### NOTICE OF CHANGE OF PRINCIPALS

A Counter Party (CP), *i*.*e*. a Qualified Scheduling Entity (QSE) or Congestion Revenue Right Account Holder (CRRAH), must promptly notify ERCOT of any changes in the Principals of the CP. See ERCOT Protocol Sections 16.2.1(5) and 16.2.3.2(1) for a QSE’s reporting obligations and Sections 16.8.1(4) and 16.8.3.1(1) for a CRRAH’s reporting obligations. ERCOT Protocol Section 16.1.2(1) defines a “Principal of a Market Participant” for purposes of registration or qualification of a Market Participant, including a CP. The CP must notify ERCOT of any change to its Principals within two Business Days after becoming aware of the change.

Please fill out this form electronically (attaching additional pages as necessary) and submit all changes and/or additional information via email to [MPRegistration@ercot.com](mailto:MPRegistration@ercot.com) or regular mail to Market Participant Registration, 7620 Metro Center Drive, Austin, Texas 78744.

Any change in Principals shall be reviewed by ERCOT for compliance with the ERCOT Protocols, including the requirement that a CP must be able to demonstrate to ERCOT’s reasonable satisfaction that none of its Principals were or are Principals of any entity with an outstanding payment obligation that remains owing to ERCOT under any Agreement or the ERCOT Protocols. See ERCOT Protocols Section 16.2.1(3) for a QSE and Section 16.8.1(2) for a CRRAH.

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| **\*Counter Party:** |  |
| **\*9-Digit Root DUNS Number:** |  |

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| **\*Full (First, Middle, and Last) Name of Principal**  **(include any name changes/former names)** | **\*Position of Principal** | **\*Date Principal Became a Principal** | **\*Date Principal Was No Longer a Principal** |
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In the table below, please provide the requested information for any new Principal who is, or ever was, a Principal of any other entity that is now, or ever was, registered with ERCOT as a Market Participant.

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| **\*Full (First, Middle, and Last) Name of Principal**  **(include any name changes/former names)** | **\*Other ERCOT Market Participant (MP) and Position of Principal** | **\*Date Principal Became a Principal for the Other ERCOT MP** | **\*Date Principal Was No Longer a Principal for the Other ERCOT MP** |
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**I affirm that I have personal knowledge of the facts stated in this Notice of Change of Principals form and have authority to submit it on behalf of the Counter Party identified above.**

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| \*AR, Backup AR or Officer: |  |
| \*Signature: |  |
| \*Email: |  |
| \*Phone Number: |  |