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| NPRR Number | [1085](http://www.ercot.com/mktrules/issues/NPRR1085) | NPRR Title | Ensuring Continuous Validity of Physical Responsive Capability (PRC) and Dispatch through Timely Changes to Resource Telemetry and Current Operating Plans (COPs) |
| Date of Decision | August 16, 2022 |
| Action | Recommended Approval |
| Timeline  | Normal |
| Proposed Effective Date | Upon system implementation |
| Priority and Rank Assigned | Priority – 2022; Rank – 2525  |
| Nodal Protocol Sections Requiring Revision  | 3.1.4.4, Management of Resource or Transmission Forced Outages or Maintenance Outages 3.1.4.7, Reporting of Forced Derates3.9, Current Operating Plan (COP)3.9.1, Current Operating Plan (COP) Criteria6.4.8, Notification of Forced Outage of a Resource6.5.5.1, Changes in Resource Status6.5.7.5, Ancillary Services Capacity Monitor6.7.5, Real-Time Ancillary Service Imbalance Payment or Charge |
| Related Documents Requiring Revision/Related Revision Requests | None |
| Revision Description | This Nodal Protocol Revision Request (NPRR) improves the validity of the Physical Responsive Capability (PRC) calculation and dispatch by requiring quicker updates by Qualified Scheduling Entities (QSEs) to the telemetered Resource Status, High Sustained Limit (HSL), and other relevant information. |
| Reason for Revision |  Addresses current operational issues. Meets Strategic goals (tied to the [ERCOT Strategic Plan](https://www.ercot.com/files/docs/2018/12/13/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board). Market efficiencies or enhancements Administrative Regulatory requirements Other: (explain)*(please select all that apply)* |
| Business Case | This NPRR addresses initiative #6 in the Emergency Conditions List posted on the ERCOT website. Following an evaluation of a system frequency excursion on February 15, 2021, it became clear that the value of PRC calculated from telemetry was incorrect; it is not feasible for PRC to actually be in the 1000 MW range and frequency to be far below 59.91 Hz for several minutes. ERCOT’s evaluation found that several elements of the calculated PRC were based on incorrect telemetered values. It is critical for ERCOT situational awareness to have an accurate value of PRC at all times as well as an accurate forecast of available generation capability and availability. ERCOT utilizes Real-Time telemetry received from Resources for its Real-Time monitoring and as part of its emergency plans to mitigate emergencies as a measure of Generation Resources’ capability and availability. The timeliness and accuracy of this data are critical in having an accurate understanding of reserve levels on the system at all times. This NPRR proposes to modify Sections 3.1.4.4, 3.1.4.7, 6.4.8, and 6.5.5.1 to require that any change in Real-Time telemetered status, including any change related to a Forced Outage, must be made as soon as practicable but no longer than 15 minutes after the change in status occurs. This NPRR also proposes that telemetry changes that are related to a Forced Derate that is greater than ten MW, unless the Forced Derate is less than 5% of the Seasonal net maximum sustainable rating of the Resource and the expected or actual duration is less than 30 minutes, must occur as soon as practicable but no longer than 15 minutes after the beginning of the Forced Derate. Lastly, this NPRR proposes to create and implement a new Resource Status, “ONHOLD,” which QSEs may use to inform ERCOT that a Resource is On-Line but temporarily unavailable for Security-Constrained Economic Dispatch (SCED) Dispatch or reserve provision due to uncertainty about the Resource’s operating condition. These changes will, in part, help ensure SCED has accurate capability and availability information for dispatch and ERCOT system operators will have an accurate and timely accounting of reserves, including PRC.ERCOT utilizes forecasts of available generation capability and availability based on Current Operating Plans (COPs) to determine if sufficient generation capacity and reserves exist to meet demand needs and minimum reserve requirements. This NPRR also proposes to modify Sections 3.1.4.4, 3.1.4.7, 3.9, and 3.9.1 to require that each QSE that represents a Resource, Reliability Must-Run (RMR) Unit, or Black Start Resource must update its COP as soon as practicable but no longer than 60 minutes after the event that caused the changes. This will help to ensure timely and accurate forecast of generation capability and availability needed to prepare for and mitigate Emergency Conditions as well as support ERCOT’s operational planning analyses and Real-Time assessments. |
| Credit Work Group Review | ERCOT Credit Staff and the Credit Work Group (Credit WG) have reviewed NPRR1085 and do not believe that it requires changes to credit monitoring activity or the calculation of liability. |
| PRS Decision | On 7/15/21, PRS voted unanimously to table NPRR1085 and refer the issue to ROS. All Market Segments participated in the vote.On 6/9/22, PRS voted unanimously to recommend approval of NPRR1085 as amended by the 6/7/22 LCRA comments as revised by PRS. All Market Segments participated in the vote.On 7/13/22, PRS voted unanimously to endorse and forward to TAC the 6/9/22 PRS Report as amended by the 7/11/22 ERCOT comments, as revised by PRS, and the 7/5/22 Revised Impact Analysis for NPRR1085 with a recommended priority of 2022 and rank of 2525. All Market Segments participated in the vote. |
| Summary of PRS Discussion | On 7/15/21, there was no discussion.On 6/9/22, participants discussed the 5/19/22 Reliant, 5/31/22 ERCOT, 6/7/22 LCRA, and 6/8/22 ERCOT comments, and debated the necessity of adding Public Utility Commission of Texas (PUCT) rule language to the ERCOT Protocols. Some participants expressed concern for redundancies and inadvertent narrowing of protective rule language, while other participants wished to emphasize existing rule language in particular sections of the ERCOT Protocols. Participants also debated the feasibility of various telemetry timelines, potential impacts to the process for Requests for Information after events, an applicability of exemptions.On 7/13/22, participants reviewed the 7/5/22 Revised Impact Analysis and proposed additional revisions to the language to clarify that timelines do not begin until risks of bodily harm or undue damage to equipment have ended. |
| TAC Decision | On 7/27/22, TAC voted unanimously to recommend approval of NPRR1085 as recommended by PRS in the 7/13/22 PRS Report. All Market Segments participated in the vote. |
| Summary of TAC Discussion | On 7/27/22, TAC reviewed the ERCOT Opinion and Market Impact Statement, and noted the 7/5/22 Revised Impact Analysis for NPRR1085. |
| ERCOT Opinion | ERCOT supports approval of NPRR1085. |
| ERCOT Market Impact Statement | ERCOT Staff has reviewed NPRR1085 and believes the market impact for NPRR1085 is the provision of critical situational awareness to have an accurate value of PRC at all times as well as an accurate forecast of available generation capability and availability. |
| ERCOT Board Decision | On 8/16/22, the ERCOT Board voted unanimously to recommend approval of NPRR1085 as recommended by TAC in the 7/27/22 TAC Report. |

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| Market Segment | Not Applicable |

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| **Comments Received** |
| **Comment Author** | **Comment Summary** |
| TCPA 071421 | Requested NPRR1085 be tabled for review by ROS for impacts to Resource operations |
| ROS 080621 | Requested PRS continue to table NPRR1085 for review by the Operations Working Group (OWG) and Performance Disturbance Compliance Working Group (PDCWG) |
| Joint Commenters 091521 | Proposed that the telemetry updates have a 30-minute requirement and COP updates have a 60-minute requirement to be utilized only as needed and all QSEs should continue to provide updates to ERCOT as soon as practicable; recommend changes to the Nodal Operating Guides to allow use of ONTEST as an interim measure to show uncertainty with ONHOLD being the final solution and have a check performed by ERCOT whereby it discounts operating reserves in its PRC calculation for units that have missed its basepoint over X SCED intervals |
| Joint Commenters 051022 | Proposed 15 minutes to provide telemetry to ERCOT following an event and 60 minutes to update the COP if the Resource will have impacted equipment removed for longer than that time |
| ERCOT 051022 | Expressed support for most of the revisions in the 9/15/21 and 5/10/2022 Joint Commenters comments and proposed further revisions |
| Reliant 051922 | Proposed further revisions to align 5/10/22 Joint Commenters comments and 5/10/22 ERCOT comments with preferred language and parameters, and proposed parameter changes in an effort to continue to reach a compromise |
| ERCOT 053122 | Disagreed with the proposed extension of the timing for telemetry updates for Forced Outages and ONHOLD status transitions in paragraphs (1)(a)(i) of Section 3.1.4.4 and paragraph (2) of Section 6.5.5.1 as proposed in the 5/19/22 Reliant comments; supported other revisions proposed in the 5/19/22 Reliant comments |
| ROS 060622 | Endorsed NPRR1085 as amended by the 5/19/22 Reliant comments |
| LCRA 060722 | Incorporated the 5/31/22 ERCOT comments regarding 16 Tex. Admin. Code § 25.503(f)(2)(c), and proposed additional language to expressly align the PUCT rule with the ERCOT Protocols |
| ERCOT 060822 | Reiterated the reliability value of requiring QSEs to timely update status telemetry when Generation Resources experience a Forced Outage or Forced Derate; acknowledged concerns regarding the ability to provide telemetry updates within 10 minutes in all instances; noted that allowing up to 15 minutes for such status updates increases the duration of operational uncertainty with respect to reserve levels; and disagreed with the exemption language proposed in the 6/7/22 LCRA comments for narrowing exemptions and introducing potentially inappropriate inconsistency with P.U.C. Subst. R. 25.503, Oversight of Wholesale Market Participants. |
| ERCOT 071122 | Provided clarification that the excuse from compliance lasts only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists |

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| **Market Rules Notes** |

Please note that the following NPRR(s) also propose revisions to the following section(s):

* NPRR1084, Improvements to Reporting of Resource Outages and Derates
	+ Section 3.1.4.4
	+ Section 3.1.4.7
* NPRR1131, Controllable Load Resource Participation in Non-Spin
	+ Section 6.7.5
* NPRR1132, Communicate Operating Limitations during Cold and Hot Weather Conditions
	+ Section 3.9
* NPRR1135, Add On-Line Status Check for Resources Telemetering OFFNS for Ancillary Service Imbalance Settlements
	+ Section 6.7.5
* NPRR1138, Communication of Capability and Status of Online IRRs at 0 MW Output
	+ Section 6.5.5.1

Please note the baseline Protocol language in the following sections has been updated to reflect the incorporation of the following NPRRs into the Protocols:

* NPRR1092, Reduce RUC Offer Floor and Limit RUC Opt-Out Provision (incorporated 5/13/22)
	+ Section 6.7.5
* NPRR1093, Load Resource Participation in Non-Spinning Reserve (incorporated 11/1/21)
	+ Section 3.9.1
* NPRR1093, Load Resource Participation in Non-Spinning Reserve (unboxed 5/27/22)
	+ Section 3.9.1
	+ Section 6.7.5
* NPRR1100, Allow Generation Resources and Energy Storage Resources to Serve Customer Load When the Customer and the Resource are Disconnected from the ERCOT System (incorporated 7/15/22)
	+ Section 3.9.1
	+ Section 6.5.5.1
* NPRR1113, Clarification of Regulation-Up Schedule for Controllable Load Resources in Ancillary Service Imbalance (unboxed 5/27/22)
	+ Section 6.7.5
* NPRR1120, Create Firm Fuel Supply Service (incorporated 4/1/22)
	+ Section 3.9
* NPRR1120, Create Firm Fuel Supply Service (unboxed 8/1/22)
	+ Section 3.9

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| Proposed Protocol Language Revision |

**3.1.4.4 Management of Resource or Transmission Forced Outages or Maintenance Outages**

(1) In the event of a Forced Outage, after the affected equipment is removed from service, the Resource Entity or QSE, as appropriate, or TSP must notify ERCOT of its action by:

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| ***[NPRR857: Replace paragraph (1) above with the following upon system implementation and satisfying the following conditions: (1) Southern Cross provides ERCOT with funds to cover the entire estimated cost of the project; and (2) Southern Cross has signed an interconnection agreement with a TSP and the TSP gives ERCOT written notice that Southern Cross has provided it with: (a) Notice to proceed with the construction of the interconnection; and (b) The financial security required to fund the interconnection facilities:]***(1) In the event of a Forced Outage, after the affected equipment is removed from service, the Resource Entity or QSE, as appropriate, TSP, or DCTO must notify ERCOT as soon as practicable of its action by:  |

(a) For Resource Outages:

(i) Changing the telemetered Resource Status to the appropriate Off-Line status as soon as practicable but no longer than15 minutes after the Forced Outage occurs; and

(ii) Updating the COP as soon as practicable but no longer than 60 minutes after the Forced Outage occurs; and

(iii) Updating the Outage Scheduler, if necessary.

(b) For Transmission Facilities Forced Outages:

(i) Changing the telemetered status of the affected Transmission Elements; and

(ii) Updating the Outage Scheduler with the expected return-to-service time.

(c) Each TSP and QSE shall timely update telemetry, COP status, and/or the Outage Scheduler, as applicable, in accordance with paragraphs (a) and (b) above unless in the reasonable judgment of the TSP or QSE, such compliance would create an undue threat to safety, undue risk of bodily harm, or undue damage to equipment. The TSP or QSE is excused from updating the telemetered status, COP, and/or Outage Scheduler only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists. The time for updating the telemetered status, COP, and/or Outage Scheduler begins once the undue threat to safety, undue risk of bodily harm, or undue damage to equipment no longer exists.

(2) Forced Outages may require ERCOT to review and withdraw approval of previously approved or accepted, as applicable, Planned Outage, Maintenance Outage, or Rescheduled Outage schedules to ensure reliability.

(3) For Maintenance Outages, the Resource Entity or QSE, as appropriate, or TSP shall notify ERCOT of any Resource or Transmission Facilities Maintenance Outage according to the Maintenance Outage Levels by updating the COP and Outage Scheduler. ERCOT shall coordinate the removal of facilities from service within the defined timeframes as specified by the TSP, QSE or Resource Entity in its notice to ERCOT.

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| ***[NPRR857: Replace paragraph (3) above with the following upon system implementation and satisfying the following conditions: (1) Southern Cross provides ERCOT with funds to cover the entire estimated cost of the project; and (2) Southern Cross has signed an interconnection agreement with a TSP and the TSP gives ERCOT written notice that Southern Cross has provided it with: (a) Notice to proceed with the construction of the interconnection; and (b) The financial security required to fund the interconnection facilities:]***(3) For Maintenance Outages, the Resource Entity or QSE, as appropriate, TSP, or DCTO shall notify ERCOT of any Resource or Transmission Facilities Maintenance Outage according to the Maintenance Outage Levels by updating the COP and Outage Scheduler. ERCOT shall coordinate the removal of facilities from service within the defined timeframes as specified by the TSP, DCTO, QSE, or Resource Entity in its notice to ERCOT. |

(4) ERCOT may require supporting information describing Forced Outages and Maintenance Outages. ERCOT may reconsider and withdraw approvals of other previously approved Transmission Facilities Outage or an Outage of a Reliability Resource as a result of Forced Outages or Maintenance Outages, if necessary, in ERCOT’s determination to protect system reliability. When ERCOT approves a Maintenance Outage, ERCOT shall coordinate timing of the appropriate course of action under these Protocols.

(5) Removal of a Resource or Transmission Facilities from service under Maintenance Outages must be coordinated with ERCOT. To minimize harmful impacts to the system in urgent situations, the equipment may be removed immediately from service, provided notice is given immediately, by the Resource Entity or TSP, to ERCOT of such action.

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| ***[NPRR857: Replace paragraph (5) above with the following upon system implementation and satisfying the following conditions: (1) Southern Cross provides ERCOT with funds to cover the entire estimated cost of the project; and (2) Southern Cross has signed an interconnection agreement with a TSP and the TSP gives ERCOT written notice that Southern Cross has provided it with: (a) Notice to proceed with the construction of the interconnection; and (b) The financial security required to fund the interconnection facilities:]***(5) Removal of a Resource or Transmission Facilities from service under Maintenance Outages must be coordinated with ERCOT. To minimize harmful impacts to the system in urgent situations, the equipment may be removed immediately from service, provided the Resource Entity, TSP, or DCTO immediately gives notice of such action to ERCOT. |

**3.1.4.7 Reporting of Forced Derates**

(1) The Resource Entity or its designee must enter Forced Derates that are expected to last more than 48 hours into the Outage Scheduler

(2) The QSE must appropriately update the telemetered High Sustained Limit (HSL) and any applicable telemetry as specified in paragraph (2) of Section 6.5.5.2, Operational Data Requirements, based on the Forced Derate, as soon as practicable but no longer than 15 minutes after the beginning of a Forced Derate, if the Forced Derate is greater than ten MW and more than 5% of the Seasonal net maximum sustainable rating of the Resource and its expected or actual duration is greater than 30 minutes. Alternatively for a Forced Derate, a QSE may use the ONHOLD process described in paragraph (2) of Section 6.5.5.1, Changes in Resource Status.

(3) The QSE must update the COP as soon as practicable but no longer than 60 minutes after the beginning of a Forced Derate, if the Forced Derate is greater than 20 MW and its expected duration is greater than 120 minutes.

(4) Each QSE shall timely update the telemetered HSL and COP unless in the reasonable judgment of the QSE, such compliance would create an undue threat to safety, undue risk of bodily harm, or undue damage to equipment. The QSE is excused from updating the telemetered HSL and/or COP only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists. The time for updating the telemetered HSL and/or COP begins once the undue threat to safety, undue risk of bodily harm, or undue damage to equipment no longer exists.

3.9 Current Operating Plan (COP)

(1) Each Qualified Scheduling Entity (QSE) that represents a Resource must submit a Current Operating Plan (COP) under this Section.

(2) ERCOT shall use the information provided in the COP to calculate the High Ancillary Service Limit (HASL) and Low Ancillary Service Limit (LASL) for each Resource for the Reliability Unit Commitment (RUC) processes.

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| ***[NPRR1007: Replace paragraph (2) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project:]***(2) ERCOT shall use the information provided in the COP to calculate operating limits and Ancillary Service capabilities for each Resource for the Reliability Unit Commitment (RUC) processes. |

(3) ERCOT shall monitor the accuracy of each QSE’s COP as outlined in Section 8, Performance Monitoring.

(4) A QSE must notify ERCOT that it plans to have a Resource On-Line by means of the COP using the Resource Status codes listed in paragraph (5)(b)(i) of Section 3.9.1, Current Operating Plan (COP) Criteria. The QSE must show the Resource as On-Line with a Resource Status of ONRUC, indicating a RUC process committed the Resource for all RUC-Committed Intervals. A QSE may only use a RUC-committed Resource during that Resource’s RUC-Committed Interval to meet the QSE’s Ancillary Service Supply Responsibility if the Resource has been committed by the RUC process to provide Ancillary Service.

(5) To reflect changes to a Resource’s capability, each QSE shall report by exception, changes to the COP for all hours after the Operating Period through the rest of the Operating Day.

(6) When a QSE updates its COP to show changes in Resource Status, the QSE shall update for each On-Line Resource, either an Energy Offer Curve under Section 4.4.9, Energy Offers and Bids, or Output Schedule under Section 6.4.2, Output Schedules.

(7) Each QSE, including QSEs representing Reliability Must-Run (RMR) Units, Firm Fuel Supply Service Resources (FFSSRs), or Black Start Resources, shall submit a revised COP reflecting changes in Resource availability as soon as reasonably practicable, but in no event later than 60 minutes after the event that caused the change.

(8) Each QSE representing a Qualifying Facility (QF) must submit a Low Sustained Limit (LSL) that represents the minimum energy available, in MW, from the unit for economic dispatch based on the minimum stable steam delivery to the thermal host plus a justifiable reliability margin that accounts for changes in ambient conditions.

3.9.1 Current Operating Plan (COP) Criteria

(1) Each QSE that represents a Resource must submit a COP to ERCOT that reflects expected operating conditions for each Resource for each hour in the next seven Operating Days.

(2) Each QSE that represents a Resource shall update its COP reflecting changes in availability of any Resource as soon as reasonably practicable, but in no event later than 60 minutes after the event that caused the change. Each QSE shall timely update its COP unless in the reasonable judgment of the QSE, such compliance would create an undue threat to safety, undue risk of bodily harm, or undue damage to equipment. The QSE is excused from updating the COP only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists. The time for updating the COP begins once the undue threat to safety, undue risk of bodily harm, or undue damage to equipment no longer exists.

(3) The Resource capacity in a QSE’s COP must be sufficient to supply the Ancillary Service Supply Responsibility of that QSE.

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace applicable portions of paragraph (3) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(3) Each QSE that represents a Resource shall update its COP to reflect the ability of the Resource to provide each Ancillary Service by product and sub-type. |

(4) Load Resource COP values may be adjusted to reflect Distribution Losses in accordance with Section 8.1.1.2, General Capacity Testing Requirements.

(5) A COP must include the following for each Resource represented by the QSE:

(a) The name of the Resource;

(b) The expected Resource Status:

(i) Select one of the following for Generation Resources synchronized to the ERCOT System that best describes the Resource’s status. Unless otherwise provided below, these Resource Statuses are to be used for COP and/or Real-Time telemetry purposes, as appropriate.

(A) ONRUC – On-Line and the hour is a RUC-Committed Hour;

(B) ONREG – On-Line Resource with Energy Offer Curve providing Regulation Service;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (B) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

(C) ON – On-Line Resource with Energy Offer Curve;

(D) ONDSR – On-Line Dynamically Scheduled Resource (DSR);

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| ***[NPRR1000: Delete item (D) above upon system implementation and renumber accordingly.]*** |

(E) ONOS – On-Line Resource with Output Schedule;

(F) ONOSREG – On-Line Resource with Output Schedule providing Regulation Service;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (F) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

(G) ONDSRREG – On-Line DSR providing Regulation Service;

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| ***[NPRR1000, NPRR1007, NPRR1014, and NPRR1029: Delete item (G) above upon system implementation for NPRR1000, NPRR1014, or NPRR1029; or upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; and renumber accordingly.]*** |

(H) FRRSUP – Available for Dispatch of Fast Responding Regulation Service (FRRS). This Resource Status is only to be used for Real-Time telemetry purposes;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (H) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 and NPRR1029; and renumber accordingly.]*** |

(I) ONTEST – On-Line blocked from Security-Constrained Economic Dispatch (SCED) for operations testing (while ONTEST, a Generation Resource may be shown on Outage in the Outage Scheduler);

(J) ONEMR – On-Line EMR (available for commitment or dispatch only for ERCOT-declared Emergency Conditions; the QSE may appropriately set LSL and High Sustained Limit (HSL) to reflect operating limits);

(K) ONRR – On-Line as a synchronous condenser providing Responsive Reserve (RRS) but unavailable for Dispatch by SCED and available for commitment by RUC;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (K) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

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| ***[NPRR863: Insert paragraph (L) below upon system implementation and renumber accordingly:]***(L) ONECRS – On-Line as a synchronous condenser providing ERCOT Contingency Response Service (ECRS) but unavailable for Dispatch by SCED and available for commitment by RUC; |

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (L) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

(L) ONOPTOUT – On-Line and the hour is a RUC Buy-Back Hour;

(M) SHUTDOWN – The Resource is On-Line and in a shutdown sequence, and has no Ancillary Service Obligations other than Off-Line Non-Spinning Reserve (Non-Spin) which the Resource will provide following the shutdown. This Resource Status is only to be used for Real-Time telemetry purposes;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace paragraph (M) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(H) SHUTDOWN – The Resource is On-Line and in a shutdown sequence, and is not eligible for an Ancillary Service award. This Resource Status is only to be used for Real-Time telemetry purposes; |

(N) STARTUP – The Resource is On-Line and in a start-up sequence and has no Ancillary Service Obligations. This Resource Status is only to be used for Real-Time telemetry purposes;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace paragraph (N) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(I) STARTUP – The Resource is On-Line and in a start-up sequence and is not eligible for an Ancillary Service award, unless coming On-Line in response to a manual deployment of ERCOT Contingency Reserve Service (ECRS) or Non-Spinning Reserve (Non-Spin). This Resource Status is only to be used for Real-Time telemetry purposes; |

(O) OFFQS – Off-Line but available for SCED deployment. Only qualified Quick Start Generation Resources (QSGRs) may utilize this status;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace paragraph (O) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(J) OFFQS – Off-Line but available for SCED deployment and to provide ECRS and Non-Spin, if qualified and capable. Only qualified Quick Start Generation Resources (QSGRs) may utilize this status; |

(P) ONFFRRRS – Available for Dispatch of RRS providing Fast Frequency Response (FFR) from Generation Resources. This Resource Status is only to be used for Real-Time telemetry purposes; and

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| ***[NPRR1015: Replace paragraph (P) above with the following upon system implementation of NPRR863:]***(P) ONFFRRRS – Available for Dispatch of RRS when providing Fast Frequency Response (FFR) from Generation Resources. This Resource Status is only to be used for Real-Time telemetry purposes. A Resource with this Resource Status may also be providing Ancillary Services other than FFR; |

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (P) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

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| ***[NPRR1007, NPRR1014, and NPRR1029: Insert item (K) below upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(K) ONSC – Resource is On-Line operating as a synchronous condenser and available to provide Responsive Reserve (RRS) and ECRS, if qualified and capable, and for commitment by RUC, but is unavailable for Dispatch by SCED. For SCED, Resource Base Points will be set equal to the telemetered net real power of the Resource available at the time of the SCED execution;  |

(Q) ONHOLD – Resource is On-Line but temporarily unavailable for Dispatch by SCED or for participating in Ancillary Services. This Resource Status is only to be used for Real-Time telemetry purposes. For SCED, Resource Base Points will be set equal to the telemetered net real power of the Resource available at the time of the SCED execution.

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace item (Q) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(Q) ONHOLD – Resource is On-Line but temporarily unavailable for Dispatch by SCED or Ancillary Service awards. This Resource Status is only to be used for Real-Time telemetry purposes. For SCED, Resource Base Points will be set equal to the telemetered net real power of the Resource available at the time of the SCED execution. |

(ii) Select one of the following for Off-Line Generation Resources not synchronized to the ERCOT System that best describes the Resource’s status. These Resource Statuses are to be used for COP and/or Real-Time telemetry purposes, as appropriate.

(A) OUT – Off-Line and unavailable, or not connected to the ERCOT System and operating in a Private Microgrid Island (PMI);

(B) OFFNS – Off-Line but reserved for Non-Spin;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (B) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

(C) OFF – Off-Line but available for commitment in the Day-Ahead Market (DAM) and RUC;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace item (C) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(B) OFF – Off-Line but available for commitment in the Day-Ahead Market (DAM), RUC, and providing Non-Spin, if qualified and capable; |

(D) EMR – Available for commitment as a Resource contracted by ERCOT under Section 3.14.1, Reliability Must Run, or under paragraph (4) of Section 6.5.1.1, ERCOT Control Area Authority, or available for commitment only for ERCOT-declared Emergency Condition events; the QSE may appropriately set LSL and HSL to reflect operating limits;

(E) EMRSWGR – Switchable Generation Resource (SWGR) operating in a non-ERCOT Control Area, or in the case of a Combined Cycle Train with one or more SWGRs, a configuration in which one or more of the physical units in that configuration are operating in a non-ERCOT Control Area.

(iii) Select one of the following for Load Resources. Unless otherwise provided below, these Resource Statuses are to be used for COP and/or Real-Time telemetry purposes.

(A) ONRGL – Available for Dispatch of Regulation Service by Load Frequency Control (LFC) and, for any remaining Dispatchable capacity, by SCED with a Real-Time Market (RTM) Energy Bid;

(B) FRRSUP – Available for Dispatch of FRRS by LFC and not Dispatchable by SCED. This Resource Status is only to be used for Real-Time telemetry purposes;

(C) FRRSDN - Available for Dispatch of FRRS by LFC and not Dispatchable by SCED. This Resource Status is only to be used for Real-Time telemetry purposes;

(D) ONCLR – Available for Dispatch as a Controllable Load Resource by SCED with an RTM Energy Bid;

(E) ONRL – Available for Dispatch of RRS or Non-Spin, excluding Controllable Load Resources;

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete items (A)-(E) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

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| ***[NPRR863: Insert paragraph (F) below upon system implementation and renumber accordingly:]***(F) ONECL – Available for Dispatch of ECRS, excluding Controllable Load Resources;  |

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (F) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029; and renumber accordingly.]*** |

(F) OUTL – Not available;

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| ***[NPRR863 and NPRR1015: Insert applicable portions of paragraph (H) below upon system implementation of NPRR863:]***(H) ONFFRRRSL – Available for Dispatch of RRS when providing FFR, excluding Controllable Load Resources. This Resource Status is only to be used for Real-Time telemetry purposes; |

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete item (H) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029.]*** |

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| ***[NPRR1007, NPRR1014, NPRR1029: Insert item (B) below upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(B) ONL – On-Line and available for Dispatch by SCED or providing Ancillary Services. |

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| ***[NPRR1014 or NPRR1029: Insert applicable portions of paragraph (iv) below upon system implementation:]***(iv) Select one of the following for Energy Storage Resources (ESRs). Unless otherwise provided below, these Resource Statuses are to be used for COP and Real-Time telemetry purposes:(A) ON – On-Line Resource with Energy Bid/Offer Curve;(B) ONOS – On-Line Resource with Output Schedule;(C) ONTEST – On-Line blocked from SCED for operations testing (while ONTEST, an Energy Storage Resource (ESR) may be shown on Outage in the Outage Scheduler);(D) ONEMR – On-Line EMR (available for commitment or dispatch only for ERCOT-declared Emergency Conditions; the QSE may appropriately set LSL and High Sustained Limit (HSL) to reflect operating limits);(E) ONHOLD – Resource is On-Line but temporarily unavailable for Dispatch by SCED or Ancillary Service awards. ESRs shall not be discharging into or charging from the grid. This Resource Status is only to be used for Real-Time telemetry purposes; and(F) OUT – Off-Line and unavailable, or not connected to the ERCOT System and operating in a Private Microgrid Island (PMI);  |

(c) The HSL;

(i) For Load Resources other than Controllable Load Resources, the HSL should equal the expected power consumption;

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| ***[NPRR1014 and NPRR1029: Insert applicable portions of paragraph (ii) below upon system implementation:]***(ii) For ESRs, the HSL may be negative; |

(d) The LSL;

(i) For Load Resources other than Controllable Load Resources, the LSL should equal the expected Low Power Consumption (LPC);

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| ***[NPRR1014 and NPRR1029: Insert applicable portions of paragraph (ii) below upon system implementation:]***(ii) For ESRs, the LSL may be positive; |

(e) The High Emergency Limit (HEL);

(f) The Low Emergency Limit (LEL); and

(g) Ancillary Service Resource Responsibility capacity in MW for:

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace applicable portions of item (g) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(g) Ancillary Service capability in MW for each product and sub-type. |

(i) Regulation Up (Reg-Up);

(ii) Regulation Down (Reg-Down);

(iii) RRS; and

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| ***[NPRR863: Insert paragraph (iv) below upon system implementation and renumber accordingly:]*** (iv) ECRS; and |

(iv) Non-Spin.

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| ***[NPRR1007, NPRR1014, and NPRR1029: Delete items (i)-(iv) above upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029.]*** |

(6) For Combined Cycle Generation Resources, the above items are required for each operating configuration. In each hour only one Combined Cycle Generation Resource in a Combined Cycle Train may be assigned one of the On-Line Resource Status codes described above.

(a) During a RUC study period, if a QSE’s COP reports multiple Combined Cycle Generation Resources in a Combined Cycle Train to be On-Line for any hour, then until the QSE corrects its COP, the On-Line Combined Cycle Generation Resource with the largest HSL is considered to be On-Line and all other Combined Cycle Generation Resources in the Combined Cycle Train are considered to be Off-Line. Furthermore, until the QSE corrects its COP, the Off-Line Combined Cycle Generation Resources as designated through the application of this process are ineligible for RUC commitment or de-commitment Dispatch Instructions.

(b) For any hour in which QSE-submitted COP entries are used to determine the initial state of a Combined Cycle Generation Resource for a DAM or Day-Ahead Reliability Unit Commitment (DRUC) study and the COP shows multiple Combined Cycle Generation Resources in a Combined Cycle Train to be in an On-line Resource Status, then until the QSE corrects its COP, the On-Line Combined Cycle Generation Resource that has been On-Line for the longest time from the last recorded start by ERCOT systems, regardless of the reason for the start, combined with the COP Resource Status for the remaining hours of the current Operating Day, is considered to be On-Line at the start of the DRUC study period and all other COP-designated Combined Cycle Generation Resources in the Combined Cycle Train are considered to be Off-Line.

(c) ERCOT systems shall allow only one Combined Cycle Generation Resource in a Combined Cycle Train to offer Off-Line Non-Spin in the DAM or Supplemental Ancillary Services Market (SASM).

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| ***[NPRR1007, NPRR1014, and NPRR1029: Replace paragraph (c) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1007; or upon system implementation for NPRR1014 or NPRR1029:]***(c) ERCOT systems shall allow only one Combined Cycle Generation Resource in a Combined Cycle Train to offer Off-Line Non-Spin in the DAM or SCED. |

(i) If there are multiple Non-Spin offers from different Combined Cycle Generation Resources in a Combined Cycle Train, then prior to execution of the DAM, ERCOT shall select the Non-Spin offer from the Combined Cycle Generation Resource with the highest HSL for consideration in the DAM and ignore the other offers.

(ii) Combined Cycle Generation Resources offering Off-Line Non-Spin must be able to transition from the shutdown state to the offered Combined Cycle Generation Resource On-Line state and be capable of ramping to the full amount of the Non-Spin offered.

(d) The DAM and RUC shall honor the registered hot, intermediate or cold Startup Costs for each Combined Cycle Generation Resource registered in a Combined Cycle Train when determining the transition costs for a Combined Cycle Generation Resource. In the DAM and RUC, the Startup Cost for a Combined Cycle Generation Resource shall be determined by the positive transition cost from the On-Line Combined Cycle Generation Resource within the Combine Cycle Train or from a shutdown condition, whichever ERCOT determines to be appropriate.

(7) ERCOT may accept COPs only from QSEs.

(8) For the first 168 hours of the COP, ERCOT will update the HSL values for Wind-powered Generation Resources (WGRs) with the most recently updated Short-Term Wind Power Forecast (STWPF), and the HSL values for PhotoVoltaic Generation Resources (PVGRs) with the most recently updated Short-Term PhotoVoltaic Power Forecast (STPPF). ERCOT will notify the QSE via an Extensible Markup Language (XML) message each time COP HSL values are updated with the forecast values. A QSE representing a WGR may override the STWPF HSL value but must submit an HSL value that is less than or equal to the amount for that Resource from the most recent STWPF provided by ERCOT; a QSE representing a PVGR may override the STPPF HSL value but must submit an HSL value that is less than or equal to the amount for that Resource from the most recent STPPF provided by ERCOT.

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| ***[NPRR1029: Replace paragraph (8) above with the following upon system implementation:]***(8) For the first 168 hours of the COP, ERCOT will update the HSL values for Wind-powered Generation Resources (WGRs) with the most recently updated Short-Term Wind Power Forecast (STWPF), and the HSL values for PhotoVoltaic Generation Resources (PVGRs) with the most recently updated Short-Term PhotoVoltaic Power Forecast (STPPF). A QSE representing a DC-Coupled Resource shall provide the capacity value of the Energy Storage System (ESS) that is included in the HSL of the DC-Coupled Resource, and ERCOT will update the DC-Coupled Resource’s HSL with the sum of the forecasts of the intermittent renewable generation component and the QSE-submitted value for the ESS component. ERCOT will notify the QSE via an Extensible Markup Language (XML) message each time COP HSL values are updated with the forecast values. A QSE representing a WGR may override the STWPF HSL value but must submit an HSL value that is less than or equal to the amount for that Resource from the most recent STWPF provided by ERCOT; a QSE representing a PVGR may override the STPPF HSL value but must submit an HSL value that is less than or equal to the amount for that Resource from the most recent STPPF provided by ERCOT. A QSE representing a DC-Coupled Resource may override the COP HSL value with a value that is lower than the ERCOT-populated value, and may override with a value that is higher than the ERCOT-populated value if the ESS component of the DC-Coupled Resource can support the higher value. |

(9) A QSE representing a Generation Resource that is not actively providing Ancillary Services or is providing Off-Line Non-Spin that the Resource will provide following the shutdown, may only use a Resource Status of SHUTDOWN to indicate to ERCOT through telemetry that the Resource is operating in a shutdown sequence or a Resource Status of ONTEST to indicate in the COP and through telemetry that the Generation Resource is performing a test of its operations either manually dispatched by the QSE or by ERCOT as part of the test. A QSE representing a Generation Resource that is not actively providing Ancillary Services may only use a Resource Status of STARTUP to indicate to ERCOT through telemetry that the Resource is operating in a start-up sequence requiring manual control and is not available for Dispatch.

(10) If a QSE has not submitted a valid COP for any Generation Resource for any hour in the DAM or RUC Study Period, then the Generation Resource is considered to have a Resource Status as OUT thus not available for DAM awards or RUC commitments for those hours.

(11) If a COP is not available for any Resource for any hour from the current hour to the start of the DAM period or RUC study, then the Resource Status for those hours are considered equal to the last known Resource Status from a previous hour’s COP or from telemetry as appropriate for that Resource.

(12) A QSE representing a Resource may only use the Resource Status code of EMR for a Resource whose operation would have impacts that cannot be monetized and reflected through the Resource’s Energy Offer Curve or recovered through the RUC make-whole process or if the Resource has been contracted by ERCOT under Section 3.14.1 or under paragraph (4) of Section 6.5.1.1. If ERCOT chooses to commit an Off-Line unit with EMR Resource Status that has been contracted by ERCOT under Section 3.14.1 or under paragraph (4) of Section 6.5.1.1, the QSE shall change its Resource Status to ONRUC. Otherwise, the QSE shall change its Resource Status to ONEMR.

(13) A QSE representing a Resource may use the Resource Status code of ONEMR for a Resource that is:

(a) On-Line, but for equipment problems it must be held at its current output level until repair and/or replacement of equipment can be accomplished; or

(b) A hydro unit.

(14) A QSE operating a Resource with a Resource Status code of ONEMR may set the HSL and LSL of the unit to be equal to ensure that SCED does not send Base Points that would move the unit.

(15) A QSE representing a Resource may use the Resource Status code of EMRSWGR only for an SWGR.

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| ***[NPRR1026: Insert paragraph (16) below upon system implementation:]***(16) A QSE representing a Self-Limiting Facility must ensure that the sum of the COP HSL/LSL and the sum of the telemetered HSL/LSL submitted for each Resource within the Self-Limiting Facility do not exceed either the limit on MW Injection or the limit on the MW Withdrawal established for the Self-Limiting Facility. |

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| ***[NPRR1029: Insert paragraph (16) below upon system implementation:]***(16) A QSE representing a DC-Coupled Resource shall not submit an HSL that exceeds the inverter rating or the sum of the nameplate ratings of the generation component(s) of the Resource. |

6.4.8 Notification of Forced Outage of a Resource

(1) In the event of a Forced Outage of a Resource, the telemetered status of the Resource automatically notifies ERCOT of the event. In the event of a Forced Outage, the telemetered Resource Status shall be changed to the appropriate Off-Line status as soon as practicable but no longer than 15 minutes after the Forced Outage occurs.

(2) In the event of a Forced Outage or an impending Forced Outage, the Resource Entity or its designee shall inform ERCOT of the following in the Outage Scheduler:

(a) Time of expected change in Resource Status or rating;

(b) Text message describing the nature of the Forced Outage or de-rating updated as new information becomes available; and(c) The expected minimum and maximum duration of the Forced Outage or de-rating.

(3) In the event of a Forced Outage, the QSE must update the Resource’s COP as soon as practicable but no longer than 60 minutes after the Forced Outage occurs.

(4) Each QSE shall timely update the telemetered Resource Status and COP unless in the reasonable judgment of the QSE, such compliance would create an undue threat to safety, undue risk of bodily harm, or undue damage to equipment. The QSE is excused from updating the telemetered Resource Status and/or COP only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists. The time for updating the telemetered Resource Status and/or COP begins once the undue threat to safety, undue risk of bodily harm, or undue damage to equipment no longer exists.

**6.5.5.1 Changes in Resource Status**

(1) Each QSE shall notify ERCOT via telemetry of a change in Resource Status that is not related to a Forced Outage as soon as practicable but no longer than 15 minutes after the change in status occurs and through changes in the Current Operating Plan (COP) as soon as practicable but no longer than 60 minutes after the change in status of the Resource occurs.

(2) When an On-Line Resource is experiencing an event that may affect its availability and/or capability and that requires further actions to stabilize the Resource and/or determine the impact of the event, the QSE may change the Resource Status to ONHOLD within 15 minutes of experiencing an event. Following this Resource Status change, the telemetered HSL and any other applicable telemetry of the Resource as specified in paragraph (2) of Section 6.5.5.2, Operational Data Requirements, shall be updated as soon as practicable but no longer than 15 minutes after the change in Resource Status to ONHOLD. After the QSE has determined the impact of the event, the QSE shall change the Resource Status to its updated status as soon as practicable but no longer than 60 consecutive minutes of being in the ONHOLD status.

(3) Each QSE shall promptly inform ERCOT when the operating mode of its Generation Resource’s Automatic Voltage Regulator (AVR) or Power System Stabilizer (PSS) is changed while the Resource is On-Line. The QSE shall also provide the Resource’s AVR or PSS status logs to ERCOT upon request.

(4) Each QSE shall immediately report to ERCOT and the TSP any inability of the QSE’s Generation Resource required to meet its reactive capability requirements in these Protocols.

(5) Each QSE shall timely update the telemetered Resource Status unless in the reasonable judgment of the QSE, such compliance would create an undue threat to safety, undue risk of bodily harm, or undue damage to equipment. The QSE is excused from updating the telemetered Resource Status only for so long as the undue threat to safety, undue risk of bodily harm, or undue damage to equipment exists. The time for updating the telemetered Resource Status begins once the undue threat to safety, undue risk of bodily harm, or undue damage to equipment no longer exists.

(6) A QSE or Resource Entity may use a Generation Resource or ESR to serve Customer Load as part of a Private Microgrid Island (PMI) in any circumstance in which the Customer Load and the Resource are both disconnected from the ERCOT System due to an Outage of the transmission and/or distribution system, provided that the configuration complies with the requirements of paragraph (7) of Section 10.3.2.3, Generation Netting for ERCOT-Polled Settlement Meters, and provided that the QSE or Resource Entity has notified the Transmission and/or Distribution Service Provider (TDSP) of the establishment of a PMI configuration. The QSE shall ensure that the Load served by the Resource in the PMI configuration is de-energized at the time it is reconnected to the ERCOT System following the PMI configuration. All operations in a PMI configuration and any reconnection of Load following a PMI configuration shall be coordinated with the TDSP.

(7) A TDSP shall not intentionally disconnect, or direct another TDSP to disconnect, a Generation Resource or ESR included in a PMI configuration from the ERCOT System except in the following circumstances:

(a) An approved or accepted Planned or Maintenance Outage of a Transmission Facility reasonably requires, or would otherwise result in, the disconnection of the Resource from the ERCOT System;

(b) The Resource is a Distribution Generation Resource or Distribution Energy Storage Resource (DESR), and disconnection of the Resource is required for Distribution System maintenance;

(c) The TDSP’s disconnection of the Resource is necessary to maintain the security of the TDSP’s system or the ERCOT System;

(d) The TDSP’s disconnection of the Resource is necessary to protect the public from a safety risk attributable to the operation of the Resource; or

(e) ERCOT directs the disconnection of the Resource.

6.5.7.5 Ancillary Services Capacity Monitor

(1) ERCOT shall calculate the following every ten seconds and provide Real-Time summaries to ERCOT Operators and all Market Participants using ICCP, giving updates of calculations every ten seconds, and posting on the ERCOT website, giving updates of calculations every five minutes, which show the Real-Time total system amount of:

(a) RRS capacity from:

(i) Generation Resources;

(ii) Load Resources excluding Controllable Load Resources;

(iii) Controllable Load Resources; and

(iv) Resources capable of Fast Frequency Response (FFR);

(b) Ancillary Service Resource Responsibility for RRS from:

(i) Generation Resources;

(ii) Load Resources excluding Controllable Load Resources;

(iii) Controllable Load Resources; and

(iv) Resources capable of FFR;

(c) RRS deployed to Generation and Controllable Load Resources;

(d) Non-Spin available from:

(i) On-Line Generation Resources with Energy Offer Curves;

(ii) Undeployed Load Resources;

(iii) Off-Line Generation Resources; and

(iv) Resources with Output Schedules;

(e) Ancillary Service Resource Responsibility for Non-Spin from:

(i) On-Line Generation Resources with Energy Offer Curves;

(ii) On-Line Generation Resources with Output Schedules;

(iii) Load Resources;

(iv) Off-Line Generation Resources excluding Quick Start Generation Resources (QSGRs); and

(v) QSGRs;

(f) Undeployed Reg-Up and Reg-Down;

(g) Ancillary Service Resource Responsibility for Reg-Up and Reg-Down;

(h) Deployed Reg-Up and Reg-Down;

(i) Available capacity:

(i) With Energy Offer Curves in the ERCOT System that can be used to increase Generation Resource Base Points in SCED;

(ii) With Energy Offer Curves in the ERCOT System that can be used to decrease Generation Resource Base Points in SCED;

(iii) Without Energy Offer Curves in the ERCOT System that can be used to increase Generation Resource Base Points in SCED;

(iv) Without Energy Offer Curves in the ERCOT System that can be used to decrease Generation Resource Base Points in SCED;

(v) With RTM Energy Bid curves from available Controllable Load Resources in the ERCOT System that can be used to decrease Base Points (energy consumption) in SCED;

(vi) With RTM Energy Bid curves from available Controllable Load Resources in the ERCOT System that can be used to increase Base Points (energy consumption) in SCED;

(vii) From Resources participating in SCED plus the Reg-Up and RRS from Load Resources and the Net Power Consumption minus the Low Power Consumption from Load Resources with a validated Real-Time RRS Schedule;

(viii) From Resources included in item (vii) above plus reserves from Resources that could be made available to SCED in 30 minutes;

(ix) In the ERCOT System that can be used to increase Generation Resource Base Points in the next five minutes in SCED; and

(x) In the ERCOT System that can be used to decrease Generation Resource Base Points in the next five minutes in SCED;

(j) Aggregate telemetered HSL capacity for Resources with a telemetered Resource Status of EMR;

(k) Aggregate telemetered HSL capacity for Resources with a telemetered Resource Status of OUT;

(l) Aggregate net telemetered consumption for Resources with a telemetered Resource Status of OUTL; and

(m) The ERCOT-wide PRC calculated as follows:

**PRC1 = Min(Max((RDF\*(HSL-NFRC) – Actual Net Telemetered Output)i , 0.0) , 0.2\*RDF\*(HSL-NFRC)i),**

where the included On-Line Generation Resources do not include WGRs, nuclear Generation

Resources, or Generation Resources with an output less than or equal to 95% of telemetered LSL or

with a telemetered status of ONTEST, ONHOLD, STARTUP, or SHUTDOWN.

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***WGRs***

***online***

***All***

***WGR***

***online***

***i***

**PRC2 = Min(Max((RDFW\*HSL – Actual Net Telemetered Output)i , 0.0) , 0.2\*RDFW\*HSLi),**

where the included On-Line WGRs only include WGRs that are Primary Frequency Response-capable.



**PRC3 = ((Hydro-synchronous condenser output)i as qualified by item (8) of Operating Guide Section 2.3.1.2, Additional Operational Details for Responsive Reserve Providers))**

**PRC4 = (Min(Max((Actual Net Telemetered Consumption – LPC), 0.0), RRS Ancillary Service Resource Responsibility \* 1.5) from all Load Resources controlled by high-set under frequency relays carrying RRS Ancillary Service Resource Responsibility)i**

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***resources***

***load***

***online***

***All***

***resource***

***load***

***online***

***i***

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***resources***

***load***

***online***

***All***

***resource***

***load***

***online***

***i***

**PRC5 = Min(Max((LRDF\_1\*Actual Net Telemetered Consumption – LPC)i, 0.0), (0.2 \* LRDF\_1 \* Actual Net Telemetered Consumption)) from all Controllable Load Resources active in SCED and carrying Ancillary Service Resource Responsibility**

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***resources***

***load***

***online***

***All***

***resource***

***load***

***online***

***i***

**PRC6 = Min(Max((LRDF\_2 \* Actual Net Telemetered Consumption – LPC)i, 0.0), (0.2 \* LRDF\_2 \* Actual Net Telemetered Consumption)) from all Controllable Load Resources active in SCED and not carrying Ancillary Service Resource Responsibility**

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***resources***

***FFR***

***online***

***All***

***resource***

***FFR***

***online***

***i***

**PRC7 = (Capacity from Resources capable of providing FFR)i**

**PRC = PRC1 + PRC2 + PRC3+ PRC4 + PRC5 + PRC6 + PRC7**

The above variables are defined as follows:

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| --- | --- | --- |
| Variable | Unit | Description |
| PRC1 | MW | Generation On-Line greater than 0 MW |
| PRC2 | MW | WGRs On-Line greater than 0 MW |
| PRC3 | MW | Hydro-synchronous condenser output |
| PRC4 | MW | Capacity from Load Resources controlled by high-set under-frequency relays carrying RRS Ancillary Service Resource Responsibility |
| PRC5 | MW | Capacity from Controllable Load Resources active in SCED and carrying Ancillary Service Resource Responsibility |
| PRC6 | MW | Capacity from Controllable Load Resources active in SCED and not carrying Ancillary Service Resource Responsibility |
| PRC7 | MW | Capacity from Resources capable of providing FFR |
|  |
| PRC | MW | Physical Responsive Capability |
| RDF |  | The currently approved Reserve Discount Factor  |
| RDFW |  | The currently approved Reserve Discount Factor for WGRs |
| LRDF\_1 |  | The currently approved Load Resource Reserve Discount Factor for Controllable Load Resources carrying Ancillary Service Resource Responsibility |
| LRDF\_2 |  | The currently approved Load Resource Reserve Discount Factor for Controllable Load Resources not carrying Ancillary Service Resource Responsibility |
| NFRC | MW | Non-Frequency Responsive Capacity |

(2) Each QSE shall operate Resources providing Ancillary Service capacity to meet its obligations. If a QSE experiences temporary conditions where its total obligation for providing Ancillary Service cannot be met on the QSE’s Resources, then the QSE may add additional capability from other Resources that it represents. It adds that capability by changing the Resource Status and updating the Ancillary Service Schedules and Ancillary Services Resource Responsibility of the affected Resources and notifying ERCOT under Section 6.4.9.1, Evaluation and Maintenance of Ancillary Service Capacity Sufficiency. If the QSE is unable to meet its total obligations to provide committed Ancillary Services capacity, the QSE shall notify ERCOT immediately of the expected duration of the QSE’s inability to meet its obligations. ERCOT shall determine whether replacement Ancillary Services will be procured to account for the QSE’s shortfall according to Section 6.4.9.1.

(3) The Load Resource Reserve Discount Factors (RDFs) for Controllable Load Resources (LRDF\_1 and LRDF\_2) shall be subject to review and approval by TAC.

(4) The RDFs used in the PRC calculation shall be posted to the ERCOT website no later than three Business Days after approval.

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| [NPRR863, NPRR987, NPRR1010, NPRR1014, and NPRR1029: Replace applicable portions of Section 6.5.7.5 above with the following upon system implementation for NPRR863, NPRR987, NPRR1014, or NPRR1029; or upon system implementation of the Real-Time Co-Optimization (RTC) project for NPRR1010:]**6.5.7.5 Ancillary Services Capacity Monitor**(1) Every ten seconds, ERCOT shall calculate the following and provide Real-Time summaries to ERCOT Operators and all Market Participants using ICCP and postings on the ERCOT website showing the Real-Time total system amount of:(a) RRS capability from: (i) Generation Resources and ESRs in the form of PFR;(ii) Load Resources, excluding Controllable Load Resources, capable of responding via under-frequency relay;(iii) Controllable Load Resources in the form of PFR; and(iv) Resources capable of Fast Frequency Response (FFR);(b) Ancillary Service Resource awards for RRS to: (i) Generation Resources and ESRs in the form of PFR;(ii) Load Resources, excluding Controllable Load Resources, capable of responding by under-frequency relay;(iii) Controllable Load Resources in the form of PFR; and(iv) Resources providing FFR;(c) ECRS capability from: (i) Generation Resources;(ii) Load Resources excluding Controllable Load Resources; (iii) Controllable Load Resources;(iv) Quick Start Generation Resources (QSGRs); and(v) ESRs.(d) Ancillary Service Resource awards for ECRS to: (i) Generation Resources;(ii) Load Resources excluding Controllable Load Resources; and(iii) Controllable Load Resources;(iv) QSGRs; and(v) ESRs.(e) ECRS manually deployed by Resources with a Resource Status of ONSC; (f) Non-Spin available from: (i) On-Line Generation Resources with Energy Offer Curves;(ii) Undeployed Load Resources; (iii) Off-Line Generation Resources and On-Line Generation Resources with power augmentation;(iv) Resources with Output Schedules; and(v) ESRs.(g) Ancillary Service Resource awards for Non-Spin to:(i) On-Line Generation Resources with Energy Offer Curves;(ii) On-Line Generation Resources with Output Schedules;(iii) Load Resources; (iv) Off-Line Generation Resources excluding Quick Start Generation Resources (QSGRs), including Non-Spin awards on power augmentation capacity that is not active on On-Line Generation Resources;(v) QSGRs; and(vi) ESRs.(h) Reg-Up and Reg-Down capability;(i) Undeployed Reg-Up and Reg-Down;(j) Ancillary Service Resource awards for Reg-Up and Reg-Down;(k) Deployed Reg-Up and Reg-Down;(l) Available capacity:(i) With Energy Offer Curves in the ERCOT System that can be used to increase Generation Resource Base Points in SCED;(ii) With Energy Offer Curves in the ERCOT System that can be used to decrease Generation Resource Base Points in SCED; (iii) Without Energy Offer Curves in the ERCOT System that can be used to increase Generation Resource Base Points in SCED; (iv) Without Energy Offer Curves in the ERCOT System that can be used to decrease Generation Resource Base Points in SCED; (v) With RTM Energy Bid curves from available Controllable Load Resources in the ERCOT System that can be used to decrease Base Points (energy consumption) in SCED;(vi) With RTM Energy Bid curves from available Controllable Load Resources in the ERCOT System that can be used to increase Base Points (energy consumption) in SCED; (vii) From Resources participating in SCED plus the Reg-Up, RRS, and ECRS from Load Resources and the Net Power Consumption minus the Low Power Consumption from Load Resources with a validated Real-Time RRS and ECRS awards;(viii) With Energy Bid/Offer Curves for ESRs in the ERCOT System that can be used to increase ESR Base Points in SCED;(ix) With Energy Bid/Offer Curves for ESRs in the ERCOT System that can be used to decrease ESR Base Points in SCED; (x) Without Energy Bid/Offer Curves for ESRs in the ERCOT System that can be used to increase ESR Base Points in SCED; (xi) Without Energy Bid/Offer Curves for ESRs in the ERCOT System that can be used to decrease ESR Base Points in SCED; (xii) From Resources included in item (vii) above plus reserves from Resources that could be made available to SCED in 30 minutes;(xiii) In the ERCOT System that can be used to increase Generation Resource Base Points in the next five minutes in SCED; and(xiv) In the ERCOT System that can be used to decrease Generation Resource Base Points in the next five minutes in SCED;(xv) The total capability of Resources available to provide the following combinations of Ancillary Services, based on the Resource telemetry from the QSE and capped by the limits of the Resource:(A) Capacity to provide Reg-Up, RRS, or both, irrespective of whether it is capable of providing ECRS or Non-Spin;(B) Capacity to provide Reg-Up, RRS, ECRS, or any combination, irrespective of whether it is capable of providing Non-Spin; and(C) Capacity to provide Reg-Up, RRS, ECRS, or Non-Spin, in any combination;(m) Aggregate telemetered HSL capacity for Resources with a telemetered Resource Status of EMR;(n) Aggregate telemetered HSL capacity for Resources with a telemetered Resource Status of OUT;(o) Aggregate net telemetered consumption for Resources with a telemetered Resource Status of OUTL; and(p) The ERCOT-wide PRC calculated as follows:**PRC1 = Min(Max((RDF\*FRCHL – FRCO)i , 0.0) , 0.2\*RDF\*FRCHLi),**where the included On-Line Generation Resources do not include WGRs, nuclear GenerationResources, or Generation Resources with an output less than or equal to 95% of telemetered LSL or with a telemetered status of ONTEST, ONHOLD, STARTUP, or SHUTDOWN.***WGRs******online******All******WGR******online******i*****PRC2 = Min(Max((RDFW\*HSL – Actual Net Telemetered Output)i , 0.0) , 0.2\*RDFW\*HSLi),**where the included On-Line WGRs only include WGRs that are Primary Frequency Response-capable.**PRC3 = ((Synchronous condenser output)i as qualified by item (8) of Operating Guide Section 2.3.1.2, Additional Operational Details for Responsive Reserve and ERCOT Contingency Reserve Service Providers))****PRC4 = (Min(Max((Actual Net Telemetered Consumption – LPC), 0.0), ECRS and RRS Ancillary Service Resource award \* 1.5) from all Load Resources controlled by high-set under-frequency relays with an ECRS and/or RRS Ancillary Service Resource award)i*****resources******load******online******All******resource******load******online******i*****PRC5 = Min(Max((LRDF\_1\*Actual Net Telemetered Consumption – LPC)i, 0.0), (0.2 \* LRDF\_1 \* Actual Net Telemetered Consumption)) from all Controllable Load Resources active in SCED with an Ancillary Service Resource award*****resources******load******online******All******resource******load******online******i*****PRC6 = Min(Max((LRDF\_2 \* Actual Net Telemetered Consumption – LPC)i, 0.0), (0.2 \* LRDF\_2 \* Actual Net Telemetered Consumption)) from all Controllable Load Resources active in SCED without an Ancillary Service Resource award*****resources******load******online******All******resource******load******online******i*****PRC7 = (Capacity from Resources capable of providing FFR)i*****resources******FFR******online******All******resource******FFR******online******i*****PRC8 = (If discharging or idle, Min(X% of HSL based on droop, HSL-ESR-Gen “injection”, the capacity that can be sustained for 15 minutes per the State of Charge), else Min(X% of (HSL – LSL(ESR “charging”) based on droop, the capacity that can be sustained for 15 minutes per the State of Charge – LSL(ESR “charging”)))** ***ESR******online******All******ESR******online******i*****Excludes ESR capacity used to provide FFR** **PRC9 = (If discharging or idle, Min(X% of HSL based on droop, HSL-Gen “injection”, the sum of the MW headroom available from the intermittent renewable generation component and the MW capacity that can be sustained for 15 minutes per the ESS State of Charge), else Min(X% of Real-Time Total Capacity based on droop, the sum of the MW headroom available from the intermittent renewable generation component and the MW capacity that can be sustained for 15 minutes per the ESS State of Charge))*****DC-Coupled Resources******online******All******ESR******online******i*****Excludes DC-Coupled Resource capacity used to provide FFR****PRC = PRC1 + PRC2 + PRC3+ PRC4 + PRC5 + PRC6 + PRC7 + PRC8 + PRC9**The above variables are defined as follows:

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| **Variable** | **Unit** | **Description** |
| PRC1 | MW | Generation On-Line greater than 0 MW |
| PRC2 | MW | WGRs On-Line greater than 0 MW |
| PRC3 | MW | Synchronous condenser output |
| PRC4 | MW | Capacity from Load Resources with an ECRS Ancillary Service Resource award |
| PRC5 | MW | Capacity from Controllable Load Resources active in SCED with an Ancillary Service Resource award |
| PRC6 | MW | Capacity from Controllable Load Resources active in SCED without an Ancillary Service Resource award |
| PRC7 | MW | Capacity from Resources capable of providing FFR |
| PRC8 | MW | ESR capacity capable of providing Primary Frequency Response |
| PRC9 | MW | Capacity from DC-Coupled Resources capable of providing Primary Frequency Response |
| PRC | MW | Physical Responsive Capability |
| X | Percentage | Percent threshold based on the Governor droop setting of ESRs |
| RDF |  | The currently approved Reserve Discount Factor  |
| RDFW |  | The currently approved Reserve Discount Factor for WGRs |
| LRDF\_1 |  | The currently approved Load Resource Reserve Discount Factor for Controllable Load Resources awarded an Ancillary Service Resource award |
| LRDF\_2 |  | The currently approved Load Resource Reserve Discount Factor for Controllable Load Resources not awarded an Ancillary Service Resource award |
| FRCHL | MW | Telemetered High limit of the FRC for the Resource |
| FRCO | MW | Telemetered output of FRC portion of the Resource |

(2) The Load Resource Reserve Discount Factors (RDFs) for Controllable Load Resources (LRDF\_1 and LRDF\_2) shall be subject to review and approval by TAC.(3) The RDFs used in the PRC calculation shall be posted to the ERCOT website no later than three Business Days after approval.(4) ERCOT shall display on the ERCOT website and update every ten seconds a rolling view of the ERCOT-wide PRC, as defined in paragraph (1)(p) above, for the current Operating Day. |

6.7.5 Real-Time Ancillary Service Imbalance Payment or Charge

(1) Based on the Real-Time On-Line Reliability Deployment Price Adders, Real-Time On-Line Reserve Price Adders and a Real-Time Off-Line Reserve Price Adders, ERCOT shall calculate Ancillary Service imbalance Settlement, which will make Resources indifferent to the utilization of their capacity for energy or Ancillary Service reserves, as set forth in this Section.

(2) The payment or charge to each QSE for Ancillary Service imbalance is calculated based on the price calculation set forth in paragraph (12) of Section 6.5.7.3, Security Constrained Economic Dispatch, and applied to the following amounts for each QSE:

(a) The amount of Real-Time Metered Generation from all Generation Resources, represented by the QSE for the 15-minute Settlement Interval;

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| [NPRR987: Replace paragraph (a) above with the following upon system implementation:] (a) The amount of Real-Time Metered Generation from all Generation Resources and Energy Storage Resources (ESRs), represented by the QSE for the 15-minute Settlement Interval; |

(b) The amount of On-Line capacity based on the telemetered High Sustained Limit (HSL) for all On-Line Generation Resources, the telemetered consumption from Load Resources with a validated Ancillary Service Schedule for RRS controlled by high-set under-frequency relay or Non-Spin, and the capacity from Controllable Load Resources available to SCED;

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| [NPRR863 and NPRR987: Replace applicable portions of paragraph (b) above with the following upon system implementation:](b) The amount of On-Line capacity based on the telemetered High Sustained Limit (HSL) for all On-Line Generation Resources and ESRs, the telemetered consumption from Load Resources with a validated Ancillary Service Schedule for ECRS or RRS controlled by high-set under-frequency relay or Non-Spin, and the capacity from Controllable Load Resources available to SCED, including capacity from modeled Controllable Load Resources associated with ESRs; |

(c) The amount of Ancillary Service Resource Responsibility for Reg-Up, RRS and Non-Spin for all Generation and Load Resources represented by the QSE for the 15-minute Settlement Interval.

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| [NPRR863 and NPRR987: Replace applicable portions of paragraph (c) above with the following upon system implementation:](c) The amount of Ancillary Service Resource Responsibility for Reg-Up, ECRS, RRS and Non-Spin for all Generation Resources, ESRs, and Load Resources represented by the QSE for the 15-minute Settlement Interval.  |

(3) Resources meeting one or more of the following conditions will be excluded from the amounts calculated pursuant to paragraphs (2)(a) and (b) above:

(a) Nuclear Resources;

(b) Resources with a telemetered ONTEST, ONHOLD, STARTUP (except Resources with Non-Spin Ancillary Service Resource Responsibility greater than zero), or SHUTDOWN Resource Status excluding Resources telemetering both STARTUP Resource Status and greater than zero Non-Spin Ancillary Service Responsibility; or

(c) Resources with a telemetered net real power (in MW) less than 95% of their telemetered Low Sustained Limit (LSL) excluding Resources telemetering both STARTUP Resource Status and greater than zero Non-Spin Ancillary Service Responsibility.

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| [NPRR987: Replace paragraph (c) above with the following upon system implementation:](c) Resources with a telemetered net real power (in MW) less than 95% of their telemetered Low Sustained Limit (LSL) excluding the following: (i) Resources telemetering both STARTUP Resource Status and greater than zero Non-Spin Ancillary Service Responsibility; or(ii) ESRs. |

(4) Reliability Must-Run (RMR) Units and Reliability Unit Commitment (RUC) Resources On-Line during the hour due to an ERCOT instruction, except for any RUC Resource committed by a RUC Dispatch Instruction where that Resource’s QSE subsequently opted out of RUC Settlement pursuant to paragraph (14) of Section 5.5.2, Reliability Unit Commitment (RUC) Process, those RUC Resources that had a Three-Part Supply Offer cleared in the DAM for the hour, or a Switchable Generation Resource (SWGR) released by a non-ERCOT Control Area Operator (CAO) to operate in the ERCOT Control Area due to an ERCOT RUC instruction for an actual or anticipated Energy Emergency Alert (EEA) condition, and any Combined Cycle Generation Resource that was RUC-committed from one On-Line configuration to a different configuration with additional capacity, as described in paragraph (3) of Section 5.5.2, will be excluded from the amounts calculated for the 15-minute Settlement Interval pursuant to paragraphs (2)(a), (b), and (c) above.

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| [NPRR885 and NPRR1092: Replace applicable portions of paragraph (4) above with the following upon system implementation:](4) Reliability Must-Run (RMR) Units, and Must-Run Alternatives (MRAs), and Reliability Unit Commitment (RUC) Resources On-Line during the hour due to an ERCOT instruction will be excluded from the amounts calculated for the 15-minute Settlement Interval pursuant to paragraphs (2)(a), (b), and (c) above except for: (a) Those RUC Resources that had a Three-Part Supply Offer cleared in the DAM for the hour;(b) A Switchable Generation Resource (SWGR) released by a non-ERCOT Control Area Operator (CAO) to operate in the ERCOT Control Area due to an ERCOT RUC instruction for an actual or anticipated Energy Emergency Alert (EEA) condition;(c) Any Combined Cycle Generation Resource that was RUC-committed from one On-Line configuration to a different configuration with additional capacity, as described in paragraph (3) of Section 5.5.2, Reliability Unit Commitment (RUC) Process; or(d) Any RUC Resource committed by a RUC Dispatch Instruction where that Resource’s QSE subsequently opted out of RUC Settlement pursuant to paragraph (14) of Section 5.5.2. |

(5) The Real-Time Off-Line Reserve Capacity for the QSE (RTOFFCAP) shall be administratively set to zero when the SCED snapshot of the Physical Responsive Capability (PRC) is less than or equal to the PRC MW at which EEA Level 1 is initiated.

(6) Resources that have a Under Generation Volume (UGEN) greater than zero, and are not-exempt from a Base Point Deviation Charge, as set forth in Section 6.6.5, Base Point Deviation Charge, or are not already excluded in paragraphs (3) or (4) above, for the 15-minute Settlement Interval will have the UGEN amounts removed from the amounts calculated pursuant to paragraphs (2)(a) and (b) above.

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| [NPRR987: Replace paragraph (6) above with the following upon system implementation:](6) Resources that have an Under Generation Volume (UGEN) or an Under Performance Volume (UPESR) greater than zero, and are not exempt from a Base Point Deviation Charge, as set forth in Section 6.6.5, Base Point Deviation Charge, or are not already excluded in paragraphs (3) or (4) above, for the 15-minute Settlement Interval will have the UGEN or UPESR amounts removed from the amounts calculated pursuant to paragraphs (2)(a) and (b) above. |

(7) The payment or charge to each QSE for the Ancillary Service imbalance for a given 15-minute Settlement Interval is calculated as follows:

RTASIAMT *q* = (-1) \* [(RTASOLIMB *q* \* RTRSVPOR) + (RTASOFFIMB *q* \* RTRSVPOFF)]

RTRDASIAMT *q*= (-1) \* (RTASOLIMB *q* \* RTRDP)

Where:

RTASOLIMB *q*= RTOLCAP *q* – [((SYS\_GEN\_DISCFACTOR \* RTASRESP *q* ) \* ¼) – RTASOFF *q* – RTRUCNBBRESP *q*– RTCLRNSRESP *q* – RTNCLRNSRESP *q* – RTRMRRESP *q*]

Where:

 RTASOFF *q* = SYS\_GEN\_DISCFACTOR \* RTASOFFR *q, r, p*

 RTRUCNBBRESP *q*= SYS\_GEN\_DISCFACTOR \*  RTRUCASA *q, r* \* ¼

 RTCLRNSRESP *q* = SYS\_GEN\_DISCFACTOR \* RTCLRNSRESPR *q, r, p*

RTNCLRNSRESP *q* =  SYS\_GEN\_DISCFACTOR \* RTNCLRNSRESPR *q, r, p*

RTRMRRESP *q* = SYS\_GEN\_DISCFACTOR \* (HRRADJ *q, r, p* + HRUADJ *q, r, p* + HNSADJ *q, r, p*) \* ¼

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| [NPRR863: Replace the formula “RTRMRRESP q” above with the following upon system implementation:]RTRMRRESP *q* = SYS\_GEN\_DISCFACTOR \* (HRRADJ *q, r, p* + HECRADJ *q, r, p* + HRUADJ *q, r, p* + HNSADJ *q, r, p*) \* ¼ |

RTOLCAP *q* = (RTOLHSL *q* – RTMGQ *q* – SYS\_GEN\_DISCFACTOR \* (UGENA *q, r, p*)) + RTCLRCAP *q* + RTNCLRCAP *q*

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| [NPRR987: Replace the formula “RTOLCAP q” above with the following upon system implementation:]RTOLCAP *q* = (RTOLHSL *q* – RTMGQ *q* – SYS\_GEN\_DISCFACTOR \* ((UGENA *q, r, p* **+** UPESRA *q, r, p*))) + RTCLRCAP *q* + RTNCLRCAP *q* **+** RTESRCAP *q* |

Where:

RTNCLRCAP *q* = Min(Max(RTNCLRNPC *q* – RTNCLRLPC *q*, 0.0), RTNCLRRRS *q* \* 1.5)

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| [NPRR863: Replace the formula “RTNCLRCAP q” above with the following upon system implementation:]RTNCLRCAP *q* = Min(Max(RTNCLRNPC *q* – RTNCLRLPC *q*, 0.0), (RTNCLRECRS *q +* RTNCLRRRS *q*) \* 1.5) |

RTNCLRRRS *q =* SYS\_GEN\_DISCFACTOR \*  RTNCLRRRSR *q, r, p*

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| [NPRR863: Insert the formula “RTNCLRECRS q” below upon system implementation:]RTNCLRECRS *q =* SYS\_GEN\_DISCFACTOR \*  RTNCLRECRSR *q, r, p*  |

RTNCLRNPC *q =* SYS\_GEN\_DISCFACTOR \* RTNCLRNPCR *q, r, p*

RTNCLRLPC *q =* SYS\_GEN\_DISCFACTOR \* RTNCLRLPCR *q, r, p*

RTOLHSL *q* = SYS\_GEN\_DISCFACTOR \* RTOLHSLRA *q, r, p*

RTMGQ *q* = SYS\_GEN\_DISCFACTOR \* RTMGA *q, r, p*

 If RTMGA *q, r, p* > RTOLHSLRA *q, r, p*

 Then RTMGA *q, r, p* = RTOLHSLRA *q, r, p*

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| [NPRR987: Insert the language below upon system implementation:]Where for a Controllable Load Resource other than a modeled Controllable Load Resource associated with an Energy Storage Resource (ESR): |

RTCLRCAP *q*= RTCLRNPC *q* – RTCLRLPC *q* – RTCLRNS *q* + RTCLRREG *q*

RTCLRNPC *q*= SYS\_GEN\_DISCFACTOR \* RTCLRNPCR ***q, r, p***

RTCLRLPC *q* = SYS\_GEN\_DISCFACTOR \* RTCLRLPCR ***q, r, p***

RTCLRNS *q* = SYS\_GEN\_DISCFACTOR \*  RTCLRNSR ***q, r, p***

RTCLRREG *q* = SYS\_GEN\_DISCFACTOR \*  RTCLRREGR *q, r, p*

Where:

RTRSVPOR = (RNWF  *y* \* RTORPA *y*)

RTASOFFIMB *q* = RTOFFCAP *q* – (RTASOFF *q* + RTCLRNSRESP *q* + RTNCLRNSRESP *q*)

RTOFFCAP *q* = (SYS\_GEN\_DISCFACTOR \* RTCST30HSL *q*) + (SYS\_GEN\_DISCFACTOR \* RTOFFNSHSL *q*) + RTCLRNS *q* + RTNCLRNSCAP *q*

RTNCLRNSCAP *q* = Min(Max(RTNCLRNPC *q* – RTNCLRLPC *q*, 0.0), RTNCLRNS *q* \* 1.5)

RTNCLRNS *q* = SYS\_GEN\_DISCFACTOR \* RTNCLRNSR *q, r, p*

RTRSVPOFF = (RNWF  *y* \* RTOFFPA *y*)

RTRDP = (RNWF  *y* \* RTORDPA *y*)

RNWF *y*= TLMP *y* / TLMP *y*

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| [NPRR987: Insert the language below upon system implementation:]Where for an ESR:RTESRCAP *q* = (RTESRCAPR *q, g, p*)Where:RTESRCAPR *q, g, p* *=* Min[(RTOLHSLRA *q, r, p* – RTMGA *q, r, p* + RTCLRNPCR *q, r, p*),(RTCLRNPCR *q, r, p* + SOCT *q, r* – SOCOM *q, r*)] |

The above variables are defined as follows:

| Variable | Unit | Description |
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| RTASIAMT *q* | $ | *Real-Time Ancillary Service Imbalance Amount*—The total payment or charge to QSE *q* for the Real-Time Ancillary Service imbalance associated with Operating Reserve Demand Curve (ORDC) for each 15-minute Settlement Interval. |
| RTRDASIAMT *q* | $ | *Real-Time Reliability Deployment Ancillary Service Imbalance Amount*—The total payment or charge to QSE *q* for the Real-Time Ancillary Service imbalance associated with Reliability Deployments for each 15-minute Settlement Interval. |
| RTASOLIMB *q* | MWh | *Real-Time Ancillary Service On-Line Reserve Imbalance for the QSE* ⎯The Real-Time Ancillary Service On-Line reserve imbalance for the QSE *q*, for each 15-minute Settlement Interval.  |
| RTORPA*y* | $/MWh | *Real-Time On-Line Reserve Price Adder per interval*⎯The Real-Time Price Adder for On-Line Reserves for the SCED interval *y*. |
| RTOFFPA *y* | $/MWh | *Real-Time Off-Line Reserve Price Adder per interval*⎯The Real-Time Price Adder for Off-Line Reserves for the SCED interval *y*. |
| TLMP *y* | second | *Duration of SCED interval per interval*⎯The duration of the SCED interval *y*. |
| RTRDP | $/MWh | *Real-Time On-Line Reliability Deployment Price*⎯The Real-Time price for the 15-minute Settlement Interval, reflecting the impact of reliability deployments on energy prices that is calculated from the Real-Time On-Line Reliability Deployment Price Adder. |
| RTORDPA*y* | $/MWh | *Real-Time On-Line Reliability Deployment Price Adder*⎯The Real-Time Price Adder that captures the impact of reliability deployments on energy prices for the SCED interval *y*. |
| RNWF *y* | none | *Resource Node Weighting Factor per interval*⎯The weight used in the Resource Node Settlement Point Price calculation for the portion of the SCED interval *y* within the 15-minute Settlement Interval. |
| RTRSVPOR | $/MWh | *Real-Time Reserve Price for On-Line Reserves*⎯The Real-Time Reserve Price for On-Line Reserves for the 15-minute Settlement Interval. |
| RTRSVPOFF | $/MWh | *Real-Time Reserve Price for Off-Line Reserves*⎯The Real-Time Reserve Price for Off-Line Reserves for the 15-minute Settlement Interval. |
| RTOLCAP *q*  | MWh | *Real-Time On-Line Reserve Capacity for the QSE*⎯The Real-Time reserve capacity of On-Line Resources available for the QSE *q*, for the 15-minute Settlement Interval. |
| RTOLHSLRA *q, r, p* | MWh | *Real-Time Adjusted On-Line High Sustained Limit for the Resource*⎯The Real-Time telemetered HSL for the Resource *r* represented by QSE *q* at Resource Node *p* that is available to SCED, integrated over the 15-minute Settlement Interval, and adjusted pursuant to paragraphs (3) and (4) above. |
| RTOLHSL *q* | MWh | *Real-Time On-Line High Sustained Limit for the QSE*⎯The Real-Time telemetered HSL for all Generation Resources available to SCED, pursuant to paragraphs (3) and (4) above, integrated over the 15-minute Settlement Interval for the QSE *q*, discounted by the system-wide discount factor.

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| ***[NPRR987: Replace the description above with the following upon system implementation:]****Real-Time On-Line High Sustained Limit for the QSE*⎯The integrated Real-Time telemetered HSL for all Generation Resources, not including modeled Generation Resources associated with ESRs, available to SCED, pursuant to paragraphs (3) and (4) above, integrated over the 15-minute Settlement Interval for the QSE *q*, discounted by the system-wide discount factor. |

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| RTASRESP *q* | MW | *Real-Time Ancillary Service Supply Responsibility for the QSE*⎯The Real-Time Ancillary Service Supply Responsibility for Reg-Up, RRS and Non-Spin pursuant to Section 4.4.7.4, Ancillary Service Supply Responsibility, for all Generation and Load Resources for the QSE *q*, for the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Ancillary Service Supply Responsibility for the QSE*⎯The Real-Time Ancillary Service Supply Responsibility for Reg-Up, ECRS, RRS and Non-Spin pursuant to Section 4.4.7.4, Ancillary Service Supply Responsibility, for all Generation and Load Resources for the QSE *q*, for the 15-minute Settlement Interval. |

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| RTCLRCAP *q* | MWh | *Real-Time Capacity from Controllable Load Resources for the QSE*—The Real-Time capacity and Reg-Up minus Non-Spin available from all Controllable Load Resources available to SCED for the QSE *q*, integrated over the 15-minute Settlement Interval.

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| ***[NPRR987: Replace the description above with the following upon system implementation:]****Real-Time Capacity from Controllable Load Resources for the QSE*—The Real-Time capacity and Reg-Up minus Non-Spin available from all Controllable Load Resources, not including modeled Controllable Load Resources associated with ESRs available to SCED for the QSE *q*, integrated over the 15-minute Settlement Interval. |

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| RTNCLRCAP ***q*** | MWh | *Real-Time Capacity from Non-Controllable Load Resources carrying Responsive Reserve for the QSE*—The Real-Time capacity for all Load Resources other than Controllable Load Resources that have a validated Real-Time RRS Ancillary Service Schedule for the QSE *q*, integrated over the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Capacity from Non-Controllable Load Resources carrying ERCOT Contingency Reserve or Responsive Reserve for the QSE*—The Real-Time capacity for all Load Resources other than Controllable Load Resources that have a validated Real-Time ECRS or RRS Ancillary Service Schedule for the QSE *q*, integrated over the 15-minute Settlement Interval. |

 |
| RTNCLRRRS *q* | MWh | *Real-Time Non-Controllable Load Resources Responsive Reserve for the QSE—*The validated Real-Time telemetered RRS Ancillary Service Supply Responsibility for all Load Resources other than Controllable Load Resources for QSE *q* discounted by the system-wide discount factor, integrated over the 15-minute Settlement Interval. |
| RTNCLRRRSR *q, r, p* | MWh | *Real-Time Non-Controllable Load Resource Responsive Reserve—*The validated Real-Time telemetered RRS Ancillary Service Resource Responsibility for the Load Resource *r* (which is not a Controllable Load Resource) represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval. |
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| [NPRR863: Insert the variables “RTNCLRECRS q” and “RTNCLRECRSR q, r, p” below upon system implementation:]

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| RTNCLRECRS *q* | MWh | *Real-Time Non-Controllable Load Resources ERCOT Contingency Reserve for the QSE—*The validated Real-Time telemetered ECRS Ancillary Service Supply Responsibility for all Load Resources other than Controllable Load Resources for QSE *q* discounted by the system-wide discount factor, integrated over the 15-minute Settlement Interval. |
| RTNCLRECRSR *q, r, p* | MWh | *Real-Time Non-Controllable Load Resource ERCOT Contingency Reserve —*The validated Real-Time telemetered ECRS Ancillary Service Resource Responsibility for the Load Resource *r* (which is not a Controllable Load Resource) represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval. |

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 |
| RTNCLRNPCR *q, r, p* | MWh | *Real-Time Non-Controllable Load Resource Net Power Consumption—*The Real-Time net real power consumption from the Load Resource *r* (which is not a Controllable Load Resource)represented by QSE *q* at Resource Node *p* that has a validated Real-Time RRS or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Non-Controllable Load Resource Net Power Consumption—*The Real-Time net real power consumption from the Load Resource *r* (which is not a Controllable Load Resource)represented by QSE *q* at Resource Node *p* that has a validated Real-Time ECRS, RRS, or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval. |

 |
| RTNCLRLPCR *q, r, p* | MWh | *Real-Time Non-Controllable Load Resource Low Power Consumption—* The Real-Time Low Power Consumption (LPC) from the Load Resource *r* (which is not a Controllable Load Resource)represented by QSE *q* at Resource Node *p* that has a validated Real-Time RRS or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Non-Controllable Load Resource Low Power Consumption—*The Real-Time Low Power Consumption (LPC) from the Load Resource *r* (which is not a Controllable Load Resource)represented by QSE *q* at Resource Node *p* that has a validated Real-Time ECRS, RRS, or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval  |

 |
| RTNCLRNPC *q* | MWh | *Real-Time Non-Controllable Load Resource Net Power Consumption for the QSE—* The Real-Time net real power consumption from all Load Resources other than Controllable Load Resources for QSE *q* that have a validated Real-Time RRS or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Non-Controllable Load Resource Net Power Consumption for the QSE—*The Real-Time net real power consumption from all Load Resources other than Controllable Load Resources for QSE *q* that have a validated Real-Time ECRS, RRS, or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor. |

 |
| RTNCLRLPC *q* | MWh | *Real-Time Non-Controllable Load Resource Low Power Consumption for the QSE—* The Real-Time LPC from all Load Resources other than Controllable Load Resourcesfor QSE *q* that have a validated Real-Time RRS or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Non-Controllable Load Resource Low Power Consumption for the QSE—*The Real-Time LPC from all Load Resources other than Controllable Load Resourcesfor QSE *q* that have a validated Real-Time ECRS, RRS, or Non-Spin Ancillary Service Schedule integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor. |

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| RTNCLRNSCAP ***q*** | MWh | *Real-Time Capacity from Non-Controllable Load Resources carrying Non-Spin for the QSE*—The Real-Time capacity for all Load Resources that are not Controllable Load Resources and that have a validated Real-Time Non-Spin Ancillary Service Schedule for the QSE *q*, integrated over the 15-minute Settlement Interval. |
| RTNCLRNSR *q, r, p* | MWh | *Real-Time Non-Spin Schedule for the Non-Controllable Load Resource ⎯*The validated Real-Time telemetered Non-Spin Ancillary Service Schedule for the Load Resource *r* that is not a Controllable Load Resources represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval. |
| RTNCLRNS *q* | MWh | *Real-Time Non-Spin Schedule for Non-Controllable Load Resources for the QSE*⎯The Real-Time telemetered Non-Spin Ancillary Service Schedule for all Load Resources that are not Controllable Load Resources for the QSE *q*, integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor. |
| RTNCLRNSRESP *q* | MWh | *Real-Time Non-Controllable Load Resource Non-Spin Responsibility for the QSE*⎯The Real Time telemetered Non-Spin Ancillary Service Supply Responsibility for all Load Resources that are not Controllable Load Resources discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval. |
| RTNCLRNSRESPR *q, r, p* | MWh | *Real-Time Non-Controllable Load Resource Non-Spin Responsibility for the Resource*⎯The Real-Time telemetered Non-Spin Ancillary Service Resource Responsibility for the Load Resource *r* that is not a Controllable Load Resource represented by QSE *q* at Resource Node *p* integrated over the 15-minute Settlement Interval. |
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| RTCLRNPCR *q, r, p* | MWh | *Real-Time Net Power Consumption from the Controllable Load Resource—*The Real-Time net real power consumption from the Controllable Load Resource *r* represented by QSE *q* at Resource Node *p* available to SCED integrated over the 15-minute Settlement Interval.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Net Power Consumption from the Controllable Load Resource—*The Real-Time net real power consumption from the Controllable Load Resource or modeled Controllable Load Resource associated with an ESR, *r* represented by QSE *q* at Resource Node *p* available to SCED integrated over the 15-minute Settlement Interval. |

 |
| RTCLRNPC *q* | MWh | *Real-Time Net Power Consumption from Controllable Load Resources for the QSE*—The Real-Time net real power consumption from all Controllable Load Resources available to SCED integrated over the 15-minute Settlement Interval for the QSE *q* discounted by the system-wide discount factor.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Net Power Consumption from Controllable Load Resources for the QSE*—The Real-Time net real power consumption from all Controllable Load Resources, not including modeled Controllable Load Resources associated with ESRs, available to SCED integrated over the 15-minute Settlement Interval for the QSE *q* discounted by the system-wide discount factor. |

 |
| RTCLRLPCR *q, r, p* | MWh | *Real-Time Low Power Consumption for the Controllable Load Resource—*The Real-Time LPC from the Controllable Load Resource *r* represented by QSE *q* at Resource Node *p* available to SCED integrated over the 15-minute Settlement Interval.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Low Power Consumption for the Controllable Load Resource—*The Real-Time LPC from the Controllable Load Resource or modeled Controllable Load Resource associated with an ESR, *r* represented by QSE *q* at Resource Node *p* available to SCED integrated over the 15-minute Settlement Interval. |

 |
| RTCLRLPC *q* | MWh | *Real-Time Low Power Consumption from Controllable Load Resources for the QSE*—The Real-Time LPC from Controllable Load Resources available to SCED integrated over the 15-minute Settlement Interval for the QSE *q* discounted by the system-wide discount factor.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Low Power Consumption from Controllable Load Resources for the QSE*—The Real-Time LPC from Controllable Load Resources, not including modeled Controllable Load Resources associated with ESRs, available to SCED integrated over the 15-minute Settlement Interval for the QSE *q* discounted by the system-wide discount factor. |

 |
| RTCLRREG *q* | MWh | *Real-Time Controllable Load Resources Regulation-Up Schedule for the QSE*—The Real-Time Reg-Up Ancillary Service Schedule from all Controllable Load Resources not available to SCED with Primary Frequency Response for the QSE *q*, integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor. |
| RTCLRREGR*q, r, p* | MWh | *Real-Time Controllable Load Resource Regulation-Up Schedule for the Resource*—The validated Real-Time Reg-Up Ancillary Service Schedule for the Controllable Load Resource not available to SCED *r* represented by QSE *q* at Resource Node *p* with Primary Frequency Response, integrated over the 15-minute Settlement Interval. |
| RTMGA *q, r, p* | MWh | *Real-Time Adjusted Metered Generation per QSE per Settlement Point per Resource*—The adjusted metered generation, pursuant to paragraphs (3) and (4) above, of Generation Resource *r* represented by QSE *q* at Resource Node *p* in Real-Time for the 15-minute Settlement Interval. Where for a Combined Cycle Train, the Resource *r* is the Combined Cycle Train. |
| RTMGQ *q* | MWh | *Real-Time Metered Generation per QSE*—The metered generation, discounted by the system-wide discount factor, of all generation Resources represented by QSE *q* in Real-Time for the 15-minute Settlement Interval, pursuant to paragraphs (3) and (4) above.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Metered Generation per QSE*—The metered generation, discounted by the system-wide discount factor, of all Generation Resources, not including modeled Generation Resources associated with ESRs, represented by QSE *q* in Real-Time for the 15-minute Settlement Interval, pursuant to paragraphs (3) and (4) above. |

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| [NPRR987: Insert the variables “RTESRCAPR q, g, p”, “RTESRCAP q”, “SOCT q, r”, and “SOCOM q, r” below upon system implementation:]

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| RTESRCAPR *q, g, p* | MWh | *Real-Time Capacity from an Energy Storage Resource* –Capacity provided by an ESR *g*, represented by QSE *q* at Resource Node *p,* which considers energy limitations of the ESR and potentially higher contribution when charging for the15-minute Settlement Interval*.* |
| RTESRCAP *q* | MWh | *Real-Time Capacity from Energy Storage Resources per QSE –* Capacity provided by all ESRs, represented by QSE *q*, for the 15-minute Settlement Interval.  |
| SOCT *q, r* | MWh | *State of Charge Telemetered by an Energy Storage Resource –* The average telemetered state of charge of Resource *r*, represented by QSE *q*, over the 15-minute Settlement Interval. |
| SOCOM *q, r* | MWh | *State of Charge Operating Minimum for an Energy Storage Resource* –The average telemetered state of charge operating minimum of Resource *r*, represented by QSE *q*, over the 15-minute Settlement Interval. |

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 |
| RTASOFFIMB *q* | MWh | *Real-Time Ancillary Service Off-Line Reserve Imbalance for the QSE*⎯The Real-Time Ancillary Service Off-Line reserve imbalance for the QSE *q*, for each 15-minute Settlement Interval.  |
| RTOFFCAP *q*  | MWh | *Real-Time Off-Line Reserve Capacity for the QSE*⎯The Real-Time reserve capacity of Off-Line Resources available for the QSE *q*, for the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Off-Line Reserve Capacity for the QSE*⎯The Real-Time reserve capacity of Off-Line Resources, not including modeled Generation Resources associated with ESRs, available for the QSE *q*, for the 15-minute Settlement Interval. |

 |
| RTCST30HSL *q* | MWh | *Real-Time Generation Resources with Cold Start Available in 30 Minutes*⎯The Real-Time telemetered HSLs of Generation Resources, excluding Intermittent Renewable Resources (IRRs), that have telemetered an OFF Resource Status and can be started from a cold temperature state in 30 minutes for the QSE *q*, time-weighted over the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Generation Resources with Cold Start Available in 30 Minutes*⎯The Real-Time telemetered HSLs of Generation Resources, excluding Intermittent Renewable Resources (IRRs) and modeled Generation Resources associated with ESRs, that have telemetered an OFF Resource Status and can be started from a cold temperature state in 30 minutes for the QSE *q*, time-weighted over the 15-minute Settlement Interval. |

 |
| RTOFFNSHSL *q* | MWh | *Real-Time Generation Resources with Off-Line Non-Spin Schedule*⎯The Real-Time telemetered HSLs of Generation Resources that have telemetered an OFFNS Resource Status for the QSE *q*, time-weighted over the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Generation Resources with Off-Line Non-Spin Schedule*⎯The Real-Time telemetered HSLs of Generation Resources, not including modeled Generation Resources associated with ESRs, that have telemetered an OFFNS Resource Status for the QSE *q*, time-weighted over the 15-minute Settlement Interval. |

 |
| RTASOFFR *q, r, p* | MWh | *Real-Time Ancillary Service Schedule for the Off-Line Generation Resource*⎯The validated Real-Time telemetered Ancillary Service Schedule for the Off-Line Generation Resource *r* represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval. |
| RTASOFF *q* | MWh | *Real-Time Ancillary Service Schedule for Off-Line Generation Resources for the QSE*⎯The Real-Time telemetered Ancillary Service Schedule for all Off-Line Generation Resources discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Ancillary Service Schedule for Off-Line Generation Resources for the QSE*⎯The Real-Time telemetered Ancillary Service Schedule for all Off-Line Generation Resources, not including modeled Generation Resources associated with ESRs, discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval. |

 |
| HRRADJ *q, r, p* | MW  | *Ancillary Service Resource Responsibility Capacity for Responsive Reserve at Adjustment Period—*The RRS Ancillary Service Resource Responsibility for the Resource *r* represented by QSE *q* at Resource Node *p* as seen in the last Current Operating Plan (COP) and Trades Snapshot at the end of the Adjustment Period, for the hour that includes the 15-minute Settlement Interval. |
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| [NPRR863: Insert the variable “HECRADJ q, r, p” below upon system implementation:]

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| HECRADJ *q, r, p* | MW  | *Ancillary Service Resource Responsibility Capacity for ERCOT Contingency Reserve Service at Adjustment Period—*The ECRS Ancillary Service Resource Responsibility for the Resource *r* represented by QSE *q* at Resource Node *p* as seen in the last Current Operating Plan (COP) and Trades Snapshot at the end of the Adjustment Period, for the hour that includes the 15-minute Settlement Interval. |

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| HRUADJ *q, r, p* | MW | *Ancillary Service Resource Responsibility Capacity for Reg-Up at Adjustment Period—*The Regulation Up Ancillary Service Resource Responsibility for the Resource *r* represented by QSE *q* at Resource Node *p* as seen in the last COP and Trades Snapshot at the end of the Adjustment Period, for the hour that includes the 15-minute Settlement Interval. |
| HNSADJ *q, r, p* | MW | *Ancillary Service Resource Responsibility Capacity for Non-Spin at Adjustment Period—*The Non-Spin Ancillary Service Resource Responsibility for the Resource *r* represented by QSE *q* at Resource Node *p* as seen in the last COP and Trades Snapshot at the end of the Adjustment Period, for the hour that includes the 15-minute Settlement Interval. |
| RTRUCNBBRESP *q* | MWh | *Real-Time RUC Ancillary Service Supply Responsibility for the QSE in Non-Buy-Back hours*⎯The Real-Time Ancillary Service Supply Responsibility for Reg-Up, RRS and Non-Spin pursuant to the Ancillary Service awards, for the 15-minute Settlement Interval that falls within a RUC-Committed Hour, discounted by the system-wide discount factor for the QSE *q.*

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time RUC Ancillary Service Supply Responsibility for the QSE in Non-Buy-Back hours*⎯The Real-Time Ancillary Service Supply Responsibility for Reg-Up, ECRS, RRS, and Non-Spin pursuant to the Ancillary Service awards, for the 15-minute Settlement Interval that falls within a RUC-Committed Hour, discounted by the system-wide discount factor for the QSE *q.* |

 |
| RTRUCASA *q, r* | MW | *Real-Time RUC Ancillary Service Awards*⎯The Real-Time Ancillary Service award to the RUC Resource *r* for Reg-Up, RRS, and Non-Spin for the hour that includes the 15-minute Settlement Interval that falls within a RUC-Committed Hour for the QSE *q.*

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time RUC Ancillary Service Awards*⎯The Real-Time Ancillary Service award to the RUC Resource *r* for Reg-Up, ECRS, RRS, and Non-Spin for the hour that includes the 15-minute Settlement Interval that falls within a RUC-Committed Hour for the QSE *q.* |

 |
| RTCLRNSRESP *q* | MWh | *Real-Time Controllable Load Resource Non-Spin Responsibility for the QSE*⎯The Real Time telemetered Non-Spin Ancillary Service Supply Responsibility for all Controllable Load Resources available to SCED discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Controllable Load Resource Non-Spin Responsibility for the QSE*⎯The Real Time telemetered Non-Spin Ancillary Service Supply Responsibility for all Controllable Load Resources, not including modeled Controllable Load Resources associated with ESRs, available to SCED discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval. |

 |
| RTCLRNSRESPR *q, r, p* | MWh | *Real-Time Controllable Load Resource Non-Spin Responsibility for the Resource*⎯The Real-Time telemetered Non-Spin Ancillary Service Resource Responsibility for the Controllable Load Resource *r* represented by QSE *q* at Resource Node *p* available to SCED, integrated over the 15-minute Settlement Interval.

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| [NPRR1069: Replace the description above with the following upon system implementation of NPRR987:]*Real-Time Controllable Load Resource Non-Spin Responsibility for the Resource*⎯The Real-Time telemetered Non-Spin Ancillary Service Resource Responsibility for the Controllable Load Resource *r* or modeled Controllable Load Resource associated with an ESR represented by QSE *q* at Resource Node *p* available to SCED, integrated over the 15-minute Settlement Interval. |

 |
| RTRMRRESP *q* | MWh | *Real-Time Ancillary Service Supply Responsibility for RMR Units represented by the QSE*⎯The Real-Time Ancillary Service Supply Responsibility as set forth in the end of the Adjustment Period COP for Reg-Up, RRS, and Non-Spin for all RMR Units discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time Ancillary Service Supply Responsibility for RMR Units represented by the QSE*⎯The Real-Time Ancillary Service Supply Responsibility as set forth in the end of the Adjustment Period COP for Reg-Up, ECRS, RRS, and Non-Spin for all RMR Units discounted by the system-wide discount factor for the QSE *q*, integrated over the 15-minute Settlement Interval. |

 |
| RTCLRNSR *q, r, p* | MWh | *Real-Time Non-Spin Schedule for the Controllable Load Resource ⎯*The validated Real-Time telemetered Non-Spin Ancillary Service Schedule for the Controllable Load Resource *r* represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Non-Spin Schedule for the Controllable Load Resource ⎯*The validated Real-Time telemetered Non-Spin Ancillary Service Schedule for the Controllable Load Resourceor modeled Controllable Load Resource associated with an ESR, *r* represented by QSE *q* at Resource Node *p*, integrated over the 15-minute Settlement Interval. |

 |
| RTCLRNS *q* | MWh | *Real-Time Non-Spin Schedule for Controllable Load Resources for the QSE*⎯The Real-Time telemetered Non-Spin Ancillary Service Schedule for all Controllable Load Resources for the QSE *q*, integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor.

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| [NPRR987: Replace the description above with the following upon system implementation:]*Real-Time Non-Spin Schedule for Controllable Load Resources for the QSE*⎯The Real-Time telemetered Non-Spin Ancillary Service Schedule for all Controllable Load Resources, not including modeled Controllable Load Resources associated with ESRs, for the QSE *q*, integrated over the 15-minute Settlement Interval discounted by the system-wide discount factor. |

 |
| SYS\_GEN\_DISCFACTOR  | none | *System-Wide Discount Factor* – The system-wide discount factor used to discount inputs used in the calculation of Real-Time Ancillary Services Imbalance payment or charge is calculated as the average of the currently approved Reserve Discount Factors (RDFs) applied to the temperatures from the current Season from the year prior.   |
| UGEN *q, r, p* | MWh | *Under Generation Volumes per QSE per Settlement Point per Resource*—The amount under-generated by the Generation Resource *r* represented by QSE *q* at Resource Node *p* for the 15-minute Settlement Interval. |
| UGENA *q, r, p* | MWh | *Adjusted Under Generation Volumes per QSE per Settlement Point per Resource*—The amount under-generated by the Generation Resource *r* represented by QSE *q* at Resource Node *p* for the 15-minute Settlement Interval adjusted pursuant to paragraph (6) above. |
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| [NPRR987: Insert the variables “UPESR q, r, p” and “UPESRA q, r, p” below upon system implementation:]

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| UPESR *q, r, p* | MWh | *Under-Performance Volumes per QSE per Settlement Point per Resource*—The amount the ESR under-performed divided evenly among the modeled Generation and Controllable Load Resources *r* in the ESR*,* represented by QSE *q* at Resource Node *p,* for the 15-minute Settlement Interval. |
| UPESRA *q, r, p* | MWh | *Adjusted Under-Performance Volumes per QSE per Settlement Point per Resource* — The amount the ESR under-performed divided evenly among the modeled Generation and Controllable Load Resources *r* in the ESR*,* represented by QSE *q* at Resource Node *p,* for the 15-minute Settlement Interval adjusted pursuant to paragraph (6) above. |

 |

 |
| *r* | none | A Generation or Load Resource. |
| *y* | none | A SCED interval in the 15-minute Settlement Interval. The summation is over the total number of SCED runs that cover the 15-minute Settlement Interval. |
| *q* | none | A QSE. |
| *p* | none | A Resource Node Settlement Point. |
|

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| [NPRR987: Insert the variable “g” below upon system implementation:]

|  |  |  |
| --- | --- | --- |
| *g* | none | An ESR. |

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(8) The payment to each QSE for the Ancillary Service reserves associated with RUC Resources that have received a RUC Dispatch to provide Ancillary Services in which the 15-minute Settlement Interval is part of a RUC Buy-Back Hour based on the RUC opt out provision set forth in paragraph (14) of Section 5.5.2 for a given 15-minute Settlement Interval is calculated as follows:

**RTRUCRSVAMT *q* = (-1) \* (RTRUCRESP *q* \* RTRSVPOR)**

**RTRDRUCRSVAMT *q* = (-1) \* (RTRUCRESP *q* \* RTRDP)**

Where:

RTRUCRESP *q* =  RTRUCASA *q, r* \* ¼

The above variables are defined as follows:

| Variable | Unit | Description |
| --- | --- | --- |
| RTRUCRSVAMT*q* | $ | *Real-Time RUC Ancillary Service Reserve Amount*—The total payment |to QSE *q* for the Real-Time RUC Ancillary Service Reserve payment associated with ORDC for each 15-minute Settlement Interval. |
| RTRDRUCRSVAMT *q* | $ | *Real-Time Reliability Deployment RUC Ancillary Service Reserve Amount*—The total payment |to QSE *q* for the Real-Time RUC Ancillary Service Reserve payment associated with reliability deployments for each 15-minute Settlement Interval. |
| RTRUCRESP *q* | MWh | *Real-Time RUC Ancillary Service Supply Responsibility for the QSE*⎯The Real-Time Ancillary Service Supply Responsibility pursuant to the Ancillary Service awards for Reg-Up, RRS, and Non-Spin for all RUC Resources that have opted out per paragraph (14 of Section 5.5.2 for the QSE *q*, for the 15-minute Settlement Interval.

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time RUC Ancillary Service Supply Responsibility for the QSE*⎯The Real-Time Ancillary Service Supply Responsibility pursuant to the Ancillary Service awards for Reg-Up, ECRS, RRS, and Non-Spin for all RUC Resources that have opted out per paragraph (14) of Section 5.5.2 for the QSE *q*, for the 15-minute Settlement Interval. |

 |
| RTRUCASA *q, r* | MW | *Real-Time RUC Ancillary Service Awards*⎯The Real-Time Ancillary Service award to the RUC Resource *r* for Reg-Up, RRS, and Non-Spin for the 15-minute Settlement Interval that falls within a RUC-Committed Hour for the QSE *q.*

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| [NPRR863: Replace the description above with the following upon system implementation:]*Real-Time RUC Ancillary Service Awards*⎯The Real-Time Ancillary Service award to the RUC Resource *r* for Reg-Up, ECRS, RRS, and Non-Spin for the 15-minute Settlement Interval that falls within a RUC-Committed Hour for the QSE *q.* |

 |
| RTRSVPOR | $/MWh | *Real-Time Reserve Price for On-Line Reserves*⎯The Real-Time Reserve Price for On-Line Reserves for the 15-minute Settlement Interval. |
| RTRDP | $/MWh | *Real-Time On-Line Reliability Deployment Price* ⎯The Real-Time price for the 15-minute Settlement Interval, reflecting the impact of reliability deployments on energy prices that is calculated from the Real-Time On-Line Reliability Deployment Price Adder. |
| *q* | none | A QSE. |
| *r* | none | A Generation Resource. |

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| [NPRR1010: Replace Section 6.7.5 above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project:]***6.7.5 Real-Time Ancillary Service Charges and Payments*** |