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| NPRR Number | [1032](http://www.ercot.com/mktrules/issues/NPRR1032) | NPRR Title | Consideration of Physical Limits of DC Ties in RUC Optimization and Settlements |
| Date of Decision | December 8, 2020 |
| Action | Approved |
| Timeline | Normal |
| Effective Date | Upon system implementation |
| Priority and Rank Assigned | Priority – 2021; Rank – 3260 |
| Nodal Protocol Sections Requiring Revision  | 5.5.2, Reliability Unit Commitment (RUC) Process5.7.4.1.1, Capacity Shortfall Ratio Share6.6.3.4, Real-Time Energy Payment for DC Tie Import |
| Related Documents Requiring Revision/Related Revision Requests | None |
| Revision Description | This Nodal Protocol Revision Request (NPRR) limits the Direct Current Tie (DC Tie) Schedules used in Reliability Unit Commitment (RUC) optimization and RUC Settlements to the physical rating of the DC Tie. |
| Reason for Revision |  Addresses current operational issues. Meets Strategic goals (tied to the [ERCOT Strategic Plan](http://www.ercot.com/content/wcm/lists/144926/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board). Market efficiencies or enhancements Administrative Regulatory requirements Other: (explain)*(please select all that apply)* |
| Business Case | Currently the RUC optimization and RUC Settlement systems use schedules submitted by the Qualified Scheduling Entity (QSE) and approved by ERCOT. However, DC Tie Schedules with the Eastern Interconnection (the North and East DC Ties) may exceed, in total, the physical rating of the DC Tie until the Operating Period, at which time curtailment of the DC Tie is initiated by the non-ERCOT Control Area Operator (CAO). This NPRR modifies the RUC optimization to limit DC Tie Schedules to the physical rating of the DC Tie, and modifies the RUC Capacity-Short calculations to use the final, approved DC Tie Schedule in lieu of the end of Adjustment Period snapshot value that may be curtailed later during the Operating Period. The DC Tie import payment calculation description of the variable for Real-Time DC Tie Import per QSE per Settlement Point (RTDCIMP) is clarified to reflect that the DC Tie Schedules used are the final, approved DC Tie Schedules. |
| Credit Work Group Review | ERCOT Credit Staff and the Credit Work Group (Credit WG) have reviewed NPRR1032 and do not believe it requires changes to credit monitoring activity or the calculation of liability. |
| PRS Decision | On 8/13/20, PRS voted via roll call to table NPRR1032. There was one abstention by the Investor Owned Utility (IOU) (Lone Star Transmission) Market Segment. All Market Segments were present for the vote.On 9/10/20, PRS voted unanimously via roll call to recommend approval of NPRR1032 as submitted. All Market Segments were present for the vote.On 10/15/20, PRS voted unanimously via roll call to endorse and forward to TAC the 9/10/20 PRS Report and Impact Analysis for NPRR1032 with a recommended priority of 2021 and rank of 3260. All Market Segments were present for the vote.  |
| Summary of PRS Discussion | On 8/13/20, participants discussed the cost of NPRR1032 and the possibility of incorporating it into Real-Time Co-Optimization (RTC).On 9/10/20, there was no discussion.On 10/15/20, there was no discussion. |
| TAC Decision | On 10/28/20, TAC voted unanimously via roll call to recommend approval of NPRR1032 as recommended by PRS in the 10/15/20 PRS Report. All Market Segments were present for the vote. |
| Summary of TAC Discussion | On 10/28/20, participants reviewed NPRR1032’s Business Case. |
| ERCOT Opinion | ERCOT supports approval of NPRR1032. |
| Board Decision | On 12/8/20, the ERCOT Board approved NPRR1032 as recommended by TAC in the 10/28/20 TAC Report. |

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| Sponsor |
| Name | Dave Maggio |
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| Market Segment | Not applicable |

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| **Comments Received** |
| **Comment Author** | **Comment Summary** |
| None |  |

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| Market Rules Notes |

Please note that the following NPRR(s) also propose revisions to the following section(s):

* NPRR1009, RTC – NP 5: Transmission Security Analysis and Reliability Unit Commitment
	+ Section 5.5.2
	+ Section 5.7.4.1.1
* NPRR1028, RUC Process Alignment with Resource Limits
	+ Section 5.5.2
* NPRR1029, BESTF-6 DC-Coupled Resources
	+ Section 5.7.4.1.1
* NPRR1054, Removal of Oklaunion Exemption Language
	+ Section 5.7.4.1.1

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| Proposed Protocol Language Revision |

***5.5.2 Reliability Unit Commitment (RUC) Process***

(1) The RUC process recommends commitment of Generation Resources, to match ERCOT’s forecasted Load including Direct Current Tie (DC Tie) Schedules, subject to all transmission constraints and Resource performance characteristics. The RUC process takes into account Resources already committed in the Current Operating Plans (COPs), Resources already committed in previous RUCs, Off-Line Available Resources having a start-up time of one hour or less, and Resource capacity already committed to provide Ancillary Service. The formulation of the RUC objective function must employ penalty factors on violations of security constraints. The objective of the RUC process is to minimize costs based on the Resource costs described in paragraphs (4) through (7) below. For all hours of the RUC Study Period within the RUC process, Quick Start Generation Resources (QSGRs) with a COP Resource Status of OFFQS shall be considered as On-Line with Low Sustained Limit (LSL) at zero MW. QSGRs with a Resource Status of OFFQS shall only be committed by ERCOT through a RUC instruction in instances when a reliability issue would not otherwise be managed through Dispatch Instructions from Security-Constrained Economic Dispatch (SCED).

(2) The RUC process can recommend Resource decommitment. ERCOT may only decommit a Resource to resolve transmission constraints that are otherwise unresolvable. Qualifying Facilities (QFs) may be decommitted only after all other types of Resources have been assessed for decommitment. In addition, the HRUC process provides decision support to ERCOT regarding a Resource decommitment requested by a Qualified Scheduling Entity (QSE).

(3) ERCOT shall review the RUC-recommended Resource commitments and the list of Off-Line Available Resources having a start-up time of one hour or less to assess feasibility and shall make any changes that it considers necessary, in its sole discretion. During the RUC process, ERCOT may also review and commit, through a RUC instruction, Combined Cycle Generation Resources that are currently planned to be On-Line but are capable of transitioning to a configuration with additional capacity. ERCOT may deselect Resources recommended in DRUC and in all HRUC processes if in ERCOT’s sole discretion there is enough time to commit those Resources in the future HRUC processes, taking into account the Resources’ start-up times, to meet ERCOT System reliability. After each RUC run, ERCOT shall post the amount of capacity deselected per hour in the RUC Study Period to the MIS Secure Area. A Generation Resource shown as On-Line and available for SCED dispatch for an hour in its COP prior to a DRUC or HRUC process execution, according to Section 5.3, ERCOT Security Sequence Responsibilities, will be considered self-committed for that hour. For purpose of Settlement, snapshot data will be used as specified in paragraph (2) of Section 5.3. ERCOT shall issue RUC instructions to each QSE specifying its Resources that have been committed as a result of the RUC process. ERCOT shall, within one day after making any changes to the RUC-recommended commitments, post to the MIS Secure Area any changes that ERCOT made to the RUC-recommended commitments with an explanation of the changes.

(4) To determine the projected energy output level of each Resource and to project potential congestion patterns for each hour of the RUC, ERCOT shall calculate proxy Energy Offer Curves based on the Mitigated Offer Caps (MOCs) for the type of Resource as specified in Section 4.4.9.4, Mitigated Offer Cap and Mitigated Offer Floor, for use in the RUC. Proxy Energy Offer Curves are calculated by multiplying the MOC by a constant selected by ERCOT from time to time that is no more than 0.10% and applying the cost for all Generation Resource output between High Sustained Limit (HSL) and LSL. The intent of this process is to minimize the effect of the proxy Energy Offer Curves on optimization.

(5) ERCOT shall use the RUC process to evaluate the need to commit Resources for which a QSE has submitted Three-Part Supply Offers and other available Off-Line Resources in addition to Resources that are planned to be On-Line during the RUC Study Period. All of the above commitment information must be as specified in the QSE’s COP. For available Off-Line Resources with a cold start time of one hour or less that have not been removed from special consideration under paragraph (7) below pursuant to paragraph (4) of Section 8.1.2, Current Operating Plan (COP) Performance Requirements, the Startup Offers and Minimum-Energy Offer from a Resource’s Three-Part Supply Offer shall not be used in the RUC process.

(6) ERCOT shall create Three-Part Supply Offers for all Resources that did not submit a Three-Part Supply Offer, but are specified as available but Off-Line, excluding Resources with a Resource Status of EMR, in a QSE’s COP. For such Resources, excluding available Off-Line Resources with a cold start time of one hour or less that have not been removed from special consideration under paragraph (7) below pursuant to paragraph (4) of Section 8.1.2, ERCOT shall use in the RUC process 150% of any approved verifiable Startup Cost and verifiable minimum-energy cost or if verifiable costs have not been approved, the applicable Resource Category Generic Startup Offer Cost and the applicable Resource Category Generic Minimum-Energy Offer Cost as described specified in Section 4.4.9.2.3, Startup Offer and Minimum-Energy Offer Generic Caps, registered with ERCOT. However for Settlement purposes, ERCOT shall use any approved verifiable Startup Costs and verifiable minimum-energy cost for such Resources, or if verifiable costs have not been approved, the applicable Resource Category Generic Startup Offer Cost and Generic Minimum-Energy Offer Cost.

(7) For all available Off-Line Resources having a cold start time of one hour or less and not removed from special consideration pursuant to paragraph (4) of Section 8.1.2, ERCOT shall scale any approved verifiable Startup Cost and verifiable minimum-energy cost or if verifiable costs have not been approved, the applicable Resource Category Generic Startup Offer Cost and the applicable Resource Category Generic Minimum-Energy Offer Cost as specified in Section 4.4.9.2.3 for use in the RUC process.

The above parameter is defined as follows:

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| --- | --- | --- |
| **Parameter** | **Unit** | **Current Value\*** |
| 1HRLESSCOSTSCALING | Percentage | Maximum value of 20% |
| \* The current value for the parameter(s) referenced in this table above will be recommended by the Technical Advisory Committee (TAC) and approved by the ERCOT Board. ERCOT shall update parameter value(s) on the first day of the month following ERCOT Board approval unless otherwise directed by the ERCOT Board. ERCOT shall provide a Market Notice prior to implementation of a revised parameter value. |

(8) The RUC process must treat all Resource capacity providing Ancillary Service as unavailable for the RUC Study Period, unless that treatment leads to infeasibility (i.e., that capacity is needed to resolve some local transmission problem that cannot be resolved by any other means). If an ERCOT Operator decides that the Ancillary Service capacity allocated to that Resource is infeasible based on ERCOT System conditions, then, ERCOT shall inform each affected QSE of the amount of its Resource capacity that does not qualify to provide Ancillary Service, and the projected hours for which this is the case. In that event, the affected QSE may, under Section 6.4.9.1.2, Replacement of Infeasible Ancillary Service Due to Transmission Constraints, either:

(a) Substitute capacity from Resources represented by that QSE;

(b) Substitute capacity from other QSEs using Ancillary Service Trades; or

(c) Ask ERCOT to replace the capacity.

(9) Factors included in the RUC process are:

(a) ERCOT System-wide hourly Load forecast allocated appropriately over Load buses;

(b) Transmission constraints – Transfer limits on energy flows through the electricity network;

(i) Thermal constraints – protect transmission facilities against thermal overload;

(ii) Generic constraints – protect the transmission system against transient instability, dynamic instability or voltage collapse;

(c) Planned transmission topology;

(d) Energy sufficiency constraints;

(e) Inputs from the COP, as appropriate;

(f) Inputs from Resource Parameters, including a list of Off-Line Available Resources having a start-up time of one hour or less, as appropriate;

(g) Each Generation Resource’s Minimum-Energy Offer and Startup Offer, from its Three-Part Supply Offer;

(h) Any Generation Resource that is Off-Line and available but does not have a Three-Part Supply Offer;

(i) Forced Outage information; and

(j) Inputs from the eight-day look ahead planning tool, which may potentially keep a unit On-Line (or start a unit for the next day) so that a unit minimum duration between starts does not limit the availability of the unit (for security reasons).

(10) The HRUC process and the DRUC process are as follows:

(a) The HRUC process uses current Resource Status for the initial condition for the first hour of the RUC Study Period. All HRUC processes use the projected status of transmission breakers and switches starting with current status and updated for each remaining hour in the study as indicated in the COP for Resources and in the Outage Scheduler for transmission elements.

(b) The DRUC process uses the current hourly forecast of total ERCOT Load including DC Tie Schedules up to the physical rating of the DC Tie for each hour of the Operating Day. The HRUC process uses the current hourly forecast of total ERCOT Load including DC Tie Schedules up to the physical rating of the DC Tie for each hour in the RUC Study Period.

(c) The DRUC process uses the Day-Ahead weather forecast for each hour of the Operating Day. The HRUC process uses the weather forecast information for each hour of the balance of the RUC Study Period.

(11) A QSE that has one or more of its Resources RUC-committed to provide Ancillary Services must increase its Ancillary Service Supply Responsibility by the total amount of RUC-committed Ancillary Service quantities. The QSE may only use a RUC-committed Resource to meet its Ancillary Service Supply Responsibility during that Resource’s RUC-Committed Interval if the Resource has been committed by the RUC process to provide Ancillary Service, or the Resource is a Combined Cycle Generation Resource that was RUC-committed to transition from one On-Line configuration to a different configuration with additional capacity. For cases in which the commitment was to provide Ancillary Service, the QSE shall indicate the exact amount and type of Ancillary Service for which it was committed as the Resource’s Ancillary Service Resource Responsibility and Ancillary Services Schedule for the RUC-Committed Intervals for both telemetry and COP information provided to ERCOT. Upon deployment of the Ancillary Services, the QSE shall adjust its Ancillary Services Schedule to reflect the amounts requested in the deployment.

(12) A QSE with a Resource that is not a Reliability Must-Run (RMR) Unit or has not received an Outage Schedule Adjustment (OSA) that has been committed in a RUC process or by a RUC Verbal Dispatch Instruction (VDI) may opt out of the RUC Settlement (or “buy back” the commitment) by setting the telemetered Resource Status of the RUC-committed Resource to ONOPTOUT for the first SCED run that the Resource is On-Line and available for SCED dispatch during the first hour of a contiguous block of RUC-Committed Hours. All the configurations of the same Combined Cycle Train shall be treated as the same Resource for the purpose of creating the block of RUC-Committed Hours. A RUC-committed Combined Cycle Generation Resource may opt out of the RUC Settlement by setting the telemetered Resource Status to ONOPTOUT for any On-Line configuration of the same Combined Cycle Train for the first SCED run that the Combined Cycle Train is On-Line and available for SCED Dispatch during the first hour of a contiguous block of RUC-Committed Hours. A Combined Cycle Generation Resource that is RUC-committed from one On-Line configuration in order to transition to a different configuration with additional capacity may opt out of the RUC Settlement following the same rule for RUC-committed Combined Cycle Generation Resources described above. A QSE that opts out of RUC Settlement forfeits RUC Settlement for the affected Resource for a given block of RUC Buy-Back Hours. A QSE that opts out of RUC Settlement treatment must make the Resource available to SCED for all RUC Buy-Back Hours. All hours in a contiguous block of RUC-Committed Hours that includes the RUC Buy-Back Hour shall be considered RUC Buy-Back Hours. However, if a contiguous block of RUC-Committed Hours spans more than one Operating Day, each contiguous block of RUC-Committed Hours within each Operating Day shall be treated as an independent block for purposes of opting out, and a QSE that wishes to opt out of RUC Settlement for the RUC-Committed Hours in the next Operating Day must set its telemetered Resource Status to ONOPTOUT for the first SCED run the next Operating Day.

(13) If a QSE-committed Resource experiences a Forced Outage or Startup Loading Failure in an hour for which another Resource under the control of the same QSE is committed by a RUC instruction, the QSE may opt out of RUC Settlement for the RUC-committed Resource in accordance with paragraph (12) above, or if the Forced Outage or Startup Loading Failure occurs after the beginning of the first RUC-Committed Interval, the QSE may opt out of RUC Settlement by submitting a dispute pursuant to Section 9.14, Settlement and Billing Dispute Process, requesting a correction of the RUC Settlement treatment for the RUC-committed Resource.

(14) ERCOT shall, as soon as practicable, post to the MIS Secure Area a report identifying those hours that were considered RUC Buy-Back Hours, along with the name of each RUC-committed Resource whose QSE opted out of RUC Settlement.

(15) A Resource that has a Three-Part Supply Offer cleared in the Day-Ahead Market (DAM) and subsequently receives a RUC commitment for the Operating Hour for which it was awarded will be treated as if the telemetered Resource Status was ONOPTOUT for purposes of Section 6.5.7.3, Security Constrained Economic Dispatch, and Section 6.5.7.3.1, Determination of Real-Time On-Line Reliability Deployment Price Adder.

5.7.4.1.1 Capacity Shortfall Ratio Share

(1) In calculating the amount short for each QSE, the Wind-powered Generation Resource Production Potential (WGRPP), as described in Section 4.2.2, Wind-Powered Generation Resource Production Potential, for a Wind-powered Generation Resource (WGR), or the PhotoVoltaic Generation Resource Production Potential (PVGRPP), as described in Section 4.2.3, PhotoVoltaic Generation Resource Production Potential, for a PhotoVoltaic Generation Resource (PVGR), at the time of RUC execution, shall be considered the available capacity of the WGR or PVGR when determining responsibility for the corresponding RUC charges, regardless of the Real-Time output of the WGR or PVGR. Therefore, the HASLSNAP variable used below shall be equal to the WGRPP and PVGRPP described above.

(2) In calculating the amount short for each QSE, the QSE must be given a capacity credit for non-Intermittent Renewable Resources (IRRs) that were given notice of decommitment within the two hours before the Operating Hour as a result of the RUC process by setting the HASLSNAP and HASLADJ variables used below equal to the HASLSNAP value for the Resource immediately before the decommitment instruction was given.

(3) In calculating the short amount for each QSE, if the High Ancillary Service Limit (HASL) for a Resource was credited to the QSE during the RUC snapshot but the Resource experiences a Forced Outage within two hours before the start of the Settlement Interval, then the HASL for that Resource is also credited to the QSE in the HASLADJ.

(4) In calculating the short amount for each QSE, if the DCIMPSNAP was credited to the QSE during the RUC snapshot but the entire Direct Current Tie (DC Tie) experiences a Forced Outage within two hours before the start of the Settlement Interval, then the DCIMPSNAP is also credited to the QSE in the RTDCIMP.

(5) For Combined Cycle Generation Resources, if more than one Combined Cycle Generation Resource is shown On-Line in its COP for the same Settlement hour, then the provisions of paragraph (6)(a) of Section 3.9.1, Current Operating Plan (COP) Criteria, apply in the determination of the On-Line Combined Cycle Generation Resource for that Settlement hour.

(6) The capacity shortfall ratio share of a specific QSE for a particular RUC process is calculated, for a 15-minute Settlement Interval, as follows:

RUCSFRS *ruc, i, q* = RUCSF *ruc, i, q* / RUCSFTOT *ruc, i*

Where:

RUCSFTOT *ruc, i* = RUCSF *ruc, i, q*

(7) The RUC Shortfall in MW for one QSE for one 15-minute Settlement Interval is:

RUCSF *ruc, i, q* = Max (0, Max (RUCSFSNAP *ruc, q, i*, RUCSFADJ *ruc, q, i*) – RUCCAPCREDIT *q, i, z*)

(8) The RUC Shortfall in MW for one QSE for one 15-minute Settlement Interval, as measured at the snapshot, is:

RUCSFSNAP *ruc ,q ,i* = Max (0, ((RTAML *q, p, i* \* 4) +  RTDCEXP *q, p, i* – RUCCAPSNAP *ruc, q, i*))

(9) The amount of capacity that a QSE had according to the RUC snapshot for a 15-minute Settlement Interval is:

RUCCAPSNAP *ruc, q, i* = HASLSNAP *q, r, h* + (RUCCPSNAP *q, h* – RUCCSSNAP *q, h*) + (DAEP *q, p, h* –DAES *q, p, h*) + (RTQQEPSNAP *q, p, i* – RTQQESSNAP *q, p, i*) +  DCIMPSNAP *q, p, i*

(10) The RUC Shortfall in MW for one QSE for one 15-minute Settlement Interval, as measured at Real-Time, but including capacity from IRRs as seen in the RUC snapshot, is:

RUCSFADJ *ruc, q, i* = Max (0, ((RTAML *q, p, i*) \*4) +  RTDCEXP *q, p, i* – (HASLSNAP *ruc, q, r, h* + RUCCAPADJ *q, i*))

(11) The amount of capacity that a QSE had in Real-Time for a 15-minute Settlement Interval, excluding capacity from IRRs, is:

RUCCAPADJ *q, i* = ****HASLADJ *q, r, h* + (RUCCPADJ *q, h* – RUCCSADJ *q, h*) + (****DAEP *q, p, h* – ****DAES *q, p, h*) + (****RTQQEPADJ *q, p, i* – ****RTQQESADJ *q, p, i*) + **** RTDCIMP *q, p*

The above variables are defined as follows:

| Variable | Unit | Definition |
| --- | --- | --- |
| RUCSFRS *ruc, i, q* | none | *RUC Shortfall Ratio Share*—The ratio of the QSE *q*’s capacity shortfall to the sum of all QSEs’ capacity shortfalls, for the RUC process *ruc*, for the 15-minute Settlement Interval *i*. |
| RUCSF *ruc, i, q* | MW | *RUC Shortfall*—The QSE *q*’s capacity shortfall for the RUC process *ruc* for the 15-minute Settlement Interval *i*. |
| RUCSFTOT *ruc, i* | MW | *RUC Shortfall Total*—The sum of all QSEs’ capacity shortfalls, for a RUC process *ruc*, for a 15-minute Settlement Interval *i*. |
| RUCSFSNAP *ruc, q, i* | MW | *RUC Shortfall at Snapshot*—The QSE *q*’s capacity shortfall according to the snapshot for the RUC process *ruc* for the 15-minute Settlement Interval *i*. |
| RUCSFADJ *ruc, q, i* | MW | *RUC Shortfall at Adjustment Period*—The QSE *q*’s Adjustment Period capacity shortfall, including capacity from IRRs as seen in the snapshot for the RUC process *ruc*, for the 15-minute Settlement Interval *i*. |
| RUCCAPCREDIT *q, i, z* | MW | *RUC Capacity Credit by QSE*—The QSE *q*’s capacity credit resulting from capacity paid through the RUC Capacity-Short Amount for RUC process *z* for the 15-minute Settlement Interval *i*. |
| RTAML *q, p, i* | MWh | *Real-Time Adjusted Metered Load*—The QSE *q*’s Adjusted Metered Load (AML) at the Settlement Point *p* for the 15-minute Settlement Interval *i*. |
| RUCCAPSNAP *ruc, q, i* | MW | *RUC Capacity Snapshot at time of RUC*—The amount of the QSE *q*’s calculated capacity in the COP and Trades Snapshot for the RUC process *ruc* for a 15-minute Settlement Interval *i*.  |
| HASLSNAP *q, r, h* | MW | *High Ancillary Services Limit at Snapshot*—The HASL of the Resource *r* represented by the QSE *q*, according to the COP and Trades Snapshot for the RUC process for the hour *h* that includes the 15-minute Settlement Interval. Where for a Combined Cycle Train, the Resource *r* is a Combined Cycle Generation Resource within the Combined Cycle Train. |
| RTDCEXP *q, p, i* | MW | *Real-Time DC Export per QSE per Settlement Point*—The aggregated DC Tie Schedule through DC Tie *p* submitted by QSE *q* that is under the Oklaunion Exemption as an exporter from the ERCOT Region, for the 15-minute Settlement Interval *i*. |
|  |  |  |
| DCIMPSNAP *q, p, i* | MW | *DC Import per QSE per Settlement Point*—The approved aggregated DC Tie Schedule submitted by QSE *q* as an importer into the ERCOT System through DC Tie *p*, according to the snapshot for the RUC process for the hour that includes the 15-minute Settlement Interval *i*. |
| RTDCIMP *q, p* | MW | *Real-Time DC Import per QSE per Settlement Point*—The aggregated final, approved DC Tie Schedule submitted by QSE *q* as an importer into the ERCOT System through DC Tie *p*, for the 15-minute Settlement Interval. |
| RUCCPSNAP *q, h* | MW | *RUC Capacity Purchase at Snapshot*—The QSE *q*’s capacity purchase, according to the COP and Trades Snapshot for the RUC process for the hour *h* that includes the 15-minute Settlement Interval. |
| RUCCSSNAP *q, h* | MW | *RUC Capacity Sale at Snapshot*—The QSE *q*’s capacity sale, according to the COP and Trades Snapshot for the RUC process for the hour *h* that includes the 15-minute Settlement Interval. |
| RUCCAPADJ *q, i* | MW | *RUC Capacity Snapshot during Adjustment Period*—The amount of the QSE *q*’s calculated capacity in the RUC according to the COP and Trades Snapshot, excluding capacity for IRRs, at the end of the Adjustment Period for a 15-minute Settlement Interval *i.* |
| HASLADJ *q, r, h* | MW | *High Ancillary Services Limit at Adjustment Period*—The HASL of a non-IRR *r* represented by the QSE *q*, according to the Adjustment Period snapshot, for the hour *h* that includes the 15-minute Settlement Interval. Where for a Combined Cycle Train, the Resource *r* is a Combined Cycle Generation Resource within the Combined Cycle Train.  |
| RUCCPADJ *q, h* | MW | *RUC Capacity Purchase at Adjustment Period*—The QSE *q*’s capacity purchase, according to the Adjustment Period COP and Trades Snapshot for the hour *h* that includes the 15-minute Settlement Interval. |
| RUCCSADJ *q, h* | MW | *RUC Capacity Sale at Adjustment Period*—The QSE *q*’s capacity sale, according to the Adjustment Period COP and Trades Snapshot for the hour *h* that includes the 15-minute Settlement Interval. |
| DAEP *q, p, h* | MW | *Day-Ahead Energy Purchase*—The QSE *q*’s energy purchased in the DAM at the Settlement Point *p* for the hour *h* that includes the 15-minute Settlement Interval. |
| DAES *q, p, h* | MW | *Day-Ahead Energy Sale*—The QSE *q*’s energy sold in the DAM at the Settlement Point *p* for the hour *h* that includes the 15-minute Settlement Interval. |
| RTQQEPSNAP *q, p, i* | MW | *QSE-to-QSE Energy Purchase by QSE by point*—The QSE *q*’s Energy Trades in which the QSE is the buyer at the delivery Settlement Point *p* for the 15-minute Settlement Interval *i*, in the COP and Trades Snapshot. |
| RTQQESSNAP *q, p, i* | MW | *QSE-to-QSE Energy Sale by QSE by point*—The QSE *q*’s Energy Trades in which the QSE is the seller at the delivery Settlement Point *p* for the 15-minute Settlement Interval *i*, in the COP and Trades Snapshot. |
| RTQQEPADJ *q, p, i* | MW | *QSE-to-QSE Energy Purchase by QSE by point*—The QSE *q*’s Energy Trades in which the QSE is the buyer at the delivery Settlement Point *p* for the 15-minute Settlement Interval *i*, in the last COP and Trades Snapshot at the end of the Adjustment Period for that Settlement Interval. |
| RTQQESADJ *q, p, i* | MW | *QSE-to-QSE Energy Sale by QSE by point*—The QSE *q*’s Energy Trades in which the QSE is the seller at the delivery Settlement Point *p* for the 15-minute Settlement Interval *i*, in the last COP and Trades Snapshot at the end of the Adjustment Period for that Settlement Interval. |
| *q* | none | A QSE. |
| *p* | none | A Settlement Point. |
| *r* | none | A Generation Resource that is QSE-committed or planning to operate as a Quick Start Generation Resource (QSGR) for the Settlement Interval as shown by the Resource Status of OFFQS in the COP and Trades Snapshot and/or Adjustment Period snapshot; or RUC-decommitted for the Settlement Interval (subject to paragraphs (1) and (2) above); or a Switchable Generation Resource (SWGR) released by a non-ERCOT Control Area Operator (CAO) to operate in the ERCOT Control Area due to an ERCOT RUC instruction for an actual or anticipated EEA condition. If the Settlement Interval is a RUCAC-Interval, *r* represents the Combined Cycle Generation Resource that was QSE-committed at the time the RUCAC was issued. |
| *z* | none | A previous RUC process for the Operating Day. |
| *i* | none | A 15-minute Settlement Interval. |
| *h* | none | The hour that includes the Settlement Interval *i*.  |
| *ruc* | none | The RUC process for which this RUC Shortfall Ratio Share is calculated. |

6.6.3.4 Real-Time Energy Payment for DC Tie Import

(1) The payment to each QSE for energy imported into the ERCOT System through each DC Tie is calculated based on the Real-Time Settlement Point Price at the DC Tie Settlement Point. The payment for a given 15-minute Settlement Interval is calculated as follows:

RTDCIMPAMT *q, p* = (-1) \* RTSPP *p* \* (RTDCIMP *q, p* \* ¼)

The above variables are defined as follows:

|  |  |  |
| --- | --- | --- |
| Variable | Unit | Description |
| RTDCIMPAMT *q, p* | $ | *Real-Time DC Import Amount per QSE per Settlement Point*—The payment to QSE *q* for DC Tie import through DC Tie *p*, for the 15-minute Settlement Interval. |
| RTSPP *p* | $/MWh | *Real-Time Settlement Point Price per Settlement Point*—The Real-Time Settlement Point Price at Settlement Point *p*, for the 15-minute Settlement Interval. |
| RTDCIMP *q, p* | MW | *Real-Time DC Import per QSE per Settlement Point*—The aggregated final, approved DC Tie Schedule submitted by QSE *q* as an importer into the ERCOT System through DC Tie *p*, for the 15-minute Settlement Interval. |
| *q* | none | A QSE. |
| *p* | none | A DC Tie Settlement Point. |

(2) ERCOT shall pay each QSE for energy imported into the ERCOT System during a declared Emergency Condition through each DC Tie in response to an ERCOT Dispatch Instruction. The payment for a given 15-minute Settlement Interval is calculated as follows:

RTEDCIMPAMT *q, p* = (-1) \* Max {RTSPP *p*, (VEEPDCTP *q, p*\* CAEDCT)}\* (RTEDCIMP *q, p* \* ¼)

The above variables are defined as follows:

|  |  |  |
| --- | --- | --- |
| Variable | Unit | Description |
| RTEDCIMPAMT *q, p* | $ | *Real-Time Emergency DC Import Amount per QSE per Settlement Point*—The payment to QSE *q* for emergency DC Tie import through DC Tie *p*, for the 15-minute Settlement Interval. |
| RTSPP *p* | $/MWh | *Real-Time Settlement Point Price per Settlement Point*—The Real-Time SPP at Settlement Point *p*, for the 15-minute Settlement Interval. |
| FIP | $/MMBtu | *Fuel Index Price*—As defined in Section 2, Definitions and Acronyms. |
| RTEDCIMP *q, p* | MW | *Real-Time Emergency DC Import per QSE per Settlement Point*—The aggregated DC Tie Schedule for emergency energy imported by QSE *q* into the ERCOT System during Emergency Conditions through DC Tie *p*, for the 15-minute Settlement Interval. |
| VEEPDCTP *q, p* | $/MWh | *Verified Emergency Energy Price at DC Tie Point*—The ERCOT verified cost for the energy imported by QSE *q* into the ERCOT System during declared Emergency Condition through a DC Tie *p* as instructed by a Dispatch Instruction. |
| CAEDCT | # | *Cost Adder for Emergency DC Tie Import*—A multiplier of 1.10. |
| *q* | none | A QSE. |
| *p* | none | A DC Tie Settlement Point. |

(3) The total of the payments to each QSE for all energy imported into the ERCOT System through DC Ties for the 15-minute Settlement Interval is calculated as follows:

RTDCIMPAMTQSETOT *q, p* = (RTDCIMPAMT *q, p*+ RTEDCIMPAMT *q, p*)

The above variables are defined as follows:

| Variable | Unit | Definition |
| --- | --- | --- |
| RTDCIMPAMTQSETOT *q, p* | $ | *Real-Time DC Import Amount QSE Total per QSE*⎯The total of the payments to QSE *q* for energy imported into the ERCOT System through DC Ties *p,* for the 15-minute Settlement Interval. |
| RTDCIMPAMT *q, p* | $ | *Real-Time DC Import Amount per QSE per Settlement Point*—The payment to QSE *q* for DC Tie import through DC Tie *p*, for the 15-minute Settlement Interval. |
| RTEDCIMPAMT *q, p* | $ | *Real-Time Emergency DC Import Amount per QSE per Settlement Point*⎯The payment to QSE *q* for emergency DC Tie import through DC Tie *p*, for the 15-minute Settlement Interval. |
| *q* | none | A QSE. |
| *p* | none | A DC Tie Settlement Point. |