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| RMGRR Number | [161](http://www.ercot.com/mktrules/issues/RMGRR161) | RMGRR Title | Clarifications to Content of Notice to Affected Parties of a Mass Transition |
| Date of Decision | | September 25, 2019 | |
| Action | | Approved | |
| Timeline | | Normal | |
| Effective Date | | October 1, 2019 | |
| Priority and Rank Assigned | | Not Applicable | |
| Retail Market Guide Sections Requiring Revision | | 7.11.1.1.1, Mass Transition Initiation | |
| Related Documents Requiring Revision/Related Revision Requests | | None | |
| Revision Description | | This Retail Market Guide Revision Request (RMGRR) aligns Retail Market Guide (RMG) language with that in subsection (u) of P.U.C. Subst. R. 25.43, Provider of Last Resort (POLR), by specifying the required contents of Market Notices notifying Market Participants of a Mass Transition. | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](http://www.ercot.com/content/wcm/lists/144926/ERCOT_Strategic_Plan_2019-2023.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | |
| Business Case | | Aligning RMG language with Public Utility Commission of Texas (PUCT) Substantive Rules provides additional clarification to parties affected by a Mass Transition and will help ensure that Mass Transition Market Notices are in compliance with the PUCT Substantive Rules. | |
| RMS Decision | | On 8/6/19, RMS unanimously voted to recommend approval of RMGRR161 as submitted. The Independent Power Marketer (IPM) Market Segment was not present for the vote.  On 9/10/19, RMS unanimously voted to endorse and forward to TAC the 8/6/19 RMS Report and Impact Analysis for RMGRR161. All Market Segments were present for the vote. | |
| Summary of RMS Discussion | | On 8/6/19, there was no discussion.  On 9/10/19, there was no discussion. | |
| TAC Decision | | On 9/25/19, TAC unanimously voted to approve RMGRR161 as recommended by RMS in the 9/10/19 RMS Report. All Market Segments were present for the vote. | |
| Summary of TAC Discussion | | On 9/25/19, there was no discussion. | |

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| Market Segment | Not Applicable |

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| **Comments Received** | |
| Comment Author | **Comment Summary** |
| None |  |

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| Market Rules Notes |

Administrative changes to the language were made and authored as “ERCOT Market Rules.”

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| Proposed Guide Language Revision |

7.11.1.1.1 Mass Transition Initiation

(1) If ERCOT has reason to expect that it may be necessary to initiate a Mass Transition on a given Business Day, it may notify PUCT Staff and potentially affected TDSPs and POLRs that a Mass Transition might commence that day. Such notification shall not disclose the name or DUNS # of the potential Losing CR, the number of ESI IDs involved, or any other Protected Information.

(2) If ERCOT determines that no Mass Transition is necessary, and preliminary notice has been provided to potentially affected parties in accordance with paragraph (1) above, ERCOT shall then notify PUCT Staff and the potentially affected TDSPs and POLRs that the Mass Transition will not occur on that Business Day.

(3) Upon confirmation that a Mass Transition will occur, ERCOT shall provide an initial Mass Transition Market Notice to affected TDSPs, POLRs, the Losing CR, and appropriate PUCT Staff. If a Mass Transition is initiated on a Business Day prior to a weekend or ERCOT holiday, the initial Mass Transition project coordination call will be scheduled for that Business Day. See Section 9, Appendices, Appendix F2, Timeline for Initiation of a Mass Transition. The initial Mass Transition Market Notice shall include:

(a) Confirmation of a Mass Transition event;

(b) The name and DUNS # of the Losing CR;

(c) The total number of ESI IDs of the Losing CR;

(d) The estimated Load of the Losing CR;

(e) The Mass Transition Date; and

(f) Logistical details for the initial Mass Transition project coordination call, which will be scheduled for the same or the next Business Day. If the Mass Transition is initiated on a Business Day prior to a weekend or ERCOT holiday the initial project coordination call must be scheduled for the same Business Day.

(4) The same day as and following the initial Mass Transition Market Notice to affected parties, ERCOT will provide a Mass Transition Market Notice to Transition/Acquisition contacts for Load Serving Entities (LSEs) and TDSPs, and the Retail Market Subcommittee (RMS) e-mail ListServ. This Market Notice shall include:

(a) Confirmation of a Mass Transition event;

(b) The name and DUNS # of the Losing CR;

(c) The total number of ESI IDs of the Losing CR;

(d) The estimated Load of the Losing CR; and

(e) The Mass Transition Date.

(5) Should issues arise that are not addressed in this document or the ERCOT Nodal Protocols, ERCOT and the affected parties will work to resolve such issues.