: **Add**: New Rejection Reason code: “LFG” – “Leapfrog Scenario – Third Party has gained or in the process of gaining this ESI ID. (Inadvertent Gain/Loss or Customer Rescission Reject)” **Add**: New Rejection Reason code: “MVO” – “Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject)” **06/17/21 TDTMS Recommended this Add**: New Rejection Reason code: “150” – “Move In Date Greater Than 150 Days in the Past. TDSP’s 867 Usage and 810 Invoicing transactions are limited to 150 days in the Past. (Inadvertent Gain/Loss or Customer Rescission Reject)” **814\_05 CR Enrollment Notification Response REF~7G (Rejection Reason)**: **Add**: New Rejection Reason code: “LFG” – “Leapfrog Scenario – Third Party has gained or in the process of gaining this ESI ID. (Inadvertent Gain/Loss or Customer Rescission Reject)” **Add**: New Rejection Reason code: “MVO” – “Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject)” **06/17/21 TDTMS Recommended this Add**:New Rejection Reason code: “150” – Move In Date Greater Than 150 Days in the Past. TDSP’s 867 Usage and 810 Invoicing transactions are limited to 150 days in the Past. (Inadvertent Gain/Loss or Customer Rescission Reject)”  |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.**Please submit this completed form via e-mail to**txsetchangecontrol@ercot.com and RMS Chair***.*** |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |
| --- | --- | --- |
| **Texas SET Recommendation:**Recommended for Approval | **Recommendation for Emergency (Y/N):**N | **Date of TX SET Recommendation:**07/21/2021 |
| **Detailed Description and Reason for Revision:** Recommended for approval for a future Texas SET release. |
| **RMS Decision:**Approved | **Emergency (Y/N):**N | **Date of RMS Decision:**08/03/2021 |
| **Summary of RMS Discussion:** Approved as non-emergency for a future release09/13/2022 – RMS voted to include this change control in Texas SET 5.0 |

**814\_04 Enrollment Notification Response**

 **Segment: REF Reference Identification (Rejection Reason)**

 **Position:** 030

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** >1

 **Purpose:** To specify identifying information

 **Syntax Notes:** **1** At least one of REF02 or REF03 is required.

 **2** If either C04003 or C04004 is present, then the other is required.

 **3** If either C04005 or C04006 is present, then the other is required.

 **Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.Accept Response: Not Used Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | 7G |  | Data Quality Reject Reason |
|  | Reject reasons associated with a reject status notification. |
| **Must Use** | **REF02** | **127** | **Reference Identification** | **X** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|   | 008 |  | ESI ID Exists But is Not Active |
|  | Retired |
|   | 017 |  | Service Terminated because Service Provider went Out of Business |
|  | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use Only. |
|  | 150 |  | Move In Date Greater Than 150 Days in the Past  |
|  |  |  | TDSP’s Usage and Invoicing transactions are limited to 150 Days in the Past. (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | A13 |  | Other |
|  | Explanation Required in REF03.  |
|   | A76 |  | ESI ID Invalid or Not Found |
|   | A83 |  | Invalid or Unauthorized Action |
|  | Information provided was not supported in the Texas SET Standards. |
|   | ABN |  | Duplicate Request Received |
|  | Used by TDSP to reject an 814\_03, which contains the same value in the BGN02 as a previously submitted 814\_03. The ABN code is to be used only for transactions between the TDSP and ERCOT. The code is not sent to the CR by ERCOT. |
|   | ACI |  | Action Code (ASI01) Invalid |
|   | ANK |  | Invalid Source Information |
|  | Unnecessary Billing Information Included. |
|   | API |  | Required information missing |
|  | Explanation Required in REF03. May not be used in place of other, more specific error codes. |
|   | BIM |  | Billing Information Missing |
|  | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. |
|   | D76 |  | DUNS Number Invalid or Not Found |
|   | FME |  | Force Majeure Event |
|   | FRB |  | Incorrect Billing Type (REF~BLT) Requested |
|  | Billing type indicated not supported by billing party |
|   | IBO |  | Invalid Backdate Originator |
|  | Backdated request not part of a coordinated back-office clean up. MIMO Rules, ERCOT 24 |
|   | IMI |  | Invalid Membership Number or ID |
|  | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only.  |
|  | LFG |  | Leapfrog Scenario |
|  |  |  | Third Party has gained or in the process of gaining this ESI ID (Inadvertent Gain/Loss or Customer Rescission Reject)  |
|   | MTI |  | Maintenance Type Code (ASI02) Invalid |
|  | MVO |  | Move-Out  |
|  |  |  | Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | RNE |  | Request Not Eligible |
|  | Start date requested is earlier than the ESI-ID start date |
|   | SBD |  | Scheduled to be De-energized |
|  | ESI ID exists but scheduled to be de-energized on date requested. MIMO Rules, ERCOT 4 |
|   | SCP |  | Scheduling Conflict Priority |
|  | Switch request caused conflict with transaction currently scheduled. MIMO Rules, ERCOT 1, TDSP 4. |
|   | SHF |  | Switch Hold Indicator |
|  | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP |
|   | SNP |  | Safety NET Request Pending for Different CR |
|  | For TDSP use when a Safety Net Move-In is scheduled and Mass Transition (BGN07='TS') transaction is received from ERCOT. This code is valid only when BGN07='TS'. |
|   | TCC |  | Competing Transaction Scheduled for the Same Date |
| **Dep** | **REF03** | **352** | **Description** | **X** |  | **AN 1/80** |
|  | A free-form description to clarify the related data elements and their content |
|  | Used to further describe the reason code sent in REF02. Codes "A13", and "API" require a text explanation in this element.  |

**814\_05: CR Enrollment Notification Response**

 **Segment: REF Reference Identification (Rejection Reason)**

 **Position:** 030

 **Loop:** LIN Optional

 **Level:** Detail

 **Usage:** Optional

 **Max Use:** >1

 **Purpose:** To specify identifying information

 **Syntax Notes:** **1** At least one of REF02 or REF03 is required.

 **2** If either C04003 or C04004 is present, then the other is required.

 **3** If either C04005 or C04006 is present, then the other is required.

 **Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

 **Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.Accept Response: Not Used Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

 **Ref. Data**

 **Des. Element Name Attributes**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | **M** |  | **ID 2/3** |
|  | Code qualifying the Reference Identification |
|   | 7G |  | Data Quality Reject Reason |
|  | Reject reasons associated with a reject status notification. |
| **Must Use** | **REF02** | **127** | **Reference Identification** | **X** |  | **AN 1/30** |
|  | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier |
|   | 008 |  | ESI-ID Exists But is Not Active |
|  | Retired |
|  | 150 |  | Move In Date Greater Than 150 Days in the Past  |
|  |  |  | TDSP’s Usage and Invoicing transactions are limited to 150 Days in the Past. (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | A13 |  | Other |
|  | Explanation Required in REF03. |
|   | A76 |  | ESI ID invalid or not found |
|   | A83 |  | Invalid or Unauthorized Action |
|  | Information provided was not supported in the Texas SET Standards. |
|   | ACI |  | Action Code (ASI01) Invalid |
|   | ANK |  | Invalid Source Information |
|  | Unnecessary Billing Information Included. |
|   | API |  | Required information missing |
|  | Explanation Required in REF03. May not be used in place of other, more specific error codes. |
|   | BIM |  | Billing Information Missing |
|  | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. |
|   | D76 |  | DUNs Number invalid or not found |
|   | FME |  | Force Majeure Event |
|   | FRB |  | Incorrect Billing Type (REF~BLT) Requested |
|  | Billing type indicated not supported by billing party |
|   | IBO |  | Invalid Backdate Originator |
|  | Backdated request not art of a coordinated back-office clean-up. MIMO Rules, ERCOT 24 |
|   | IMI |  | Invalid Membership Number or ID |
|  | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only.  |
|  | LFG |  | Leapfrog Scenario |
|  |  |  | Third Party has gained or in the process of gaining this ESI ID (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | MTI |  | Maintenance Type Code (ASI02) invalid |
|  | MVO |  | Move-Out |
|  |  |  | Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject) |
|   | RNE |  | Request Not Eligible |
|  | Start date requested is earlier than the ESI-ID start date |
|   | SBD |  | Scheduled to Be De-energized |
|  | ESI ID exists but scheduled to be de-energized on date requested. MIMO Rules, ERCOT 4 |
|   | SCP |  | Scheduling Conflict Priority |
|  | Switch request caused conflict with transaction currently scheduled. MIMO Rules, ERCOT 1, TDSP 4 |
|   | SHF |  | Switch Hold Indicator |
|  | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP |
|   | TCC |  | Competing Transaction Scheduled for the Same Date |
| **Dep** | **REF03** | **352** | **Description** | **X** |  | **AN 1/80** |
|  | A free-form description to clarify the related data elements and their content |
|  | Used to further describe the reason code sent in REF02. Codes "A13", and "API" require a text explanation in this element.  |