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| **Texas SET Change Control Request Form**  **Change Control Number: 2020-826**  **Implementation Version: 4.0A** |

**This Section Is Completed by Submitter of Change Control Request Only:**

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| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:**  ERCOT | **Phone Number:**  512-248-6747 |
| **Date of Submission:**  10/5/2020 | **Affected TX SET Transaction(s):**  814\_25 | **Submitter’s E-Mail Address:**  Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:** | **Protocol Impact (Y/N):**  N |
| **Detailed Description and Reason for Proposed Change(s):** Update the 814\_25 Guide to allow for the FRB ‘Invalid Billing Type’ Reject Code. This code is valid on the 814\_04 transaction. In the event the order is a Move Out to CSA, this code should be added to the 814\_25 to allow ERCOT to pass the reject code to the CR. | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com) and RMS Chair***.*** | | |

**For ERCOT Change Control Manager Use Only:**

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| **Texas SET Recommendation:**  Approve for Texas SET 4.0A | **Recommendation for Emergency (Y/N):**  Y | **Date of TX SET Recommendation:**  10/8/2020 |
| **Detailed Description and Reason for Revision:**  Texas SET Recommends RMS approves Change Control 2020-826 as Emergency for Texas SET 4.0A | | |
| **RMS Decision:**  Approved for Texas SET 4.0A | **Emergency (Y/N):**  Y | **Date of RMS Decision:**  10/14/2020 |
| **Summary of RMS Discussion:**  Through email vote, RMS approves Texas SET Change Control 2020-826 as submitted, as emergency, and for Texas SET Version 4.0A Release. | | |

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

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| **Notes:** |  | More than one rejection reason code may be sent by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI ID exists but is not active | | | | |
|  | | | | | | Retired | | | |
|  | | | | 017 |  | Service Terminated Because the Service Provider Went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT Use Only. | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | A84 |  | Invalid Relationship | | | | |
|  | | | | | | CR does not have a valid relationship with this customer for this action. Submitting CR is not, or is not scheduled to be the Rep of Record at date of request. MIMO Rules, ERCOT 6, TDSP 3 | | | |
|  | | | | ABN |  | Duplicate Request Received | | | | |
|  | | | | | | Used by TDSP to reject an 814\_24, which contains the same value in the BGN02 as a previously submitted 814\_24. The ABN code is to be used only for transactions between the TDSP and ERCOT. This code is not used on the 814\_25 for the transaction sent by ERCOT to the CR. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ANK |  | Invalid Source Information | | | | |
|  | | | | | | Unnecessary Billing Information Included | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | BIM |  | Billing Information Missing | | | | |
|  | | | | | | Information required in the N1~BT (Customer Billing Loop) not received.  Used by MOU / Coop only | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DOT |  | Duplicate Original Transaction ID | | | | |
|  | | | | | | Original Transaction ID (BGN02) already submitted on ESI-ID. For ERCOT Use Only. MIMO Rules, ERCOT 27 | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. MIMO Rules, ERCOT 27. For ERCOT Use Only. | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | FRB |  | Incorrect Billing Type (REF~BLT) Requested | | | | |
|  | | | | | | Billing type indicated not supported by billing party | | | |
|  | | | | FRG |  | Backdated Transaction Not Valid | | | | |
|  | | | | | | Backdated requested date is prior to transaction already completed or scheduled. For ERCOT Use Only. MIMO Rules, ERCOT 24 | | | |
|  | | | | IBO |  | Invalid Backdate Originator | | | | |
|  | | | | | | Backdated request not part of a coordinated back-office clean up. MIMO Rules, ERCOT 24. | | | |
|  | | | | IMI |  | Invalid Membership Number or ID | | | | |
|  | | | | | | Membership ID or account number used by the MOU/EC TDSP does not exist, is inactive, or is otherwise invalid. For MOU/EC use only. | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NFI |  | Not First In | | | | |
|  | | | | | | Explanation Required in REF03. An initiating transaction has a requested date that is the same as the scheduled meter read date on another scheduled transaction. For ERCOT Use Only. MIMO Rules, ERCOT 1. | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date. For ERCOT Use Only. | | | |
|  | | | | SBD |  | Scheduled to be De-energized | | | | |
|  | | | | | | ESI ID exists but scheduled to be de-energized on date requested. Used only by ERCOT after retry period on an 814\_25. MIMO Rules, ERCOT 4, 5. | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Requested date caused conflict with transaction currently scheduled. Currently scheduled transaction scheduled to execute within 2 business days. MIMO Rules, ERCOT 3. | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
|  | | | | ZIP |  | Invalid Zip Code | | | | |
|  | | | | | | Only applicable to the first five characters of the zip code, which are used for validation. ERCOT is the only entity that may validate on Zip Code. | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13", "API" and "NFI", require a text explanation in this element. | | | | | | | |