

March 1, 2021

Delivered via Market Notice

To Whom It May Concern:

We have received formal requests to inspect or copy some of our files. A copy of these requests for information are enclosed. The requested files include records we received from you or from your company. The Office of the Attorney General is reviewing this matter, and they will issue a decision on whether Texas law requires us to release your records. Generally, the Public Information Act (the "Act") requires the release of requested information, but there are exceptions. As described below, you have the right to object to the release of your records by submitting written arguments to the attorney general that one or more exceptions apply to your records. You are not required to submit arguments to the attorney general, but if you decide not to submit arguments, the Office of the Attorney General will presume that you have no interest in withholding your records from disclosure. In other words, if you fail to take timely action, the attorney general will more than likely rule that your records must be released to the public. If you decide to submit arguments, **you must do so not later than the tenth business day after the date you receive this notice.**

If you submit arguments to the attorney general, you must:

- a) identify the legal exceptions that apply,
- b) identify the specific parts of each document that are covered by each exception, and
- c) explain why each exception applies.

Gov't Code § 552.305(d). A claim that an exception applies without further explanation will not suffice. Attorney General Opinion H-436 (1974). You may contact this office to review the information at issue in order to make your arguments. We will provide the attorney general with a copy of the request for information and a copy of the requested information, along with other material required by the Act. The attorney general is generally required to issue a decision within 45 business days.

Please send your written comments to the Office of the Attorney General at the following address:

Office of the Attorney General
Open Records Division
P.O. Box 12548
Austin, Texas 78711-2548

If you wish to submit your written comments electronically, you may only do so via the Office of the Attorney General's eFiling System. An administrative convenience charge will be assessed for use of the eFiling System. No other method of electronic submission is available. Please visit the attorney general's website at <http://www.texasattorneygeneral.gov> for more information.

In addition, you are required to provide the requestor with a copy of your communication to the Office of the Attorney General. Gov't Code § 552.305(e). You may redact the requestor's copy of your communication to the extent it contains the substance of the requested information. Gov't Code § 552.305(e). You may provide a copy of your communication to the governmental body who received the request and sent the notice.

Commonly Raised Exceptions

In order for a governmental body to withhold requested information, specific tests or factors for the applicability of a claimed exception must be met. Failure to meet these tests may result in the release of requested information. We have listed the most commonly claimed exceptions in the Government Code concerning proprietary information and the leading cases or decisions discussing them. This listing is not intended to limit any exceptions or statutes you may raise.

Section 552.101: Information Made Confidential by Law

Open Records Decision No. 652 (1997).

Section 552.110: Confidentiality of Trade Secrets and Commercial or Financial Information

Trade Secrets

Commercial or Financial Information:

Birnbaum v. Alliance of Am. Insurers, 994 S.W.2d 766 (Tex. App.—Austin 1999, pet. filed) (construing previous version of section 552.110), *abrogated by In re Bass*, 113 S.W.3d 735 (Tex. 2003).

Open Records Decision No. 639 (1996).

Open Records Decision No. 661 (1999).

Section 552.1101: Confidentiality of Proprietary Information

Section 552.113: Confidentiality of Geological or Geophysical Information

Open Records Decision No. 627 (1994).

Section 552.131: Confidentiality of Certain Economic Development Negotiation Information

If you have questions about this notice or release of information under the Act, please refer to the *Public Information Handbook* published by the Office of the Attorney General, or contact the attorney general's Open Government Hotline at (512) 478-OPEN (6736) or toll-free at (877) 673-6839 (877-OPEN TEX). To access the *Public Information Handbook* or Attorney General Opinions, including those listed above, please visit the attorney general's website at <http://www.texasattorneygeneral.gov>.

Sincerely,

Electric Reliability Council of Texas, Inc.

Enclosure: Copy of requests for information
Copy of request for OAG ruling

cc:
Requestors
(w/o enclosures)

Open Records Division
Office of the Attorney General
P.O. Box 12548
Austin, Texas 78711-2548
(w/o enclosures)



HANCE SCARBOROUGH, LLP
ATTORNEYS AND COUNSELORS AT LAW

Jay B. Stewart
Managing Partner
jstewart@hslawmail.com

March 18, 2021

VIA ELECTRONIC FILING

Justin Gordon

Office of the Attorney General
Chief - Open Government Division
P.O. Box 12548, Capitol Station
Austin, Texas 78711-2548

RE: Request for a Determination of Applicability of the Texas Public Information Act,
OAG Tracking ID: OR87850321

Dear Mr. Gordon,

On March 1, 2021, our office filed a request for a determination as to the applicability of the Texas Public Information Act to the Electric Reliability Council of Texas, Inc (OAG Tracking ID: OR87850321). Our office also filed our 15-Day brief on March 8, 2021. We have identified additional representative requests seeking information that could implicate the PIA. As such we have attached those additional requests. We hereby incorporate the March 1st and March 8th filings by reference in regards to these additional requests. We have provided a copy of the March 1st and 8th filings to the requestor, and the March 1st filings to third parties with the third party notice.

Sincerely,

/s/ Jay B. Stewart

Jay B. Stewart

Enclosure: Additional Requests



March 1, 2021

VIA ELECTRONIC FILING

Justin Gordon

Office of the Attorney General
Chief - Open Government Division
P.O. Box 12548, Capitol Station
Austin, Texas 78711-2548

RE: Determination of Applicability of Texas Public Information Act

Dear Mr. Gordon,

Following Winter Storm Uri, ERCOT has received numerous information requests through its online portal and other means. See <http://ercot.com/about/contact/inforequest>. Some of the requests cite the Texas Public Information Act, Tex. Gov't Code ch. 552, as a basis for the records request. Exhibit "A". The requests were received beginning on February 14, 2021.

The Public Utility Commission has implemented ERCOT-specific regulations that govern ERCOT's disclosure of public information. 16 Tex. Admin. Code § 25.362(e). The procedures ERCOT has adopted for handling record requests have similar deadlines and exceptions to disclosure that would be relevant to ERCOT under the PIA. ERCOT is concerned that if it is also subject to the PIA, its disclosure obligations under that statute would conflict with its PUC-implemented disclosure obligations. ERCOT is working expeditiously to respond to the information requests, and it intends to timely disclose as much information as its governing regulations permit. But because there is ambiguity about which disclosure scheme governs, ERCOT seeks a ruling from this office on whether it is subject to the PIA, while preserving its objections to the release of any information that is confidential by law. ERCOT submits this request within ten business days of the first request received. ERCOT has provided the requestors with a copy of this request and has notified each of those parties whose information is the subject of one or more of the information requests.

The PUC, which directly oversees ERCOT, has established specific information-disclosure regulations that take into account the unique nature of ERCOT's role and ERCOT's and the PUC's expertise. ERCOT, though organized as a nonprofit corporation, performs a critical governmental function while also being directly answerable to the PUC. As the "independent organization" certified by the PUC, ERCOT is responsible for "ensur[ing] the reliability and adequacy of the regional electrical network" and "ensur[ing] access to the transmission and distribution systems

for all buyers and sellers of electricity on nondiscriminatory terms.” Tex. Util. Code § 39.151(a). In short, ERCOT manages the State’s electric grid and wholesale electricity market. All of ERCOT’s operations are subject to the PUC’s plenary control. ERCOT is “directly responsible and accountable to the commission,” which in turn “has complete authority” over ERCOT. *Id.* § 39.151(d).

In its comprehensive statute creating and defining ERCOT’s role, the Legislature did not explicitly subject ERCOT to the PIA. However, recognizing that ERCOT performs a public function, the PUC has established a public-information regime that accounts for the unique nature of the information ERCOT holds. *See* 16 Tex. Admin. Code § 25.362(e). Under PUC Rule 25.362(e)(1), ERCOT must “adopt and comply with procedures that allow persons to request and obtain access to records” possessed by ERCOT. Responsive information must “normally be provided within ten business days.” *Id.* Importantly, “ERCOT’s procedures regarding access to records shall be consistent with this [rule] and commission orders.”

ERCOT must generally disclose information in its possession on request, but it must not disclose information “designated as Protected Information pursuant to ERCOT rules.” *Id.* § 25.362(e)(1)(A). ERCOT’s rules—known as protocols—are themselves binding legal rules enacted using rulemaking authority delegated from the PUC, which also has plenary authority to approve, reject, or modify them. *See* Tex. Util. Code § 39.151(d); *PUC v. Constellation Energy Commodities Grp.*, 351 S.W.3d 588, 595 (Tex. App.—Austin 2011, pet. denied) (ERCOT’s rules “have the force and effect of statutes”). Section 1.3.1.1 of ERCOT’s protocols thus defines, in granular detail, what records in its possession are protected and which are not. Records deemed protected by these PUC-approved rules include Critical Energy Infrastructure Information, the protection of which is vital to the system’s security, and enormous volumes of confidential business information that market participants must provide so that ERCOT can manage the State’s electricity market and grid.

If ERCOT declines, under these rules and protocols, to disclose protected information, the requestor may seek review from the PUC, which “may determine the validity of the asserted claim of confidentiality through a contested-case proceeding.” 16 Tex. Admin. Code § 25.362(e)(1)(C). The process is designed to answer “whether the information is subject to protection from disclosure under law.” *Id.* Rulings by the PUC in contested-case proceedings are subject to judicial review.

Subjecting ERCOT to the PIA would interfere with the PUC’s “direct[]” and “complete” authority over ERCOT and would subject ERCOT to inconsistent regulatory regimes. ERCOT performs a public function. The system administration fee that funds ERCOT’s operations is collected pursuant to the State’s police power. *See* Tex. Util. Code § 39.151(e). Some requestors may therefore argue that ERCOT is a “governmental body” under the PIA because it “is supported in whole or in part by public funds.” Tex. Gov’t Code § 552.003(1)(A). However, this office need not contend with the PIA’s definition of “public funds,” *see id.* § 552.003(5), in order to determine that ERCOT is not subject to the PIA.

The problem is structural: subjecting ERCOT to the PIA would conflict with ERCOT’s enabling statute. *See City of Waco v. Lopez*, 259 S.W.3d 147, 153 (Tex. 2008) (holding “that a

specific statute will ordinarily prevail over a general statute when the two cannot be reconciled”). That statute gives the PUC “complete authority” over ERCOT, and pursuant to that “complete authority” the PUC has created a specialized public-information regime that accounts for ERCOT’s unique function and the PUC’s oversight role. Were the PIA to apply to ERCOT, the Office of the Texas Attorney General, rather than the PUC, would have authority to determine whether ERCOT holds are subject to disclosure. In that case, the PUC’s authority would no longer be complete—because the PUC’s authority over ERCOT’s records would be subordinate to the Attorney General’s. This would conflict not only with the language of ERCOT’s enabling act, but its purpose. The Legislature granted the PUC authority over ERCOT because it has expertise in the complicated subject matter for which ERCOT is responsible. This expertise is necessary to resolve disputes about whether records in ERCOT’s possession are confidential or should be disclosed. The Attorney General lacks the technical expertise the PUC enjoys.

For example, one category of “protected information” that ERCOT protocols prohibit ERCOT and its market participants from disclosing is “[r]esource-specific costs, design, and engineering data.” Protocols § 1.3.1.1.(1)(m). Determining what information falls within this category requires significant technical expertise and regulatory judgment in balancing the commercial sensitivity of information about individual generators with the needs of the broader market to have access to at least some basic generator parameter information for system modeling and generation development purposes. Similarly, recently approved protocols prohibit disclosure of ERCOT Critical Energy Infrastructure Information, which is defined as certain grid infrastructure information that “could foreseeably be useful to a person planning an attack on ERCOT System Infrastructure.” ERCOT Protocols §§ 1.3.2(1), 2.1 (eff. Apr. 1, 2021). Whether particular information satisfies this test requires expertise in the many possible ways in which this information could be used to compromise the many thousands of components of generators, control centers, transmission lines, and substations that make up the power grid. These interpretations are best overseen by the PUC.

ERCOT’s direct accountability to the PUC, Tex. Util. Code § 39.151(d), is likewise a barrier to the PIA’s application. The PUC has ordered ERCOT to disclose records “consistent with” the PUC’s rules. 16 Tex. Admin. Code § 25.362(e)(1). ERCOT could not comply with the PIA without violating an order from its direct overseer. *See Christus Health Gulf Coast v. Carswell*, 505 S.W.3d 528, 535–36 (Tex. 2016) (holding that “directly” “means ‘without the intervention of a medium or agent’ or ‘immediately’”).

Finally, a 2019 amendment to ERCOT’s enabling act confirms that ERCOT is not subject to the PIA. Because of its critical role, ERCOT must annually “conduct [an] internal cybersecurity risk assessment, vulnerability testing, and employee training” and report to the PUC regarding its compliance with “cybersecurity and information security laws.” Tex. Util. Code § 39.151(o). The Legislature specified that information reported *to the PUC* under this provision “is confidential and not subject to disclosure” under the PIA. *Id.* § 39.151(p). While the Legislature deemed this information confidential, it did not provide that the same information was not subject to disclosure when held by ERCOT, rather than “submitted in a report” to the PUC. This implies that the PIA does not apply to ERCOT.

ERCOT asks this office to issue a ruling that ERCOT is not subject to the PIA. *See Blankenship v. Brazos Higher Educ. Auth., Inc.*, 975 S.W.2d 353, 362 (Tex. App.—Waco 1998, pet. denied) (observing that it is routine for this office to answer such questions). ERCOT emphasizes that it seeks this ruling only to avoid the impossibility of complying with dueling, inconstant disclosure obligations. The ruling will ensure the public's access to information that the PUC has deemed disclosable, but will guard information that could harm the system or the legally protectable interests of private parties. ERCOT intends to disclose as many records as the law permits. Any non-disclosure may be challenged before the PUC and, ultimately, reviewed in court.

In the event this office determines ERCOT is subject to the PIA, ERCOT objects to the disclosure of any records made confidential by its protocols or other law pursuant to Texas Government Code § 552.101, and further objects to the disclosure of any information otherwise exempted from disclosure under Texas Government Code §§ 552.101, 552.102, 552.103, 552.104, 552.107, 552.110, 552.1101, 552.133, and 552.139.

ERCOT has notified the Requestors that it is seeking a ruling from the Attorney General as to the applicability of the PIA to ERCOT, and if found to be subject to the PIA, a ruling of the Attorney General as to those items it claims should be excepted from disclosure. ERCOT has also notified third parties whose information is the subject of one or more of these requests.

Sincerely,

A handwritten signature in blue ink, appearing to read "J. Stewart", with a long horizontal flourish extending to the right.

Jay B. Stewart

Exhibit "A" – Copies of Requests for Information

Exhibit "B" – Third Party Notice

From: info
Sent: Friday, February 19, 2021 11:34 AM
To: info
Subject: Information Request from Ercot.com

A visitor to the Ercot.com web site has requested information. The details of the request are below:

Name Daniel Potter

Company Rice University

Address [REDACTED]

Email [REDACTED]

Phone [REDACTED]

Delivery Method Electronic

Request To Whom It May Concern: I am requesting records of all email, text message, or other messaging app correspondences from February 12, 2021 through February 18, 2021. Additionally, I am requesting records of daily electricity production and electricity demand, by type of production (e.g., natural gas, wind, solar, etc) for each day between December 1, 2016 - March 1, 2017; December 1, 2017 - March 1, 2018; December 1, 2018 - March 1, 2019; December 1, 2019 - March 1, 2020; and, December 1, 2020 - March 1, 2021 (when this final record becomes available). In addition to production and demand, please provide information on daily levels of energy reserves, price per megawatt, and number of residences without power by census tract for the state of Texas (block or block-group is fine too). For the final piece of data, data may be aggregated to the zip code level, as a last resort. I anticipate the communication correspondences can be delivered via email; however, the requested data is likely to be very large. Please let me know its size, and I can set up a shared folder with unlimited storage capacity that you can upload the file to. Please let me know if you have any questions or need any of this request clarified. Sincerely, Dan

From: ERCOT Client Services
Sent: Tuesday, March 16, 2021 8:20 AM
To: info
Cc: [REDACTED]
Subject: FW: PIA Request

[REDACTED]

[REDACTED]

[REDACTED]

-----Original Message-----

From: J.M. Speranza [mailto:[REDACTED]]
Sent: Monday, March 15, 2021 5:11 PM
To: ERCOT Client Services <clientservices@ercot.com>
Subject: PIA Request

***** EXTERNAL email. Please be cautious and evaluate before you click on links, open attachments, or provide credentials. *****

Please provide the following information:

All communications and materials related to ERCOT's decision to request that the Texas Attorney General deem ERCOT not subject to PIA.

All communications, documents, and metadata related to the deletion of the section or pages of the ERCOT website dedicated to public requests for information.

—
JOHN-MICHAEL SPERANZA, JD
PHONE: [REDACTED]
EMAIL: [REDACTED]
—

From: Parks, Eva (NBCUniversal) <[REDACTED]>
Sent: Monday, March 15, 2021 6:16 PM
To: info
Cc: Friedman, Scott (NBCUniversal)
Subject: NBC Open Records Request #1

******* EXTERNAL email. Please be cautious and evaluate before you click on links, open attachments, or provide credentials. *******

This is an open records request made under the Texas Public Information Act, Chapter 552, Texas Government Code, which guarantees the public's access to information in the custody of governmental agencies. KXAS respectfully requests the following information:

1. On March 11th, ERCOT responded to Rep. Lucio's requesting stating ERCOT has a, "log of approximately 1400 emails, phone calls, and text messages between ERCOT personnel and state officials and employees from February 8, 2021 through February 19, 2021". We are requesting those messages from pages 9-24 found here: [http://www.ercot.com/content/wcm/lists/227393/ERCOT Letter to Representative Lucio 3.11.21 PUBLIC .pdf](http://www.ercot.com/content/wcm/lists/227393/ERCOT%20Letter%20to%20Representative%20Lucio%203.11.21%20PUBLIC.pdf)
2. All emails to/from any ercot.com email box and any email address ending with rrc.texas.gov or gov.texas.gov or tdem.texas.gov or puc.texas.gov. Time frame for this request is from February 10th-February 19th 2021. For any such email we request all email attachments.
3. All emails and text messages sent to/from any ERCOT employee and any of the following individuals: Gov. Greg Abbott, Railroad Commissioner Christi Craddick, TDEM Chief Nimm Kidd, Luis Saenz, Chief of staff for Governor Abbott, PUC commissioner Shelly Botkin, PUC Commissioner Arthur D'Andrea, PUC Commissioner DeAnn Walker. Time frame for this request is February 10th-February 19th 2021. For any such email we request all email attachments. If a personal email or personal phone number was used by the ERCOT staff member to send or receive such messages, we request those messages as well.
4. All emails and text messages sent from Bill Magness to Dan Woodfin from February 10th-February 19th 2021. For any such email we request all email attachments. If a personal email or personal phone number was used by a commissioner, we request those messages as well. For any such email we request all email attachments
5. Any email sent to or from any ercot.com and Curt Morgan or any person employed by VISTRA Energy/Luminant. Time frame for this request is February 10th-February 19th, 2021. For any such email we request all email attachments. For any such email we request all email attachments. If a personal email or personal phone number was used by a commissioner, we request those messages as well.
6. All emails sent to/from Bill Magness from February 8th 2021 to February 15th from any email address ending with: Vistra.com or Luminant.com or oncor.com or Calpine.com or NRG.com. For any such email we request all email attachments
7. All emails sent to/from ERCOT communications director Lindsey Hughes from February 8th 2021 to February 15th 2021 containing any of the following phrases in the subject line or body of the email: weather, cold, freezing, winterize, winterization, ice, snow, storm, frigid, temperatures, outages, capacity, supply, demand, conservation). For any such email we request all email attachments.

8. All emails and text messages sent to/from Bill Magness and any of the following ERCOT board members from February 9th 2021 to February 18th 2021: Sally Talberg, Peter Cramton, Terry Bulger, Raymond Hepper, Vanessa Anesetti-Para. For any such email we request all email attachments. If a personal email or personal phone number was used by a commissioner, we request those messages as well.

Because we are requesting several items within this request, we ask that they are released as they are produced.

Disclosure of this information is in the public interest because providing a copy of the information primarily benefits the general public. I therefore request a waiver of all fees and charges pursuant to Section 552.267 of the act.

Eva Parks
Investigative/Consumer Producer
NBC 5 | Dallas-Fort Worth
Mobile: [REDACTED]
NBCDFW.COM | NBCDFW | [@nbcdfw](https://twitter.com/nbcdfw)



From: Parks, Eva (NBCUniversal) <[REDACTED]>
Sent: Monday, March 15, 2021 6:16 PM
To: info
Cc: Friedman, Scott (NBCUniversal)
Subject: NBC Open Records Request #2

******* EXTERNAL email. Please be cautious and evaluate before you click on links, open attachments, or provide credentials. *******

This is an open records request made under the Texas Public Information Act, Chapter 552, Texas Government Code, which guarantees the public's access to information in the custody of governmental agencies. KXAS respectfully requests the following information:

1. All reports and power point presentations produced by ERCOT's Generation Adequacy Task Force from January 1, 2017 to present date.
2. Any ERCOT internal after-action analysis of ERCOT's control room performance decisions including decisions to shed load or take other steps to manage the winter weather event of 2021.
3. Any external evaluation or audit of ERCOT's control room performance during the winter weather event of 2021 from February 14th to February 19th, 2021.
4. All ERCOT evaluations of whether the dip below 60Hz that occurred on the ERCOT grid on February 15th, 2021 and whether it caused instability that resulted in the shutdown of any Texas electric generating plants, or the shutdown of any local grid manager's electric distribution equipment.
5. Copies of all documents submitted to any US House or US Senate committee reviewing the winter weather event of 2021.

Because we are requesting several items within this request, we ask that they are released as they are produced.

Disclosure of this information is in the public interest because providing a copy of the information primarily benefits the general public. I therefore request a waiver of all fees and charges pursuant to Section 552.267 of the act.

Eva Parks
Investigative/Consumer Producer
NBC 5 | Dallas-Fort Worth
Mobile: [REDACTED]
NBCDFW.COM | NBCDFW | [@nbcdfw](https://twitter.com/nbcdfw)



From: Parks, Eva (NBCUniversal) <[REDACTED]>
Sent: Monday, March 15, 2021 6:16 PM
To: info
Cc: Friedman, Scott (NBCUniversal)
Subject: NBC Open Records Request #3

******* EXTERNAL email. Please be cautious and evaluate before you click on links, open attachments, or provide credentials. *******

This is an open records request made under the Texas Public Information Act, Chapter 552, Texas Government Code, which guarantees the public's access to information in the custody of governmental agencies. KXAS respectfully requests the following information:

1. All audits of ERCOT financial performance from January 1, 2018 to present date this request is fulfilled.
2. Records detailing all expenses related to the construction of a new ERCOT headquarters building. This would include but is not limited to RFP, invoices, purchase orders, design fees, construction costs, land acquisition, interior decorating fees, office equipment and furniture purchases.
3. ERCOT's complete check registers for calendar years 2019, 2020 and 2021. This would include an excel spreadsheet showing date, payment amount, vendor, description.

Because we are requesting several items within this request, we ask that they are released as they are produced.

Disclosure of this information is in the public interest because providing a copy of the information primarily benefits the general public. I therefore request a waiver of all fees and charges pursuant to Section 552.267 of the act.

Eva Parks
Investigative/Consumer Producer
NBC 5 | Dallas-Fort Worth
Mobile: [REDACTED]
NBCDFW.COM | NBCDFW | [@nbcdfw](https://twitter.com/nbcdfw)



From: info
Sent: Monday, March 15, 2021 11:31 AM
To: info
Subject: Information Request from Ercot.com

A visitor to the Ercot.com web site has requested information. The details of the request are below:

Name Jaie Avila

Company WOAI-TV

Address [REDACTED]

Email [REDACTED]

Phone [REDACTED]

Delivery Method Electronic

Request All electronic communications between ERCOT operations staff during the Winter Weather Event, as well as their communications with ERCOT managers, power generators, transmission and distribution entities, retail power utilities and the Public Utility Commission, from February 13th, 2021 and February 18th, 2021, whether this communication was through listserv, a back up system or email.

From: info
Sent: Wednesday, March 17, 2021 11:49 PM
To: info
Subject: Information Request from Ercot.com

A visitor to the Ercot.com web site has requested information. The details of the request are below:

Name Deborah Strauss

Company KPRC-TV/NBC Houston

Address [REDACTED]

Email [REDACTED]

Phone [REDACTED]

Delivery Method Electronic

Request 1. ERCOT's checklists for Generation Resource weatherization spot checks conducted in 2020-21 2. Weatherization plans or emergency operations plans submitted to satisfy ERCOT's weatherization plan submission requirement for Generation Resources subject to a spot check in 2020-21 3. Email communications concerning weatherization spot checks for Generation Resources performed from 2018 to present 4. Exceptional Fuel Costs submitted by Qualified Scheduling Entities (QSEs) for the period February 11 to 20, 2021 5. Invoices to QSEs representing Resource Entities or Load Serving Entities (LSEs) dated February 1 to March 8, 2021 6. Collateral calls issued to LSEs made from February 9 to February 23, 2021

From: info
Sent: Wednesday, March 17, 2021 11:52 PM
To: info
Subject: Information Request from Ercot.com

A visitor to the Ercot.com web site has requested information. The details of the request are below:

| | |
|------------------------|--|
| Name | Deborah Strauss |
| Company | KPRC-TV/NBC Houston |
| Address | [REDACTED] |
| Email | [REDACTED] |
| Phone | [REDACTED] |
| Delivery Method | Electronic |
| Request | -ERCOT's checklists for each Generation Resource weatherization spot check conducted in 2019-20 and 2020-21 -ERCOT's spot check schedule for 2019-20 and 2020-21 -ERCOT's spot check results tracking spreadsheet for 2011-2021 -Email communications between ERCOT and Resource Entities for any Generation Resources with deficiencies identified during spot checks conducted in 2019-20 and 2020-21 -Weatherization plans or emergency operations plans submitted to satisfy ERCOT's weatherization plan submission requirement for each Generation Resource that was subject to a spot check in 2019-20 and 2020-21 |