**Mass Transition Module**

**DRAFT Outline Updated 03\_07\_19**

1. Course Objectives
   1. Understanding what a Mass Transition is and why it occurs
   2. Describe How a Mass Transition is Executed and Completed
   3. Communicate Roles and Requirements of MPs involved in a Mass Transition
2. Understanding A Mass Transition
   1. During the course of business in the Texas retail electric market, circumstances maynecessitate the expeditious transfer of large numbers of Customers from one Market Participant to another
      1. NOTE: This module will follow a typical mass transition process of a Defaulting CR.
   2. The goal of the transition process is to transfer responsibility for all affected Electric Service Identifiers (ESI IDs) while also honoring the Customer’s choice to switch to its chosen CR.
3. Who are the market participants involved:
   1. PUCT
   2. ERCOT will be responsible for administering and managing transition events.
   3. TDSPs
   4. Defaulting CR
   5. POLR CRs (VREPs/LSPs)
      1. VREPs (Volunteer REP) apply and are approved to serve a select number of ESID’s for each customer class in each POLR area (TDSP territory)
      2. LSPs (Large Service Provider) a REP designated by the PUCT to provide POLR service for each customer class in each POLR area (TDSP territory)
         1. VREP’s can also be LSP’s

*NOTE: CR and REP will be used interchangeably for this discussion.*

* 1. ~~Non-POLR CRs aka any CR that is not the Defaulting CR.~~
     + 1. ~~Non-POLR CR’s can also be VREPs or LSPs~~
  2. Customers
     + 1. Retail customers served by the defaulting CR and transitioned to a VREP/LSP or another CR of their choice (Non-POLR CR)

1. What are their responsibilities?
   1. PUCT Staff
      1. Coordinates with ERCOT staff on the initiation of a mass transition event
      2. Oversight of the mass transition event
      3. Participates in Daily Project Coordination Calls
      4. Manages the Decertification Process of the Defaulting CR
   2. ERCOT
      1. Coordinates with PUCT staff before, during, and after a mass transition event
      2. Provides Market Notifications
         1. General Market Notice informing of a Market Participant Default
         2. Specified Notice to Impacted Market Participants (TDSP’s, POLR’s (VREPs/LSPs, Defaulting CR, PUCT Staff)
         3. Customers are informed via official commission seal postcard, automated phone call, email. (to the extent the information is available)

* + 1. Performs ESI allocation between VREPs and LSPs per PUCT 25.43 (for each customer class in each POLR area)
    2. Manages Mass Transition Event
       1. Initiate Drop to POLR transaction (814-03TS)
       2. Distribution of the defaulting CR’s CBCI file (customer billing contact information ) applicable to the appropriate VREP/LSP
       3. Monitor and send 814-08 cancel transactions to impacted market participants when necessary
    3. Facilitates Daily Project Coordination Calls
    4. Determine the Completion of the Mass Transition Event
  1. TDSPs
     1. Execution of the transactions for the mass transition event
        1. Prepare systems to receive 814-03TS (drop to POLR) transactions
        2. Schedule the drop to POLR transaction for the mass transition date
        3. Perform Meter reads on mass transition date
        4. Sends to ERCOT 867-03F or 867-04
     2. Participate in Daily Project Coordination Calls

Need to include somewhere: Allow processing of competitive non-POLR transactions (814-01/814-16)

* 1. Defaulting CR
     1. Provide ERCOT updated CBCI file
     2. Customer Notification of default
     3. Participate in Daily Project Coordination Calls
  2. POLR CRs (VREPs/LSPs)
     1. Prepare systems to receive 814-14 drop to POLR transactions
     2. Process CBCI file if applicable
     3. Provide Customer communication as required in PUCT 25.43
     4. Participate in Daily Project Coordination Calls
     5. Establish Customer relationship for ESID’s acquired through mass transition event
  3. ~~Non-POLR CRs~~ 
     1. ~~Send Non-POLR Transactions (Switch or Move-In)~~
  4. Customers
     1. Open to choose CR of choice without penalty from defaulting CR or VREPs/LSP’s

1. Definitions
   1. Retail Market Guide 7.11
      1. Definitions in 7.11.1
      2. Slide 3 in Jim’s MT Deck
         1. Transition Date
      3. 5 - Day Timeline for Transactional Completion
      4. CBCI File Format, Data Content and Timing
2. How does the transition occur? What is the timeline?
   1. Slide 4 of Jim’s MT Deck
3. TX SET 101 Mass Transition Flow Diagram
   1. Prioritizing Customer Choice
      1. Before transition date
      2. On transition date after 19:00 (7:00 PM)—moved to the next AMS Operational Day or Business Day depending on service requested (MVI vs. SWI)
      3. After transition date