|  |
| --- |
| **Texas SET Change Control Request Form**  **Change Control Number: 2021 - 832**  **Implementation Version: Future** |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:**  Kathy Scott | **Submitting Company Name:**  CenterPoint Energy | **Phone Number:**  713-582-8654 |
| **Date of Submission:**  06-14-2021 | **Affected TX SET Transaction(s):**  814\_04 and 814\_05 | **Submitter’s E-Mail Address:**  Kathy.Scott@CenterPointEnergy.com |
| **Texas SET Issue cross-reference number:**  N/A | Protocol Impact (Y/N):  N |
| **Detailed Description and Reason for Proposed Change(s):**  To support the automated processes that will be created with the implementation of Change Control 2021\_829 with new Inadvertent Gain/Loss Backdated Move-In (BDMVI) unique transactional identifiers there will be scenarios where the TDSP will be required to provide *valid reject* responses to the CR as part of this automated process.  This Change Control adds two new specific *Rejection Reason* codes to the REF~7G to both the 814\_04 Enrollment Notification Response and the 814\_05 CR Enrollment Notification Response transactions:  **814\_04 Enrollment Notification Response REF~7G (Rejection Reason)**:  **Add**: New Rejection Reason code: “LFG” – “Leapfrog Scenario – Third Party has gained or in the process of gaining this ESI ID. (Inadvertent Gain/Loss or Customer Rescission Reject)”  **Add**: New Rejection Reason code: “MVO” – “Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject)”  **814\_05 CR Enrollment Notification Response REF~7G (Rejection Reason)**:  **Add**: New Rejection Reason code: “LFG” – “Leapfrog Scenario – Third Party has gained or in the process of gaining this ESI ID. (Inadvertent Gain/Loss or Customer Rescission Reject)”  **Add**: New Rejection Reason code: “MVO” – “Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject)” | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com) and RMS Chair***.*** | | |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |
| --- | --- | --- |
| **Texas SET Recommendation:** | **Recommendation for Emergency (Y/N):** | **Date of TX SET Recommendation:** |
| **Detailed Description and Reason for Revision:** | | |
| **RMS Decision:** | **Emergency (Y/N):** | **Date of RMS Decision:** |
| **Summary of RMS Discussion:** | | |

**814\_04 Enrollment Notification Response**

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | |
|  | | | | 008 |  | ESI ID Exists But is Not Active | | | |
|  | | | | | | Retired | | | | |
|  | | | | 017 |  | Service Terminated because Service Provider went Out of Business | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use Only. | | | | |
|  | | | | A13 |  | Other | | | |
|  | | | | | | Explanation Required in REF03. | | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | | |
|  | | | | ABN |  | Duplicate Request Received | | | |
|  | | | | | | Used by TDSP to reject an 814\_03, which contains the same value in the BGN02 as a previously submitted 814\_03. The ABN code is to be used only for transactions between the TDSP and ERCOT. The code is not sent to the CR by ERCOT. | | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | |
|  | | | | ANK |  | Invalid Source Information | | | |
|  | | | | | | Unnecessary Billing Information Included. | | | | |
|  | | | | API |  | Required information missing | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | | |
|  | | | | BIM |  | Billing Information Missing | | | |
|  | | | | | | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. | | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | |
|  | | | | FME |  | Force Majeure Event | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | | |
|  | | | | IBO |  | Invalid Backdate Originator | | | |
|  | | | | | | Backdated request not part of a coordinated back-office clean up. MIMO Rules, ERCOT 24 | | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | | |
|  | | | | LFG |  | Leapfrog Scenario | | | |
|  | | | |  |  | Third Party has gained or in the process of gaining this ESI ID (Inadvertent Gain/Loss or Customer Rescission Reject) | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | |
|  | | | | MVO |  | Move-Out | | | |
|  | | | |  |  | Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject) | | | |
|  | | | | RNE |  | Request Not Eligible | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date | | | | |
|  | | | | SBD |  | Scheduled to be De-energized | | | |
|  | | | | | | ESI ID exists but scheduled to be de-energized on date requested. MIMO Rules, ERCOT 4 | | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | |
|  | | | | | | Switch request caused conflict with transaction currently scheduled. MIMO Rules, ERCOT 1, TDSP 4. | | | | |
|  | | | | SHF |  | Switch Hold Indicator | | | |
|  | | | | | | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP | | | | |
|  | | | | SNP |  | Safety NET Request Pending for Different CR | | | |
|  | | | | | | For TDSP use when a Safety Net Move-In is scheduled and Mass Transition (BGN07='TS') transaction is received from ERCOT. This code is valid only when BGN07='TS'. | | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13", and "API" require a text explanation in this element. | | | | | | |

**814\_05: CR Enrollment Notification Response**

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI-ID Exists But is Not Active | | | | |
|  | | | | | | Retired | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID invalid or not found | | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ANK |  | Invalid Source Information | | | | |
|  | | | | | | Unnecessary Billing Information Included. | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | BIM |  | Billing Information Missing | | | | |
|  | | | | | | Information required in the N1~BT (Customer Billing Loop) not received. Used by Muni/Coops only. | | | |
|  | | | | D76 |  | DUNs Number invalid or not found | | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | |
|  | | | | IBO |  | Invalid Backdate Originator | | | | |
|  | | | | | | Backdated request not art of a coordinated back-office clean-up. MIMO Rules, ERCOT 24 | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | |
|  | | | | LFG |  | Leapfrog Scenario | | | | |
|  | | | |  |  | Third Party has gained or in the process of gaining this ESI ID (Inadvertent Gain/Loss or Customer Rescission Reject) | | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) invalid | | | | |
|  | | | | MVO |  | Move-Out | | | | |
|  | | | |  |  | Move-Out is scheduled or has been completed by the TDSP (Inadvertent Gain/Loss or Customer Rescission Reject) | | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date | | | |
|  | | | | SBD |  | Scheduled to Be De-energized | | | | |
|  | | | | | | ESI ID exists but scheduled to be de-energized on date requested. MIMO Rules, ERCOT 4 | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Switch request caused conflict with transaction currently scheduled. MIMO Rules, ERCOT 1, TDSP 4 | | | |
|  | | | | SHF |  | Switch Hold Indicator | | | | |
|  | | | | | | For TDSP use only when Switch Hold has been placed on Premise. This Switch Hold will block MVI or Switch request from being scheduled by the TDSP | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13", and "API" require a text explanation in this element. | | | | | | | |