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| NPRR Number | [1137](https://www.ercot.com/mktrules/issues/NPRR1137) | NPRR Title | Updates to Section 1.1 to Modify the OBD List Review Timeline and Other Clarifications |
| Date of Decision | | August 16, 2022 | |
| Action | | Recommended Approval | |
| Timeline | | Normal | |
| Proposed Effective Date | | October 1, 2022 | |
| Priority and Rank Assigned | | Not Applicable | |
| Nodal Protocol Sections Requiring Revision | | 1.1, Summary of the ERCOT Protocols Document | |
| Related Documents Requiring Revision/Related Revision Requests | | None | |
| Revision Description | | This Nodal Protocol Revision Request (NPRR) replaces the annual requirement to review the Other Binding Documents List with a four-year review cycle, and reflects additions of Protocol Sections. | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](https://www.ercot.com/files/docs/2018/12/13/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | |
| Business Case | | At the May 11, 2022 PRS meeting, participants generally agreed that there is no longer a need to review the Other Binding Documents List each year. The requirement to review this list was added to the Protocols just prior to Nodal Market go-live, when NPRR244, Clarification of Other Binding Documents, was approved by the ERCOT Board of Directors, expanding the list of Other Binding Documents from a few specifically called-out documents, such as the Operating Guides, to a more expansive list of any other document which is also binding. Because of the large list of potential Other Binding Documents, at the September 23, 2010 PRS meeting, PRS proposed the annual review process.  The annual review of the Other Binding Documents List is no longer necessary, as it is a thoroughly vetted list and a well-understood procedure. However, periodic reviews may still be warranted. | |
| Credit Work Group Review | | ERCOT Credit Staff and the Credit Work Group (Credit WG) have reviewed NPRR1137 and do not believe that it requires changes to credit monitoring activity or the calculation of liability. | |
| PRS Decision | | On 6/9/22, PRS voted unanimously to recommend approval of NPRR1137 as revised by PRS. All Market Segments participated in the vote.  On 7/13/22, PRS voted unanimously to endorse and forward to TAC the 6/9/22 PRS Report and 6/17/22 Impact Analysis for NPRR1137. All Market Segments participated in the vote. | |
| Summary of PRS Discussion | | On 6/9/22, participants suggested clarifications to the NPRR title.  On 7/13/22, there was no discussion. | |
| TAC Decision | | On 7/27/22, TAC voted unanimously to recommend approval of NPRR1137 as recommended by PRS in the 7/13/22 PRS Report. All Market Segments were present for the vote. | |
| Summary of TAC Discussion | | On 7/27/22, TAC reviewed the ERCOT Opinion and Market Impact Statement for NPRR1137. | |
| ERCOT Opinion | | ERCOT supports approval of NPRR1137. | |
| ERCOT Market Impact Statement | | ERCOT Staff has reviewed NPRR1137 and believes the market impact for NPRR1137 is efficient use of stakeholder time while maintaining familiarity with and oversight of the Other Binding Documents List. | |
| ERCOT Board Decision | | On 8/16/22, the ERCOT Board voted unanimously to recommend approval of NPRR1137 as recommended by TAC in the 7/27/22 TAC Report. | |

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| **Comments Received** | |
| Comment Author | **Comment Summary** |
| None |  |

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| **Market Rules Notes** |

None

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| Proposed Protocol Language Revision |

1.1 Summary of the ERCOT Protocols Document

(1) The Electric Reliability Council of Texas (ERCOT) Protocols, created through the collaborative efforts of representatives of all segments of Market Participants, means the document adopted by ERCOT, including any attachments or exhibits referenced in these Protocols, as amended from time to time, that contains the scheduling, operating, planning, reliability, and Settlement (including Customer registration) policies, rules, guidelines, procedures, standards, and criteria of ERCOT. To determine responsibilities at a given time, the version of the ERCOT Protocols in effect at the time of the performance or non-performance of an action governs with respect to that action. These Protocols are intended to implement ERCOT’s functions as the Independent Organization for the ERCOT Region as certified by the Public Utility Commission of Texas (PUCT) and as the Program Administrator appointed by the PUCT that is responsible for carrying out the administrative responsibilities related to the Renewable Energy Credit (REC) Program as set forth in subsection (g) of P.U.C. Subst. R. 25.173, Goal for Renewable Energy. Market Participants, the Independent Market Monitor (IMM), and ERCOT shall abide by these Protocols.

(2) The ERCOT Board, Technical Advisory Committee (TAC), and other ERCOT subcommittees authorized by the ERCOT Board or TAC or ERCOT may develop polices, guidelines, procedures, forms, and applications for the implementation of and operation under, these Protocols and to comply with applicable rules, laws, and orders of a Governmental Authority. A policy, guideline, procedure, form, or application described above is an “Other Binding Document.” Other Binding Documents do not include ERCOT’s internal administrative procedures, documents and processes necessary to fulfill its role as the Independent Organization or as a registered Entity with the North American Electric Reliability Corporation (NERC).

(3) ERCOT shall post the Other Binding Documents List and all Other Binding Documents to a part of the ERCOT website reserved for posting Other Binding Documents. A TAC designated subcommittee shall review the Other Binding Documents List at least every four years, and modifications to the Other Binding Documents List shall be reviewed and considered by the TAC designated subcommittee and by TAC at its next scheduled meeting.

(4) Any revision of an Other Binding Document must follow the revision process set forth in that Other Binding Document. If an Other Binding Document does not specify a revision process, the Other Binding Document shall be subject to the procedures in Section 21, Revision Request Process, and shall be treated as if it were a Protocol for purposes of the revision process.

(5) To the extent that Other Binding Documents are not in conflict with these Protocols or with an Agreement to which it is a party, each Market Participant, the IMM, and ERCOT shall abide by the Other Binding Documents. Taken together, these Protocols and the Other Binding Documents constitute all of the “scheduling, operating, planning, reliability, and Settlement policies, rules, guidelines, and procedures established by the independent System Operator in ERCOT,” as that phrase is used in subsection (j) of the Public Utility Regulatory Act, Tex. Util. Code Ann. § 39.151 (Vernon 1998 & Supp. 2007) (PURA), Essential Organizations, that bind Market Participants.

(6) Except as provided below, if the provisions in any attachment to these Protocols or in any of the Other Binding Documents conflict with the provisions of Section 1, Overview, through Section 21, and Section 24, Retail Point to Point Communications, through Section 27, Securitization Uplift Charges, then the provisions of Section 1 through Section 21, and Section 24 through Section 27 prevail to the extent of the inconsistency. If any provision of any Agreement conflicts with any provision of the Protocols, the Agreement prevails to the extent of the conflict. Any Agreement provision that deviates from the standard form for that Agreement in Section 22, Attachments, must expressly state that the Agreement provision deviates from the standard form in Section 22. Agreement provisions that deviate from the Protocols are effective only upon approval by the ERCOT Board on a showing of good cause.

(7) These Protocols are not intended to govern the direct relationships between or among Market Participants except as expressly provided in these Protocols. ERCOT is not responsible for any relationship between or among Market Participants to which ERCOT is not a party.