



Urgent Finance and Audit (F&A) Committee Meeting By Teleconference
 Live Public Webcast Available on ERCOT.com (*details at end of agenda*)
 December 7, 2020; 9:00 a.m. to 12:00 p.m.*

Item	Topic	Topic Type	Presenter
1.	Call General Session to Order, Announce Proxies and Segment Alternates	--	Chair
2.	General Session Meeting Minutes	Vote	Chair
2.1.	February 11, 2020 Meeting	Vote	--
2.2.	August 10, 2020 Urgent Meeting	Vote	--
2.3.	October 12, 2020 Urgent Meeting	Vote	--
3.	Results of Examination and Independence Report from the System and Organization Control Auditor	Discussion	Schellman & Company
4.	Recommendation Regarding Acceptance of 2020 System and Organization Control Audit Report	Vote	Christine Hasha and Schellman & Company
5.	Periodic Report on Credit Work Group Activity	Discussion	Mark Holler, CWG Chair
6.	Annual Committee Self-Evaluation Survey Results	Discussion	Chair
7.	Committee Briefs	Discussion	Staff
7.1.	Review 2020 Financial Summary	Discussion	Sean Taylor
7.2.	Periodic Report on Investments	Discussion	Leslie Wiley
8.	Future Agenda Items	Discussion	Sean Taylor
9.	Other Urgent Business	Discussion	Chair
	Break		
	Convene Executive Session	--	--
	Executive Session Meeting Minutes	Vote	Chair
	• February 11, 2020 Meeting	Vote	--
	• August 10, 2020 Urgent Meeting	Vote	--
	• October 12, 2020 Urgent Meeting	Vote	--
	Contract, Personnel, Security, Compliance, Risk Management, Litigation and Regulatory Matters	Discussion	Staff
	Recommendation Regarding Selection of 2021 Independent System and Organization Control Auditor	Discussion	Sean Taylor
	Recommendation Regarding Selection of ERCOT 401(k) Savings Plan Auditor	Discussion	Sean Taylor
	Recommendation Regarding Selection of Independent Financial Auditor	Discussion	Sean Taylor
	Recommendation Regarding Selection of Independent System and Organization Control Auditor	Discussion	Sean Taylor
	Periodic Report on Internal Audit Activity	Discussion	Penny Rychetsky



	Reconvene General Session	--	--
10.	Vote on Matters from Executive Session	Vote	Chair
11.	Adjournment	--	Chair

* The conclusion time shown on the Agenda is approximate.
The meeting may conclude earlier or later than the noted time.
Matters may also be taken out of Agenda order.

The next regularly-scheduled F&A Committee Meeting is expected to be held on
February 8, 2021.

A live meeting webcast will be available on the main web page for the Board:
http://www.ercot.com/committee/finance_audit.

Any person who wants to provide public comment on the agenda items can do so by sending an email to Vickie Leady, ERCOT Assistant General Counsel and Assistant Corporate Secretary, at Vickie.Leady@ercot.com by 5:00 pm on Friday, December 4, 2020, with the following information:

- a. Your name
- b. The company or organization that you represent, if applicable
- c. Your preferred email address
- d. Your telephone number where you can be reached immediately prior and during the urgent F&A Committee meeting
- e. The agenda item for which you plan to provide comment
- f. The general substance of your comment

Upon receipt of this information, a unique personal identifier, technical information on participation, and allotted time limit will be provided to each participant in advance of the meeting.

If you experience technical problems associated with the webcasting service, please contact webcast service provider Swagit Productions directly by phone at (214) 432-5905 (main office) or (800) 573-3160 (toll free).