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| NPRR Number | [1084](http://www.ercot.com/mktrules/issues/NPRR1084) | NPRR Title | Improvements to Reporting of Resource Outages and Derates |
| Date Posted | | June 30, 2021 | |
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| Requested Resolution | | Normal | |
| Nodal Protocol Sections Requiring Revision | | 1.3.1.1, Items Considered Protected Information  2.1, Definitions  3.1.4.4, Management of Resource or Transmission Forced Outages or Maintenance Outages  3.1.4.5, Notice of Forced Outage or Unavoidable Extension of Planned, Maintenance, or Rescheduled Outage Due to Unforeseen Events  3.1.4.7, Reporting of Forced Derates  3.1.4.8, Reporting of Startup Loading Failures (new)  3.1.6.2, Resources Outage Plan | |
| Related Documents Requiring Revision/Related Revision Requests | | None | |
| Revision Description | | This Nodal Protocol Revision Request (NPRR) allows ERCOT to provide important information about Resource Forced Outages and Forced Derates to the public in a more complete and timely manner. Specifically, these changes will:   * Require the entry of all Resource Outages and Forced Derates into Outage Scheduler, regardless of the duration of the Outage; * Require the entry of all Resource Forced Outages and Forced Derates into Outage Scheduler within one hour of the beginning of the Forced Outage or Forced Derate; * Require the entry of the reason for any Forced Outage or Forced Derate into the “nature of work” field in the Outage Scheduler. Note that, separate from this NPRR, ERCOT intends to significantly expand the cause codes included in the drop-down menu for the “nature of work” field; and * Remove the Protected Information status for any Resource Outage that occurs during an Energy Emergency Alert (EEA) or within 24 hours prior to the declaration of an EEA, or that extends into an EEA, to allow public reporting of the magnitude, duration, and causes of those Outages. | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](http://www.ercot.com/content/wcm/lists/144926/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | |
| Business Case | | During and following the February 2021 extreme cold weather event, regulators, legislators, and the public reasonably requested information from ERCOT about Resource Outages associated with the event.  The Outage Scheduler is the initial source of record for ERCOT to compile and report this information. The value of the Outage Scheduler as a reliable source of Outage information is dependent on the timeliness and completeness of the data entered into that system by Resource Entities or Qualified Scheduling Entities (QSEs).  The current requirements for entering Forced Outages and Forced Derates of Resources into the Outage Scheduler do not facilitate complete and timely reporting of all Forced Outages and Derates. Specifically, Forced Outages with a duration of less than two hours are not required to be entered into the Outage Scheduler, leading to an incomplete view of the number of Outages. In addition, there is no specified deadline for entering Forced Outages or Forced Derates into Outage Scheduler. This results in incorrect reporting of the Real-Time MW impact of Forced Outages and Forced Derates, as this information is often not entered into Outage Scheduler until days after the fact.  The revisions in this NPRR concerning submission of Forced Outage and Forced Derate information will satisfy part of Item Number 5 on the TAC Emergency Conditions List, which identifies a need “to ensure more specific, complete, and accurate information for Forced Outages of Resources during Real-Time operational conditions.”  In addition, the confidential status of Outage-related information has impeded ERCOT’s ability to provide critical information to the Legislature and to the public following system-wide emergencies. This has required either that ERCOT seek to obtain each Resource Entity’s authorization to disclose its Outage information—which imposes a substantial burden on ERCOT Staff during a period in which those resources are needed for other purposes, and which may not result in disclosure anyway—or that the Public Utility Commission of Texas (PUCT) enter an order authorizing disclosure of certain Outage information prior to the expiration of confidentiality—which requires waiting until the PUCT’s next open meeting following appropriate notice. ERCOT believes these steps should not be necessary in cases of a system-wide emergency, given the need for prompt and public discussion about the circumstances of such an emergency by the Legislature and the PUCT, and the compelling need for the public to understand the facts surrounding the Outages. | |

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| Market Segment | Not applicable |

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| **Market Rules Notes** |

Please note that the following NPRR(s) also propose revisions to the following section(s):

* NPRR995, RTF-6 Create Definition and Terms for Settlement Only Energy Storage
  + Section 1.3.1.1
* NPRR1067, Market Entry Qualifications, Continued Participation Requirements, and Credit Risk Assessment
  + Section 1.3.1.1

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| Proposed Protocol Language Revision |

**1.3.1.1 Items Considered Protected Information**

(1) Subject to the exclusions set out in Section 1.3.1.2, Items Not Considered Protected Information, and in Section 3.2.5, Publication of Resource and Load Information, “Protected Information” is information containing or revealing any of the following:

(a) Base Points, as calculated by ERCOT. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(b) Bids, offers, or pricing information identifiable to a specific Qualified Scheduling Entity (QSE) or Resource. The Protected Information status of part of this information shall expire 60 days after the applicable Operating Day, as follows:

(i) Ancillary Service Offers by Operating Hour for each Resource for all Ancillary Services submitted for the Day-Ahead Market (DAM) or any Supplemental Ancillary Services Market (SASM);

(ii) The quantity of Ancillary Service offered by Operating Hour for each Resource for all Ancillary Service submitted for the DAM or any SASM; and

(iii) Energy Offer Curve prices and quantities for each Settlement Interval by Resource. The Protected Information status of this information shall expire within seven days after the applicable Operating Day if required to be posted as part of paragraph (5) of Section 3.2.5 and within two days after the applicable Operating Day if required to be posted as part of paragraph (7) of Section 3.2.5;

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| ***[NPRR1013: Replace paragraph (b) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project:]***  (b) Bids, offers, or pricing information identifiable to a specific Qualified Scheduling Entity (QSE) or Resource. The Protected Information status of part of this information shall expire 60 days after the applicable Operating Day, as follows:  (i) Ancillary Service Offers by Operating Hour or Security-Constrained Economic Dispatch (SCED) interval for each Resource for all Ancillary Services submitted for the Day-Ahead Market (DAM) or Real-Time Market (RTM);  (ii) The quantity of Ancillary Service offered by Operating Hour or SCED interval for each Resource for all Ancillary Service submitted for the DAM or RTM; and  (iii) A Resource’s Energy Offer Curve prices and quantities by Operating Hour or SCED interval. The Protected Information status of this information shall expire within seven days after the applicable Operating Day if required to be posted as part of paragraph (5) of Section 3.2.5 and within two days after the applicable Operating Day if required to be posted as part of paragraph (7) of Section 3.2.5; |

(c) Status of Resources, including Outages, limitations, or scheduled or metered Resource data. The Protected Information status of this information shall expire 60 days after the applicable Operating Day. Notwithstanding the foregoing, for any Resource Outage or Forced Derate that occurs during, or that extends into, any time period in which ERCOT has declared an Energy Emergency Alert (EEA), the following information shall not be considered Protected Information:

(i) The identity of the Resource affected by that Outage or Forced Derate and the identity of the QSE and Resource Entity for that Resource;

(ii) The start time and end time of the Outage or Forced Derate;

(iii) The MW outaged or derated; and

(iv) The cause of the Outage or Forced Derate as described in the “nature of work” field in the Outage Scheduler or as otherwise identified by the QSE or Resource Entity for the Resource or by ERCOT;

(d) Current Operating Plans (COPs). The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(e) Ancillary Service Trades, Energy Trades, and Capacity Trades identifiable to a specific QSE or Resource. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(f) Ancillary Service Schedules identifiable to a specific QSE or Resource. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

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| ***[NPRR1013: Replace paragraph (f) above with the following upon system implementation of the Real-Time Co-Optimization (RTC) project:]***  (f) Ancillary Service awards identifiable to a specific QSE or Resource. The Protected Information status of this information shall expire 60 days after the applicable Operating Day; |

(g) Dispatch Instructions identifiable to a specific QSE or Resource, except for Reliability Unit Commitment (RUC) commitments and decommitments as provided in Section 5.5.3, Communication of RUC Commitments and Decommitments. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(h) Raw and Adjusted Metered Load (AML) data (demand and energy) identifiable to:

(i) A specific QSE or Load Serving Entity (LSE). The Protected Information status of this information shall expire 180 days after the applicable Operating Day; or

(ii) A specific Customer or Electric Service Identifier (ESI ID);

(i) Wholesale Storage Load (WSL) data identifiable to a specific QSE. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(j) Settlement Statements and Invoices identifiable to a specific QSE. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(k) Number of ESI IDs identifiable to a specific LSE. The Protected Information status of this information shall expire 365 days after the applicable Operating Day;

(l) Information related to generation interconnection requests, to the extent such information is not otherwise publicly available. The Protected Information status of certain generation interconnection request information expires as provided in Section 1.3.1.4, Expiration of Protected Information Status;

(m) Resource-specific costs, design and engineering data, including such data submitted in connection with a verifiable cost appeal;

(n) Congestion Revenue Right (CRR) credit limits, the identity of bidders in a CRR Auction, or other bidding information identifiable to a specific CRR Account Holder. The Protected Information status of this information shall expire as follows:

(i) The Protected Information status of the identities of CRR bidders that become CRR Owners and the number and type of CRRs that they each own shall expire at the end of the CRR Auction in which the CRRs were first sold; and

(ii) The Protected Information status of all other CRR information identified above in item (n) shall expire six months after the end of the year in which the CRR was effective.

(o) Renewable Energy Credit (REC) account balances. The Protected Information status of this information shall expire three years after the REC Settlement period ends;

(p) Credit limits identifiable to a specific QSE;

(q) Any information that is designated as Protected Information in writing by Disclosing Party at the time the information is provided to Receiving Party except for information that is expressly designated not to be Protected Information by Section 1.3.1.2 or that, pursuant to Section 1.3.1.4, is no longer confidential;

(r) Any information compiled by a Market Participant on a Customer that in the normal course of a Market Participant’s business that makes possible the identification of any individual Customer by matching such information with the Customer’s name, address, account number, type of classification service, historical electricity usage, expected patterns of use, types of facilities used in providing service, individual contract terms and conditions, price, current charges, billing record, or any other information that a Customer has expressly requested not be disclosed (“Proprietary Customer Information”) unless the Customer has authorized the release for public disclosure of that information in a manner approved by the Public Utility Commission of Texas (PUCT). Information that is redacted or organized in such a way as to make it impossible to identify the Customer to whom the information relates does not constitute Proprietary Customer Information;

(s) Any software, products of software, or other vendor information that ERCOT is required to keep confidential under its agreements;

(t) QSE, Transmission Service Provider (TSP), and Distribution Service Provider (DSP) backup plans collected by ERCOT under the Protocols or Other Binding Documents;

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| ***[NPRR857: Replace item (t) above with the following upon system implementation:]***  (t) QSE, Transmission Service Provider (TSP), Direct Current Tie Operator (DCTO), and Distribution Service Provider (DSP) backup plans collected by ERCOT under the Protocols or Other Binding Documents; |

(u) Direct Current Tie (DC Tie) Schedule information. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(v) Any Texas Standard Electronic Transaction (TX SET) transaction submitted by an LSE to ERCOT or received by an LSE from ERCOT. This paragraph does not apply to ERCOT’s compliance with:

(i) PUCT Substantive Rules on performance measure reporting;

(ii) These Protocols or Other Binding Documents; or

(iii) Any Technical Advisory Committee (TAC)-approved reporting requirements;

(w) Information concerning a Mothballed Generation Resource’s probability of return to service and expected lead time for returning to service submitted pursuant to Section 3.14.1.9, Generation Resource Status Updates;

(x) Information provided by Entities under Section 10.3.2.4, Reporting of Net Generation Capacity;

(y) Alternative fuel reserve capability and firm gas availability information submitted pursuant to Section 6.5.9.3.1, Operating Condition Notice, Section 6.5.9.3.2, Advisory, and Section 6.5.9.3.3, Watch, and as defined by the Operating Guides;

(z) Non-public financial information provided by a Counter-Party to ERCOT pursuant to meeting its credit qualification requirements as well as the QSE’s form of credit support;

(aa) ESI ID, identity of Retail Electric Provider (REP), and MWh consumption associated with transmission-level Customers that wish to have their Load excluded from the Renewable Portfolio Standard (RPS) calculation consistent with Section 14.5.3, End-Use Customers, and subsection (j) of P.U.C. Subst. R. 25.173, Goal for Renewable Energy;

(bb) Generation Resource emergency operations plans and weatherization plans;

(cc) Information provided by a Counter-Party under Section 16.16.3, Verification of Risk Management Framework;

(dd) Any data related to Load response capabilities that are self-arranged by the LSE or pursuant to a bilateral agreement between a specific LSE and its Customers, other than data either related to any service procured by ERCOT or non-LSE-specific aggregated data.  Such data includes pricing, dispatch instructions, and other proprietary information of the Load response product;

(ee) Status of Settlement Only Generators (SOGs), including Outages, limitations, or scheduled or metered output data, except that ERCOT may disclose output data from an SOG as part of an extract or forwarded TX SET transaction provided to the LSE associated with the ESI ID of the Premise where the SOG is located. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

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| ***[NPRR829: Replace paragraph (ee) above with the following upon system implementation:]***  (ee) Status of Settlement Only Generators (SOGs), including Outages, limitations, schedules, metered output data, or data telemetered for use in the calculation of Real-Time Liability (RTL) as described in Section 16.11.4.3.2, Real-Time Liability Estimate, except that ERCOT may disclose metered output data from an SOG as part of an extract or forwarded TX SET transaction provided to the LSE associated with the ESI ID of the Premise where the SOG is located. The Protected Information status of this information shall expire 60 days after the applicable Operating Day; |

(ff) Any documents or data submitted to ERCOT in connection with an Alternative Dispute Resolution (ADR) proceeding. The Protected Information status of this information shall expire upon ERCOT’s issuance of a Market Notice indicating the disposition of the ADR proceeding pursuant to paragraph (1) of Section 20.9, Resolution of Alternative Dispute Resolution Proceedings and Notification to Market Participants, except to the extent the information continues to qualify as Protected Information pursuant to another paragraph of this Section 1.3.1.1;

(gg) Reasons for and future expectations of overrides to a specific Resource’s High Dispatch Limit (HDL) or Low Dispatch Limit (LDL). The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(hh) Information provided to ERCOT under Section 16.18, Cybersecurity Incident Notification, except that ERCOT may disclose general information concerning a Cybersecurity Incident in a Market Notice in accordance with paragraph (5) of Section 16.18 to assist Market Participants in mitigating risk associated with a Cybersecurity Incident; and

(ii) Information disclosed in response to paragraphs (1)-(4) of the Gas Pipeline Coordination section of Section 22, Attachment K, Declaration of Completion of Generation Resource Summer Weatherization Preparations and Natural Gas Pipeline Coordination for Resource Entities with Natural Gas Generation Resources, submitted to ERCOT in accordance with Section 3.21.1, Natural Gas Pipeline Coordination Requirements for Resource Entities with Natural Gas Generation Resources for Summer Preparedness and Summer Peak Load Season. The Protected Information status of Resource Outage information shall expire as provided in paragraph (1)(c) of Section 1.3.1.1.

## 2.1 DEFINITIONS

Forced Derate

The portion of the Resource removed from service when the derating exceeds ten MW of its Seasonal net max sustainable rating provided through the Resource Registration process. For Qualified Scheduling Entities (QSEs) representing Intermittent Renewable Resources (IRRs), the loss of a portion of the capacity shall be due to the unavailability of a portion of the equipment and shall not include capacity changes due to changes in the power source (e.g., wind speed at the Wind-powered Generation Resource (WGR) facility for a WGR, or changes in solar irradiance at the PhotoVoltaic Generation Resource (PVGR) facility for a PVGR).

**3.1.4.4 Management of Resource or Transmission Forced Outages or Maintenance Outages**

(1) In the event of a Forced Outage, after the affected equipment is removed from service, the Resource Entity or QSE, as appropriate, or TSP must notify ERCOT as soon as practicable of its action by:

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| ***[NPRR857: Replace paragraph (1) above with the following upon system implementation:]***  (1) In the event of a Forced Outage, after the affected equipment is removed from service, the Resource Entity or QSE, as appropriate, TSP, or DCTO must notify ERCOT as soon as practicable of its action by: |

(a) For Resource Outages:

(i) Changing the telemetered Resource Status appropriately, including a text description when it becomes known, of the cause of the Forced Outage; and

(ii) Updating the COP; and

(iii) Updating the Outage Scheduler.

(b) For Transmission Facilities Forced Outages:

(i) Changing the telemetered status of the affected Transmission Elements; and

(ii) Updating the Outage Scheduler with the expected return-to-service time.

(2) Forced Outages may require ERCOT to review and withdraw approval of previously approved or accepted, as applicable, Planned Outage, Maintenance Outage, or Rescheduled Outage schedules to ensure reliability.

(3) For Maintenance Outages, the Resource Entity or QSE, as appropriate, or TSP shall notify ERCOT of any Resource or Transmission Facilities Maintenance Outage according to the Maintenance Outage Levels by updating the COP and Outage Scheduler. ERCOT shall coordinate the removal of facilities from service within the defined timeframes as specified by the TSP, QSE or Resource Entity in its notice to ERCOT.

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| ***[NPRR857: Replace paragraph (3) above with the following upon system implementation:]***  (3) For Maintenance Outages, the Resource Entity or QSE, as appropriate, TSP, or DCTO shall notify ERCOT of any Resource or Transmission Facilities Maintenance Outage according to the Maintenance Outage Levels by updating the COP and Outage Scheduler. ERCOT shall coordinate the removal of facilities from service within the defined timeframes as specified by the TSP, DCTO, QSE, or Resource Entity in its notice to ERCOT. |

(4) ERCOT may require supporting information describing Forced Outages and Maintenance Outages. ERCOT may reconsider and withdraw approvals of other previously approved Transmission Facilities Outage or an Outage of a Reliability Resource as a result of Forced Outages or Maintenance Outages, if necessary, in ERCOT’s determination to protect system reliability. When ERCOT approves a Maintenance Outage, ERCOT shall coordinate timing of the appropriate course of action under these Protocols.

(5) Removal of a Resource or Transmission Facilities from service under Maintenance Outages must be coordinated with ERCOT. To minimize harmful impacts to the system in urgent situations, the equipment may be removed immediately from service, provided notice is given immediately, by the Resource Entity or TSP, to ERCOT of such action.

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| ***[NPRR857: Replace paragraph (5) above with the following upon system implementation:]***  (5) Removal of a Resource or Transmission Facilities from service under Maintenance Outages must be coordinated with ERCOT. To minimize harmful impacts to the system in urgent situations, the equipment may be removed immediately from service, provided the Resource Entity, TSP, or DCTO immediately gives notice of such action to ERCOT. |

**3.1.4.5 Notice of Forced Outage or Unavoidable Extension of Planned, Maintenance, or Rescheduled Outage Due to Unforeseen Events**

(1) If a Planned, Maintenance, or Rescheduled Outage is not completed within the ERCOT-approved timeframe and the Transmission Facilities or Resources are in such a condition that they cannot be restored at the Outage schedule completion date, the requesting party shall submit to ERCOT a Forced Outage (unavoidable extension) form describing the extension of the Outage and providing a revised return date.

(2) Any Forced Outage that occurs in Real-Time must be entered into the Outage Scheduler within one hour of the beginning of the Forced Outage.

(3) If the QSE is to receive the exemption described in paragraph (6)(d) of Section 8.1.1.4.1, Regulation Service and Generation Resource/Controllable Load Resource Energy Deployment Performance, the QSE will notify ERCOT Operators by voice communication of every Forced Outage, Forced Derate, or Startup Loading Failure within 15 minutes.

**3.1.4.7 Reporting of Forced Derates**

(1) The Resource Entity or its designee must enter Forced Derates into the Outage Scheduler within one hour of the beginning of the Forced Derate.

**3.1.4.8 Reporting of Startup Loading Failures**

(1) A Startup Loading Failure may occur when a Resource transitions from a Resource Status of OFF or as a Resource attempts to return to service from an Outage.  The Resource Entity or its designee must enter Startup Loading Failures into the Outage Scheduler. If the Startup Loading Failure occurred while attempting to start after being in a Resource Status of OFF, the Resource Entity or its designee must enter a Forced Outage in Outage Scheduler. If the Startup Loading Failure occurred when attempting to return to service following an Outage, the Resource Entity or its designee shall follow the requirements for entering an unavoidable extension in the Outage Scheduler. In both cases, the Resource Entity or its designee must provide a text entry in the Supporting Information field for the appropriate Outage within one hour of the end of the Startup Loading Failure that identifies the following:

(a) There was a Startup Loading Failure;

(b) The cause of the Startup Loading Failure. If the cause of the Startup Loading Failure is unknown within one hour of the end of the Startup Loading Failure, the Resource Entity or its designee must update the cause as soon as practicable but no longer than 24 hours from the end of the Startup Loading Failure; and

(c) The start time and end time of the Startup Loading Failure portion of the Outage.  The start time is the when the Resource was originally scheduled to operate at Low Sustained Limit (LSL) in the COP.  The end time is when the Resource achieves its LSL, was scheduled to go Off-Line, or when the unit returns offline as a Forced Outage or as an unavoidable extension of the Outage from which it was attempting to restart.

(2) The QSE must update the telemetered Resource Status appropriately, as soon as practicable but no longer than five minutes after the Startup Loading Failure.

(3) The QSE must update the COP as soon as practicable but no longer than 30 minutes after the Startup Loading Failure if the Startup Loading Failure is expected to extend beyond the next 60 min.

**3.1.6.2 Resources Outage Plan**

(1) Resource Entity Outage requests shall include the following information:

(a) The primary and alternate phone number of the Resource Entity’s Single Point of Contact for Outage Coordination;

(b) The Resource identified by the name in the Network Operations Model;

(c) The net megawatts of capacity the Resource Entity anticipates will be available during the Outage (if any);

(d) The estimated start and finish dates for each Planned and Maintenance Outage;

(e) An estimate of the acceptable deviation in the Outage schedule (i.e., the earliest start date and the latest finish date for the Outage); and

(f) The nature of work to be performed during the Outage. For a Forced Outage or Forced Derate, the “nature of work” field in the Outage Scheduler shall indicate the cause of the Forced Outage or Forced Derate.

(2) When ERCOT accepts a Maintenance Outage, ERCOT shall coordinate the timing of the appropriate course of action within the Resource-specified timeframe. The QSE shall notify ERCOT of the Outage and coordinate the time.