



**Date:** December 2, 2021  
**To:** Board of Directors  
**From:** Chad V. Seely, ERCOT Vice President, General Counsel and Corporate Secretary  
**Subject:** Ratification of Prior Board Actions Taken at Urgent Meetings by Teleconference

**Issue for the ERCOT Board of Directors**

**ERCOT Board of Directors Meeting Date:** December 9-10, 2021

**Item No.:** 22

**Issue:**

Whether the Board of Directors (Board) of Electric Reliability Council of Texas, Inc. (ERCOT) should ratify the actions taken by the Board at its urgent meetings by teleconference held from April 14, 2020 through August 10, 2021, as required by Public Utility Regulatory Act (PURA) § 39.1511(b) and Bylaws Section 4.6(c).

**Background/History:**

PURA § 39.1511(b) and the ERCOT Bylaws place strict limitations and conditions on the ability of the Board to meet in a manner other than in person. Consistent with PURA § 39.1511(b), Bylaws Section 4.7(e) permits the Board to meet via telephone or other electronic means, including videoconference, so long as such means allow each participant to communicate with all other participants in the meeting, but such teleconference meetings may only be held to consider urgent matters. “Urgent matter” is defined in Bylaws Section 4.6(b), which states, in pertinent part:

For purposes of these Bylaws, an urgent matter is an emergency or public necessity (including but not limited to an imminent threat to public health and safety or to the ERCOT market or system), or a reasonably unforeseen situation. A matter shall be considered an urgent matter if it would be difficult or impossible for a quorum of Directors or subcommittee members to physically convene in one location and failure to consider the matter without delay may result in operational (including but not limited to those activities and functions affecting the ERCOT market or system), regulatory, legal, organizational or governance risk.

Consistent with PURA § 39.1511(b), Bylaws Section 4.6(c) requires that any actions taken at a teleconference meeting later be ratified at a regularly scheduled meeting.

Due to the COVID-19 pandemic situation and resulting inability to accommodate medium to large in-person groups and maintain proper social distancing requirements, beginning with its meeting on April 14, 2020 through and including its meeting on August 10, 2021, all meetings of the Board were conducted as urgent meetings held remotely via electronic means, with business limited to urgent matters consistent with the definition in Bylaws Section 4.6(b). Accordingly, any actions taken by the Board at its



meetings from April 14, 2020 through and including August 10, 2021 must be ratified by the Board in accordance with the requirements of PURA § 39.1511(b) and Bylaws Section 4.6(c).

A list of all actions taken by the Board at its meetings from April 14, 2020 through August 10, 2021 is included as **Attachment A**. All such actions were taken in accordance with the requirements of Bylaws Section 4.7(b). All materials associated with actions taken on General Session items are located on ERCOT's website on the page for the corresponding Board meeting and available to Directors through the Board Diligent application. All materials associated with actions taken on Executive Session items are available to Directors through the Board Diligent application.

**Key Factors Influencing Issue:**

- The Board is required by PURA § 39.1511(b) and Bylaws Section 4.6(c) to ratify any actions taken at a teleconference meeting at a subsequent regularly scheduled, in-person meeting.
- All actions of the Board at its meetings from April 14, 2020 through August 10, 2021 were taken in accordance with the requirements of Bylaws Section 4.7(b).

**Conclusion/Recommendation:**

ERCOT staff recommends that the Board ratify the actions taken by the Board at its urgent meetings by teleconference held from April 14, 2020 through August 10, 2021, as required by PURA § 39.1511(b) and Bylaws Section 4.6(c).



**ELECTRIC RELIABILITY COUNCIL OF TEXAS, INC.**  
**BOARD OF DIRECTORS RESOLUTION**

WHEREAS, Public Utility Regulatory Act (PURA) § 39.1511(b) and Section 4.6(c) of the Bylaws of Electric Reliability Council of Texas, Inc. (ERCOT) require that the ERCOT Board of Directors (Board) ratify the actions taken by the Board at meetings by teleconference at a subsequent regularly scheduled, in-person meeting;

WHEREAS, due to the COVID-19 pandemic situation and resulting inability to accommodate medium to large in-person groups and maintain proper social distancing requirements, beginning with its meeting on April 14, 2020 through and including its meeting on August 10, 2021, all meetings of the Board were conducted as urgent meetings held remotely via electronic means, with business limited to urgent matters consistent with the definition in Bylaws Section 4.6(b);

WHEREAS, the Board took several actions at such meetings, all of which were taken in accordance with the requirements of Bylaws Section 4.7(b), a list of which is attached hereto as **Attachment A**; and

WHEREAS, the Board deems it desirable and in the best interest of ERCOT to ratify the actions taken by the Board at its urgent meetings by teleconference held from April 14, 2020 through August 10, 2021;

THEREFORE, BE IT RESOLVED, the Board hereby ratifies the actions taken by the Board at its urgent meetings by teleconference held from April 14, 2020 through August 10, 2021.

**CORPORATE SECRETARY'S CERTIFICATE**

I, Jonathan Levine, Assistant Corporate Secretary of ERCOT, do hereby certify that, at its December 9-10, 2021 meeting, the Board passed a motion approving the above Resolution by \_\_\_\_\_.

IN WITNESS WHEREOF, I have hereunto set my hand this \_\_\_\_ day of December, 2021.

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Jonathan M. Levine  
Assistant Corporate Secretary

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
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**August 10, 2021 Urgent Board of Directors Meeting By Teleconference**

2	Election of Presiding Director for Meeting	The ERCOT Board of Directors (Board) elects Public Utility Commission of Texas (PUCT) Chairman Peter Lake as Presiding Director for the meeting.
3, 3.1, 3.1.1-3.1.9	<p>Consent Agenda</p> <p>Unopposed Revision Requests Recommended by Technical Advisory Committee (TAC) for Approval</p> <p>NPRR995, RTF-6 Create Definition and Terms for Settlement Only Energy Storage;</p> <p>NPRR1005, Clarify Definition of Point of Interconnection (POI) and Add Definition Point of Interconnection Bus (POIB);</p> <p>NPRR1063, Dynamic Rating Transparency;</p> <p>NPRR1073, Market Entry/Participation by Principals of Counter-Parties with Financial Obligations – URGENT;</p> <p>NPRR1078, Clarification of Potential Uplift – URGENT, with a recommended effective date of upon Public Utility Commission of Texas (PUCT) approval;</p> <p>NPRR1079, Day-Ahead Market RRS / ECRS 48-Hour Report Clarification;</p> <p>NPRR1083, Modification of Uplift Allocation Rules to Address Role of Central Counter-Party Clearinghouses – URGENT;</p> <p>NOGRR210, Related to NPRR1005, Clarify Definition of Point of Interconnection (POI) and Add Definition Point of Interconnection Bus (POIB);</p>	<p>The Board hereby approves NPRRs 995, 1005, 1063, 1073, 1078, 1079 and 1083; NOGRR210; PGRRs 089 and 091; RRGRs 025 and 028; and SCR815; each as recommended by TAC, and with a recommended effective date of upon PUCT approval for NPRR1078.</p>

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	PGRR089, Planning Data and Information Updates for Planning Posting; PGRR091, FIS Application Completion 60-Day Limit; RRGR025, Related to NPRR1005, Clarify Definition of Point of Interconnection (POI) and Add Definition Point of Interconnection Bus (POIB); RRGR028, Transformer Impedance Clarifications; and SCR815, MarkeTrak Administrative Enhancements – URGENT.	
4, 4.1-4.7	General Session Meeting Minutes (Minutes) March 3, 2021 Urgent Meeting March 12, 2021 Urgent Meeting April 13, 2021 Urgent Meeting April 27, 2021 Urgent Meeting June 7-8, 2021 Urgent Meeting June 28, 2021 Urgent Meeting July 23, 2021 Urgent Finance and Audit (F&A) Committee and Board Meeting	The Board approves the Minutes as presented, and with the Minutes for the June 28, 2021 Urgent Board Meeting as revised to correct the scrivener’s error identified by Chris Ekoh.
7.1, 7.1.1	Non-Unanimous Revision Requests Recommended by TAC for Approval NPRR1086, Recovery, Charges, and Settlement for Operating Losses During an LCAP Effective Period, recommended for approval by TAC.	The Board hereby approves NPRR1086 as recommended by TAC in the July 28, 2021 TAC Report.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
8	ERCOT Recommendation to PUC Project No. 46304, Oversight Proceeding Regarding ERCOT Matters Arising Out of PUC Docket No. 45624 Relating to DC Tie Project Proposed by Southern Cross Transmission, LLC, Directive 9 – ERCOT Determination regarding Determination Regarding Modifications to Ancillary Services	The Board hereby accepts ERCOT staff's determination that, to accommodate the Southern Cross DC Tie: (1) upon implementation of approved NPRR1034, ERCOT will have the authority to establish limits on DC Tie transfers and to curtail DC Tie schedules when necessary to address the risk of unacceptable frequency deviations; (2) no adjustment to RRS Service or Non-Spin Service is necessary if the Southern Cross DC Tie is constructed consistent with the proposed design as a bi-pole DC Tie capable of operating in single-pole mode; (3) no changes to Regulation Service are necessary because, upon implementation, DC Tie ramp will be adequately addressed by approved NPRR999 and approved SCR800; and (4) no other changes to Ancillary Services are needed and no new Ancillary Services costs need to be allocated.
9.1	Acceptance of ERCOT's 401(k) Savings Plan Audit Report	ERCOT is hereby authorized and approved to accept the audited financial statements of ERCOT's 401(k) Plan, as of December 31, 2020, as presented by Maxwell, Locke & Ritter.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
9.2	2022-2023 Budget and Fee	The Board hereby: Approves the recommended 2022-2023 Biennial Budget as set forth in Attachment A, which includes \$322,167,883 and \$286,983,615 total spending authorization for operating expenses, project spending, and debt service obligations for 2022 and 2023, respectively; and Approves the recommended ERCOT system administration fee rate of \$0.555 per megawatt-hour for 2022 and 2023 and full recovery of the Senate Bill 3 generation and transmission inspection costs separately from the system administration fee as set forth in Attachment B; and Authorizes ERCOT Legal to file the Board-approved 2022-2023 Biennial Budget for approval by the PUCT, pursuant to P.U.C. SUBST. R. 25.363(d).
ES 2, ES 2.1-ES 2.6	Executive Session Meeting Minutes (Minutes) March 3, 2021 Urgent Meeting March 12, 2021 Urgent Meeting April 13, 2021 Urgent Meeting April 27, 2021 Urgent Meeting June 7-8, 2021 Urgent Meeting July 23, 2021 Urgent F&A Committee and Board Meeting	The Board approves the Minutes as presented.
ES 3.1.1	Selection of Independent Financial Auditor	ERCOT is hereby authorized and approved to engage the Independent Financial Auditor to perform ERCOT's financial statement audit and Form 990 review for the year ending December 31, 2021, as recommended by the F&A Committee and as discussed during Executive Session.
ES 3.1.2	Selection of ERCOT 401(k) Savings Plan Auditor	ERCOT is hereby authorized and approved to engage ERCOT's 401(k) Savings Plan auditor as recommended by the F&A Committee and as discussed during Executive Session.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
ES 4	Transition Committee Update	The Transition Committee is hereby dissolved.

**July 23, 2021 Urgent F&A Committee and Board of Directors Meeting By Teleconference**

2	Election of Presiding Director for Meeting	The Board elects PUCT Chairman Peter Lake as Presiding Director for the meeting.
4.5	Board Acceptance of Financial Statements Audit Report	The Board accepts the ERCOT audited Financial Statements for the Years Ended December 31, 2020 and 2019 and Financial Statements Audit Report as presented.
7	Establishment of Transition Committee	The Board establishes a Transition Committee to assist with the transition of the Board in accordance with Senate Bill 2.
ES 2.1	Purchase of Additional Directors and Officers Insurance	The Board hereby authorizes ERCOT to procure additional insurance as discussed during Executive Session.

**June 28, 2021 Urgent Board of Directors Meeting By Teleconference**

2	Election of Presiding Director for Meeting	The Board elects PUCT Chairman Peter Lake as Presiding Director for the meeting.
4, 4.1, 4.1.1-4.1.3	<p>Consent Agenda</p> <p>Unopposed Revision Requests Recommended by TAC for Approval</p> <p>NPRR1080, Limiting Ancillary Service Price to System-Wide Offer Cap – URGENT;</p> <p>NPRR1081, Revisions to Real-Time Reliability Deployment Price Adder to Consider Firm Load Shed – URGENT; and</p> <p>OBDRR030, Related to NPRR1080, Limiting Ancillary Service Price to System-Wide Offer Cap.</p>	The Board hereby approves NPRRs 1080 and 1081; and OBDRR030; each as recommended by TAC.



Agenda Item Number(s)	Agenda Item Title	Action/Resolution
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**June 7-8, 2021 Urgent Board of Directors Meeting By Teleconference**

2	Election of Presiding Director for Meeting	The Board elects PUCT Chairman Peter Lake as Presiding Director for the meeting.
5, 5.1, 5.1.1-5.1.9	Consent Agenda	The Board hereby approves NPRRs 979, 1062, 1064, 1071 and 1074; LPGRR068; NOGRR199; PGRR088; and RMGRR164; each as recommended by TAC, with NPRR1062 also as amended by the May 11, 2021 ERCOT comments.
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR979, Incorporate State Estimator Standards and Telemetry Standards into Protocols;	
	NPRR1062, Modify IDR Meter Requirement and Eliminate IDR Meter Requirement Report, as amended by the May 11, 2021 ERCOT comments;	
	NPRR1064, Identification of Chronic Congestion;	
	NPRR1071, Minor Revisions to the Reporting of Demand Response by Retail Electric Providers and Non-Opt-In Entities;	
	NPRR1074, "mp" Definition Revision – URGENT;	
	LPGRR068, Add BUSLRG and BUSLRGDG Profile Types;	
	NOGRR199, Related to NPRR979, Incorporate State Estimator Standards and Telemetry Standards into Protocols;	
	PGRR088, Include Financial Security Amount in the Monthly Generator Interconnection Status Report; and	
RMGRR164, Related to NPRR1062, Modify IDR Meter Requirement and Eliminate IDR Meter Requirement Report.		

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
6.1	February 9, 2021 Urgent General Session Meeting Minutes	The Board approves the February 9, 2021 Urgent General Session Meeting Minutes as presented.
6.2	February 24, 2021 Urgent General Session Meeting Minutes	The Board approves the February 14, 2021 Urgent General Session Meeting Minutes as presented.
10.1.1	NPRR1075, Update Telemetered HSL and/or MPC for ESRs in Real-Time to Meet Ancillary Service Resource Responsibility	The Board hereby approves NPRR1075 as recommended by TAC in the May 26, 2021 TAC Report.
15.1	Ratification of Officers	The Board hereby ratifies Jeyant Tamby as Senior VP, Chief Administrative Officer and Chief of Staff and Kristi Hobbs as Vice President of Corporate Strategy and PUC Relations, as recommended by the HR&G Committee.
ES 4	February 9, 2021 Urgent Meeting Executive Session Minutes	The Board approves the February 9, 2021 Urgent Executive Session Meeting Minutes as presented.
ES 8.1	Vote on contract matter discussed during Executive Session	The Board approves the Contract Matter as discussed in Executive Session.
ES 9.1	Select Executive Search Firm and Alternate for CEO Search	The Board selects the primary and alternate executive search firms identified during Executive Session as the primary and alternate executive search firms to provide CEO search services.

**April 27, 2021 Urgent Board of Directors Meeting By Teleconference**

2	Election of Presiding Director for Meeting	The Board elects PUCT Chairman Peter Lake as Presiding Director for the meeting.
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Agenda Item Number(s)	Agenda Item Title	Action/Resolution
5	Election of ERCOT Interim President and Chief Executive Officer	The Board hereby elects Brad Jones to serve as Interim President and CEO, with all of the rights, powers and duties of ERCOT's President and CEO, beginning upon the earlier of the effective date of Mr. Magness' termination as ERCOT President and CEO or May 4, 2021, and continuing until the earlier of one year or ERCOT's employment of a permanent President and CEO.

**April 13, 2021 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.9	Consent Agenda	Board hereby approves NPRRs 1023, 1045, 1057, 1059, 1065, 1066 and 1069; NOGRR219; and OBDRR028; each as recommended by TAC.
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR1023, Change to CRR Repossession Process;	
	NPRR1045, Transmission Operator Definition and Designation;	
	NPRR1057, Modification to Real-Time Hub Price Formulas for Fully De-Energized Hubs;	
	NPRR1059, Ability for MOUs and ECs to Send Non-BUSIDRRQ Interval Data;	
	NPRR1065, Implementation Adjustment for NPRR917;	
	NPRR1066, Interconnection of Existing Generation Owned by a Municipally Owned Utility (MOU) or Electric Cooperative (EC) Transferring Load into the ERCOT System;	
NPRR1069, Align Ancillary Service Responsibility for ESRs with NPRR987 – URGENT;		

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NOGRR219, Related to NPRR1045, Transmission Operator Definition and Designation; and	
	OBDRR028, Related to NPRR1069, Align Ancillary Service Responsibility for ESRs with NPRR987.	
4.1, 4.1.1-4.1.2	<p>Non-Unanimous Revision Requests Recommended by TAC for Approval</p> <p>NPRR1060, Improvements to ERS Testing Requirements and Other ERS Items; and</p> <p>OBDRR027, Clarify Implementation Timeline for OBDRR023.</p>	<p>The Board hereby approves NPRR1060 and OBDRR027, each as recommended by TAC in the corresponding March 24, 2021 TAC Report.</p>
6.1	2022 ERCOT Board Meeting and Annual Meeting Schedule	<p>The Board hereby approves that the 2022 Meetings of the ERCOT Board will be held at ERCOT’s principal office in Austin, Texas, at approximately 9:00 a.m. CPT as noted below: February 8, 2022; April 12, 2022; June 14, 2022; August 9, 2022; October 11, 2022; and December 13, 2022. The date of the 2022 Annual Meeting of Members will be called on the same date as the December 2022 ERCOT Board meeting.</p>

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
10	RTM Price Correction for Software Error on Operating Day February 15, 2021 re Deployed ERS	The Board hereby (1) determines that Real-Time On-Line Reliability Deployment Price Adders (RTORDPAs), Real-Time On-Line Reliability Deployment Prices (RTRDPs), Real-Time Settlement Point Prices (RTSPPs), and Real-Time Price for Energy Metered for Resources (RTRMPRs) for certain Settlement Intervals on Operating Day (OD) February 15, 2021, were significantly affected by internal software errors, and (2) directs ERCOT staff to implement the appropriate price corrections pursuant to paragraph (6) of Protocol Section 6.3.

**March 3, 2021 Urgent Board of Directors Meeting By Teleconference**

ES 6	Personnel	(1) The Corporate Secretary is hereby directed to exercise the 60 days' termination notice for Bill Magness pertinent to the Agreement; (2) ERCOT staff is hereby directed to proceed with a request for proposal for the engagement of an executive search firm for a successor President and CEO as soon as possible; and (3) the Board shall continue transition plan discussions at the Board's meetings for the next 60 days.
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**February 9, 2021 Urgent Board of Directors Meeting By Teleconference**

2.1, 2.2	Election of Board Chair; Election of Board Vice Chair	The Board elects Sally Talberg as Board Chair and Peter Cramton as Board Vice Chair.
4	Confirmation of TAC Chair and Vice Chair for 2021	The Board hereby confirms Clif Lange, South Texas Electric Cooperative Inc., and Eric Blakey, Just Energy Texas, as Chair and Vice Chair of TAC, respectively, for the 2021 year.
5, 5.1, 5.1.1-	Cosent Agenda	The Board hereby approves NPRRs 1024,

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
5.1.16	Unopposed Revision Requests Recommended by TAC for Approval	1034, 1040, 1044, 1048, 1049, 1050, 1051, 1052, 1053 and 1054; PGRRs 085, 086 and 087; RRGR027; and SMOGRR024; each as recommended by TAC.
	NPRR1024, Determination of Significance with Respect to Price Corrections	
	NPRR1034, Frequency-Based Limits on DC Tie Imports or Exports	
	NPRR1040, Compliance Metrics for Ancillary Service Supply Responsibility	
	NPRR1044, Enhancement of SSR Mitigation Requirement	
	NPRR1048, Clarification on NPRR978 Short-Term Adequacy Reports	
	NPRR1049, Management of DC Tie Load Zone Modifications	
	NPRR1050, Change to the Summer Commercial Operations Date Deadline for Including Planned Generation Capacity in Reports on the Capacity, Demand and Reserves in the ERCOT Region	
	NPRR1051, Removal of the Price Floor Applied to Day-Ahead Settlement Point Prices	
	NPRR1052, Load Zone Pricing for Settlement Only Storage Prior to NPRR995 Implementation	
	NPRR1053, BESTF-9 Exemption from Ancillary Service Supply Compliance Requirements for Energy Storage Resources Affected by EEA Level 3 Charging Suspensions	
	NPRR1054, Removal of Oklaunion Exemption Language	
NGRR085, Dynamic Model Improvements		

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NGRR086, Related to RRGR027, Clarify Models Required to Proceed with an FIS NGRR087, Remedial Action Scheme Planning Assumptions RRGR027, Clarify Models Required to Proceed with an FIS SMOGRR024, Implement NPRR1020 – URGENT	
6	December 8, 2020 General Session Urgent Meeting Minutes	The Board approves the December 8, 2020 Urgent General Session Meeting Minutes as presented.
9.1.1	NPRR994, Clarify Generator Interconnection Neutral Project	The Board hereby approves NPRR994.
10.1-10.4	F&A Committee Charter Market Credit Risk Corporate Standard Financial Corporate Standard Investment Corporate Standard	The Board approves the F&A Committee Charter, Market Credit Risk Corporate Standard, Financial Corporate Standard, and Investment Corporate Standard, each as recommended by the F&A Committee.
11.1	HR&G Committee Charter	The Board approves the HR&G Committee Charter as recommended by the HR&G Committee.
11.2	Election of Chief Executive Officer and Ratification of Officers	The Board elects the CEO and ratifies the following other ERCOT Officers for the 2021 year for a term while employed not to exceed one year: Senior VP and Chief Administrative Officer – Jeyant Tamby; VP and Chief Financial Officer – Sean Taylor; VP and Chief Information Officer – Jayapal Parakkuth; VP, Commercial Operations – Kenan Ögelman; VP, External Affairs and Corporate Communications – Theresa Gage; VP, General Counsel and Corporate Secretary – Chad V. Seely; VP, Grid Planning and Operations – Woody Rickerson; VP, Human Resources – Mara Spak; VP, Security & Compliance and Chief Compliance Officer – Betty Day; and Assistant General Counsel and Assistant Corporate Secretary – Vickie Leady.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
12.1	Call for Special Meeting of ERCOT Corporate Members for Election of Unaffiliated Director	The Board hereby calls a Special Meeting of ERCOT’s Corporate Members as soon as practicable for a vote to approve Unaffiliated Director candidate Peter Cramton, subject to approval by the PUCT.
ES 2	December 8, 2020 Executive Session Urgent Meeting Minutes	The Board approves the December 8, 2020 Urgent Executive Session Meeting Minutes as presented.

**December 8, 2020 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.32	Consent Agenda	The Board hereby approves NPRRs 1001, 1007, 1008, 1009, 1010, 1011, 1012, 1013, 1014, 1026, 1028, 1029, 1031, 1032, 1039, 1041, 1042, 1043, 1046 and 1047; COPMGR048; NOGRRs 207, 211 and 217; OBDRR020; PGRRs 081, 082, 083 and 084; RRGR023; SCR812; and VCMRR030; each as recommended by TAC.
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR1001, Clarification of Definitions of Operating Condition Notice, Advisory, Watch, Emergency Notice, and Related Clarifications	
	NPRR1007, RTC – NP 3: Management Activities for the ERCOT System – URGENT	
	NPRR1008, RTC – NP 4: Day-Ahead Operations – URGENT	
	NPRR1009, RTC – NP 5: Transmission Security Analysis and Reliability Unit Commitment – URGENT	
	NPRR1010, RTC – NP 6: Adjustment Period and Real-Time Operations – URGENT	
	NPRR1011, RTC – NP 8: Performance Monitoring – URGENT	
	NPRR1012, RTC – NP 9: Settlement and Billing – URGENT	



Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NPRR1013, RTC – NP 1, 2, 16, and 25: Overview, Definitions and Acronyms, Registration and Qualification of Market Participants, and Market Suspension and Restart – URGENT	
	NPRR1014, BESTF-4 Energy Storage Resource Single Model – URGENT	
	NPRR1026, BESTF-7 Self-Limiting Facilities	
	NPRR1028, RUC Process Alignment with Resource Limitations Not Modeled in the RUC Software	
	NPRR1029, BESTF-6 DC-Coupled Resources – URGENT	
	NPRR1031, Notices for Curtailment of Load	
	NPRR1032, Consideration of Physical Limits of DC Ties in RUC Optimization and Settlements	
	NPRR1039, Replace the Term MIS Public Area with ERCOT Website	
	NPRR1041, Adjust Expiration of Protected Information Status for Wholesale Storage Load (WSL) Data	
	NPRR1042, Planned Capacity Adjustment in the Report on Capacity, Demand and Reserves in the ERCOT Region	
	NPRR1043, Clarification of NPRR986 Language Related to Wholesale Storage Load	
	NPRR1046, Additional Revisions to Remove Dynamically Scheduled Resource (DSR) from the Protocols	
	NPRR1047, Consolidate Greybox re NPRR973 and NPRR1016	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	COPMGRR048, Related to NPRR1039, Replace the Term MIS Public Area with ERCOT Website	
	NOGRR207, Related to NPRR1001, Clarification of Definitions of Operating Condition Notice, Advisory, Watch, Emergency Notice, and Related Clarifications	
	NOGRR211, RTC Nodal Operating Guides 2 and 9 – System Operations and Control Requirements and Monitoring Programs – URGENT	
	NOGRR217, Related to NPRR1039, Replace the Term MIS Public Area with ERCOT Website	
	OBDRR020, RTC – Methodology for Setting Maximum Shadow Prices for Network and Power Balance Constraints – URGENT	
	PGRR081, Related to NPRR1026, BESTF-7 Self-Limiting Facilities	
	PGRR082, Revise Section 5 and Establish Small Generation Interconnection Process	
	PGRR083, Add RPG Project Number and TPIT Revisions	
	PGRR084, Related to NPRR1039, Replace the Term MIS Public Area with ERCOT Website	
	RRGRR023, Related to NPRR1002, BESTF-5 Energy Storage Resource Single Model Registration and Charging Restrictions in Emergency Conditions	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	SCR812, Create Intermittent Renewable Generation Integration Report VCMRR030, Related to NPRR1039, Replace the Term MIS Public Area with ERCOT Website	
3, 3.1-3.5	General Session Meeting Minutes (Minutes) February 11, 2020 Meeting April 14, 2020 Urgent Meeting June 9, 2020 Urgent Meeting August 11, 2020 Urgent Meeting October 13, 2020 Urgent Meeting	The Board approves the Minutes as presented.
5	Confirmation of 2021 TAC Representatives	The Board confirms the 2021 TAC representatives, as presented.
7.1.1	NPRR945, Net Metering Requirements	The Board approves NPRR945 as recommended by TAC in the October 28, 2020 TAC Report.
7.1.2	NPRR1055, Market Notice and ERCOT Discretion re Late-Filed NOIE Eligibility Attestations for PTP Obligations with Links to an Option Bid Awards – URGENT	The Board approves NPRR1055 as recommended by TAC in the November 18, 2020 TAC Report.
8	2021 ERCOT Methodologies for Determining Minimum Ancillary Service Requirements	ERCOT is hereby authorized and approved to implement the 2021 ERCOT Methodologies for Determining Minimum Ancillary Service Requirements, as set forth in Attachment A, as endorsed by TAC, to be effective on January 1, 2021.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
9	ERCOT Recommendation to PUC Project No. 46304, Oversight Proceeding Regarding ERCOT Matters Arising Out of PUC Docket No. 45624 Relating to DC Tie Project Proposed by Southern Cross Transmission, LLC, Directive 3 – ERCOT Determination Regarding Ramp Rate Restrictions	The Board hereby accepts ERCOT staff’s determination that: To accommodate the Southern Cross DC Tie, ERCOT will need to impose restrictions on DC Tie flows when ERCOT determines that system conditions near or in Real-Time cannot accommodate the DC Ties’ scheduled ramp; and Approved NPPR999, DC Tie Ramp Limitations, will be implemented prior to the interconnection of the Southern Cross DC Tie and will revise the Protocols to make clear that ERCOT will curtail DC Tie Schedules when necessary to conform with the system’s ramp capability, but that ERCOT will, when time permits, first request that one or more e-Tags be resubmitted with an adjusted ramp duration in order to minimize the need for curtailments.
10	Adjunct Membership Approval of Solar Prime LLC for Membership Year 2021	ERCOT is hereby authorized and approved to grant Adjunct Membership status to Solar Prime for Membership Year 2021.
11.1	Acceptance of 2020 System and Organization Control Audit Report	The 2020 System and Organization Control Audit Report is accepted as recommended by the F&A Committee.
12.1	2021 ERCOT Key Performance Indicators (KPIs)	The 2021 ERCOT KPIs, attached hereto as Attachment A, are hereby authorized and approved, as recommended by the HR&G Committee.
ES 2, ES 2.1-ES 2.2	Executive Session Meeting Minutes (Minutes) February 11, 2020 Meeting June 9, 2020 Urgent Meeting August 11, 2020 Urgent Meeting October 13, 2020 Urgent Meeting	The Board approves the Minutes as presented.
ES 3.1.2	Selection of 2021 Independent System and Organization Control	ERCOT is hereby authorized and approved to engage the 2021 Independent SOC auditor as recommended by the F&A Committee and as discussed during Executive Session.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
ES 3.1.3	Selection of ERCOT 401(k) Savings Plan Auditor	ERCOT is hereby authorized and approved to engage the independent audit firm noted in the executive session presentation to the F&A Committee to perform ERCOT's 401(k) Savings Plan audit for the years ending December 31, 2021, 2022, 2023, 2024, 2025, and 2026.
ES 3.1.4	Selection of Independent Financial Auditor	ERCOT is hereby authorized and approved to engage the independent audit firm noted in the executive session presentation to the F&A Committee to perform ERCOT's financial statement audit and Form 990 review for the years ending December 31, 2021, 2022, 2023, 2024, 2025, and 2026.
ES 3.1.5	Selection of Independent System and Organization Control Auditor	ERCOT is hereby authorized and approved to engage the independent audit firm noted in the executive session presentation to the F&A Committee to perform ERCOT's SOC audit for 2022, 2023, 2024, 2025, 2026, and 2027.

**October 13, 2020 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.8	Consent Agenda	The Board hereby approves NPRRs 999, 1027, 1033, 1035, 1036, 1037 and 1038; and SCR811; each as recommended by TAC.
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR999, DC Tie Ramp Limitations;	
	NPRR1027, Removal of Grey-Boxed Language Related to NPRR702, Flexible Accounts, Payment of Invoices, and Disposition of Interest on Cash Collateral	
	NPRR1033, Clarification of Financial Security Interest Payment and Withholding Processes Upon Termination of Market Participant Standard Form Agreement	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NPRR1035, DC Tie Schedules Protected Information Expiry and Posting NPRR1036, Late Payment Enforcement Provisions NPRR1037, Correction to the Settlement of Switchable Generation Resources (SWGRs) Instructed to Switch to ERCOT NPRR1038, BESTF-8 Limited Exemption from Reactive Power Requirements for Certain Energy Storage Resources – URGENT SCR811, Addition of Intra-Hour PhotoVoltaic Power Forecast to GTBD Calculation – URGENT	
6.1.1-6.1.2	NPRR984, Change ERS Standard Contract Terms OBDRR023, Related to NPRR984, Change ERS Standard Contract Terms	The Board hereby approves NPRR984 and OBDRR023, each as recommended by TAC in the corresponding August 26, 2020 TAC Report.
6.2	PGRR077 DC Tie Planning Assumptions	The Board hereby approves PGRR077.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
7.1	Day-Ahead and Real-Time Market Price Correction for Incorrect Transformer Ratings	The Board hereby (1) determines that Real-Time Settlement Point Prices (RTSPPs), Real-Time Prices for Energy Metered for Resources (RTRMPRs), and Real-Time Locational Marginal Prices (RTLMPs) for certain Settlement Intervals on OD July 1, 2020, and Day-Ahead Settlement Point Prices (DASPPs), Day-Ahead Locational Marginal Prices (DALMPs), and Day-Ahead Market (DAM) Clearing Prices for Capacity (MCPCs) for all Settlement Intervals on ODs June 8 – 12, 15 – 17, 19 – 20, 24 – 25, 28 – 30, 2020, and July 1 – 6, 2020, were significantly affected by an internal data input error, and (2) directs ERCOT staff to implement the appropriate price corrections pursuant to paragraph (6) of Protocol Section 6.3, and paragraph (5) of Protocol Section 4.5.3, as appropriate.
7.2	Day-Ahead Market Price Correction for Incorrect RAS Modeling	The Board hereby (1) determines that DASPPs, DALMPs and DAM MCPCs for all Settlement Intervals on ODs August 20, 21, and 23, 2020, were significantly affected by an internal data input error, and (2) directs ERCOT staff to implement the appropriate price corrections pursuant to paragraph (5) of Protocol Section 4.5.3, as appropriate.

**August 11, 2020 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.29, 2.1.31-2.1.35, 2.2	Consent Agenda	The Board hereby approves NPRRs 903, 973, 983, 990, 992, 993, 996, 1000, 1002, 1003, 1004, 1015, 1016, 1020 and 1030; NOGRRs 195, 196, 200, 208, 209 and 212; OBDRRs 018 and 019; PGRRs 074, 076, 078, 079 and 080; RRGRRs 022, 024 and 026; SCR810; VCMRRs 027 and 029; and the ERCOT Methodologies for Determining Minimum Ancillary Service
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR903, Day-Ahead Market Timing Deviations	
	NPRR973, Add Definitions for Generator Step-Up and Main Power Transformer	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NPRR983, Delete Remaining Grey-Boxed Language Associated with NPRR257, Synchronization with Nodal Operating Guide Section 9, Monitoring Programs and Changes to Posting Requirements of Documents Considered CEII	Requirements – Changes Related to NPRR1003; each as recommended by TAC, and with NOGRR209 also as amended by the August 3, 2020 ERCOT Comments.
	NPRR990, Relocation of Combined Cycle Train to Resource Attribute	
	NPRR992, Updated Day-Ahead Liability for NPRR863, Creation of ERCOT Contingency Reserve Service and Revisions to Responsive Reserve	
	NPRR993, Grey Box Resolution re NPRR902 and NPRR928	
	NPRR996, Alignment of Hub Bus Names Between Protocols and ERCOT Model	
	NPRR1000, Elimination of Dynamically Scheduled Resources	
	NPRR1002, BESTF-5 Energy Storage Resource Single Model Registration and Charging Restrictions in Emergency Conditions	
	NPRR1003, Elimination of References to Resource Asset Registration Form	
	NPRR1004, Load Distribution Factor Process Update	
	NPRR1015, Clarification of DAM implementation of NPRR863 Phase 2 – URGENT	
	NPRR1016, Clarify Requirements for Distribution Generation Resources (DGRs) and Distribution Energy Storage Resources (DESRs)	



Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NPRR1020, Allow Some Integrated Energy Storage Designs to Calculate Internal Loads – URGENT	
	NPRR1030, Modify Allocator for CRR Auction Revenue Distribution – URGENT	
	NOGRR195, Generator Voltage Control Tolerance Band	
	NOGRR196, Related to NPRR973, Add Definitions for Generator Step-Up and Main Power Transformer	
	NOGRR200, Delete Remaining Grey-Boxed Language Associated with NOGRR025, Monitoring Programs for QSEs, TSPs, and ERCOT	
	NOGRR208, Related to NPRR1002, BESTF-5 Energy Storage Resource Single Model Registration and Charging Restrictions in Emergency Conditions	
	NOGRR209, Related to NPRR1003, Elimination of References to Resource Asset Registration Form, as amended by the August 3, 2020 ERCOT comments	
	NOGRR212, Related to NPRR1016, Clarify Requirements for Distribution Generation Resources (DGRs) and Distribution Energy Storage Resources (DESRs)	
	OBDRR018, Related to NPRR1003, Elimination of References to Resource Asset Registration Form – Procedure for Identifying Resource Nodes	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	OBDRR019, Related to NPRR1003, Elimination of References to Resource Asset Registration Form – Requirements for Aggregate Load Resource Participation in the ERCOT Markets	
	PGRR074, Related to NPRR973, Add Definitions for Generator Step-Up and Main Power Transformer	
	PGRR076, Improvements to Generation Resource Interconnection or Change Request (GINR) Process	
	PGRR078, Market Data Transparency Update for Planning Postings	
	PGRR079, Related to NPRR1003, Elimination of References to Resource Asset Registration Form	
	PGRR080, Updated Responsibilities for Performing GMD Vulnerability Assessments	
	RRGRR022, Related to NPRR973, Add Definitions for Generator Step-Up and Main Power Transformer	
	RRGRR024, Related to NPRR1003, Elimination of References to Resource Asset Registration Form	
	RRGRR026, Related to NPRR1016, Clarify Requirements for Distribution Generation Resources (DGRs) and Distribution Energy Storage Resources (DESRs)	
	SCR810, EMS System Change to Count DC Ties toward the 2% Constraint Activation Criterion	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	<p>VCMRR027, Related to NPRR986, BESTF-2 Energy Storage Resource Energy Offer Curves, Pricing, Dispatch, and Mitigation</p> <p>VCMRR029, Related to NPRR1003, Elimination of References to Resource Asset Registration Form</p> <p>ERCOT Methodologies for Determining Minimum Ancillary Service Requirements – Changes Related to NPRR1003</p>	
2.1.30	RRGRR023, Related to NPRR1002, BESTF-5 Energy Storage Resource Single Model Registration and Charging Restrictions in Emergency Conditions	RRGRR023 is hereby remanded to TAC.
7	Deletion of Eagle Pass DC Tie Load Zone	The Board approves the deletion of the Eagle Pass (DC_S) Load Zone as presented.
8.1	Acceptance of ERCOT's 401(k) Savings Plan Audit Report	ERCOT is hereby authorized and approved to accept the audited financial statements of ERCOT's 401(k) Plan, as of December 31, 2019, as presented by MLR, as recommended by the F&A Committee.
9.1	2021 ERCOT Board Meeting and Annual Meeting Schedule	The Board hereby approves that the 2021 Meetings of the ERCOT Board will be held at ERCOT's Austin office (currently, the Met Center at 7620 Metro Center Drive in Austin, Texas) at approximately 9:00 a.m. CPT as noted below: February 9, 2021; April 13, 2021; June 8, 2021, August 10, 2021; October 12, 2021; and December 14, 2021. 2021 Annual Meeting of Members will be called on the same date as the December 2021 ERCOT Board meeting.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
ES 2.1.1	Selection of Independent Financial Auditor	ERCOT is hereby authorized and approved to engage the Independent Financial Auditor to perform ERCOT's financial statement audit and Form 990 review for the year ending December 31, 2020 as recommended by the F&A Committee and as discussed during Executive Session.
ES 2.1.2	Selection of ERCOT's 401(k) Savings Plan Auditor	ERCOT is hereby authorized and approved to engage ERCOT's 401(k) Savings Plan Auditor to perform ERCOT's 401(k) Savings Plan audit for the year ending December 31, 2020 as recommended by the F&A Committee and as discussed during Executive Session.

**June 9, 2020 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.13	Consent Agenda	The Board hereby approves NPRRs 933, 975, 987, 989, 1006, 1018, 1019, 1021 and 1022; NOGRR204; OBDRR017; and SCRs 807 and 809; each as recommended by TAC, and with NPRR1019 also as amended by the June 1, 2020 ERCOT comments.
	Unopposed Revision Requests Recommended by TAC for Approval	
	NPRR933, Reporting of Demand Response by Retail Electric Providers and Non-Opt-In Entities – URGENT	
	NPRR975, Load Forecast Model Transparency – URGENT	
	NPRR987, BESTF-3 Energy Storage Resource Contribution to Physical Responsive Capability and Real-Time On-Line Reserve Capacity Calculations – URGENT	
	NPRR989, BESTF-1 Energy Storage Resource Technical Requirements – URGENT	
	NPRR1006, Update Real-Time On-Line Reliability Deployment Price Adder Inputs to Match Actual Data – URGENT	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
	NPRR1018, Clarifications Regarding ERCOT Suspension or Termination of a QSE and Participation by a Virtual or Emergency QSE – URGENT	
	NPRR1019, Pricing and Settlement Changes for Switchable Generation Resources (SWGRs) Instructed to Switch to ERCOT – URGENT, as amended by the June 1, 2020 ERCOT comments	
	NPRR1021, Adjustments to the Default Uplift Invoice Process - URGENT	
	NPRR1022, Submission of Banking Information – URGENT	
	NOGRR204, Related to NPRR989, BESTF-1 Energy Storage Resource Technical Requirements – URGENT	
	OBDRR017, Related to NPRR987, BESTF-3 Energy Storage Resource Contribution to Physical Responsive Capability and Real-Time On-Line Reserve Capacity Calculations – URGENT	
	SCR807, Increase CRR Transaction Capability – URGENT	
	SCR809, Changes to External Telemetry Validations in Resource Limit Calculator – URGENT	

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
3	Corpus Christi North Shore Transmission Improvement Regional Planning Group Project	The Board (1) endorses the need for the Corpus Christi North Shore Transmission Improvement Regional Planning Group Project (Option 4), which ERCOT staff has independently reviewed and which TAC has voted unanimously to endorse, based on NERC and ERCOT reliability planning criteria and ERCOT economic planning criteria, and (2) designates the Naismith-Resnik, Grissom-Angstrom, and Angstrom-Naismith circuits as critical to the reliability of the ERCOT System pursuant to PUCT Substantive Rule 25.101(b)(3)(D).
4	Proposed Bylaws Amendments	The Board (1) approves the amendment to the Bylaws, in substantially similar form as attached hereto as Attachment A, for recommendation and presentation to the Corporate Members as soon as reasonably possible; and (2) Authorizes ERCOT Legal to: a. Present such approved amendments, in substantially similar form as attached hereto as Attachment A, for recommendation to the Corporate Members as soon as reasonably possible; b. File a petition with the Commission for approval of such approved amendments, conditioned upon approval by the Corporate Members and to be effective upon Commission approval; and c. Judiciously correct any scrivener's errors (such as, clerical, typographical, spelling, formatting, numbering or drafting errors) in the amendments or Bylaws as needed to reflect intended meaning accurately.

Agenda Item Number(s)	Agenda Item Title	Action/Resolution
5	Nominating Committee Report and Call for Special Meeting of ERCOT Corporate Members for Elections of Unaffiliated Directors and Approval of Bylaws Amendments	The Board hereby calls a Special Meeting of ERCOT’s Corporate Members as soon as practicable for votes to: (A) approve proposed amendments to the Bylaws after the Board recommendation for such approval; and (B) elect Unaffiliated Director candidates, Terrence J. “Terry” Bulger, Raymond Hepper and Sally Talberg who were selected by the Nominating Committee of the Board for recommendation to the ERCOT Corporate Members, all of which are subject to PUCT final approval.
6	Ratification of Vice President and Chief Information Officer	The Board hereby ratifies Jayapal (JP) Parakkuth, VP and Chief Information Officer, effective May 11, 2020.
ES 5.1	Vote on contract matter discussed during Executive Session	The Board approves the Contract Matter discussed during Executive Session.

**April 14, 2020 Urgent Board of Directors Meeting By Teleconference**

2, 2.1, 2.1.1-2.1.3	<p>Consent Agenda</p> <p>Unopposed Revision Requests Recommended by TAC for Approval</p> <p>NPRR953, Addition of Relay Loadability Rating Definition</p> <p>NPRR997, Natural Gas Pipeline Coordination Requirements for Resource Entities with Natural Gas Generation Resources – URGENT</p> <p>PGRR075, Dynamic Model Quality Requirement</p>	The Board hereby approves NPRRs 953 and 997; and PGRR075; each as recommended by TAC.
4.1	NPRR998, ERS Deployment and Recall Messages – URGENT	The Board hereby approves NPRR998 as recommended by TAC in the April 3, 2020 TAC Report.
5.4	Acceptance of Financial Statements Audit Report	The Board accepts the ERCOT audited Financial Statements for the Years Ended December 31, 2021 and 2020 and Financial Statements Audit Report as presented.