Texas SET Meeting

October 24, 2018

Scope:

1. Test 10,000 ESI IDs Drop to POLR (See TDSP allotment below)
2. Test up to 10 ESI IDs per CR by sending non-POLR switches or move-ins
3. Test VREP (Volunteer) and POLR (LSP-Large Service Provider) allocation of Drop to POLR transactions

Success Criteria:

1. TDSPs to transition the Drop to POLR ESI IDs with the transition scheduled date.
2. TDSPs to complete non-POLR switches or move-ins if received and accepted by the TDSP up to 19:00 CPT of the transition scheduled date.
3. Exceptions will be completed with the transition scheduled date or the scheduled date of the non-POLR transaction.

Note: ERCOT Business Process Instance (BPI) is complete when the 867\_04 or 867\_03F is received and processed.

Pre-Mass Transition Fire Drill Workshop

Agreed to

10,000 ESI IDs

4,000 ONCOR

3,000 Centerpoint Energy

2000 AEP

1000 TNMP

? Nueces—ERCOT to determine if Nueces should be involved and volume.

Setup Requirements

1. TDSPs develop list of ESI IDs needed for testing to send to ERCOT – Day 1
2. How do we get ESI IDs into RMTE?
   1. 814\_20s for all ESI IDs. ERCOT needs to verify all ESI IDs are available in the RMTE.
3. TDSPs consider setting up Default CR DUNS in test systems. As a Fall Back ERCOT will generate MVIs for TDSPs requiring their assistance.
   1. Note: test regions require manual completions for MVIs. Verify status of all ESI IDs at ERCOT.
   2. ERCOT to support the market developed method to set up the ESI IDs in a state needed for this test. Will be discussed further at the November 6, 2018 Workshop.
4. Timeline for setup: No later than January 14, 2019?
5. ERCOT – will they issue competitive switch transactions? If not, CRs will need to be given a list of ESI IDs for competitive switches.
   1. How much lead time will CRs need to set up ESI IDs?
   2. Recommend sending the list of ESI IDs to be used in the test to the CRs.
6. How many competitive switches will be included into population of 10,000   
   ESI IDs?
   1. ERCOT hope to have this number developed at the November 6, 2018 Workshop.
   2. The meeting attendees would like to suggest that 5% or less, of the total 10,000 ESI IDs, competitive transactions are sent.
   3. CRs that will be sending competitive transactions need x days to set up the ESI IDs in their system.
      1. If the CRs get the list of ESI IDs when the TDSPs send the list to ERCOT, the days lead time needed may be less.
7. Test competitive MVI or Switch for scheduled date prior to or on scheduled “TS” date.
   1. Meeting attendees recommend a maximum of 10 ESI IDs assigned per CR for competitive transactions.

Mass Transition Events

\* Please note the list of Mass Transition Events corresponds with the timeline listed in the draft RMGRR on pages 10 & 11 as shown below. <http://www.ercot.com/content/wcm/key_documents_lists/139245/13._DRAFT_XXXRMGRR-01_Related_to_NPRRXXX_Revisions_to_Mass_Transition_Processes_10XX18.doc>

1. Email Notice to market by ERCOT – Day 0
2. Market Call – Day 0 or Day 1\*
3. ERCOT triggers “TS” transactions for 10,000 ESI IDs Day 0 or Day 1\* – DTW 2 calendar days from current.
4. Market Call – Day 1—Status Competitive orders MVI / Switch ROBO call, email, test messages, commission letters, CBCI information to REPs.
5. Market Call Day 2—Effective date of “TS” transactions status, competitive orders MIV / Switches w accepted until 19:00 CPT – TDSPs may send 867\_04s with completion of “TS”.
6. Market Call Day 3-Status. TDSPs to send 867\_04 / 03s.Depending upon when effective date “TS” completes. May not generate until Day 5 market call.
7. Market Call Day 4—Status. TDSPs provide 867\_04s or 867\_03s if outstanding.