* 1. Align Retail Market Subcommittee Goals with TAC goals and the strategic vision of the ERCOT Board of Directors.
  2. Maintain rules that support Retail Market processes and promote market solutions that are consistent with PURA and PUC.
  3. Collaborate with WMS to ensure the incorporation of demand response and load participation in the Wholesale market including participation in the ERCOT annual demand response survey.
  4. Support ERCOT’s initiatives to develop retail processes for integrating or transitioning Load into ERCOT as needed.
  5. Explore and implement Retail Market enhancements, process improvements, cost efficiencies, and evaluate lessons learned from previous events.
  6. Maintain market rules that support open access to the ERCOT retail market.
  7. Continue to work with ERCOT to develop Protocols and other market improvements that support increased data transparency and data availability to the market.
  8. Assess and develop Retail Market training initiatives that may include ERCOT’s Learning Management System’s (LMS) online modules and Instructor Led Market Training courses and/or webinars.
  9. Assess and improve communications and notifications processes for all Market Participants including ERCOT.
  10. Work with ERCOT staff and Transmission and Distribution Service Provider staff to address issues and facilitate improvements to market rules pertaining to load profiling as reflected in the ERCOT Protocols and the Load Profiling Guide.
  11. Monitor Retail Load Profiling Annual Validation.
  12. Support FlighTrak testing and implementation and continue to monitor performance post-implementation.
  13. Support ERCOT’s Summer preparedness efforts including Mass Transition drill and associated workshops.

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| ***Retail Market Subcommittee (RMS) 2019  Approved Goals Year End Report Card*** | **Status – 2019 End of Year Review** | | | **Comments:** |
| **Pending** | **Complete** | **Cancelled/ Withdrawal** |
| 1. Align Retail Market Subcommittee Goals with TAC goals and the strategic vision of the ERCOT Board of Directors. |  |  |  |  |
| 1. Maintain rules that support Retail Market processes and promote market solutions that are consistent with PURA and PUC. |  |  |  | **Texas SET Future Release** – Work continued to finalize plans.  **Swimlanes.** Updated the Texas SET Swimlanes  • PR 288\_01; EDI Translator - Recommended the discontinuation of two MIS data extracts that were no longer utilized in the market (RMGRR TBD)  • PR 288\_02; NAESB TLS 1.2 Upgrade |
| 1. Collaborate with WMS to ensure the incorporation of demand response and load participation in the Wholesale market including participation in the ERCOT annual demand response survey. |  |  |  | **NPRR933 –** Worked with ERCOT on enhancements to 2019 DR reporting by REPs and NOIEs, hosting a workshop and developing improved processes that could be incorporated into future amendments to NPRR933. |
| 1. Support ERCOT’s initiatives to develop retail processes for integrating or transitioning Load into ERCOT as needed. |  |  |  | **Lubbock.** Continue to monitor Lubbock’s entry to ERCOT and potential for retail choice. No action items as a result of discussion; pending further action by PUCT. |
| 1. Explore and implement Retail Market enhancements, process improvements, cost efficiencies, and evaluate lessons learned from previous events. |  |  |  | Approved the following RMGRRs:   * **RMGRR157,** Internet-Based Safety-Net Submittals * **RMGRR158,** Revisions to Emergency Operating Procedures for Extended Unplanned System Outages * **RMGRR159,** Related to NPRR908 Revisions to Mass Transition Procedures * **RMGRR160,** Administrative Change for February 1, 2019 Retail Market Guide – Appendix B1 & B2 Formatting Update * **RMGRR167,** Revisions to Safety-Net Process   Reviewed & Endorsed the following NPRRs:   * **NPRR908**, Revisions to Mass Transition Process * **NPRR928**, Cybersecurity Incident Notifications * **NPRR946**, Allow TDSP to Use 814\_28 Complete Un-executable Transactions for 814\_03 Switch Transactions Involved in A Mass Transition Event * **NPRR954**, Allow Opt Out of 867 EPS Data * **NPRR969,** Clean-Up of Protocol 19.8, Retail Market Testing * **SCR801, 867\_03 Final(s)** Global Process ID Correction Request for IDR ESI ID(s) Posted to the 867 Activity Report   **OBDRR016**, Updates to the Texas Market Test Plan (TMTP) – Approved changes to address testing efficiency, effective December 1, 2019.  **TXSET Change Control Request- 2019-808**: Add a new SAC04 code of "SER141" for Unmetered Pole Attachment Service Charge- Approved pending final PUC Decision in CNP Rate Case to allow for new charge  **TXSET Change Control Request- 2019-809:** Requesting a new Construction Hold Pending Code (CHP) to the 814\_04 and 814\_05 to Help REPs Identify the reason for potential delays on a MVI Request  **Workshops** to discuss Retail issues:   * Mass Transition Testing * Oncor/AEP asset swap transition * Centerpoint CIS Conversion |
| 1. Maintain market rules that support open access to the ERCOT retail market. |  |  |  | **2020 Market Test Flight Schedule:**  <http://www.ercot.com/content/wcm/key_documents_lists/89630/Approved_2020_Test_Flight_Schedule.docx>  **2020 Retail Market Services SLA**  <http://www.ercot.com/content/wcm/key_documents_lists/89630/Approved_2020_Test_Flight_Schedule.docx> |
| 1. Continue to work with ERCOT to develop Protocols and other market improvements that support increased data transparency and data availability to the market. |  |  |  | **NPRR877**, Developing a matrix outlining operational impacts/options related to “unboxed” grey box language from NPRR 877 effective Jan 2020. |
| 1. Assess and develop Retail Market training initiatives that may include ERCOT’s Learning Management System’s (LMS) online modules and Instructor Led Market Training courses and/or webinars. |  |  |  | **2019 Retail Market Instructor-Led Training** Statistics:   * 7 different classes in 3 different cities (Austin, Dallas, Houston) * 4 topics: Retail 101, TXSET 101, MarkeTrak, Inadvertent Gains * Attended by 287 different Market Participants (REPs, TDSPs, Service Providers, PUCT Staff, ERCOT) * 1,784 individuals have viewed/completed RMTTF online training modules |
| 1. Assess and improve communications and notifications processes for all Market Participants including ERCOT. |  |  |  | **RMGRR156**, Market Notice Communication Process Clean Up to support the TSRTF with communications and notification process improvements  **RMGRR157**, Safety-Net Automation. Allows TDSPs to automate the safety-net submittal process.  **RMGRR167**, Revisions to Safety-Net Process |
| 1. Work with ERCOT staff and Transmission and Distribution Service Provider staff to address issues and facilitate improvements to market rules pertaining to load profiling as reflected in the ERCOT Protocols and the Load Profiling Guide. |  |  |  | Approved LPGRRs:   * LPGRR064, Updates to LPG for TAC Subcommittee Restructuring * LPGRR065, Annual Validation Process Revisions |
| 1. Monitor Retail Load Profiling Annual Validation. |  |  |  | Complete |
| 1. Support FlighTrak testing and implementation and continue to monitor performance post-implementation. |  |  |  | **Flight Testing.** Complete, Monitored Flight Testing and Updated Changes to Scripts. |
| 1. Support ERCOT’s Summer preparedness efforts including Mass Transition drill and associated workshops. |  |  |  | **Summer Preparedness Workshop held March 4, 2019.**   * Discussed energy emergency procedures and roles/responsibilities for ERCOT, TDSPs and CRs in preparation for 2019 summer. Reviewed Market Notice process and information channels available to CRs for Customer communications during an energy emergency situation. * Reviewed information distributed via TDSPs – social media, text messages, mobile alerts, etc. * Reviewed processes related to EEA 3 and rotating outages on Distribution system.   **Mass Transition Preparation:**   * **Workshop** March 5, 2019. Reviewed Mass Transition testing requirements and plan for executing Mass Transition test. Test held Q2 2019. Included ERCOT, TDSPs, POLR CRs, Service Providers. Testing Volume: approx. 3,500 ESIIDs * **Drill.**  April 10-13.  3533 Mass Transition orders were completed successfully. Successful processing of “competitive” enrollment orders up to 7:00pm CT of Mass Transition Date. Enhanced Market communication and timing for ERCOT, CRs, and TDSPs. |
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