



Finance and Audit (F&A) Committee Meeting
 Boardroom B, 8000 Metropolis Drive (Building E), Suite 100, Austin, TX
 April 27, 2022, 10:00 a.m. to 1:00 p.m.*

Item	Topic	Topic Type	Presenter
1.	Call General Session to Order and Announce Proxies	--	Chair
2.	Confirm Committee Membership	Discussion	Chair
3.	Designation of Committee Secretary	Vote	Chair
4.	Notice of Public Comment, if Any	Discussion	Chair
5.	Charter Review and Approval	--	--
5.1	Review Committee Charter	Discussion	Chair/ ERCOT Legal
5.2	Review and Approval of Internal Audit Department Charter	Vote	Penny Rychetsky
6.	Policy Review and Approval	--	--
6.1	Recommendation regarding Financial Corporate Standard	Vote	Leslie Wiley
6.2	Recommendation regarding Investment Corporate Standard	Vote	Leslie Wiley
6.3	Handling of Complaints Regarding Financial Matters	Discussion	Sean Taylor
6.4	Policy on Hiring Former Employees of the Independent Auditor	Discussion	Sean Taylor
6.5	Policy on Independent Auditor Selection	Discussion	Sean Taylor
7.	Committee Briefs	Discussion	Staff
7.1	Review 2022 Financial Summary	Discussion	Sean Taylor
7.2	Periodic Report on Investments	Discussion	Leslie Wiley
7.3	Financial Institutions which are Market Participants	Discussion	Leslie Wiley
8.	Periodic Meeting with Independent Financial Auditor	Discussion	Sean Taylor/ Baker Tilly
8.1	Review of Requirements for Annual Audit of Financial Statements	Discussion	Sean Taylor
8.2	Report on December 31, 2021 Financial Audit	Discussion	Baker Tilly
8.3	Review of Audited Financial Statements	Discussion	Sean Taylor
8.4	Recommendation regarding Acceptance of Financial Statements Audit Report	Vote	Sean Taylor
9.	Future Agenda Items	Discussion	Sean Taylor
10.	Other Business	Discussion	Chair
	Break		
	Convene Executive Session	--	--
	Contract, Personnel, Security, Compliance, Risk Management, Litigation and Regulatory Matters	Discussion	Staff
	Recommendation regarding Selection of Independent Financial Auditor	Discussion	Sean Taylor
	Periodic Report on Internal Audit Activity	Discussion	Penny Rychetsky



	Reconvene General Session	--	--
11.	Vote on Matters from Executive Session	Vote	Chair
12.	Adjournment	--	Chair

*The conclusion time shown on the Agenda is approximate.
The meeting may conclude earlier or later than the noted time.
Matters may also be taken out of Agenda order.

Any person who wants to comment at the meeting on one or more of the matters under discussion at public portions of the meeting can do so by completing a form provided at the meeting with the following information:

- a. Name
- b. Company or organization, if applicable
- c. Preferred email address
- d. The agenda item(s) for which comments will be made
- e. The general substance of the comments to be made

Please arrive at least 10 minutes prior to the start of the meeting to allow time to complete the form.

The live webcast of the General Session of the meeting may be viewed on the ERCOT website:
https://www.ercot.com/committees/board/finance_audit.

If you experience technical problems associated with the webcasting service, please contact webcast service provider Swagit Productions directly by phone at (214) 432-5905 (main office) or (800) 573-3160 (toll free).