

# Standards Subject to Future Enforcement

Rachel Coyne, Manager, Reliability Standards Program

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# Recently Enforceable Standards

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Standard/Requirement	Enforcement Date
BAL-002-2	1/1/2018
PRC-026-1 R1	1/1/2018 (Phased in IP)

# Standards Upcoming Enforcement/Effective Dates – 4/1/2018

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Standard/Requirement	Enforcement Date
IRO-018-1(i)	4/1/2018
TOP-010-1(i)	4/1/2018

# Standards Upcoming Enforcement/Effective Dates – 7/1/2018

Standard/Requirement	Enforcement Date
MOD-025-2 R1, R2, R3	80% by 7/1/2018
MOD-026-1 R2	30% by 7/1/2018
MOD-027-1 R2	30% by 7/1/2018
PRC-019-2 R1, R2	80% by 7/1/2018
PRC-024-2	80% by 7/1/2018
TOP-001-4*	7/1/2018
TPL-007-1 R2	7/1/2018

\* The initial test of primary Control Center data exchange capabilities specified in Requirements R21 and R24 must be completed within 90 calendar days of the effective date of TOP-001-4.

# Standards Upcoming Enforcement/Effective Dates – 1/1/2019

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Standard/Requirement	Enforcement Date
BAL-005-1	1/1/2019
FAC-001-3	1/1/2019

# Standards Upcoming Enforcement/Effective Dates – 4/1/2019

Standard/Requirement	Enforcement Date
EOP-004-4	4/1/2019
EOP-005-3	4/1/2019
EOP-006-3	4/1/2019
EOP-008-2	4/1/2019

# Standards Upcoming Enforcement/Effective Dates – 10/1/2019

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Standard/Requirement	Enforcement Date
PRC-025-1	10/1/2019

# Standards Upcoming Enforcement/Effective Dates – 1/1/2021

Standard/Requirement	Enforcement Date
PRC-012-2*	1/1/2021

\*See table for initial performance dates.



## MOD-025-2 Implementation Plan Dates

Effective Date:		1st day of first calendar quarter 2 years following regulatory approval				7/1/2016	
R1, R2, R3							
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by	
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019

[MOD-025-2 Implementation Plan](#)

# MOD-026-1, MOD-027-1 Implementation Plan Dates

R1, R3, R4, R5, R6		Compliant by		12 months following regulatory approval		7/1/2014	
R2							
30% Compliant by		50% Compliant by			100% Compliant by		
4 years	7/1/2018	6 years	7/1/2020	10 years	7/1/2024		

[MOD-026-1 Implementation Plan](#)

[MOD-027-1 Implementation Plan](#)

# PRC-005-6 Implementation Dates

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- **Implementation Plan**
- **Implementation Plan – Calendar View**
- **Implementation Plan – Requirements View**

# PRC-012-2 Initial Performance Dates

Requirement No.	Initial Performance verbiage in IP	Initial Performance by
R1	N/A	N/A
R2	N/A	N/A
R3	N/A	N/A
R4	For existing RAS, initial performance must be completing within 5 full calendar years after the effective date of PRC-012-2. For new or functionally modified RAS, initial performance must be completed within 5 full calendar years after the date the RAS is approved by the RC under Requirement R3	1/1/2026 or 5 years after RC approves RAS
R5	N/A	N/A
R6	N/A	N/A
R7	N/A	N/A
R8	For each RAS not designated as limited impact, initial performance of obligations under Requirement R8 must be completed at least once within six (6) full calendar years after the effective date for PRC-012-2, as described above. For each RAS designated as limited impact, initial performance of obligations under Requirement R8 must be completed at least once within twelve (12) full calendar years after the effective date for PRC-012-2, as described above.	1/1/2027 or 12 years for limited impact RAS
R9	For each Reliability Coordinator that does not have a RAS database, the initial obligation under Requirement R9 is to establish a database by the effective date of PRC-012-2. Each Reliability Coordinator will perform the obligation of Requirement R9 within twelve full calendar months after the effective date of PRC-012-2, as described above.	N/A

## PRC-019-2 Implementation Dates

Effective Date:		1st day of first calendar quarter 2 years following regulatory approval					7/1/2016
R1 and R2							
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by	
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019

**NOTE:** PRC-019-1 retires before becoming enforceable, however, the implementation plan supports PRC-019-2. All aspects of the Implementation Plan for PRC-019-1 will remain applicable to PRC-019-2 and are incorporated here by reference.

[PRC-019-1 Implementation Plan](#)  
[PRC-019-2 Implementation Plan](#)

## PRC-024-2 Implementation Dates

Effective Date:		1st day of first calendar quarter 2 years following regulatory approval					7/1/2016
<b>R1, R2, R3, and R4</b>							
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by	
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019

**NOTE:** PRC-024-1 retires before becoming enforceable, however, the implementation plan supports PRC-024-2

[PRC-024-1 Implementation Plan](#)  
[PRC-024-2 Implementation Plan](#)

# PRC-025-1 Implementation Dates

Effective Date	First day of the first calendar quarter beyond the date the standard is approved by applicable government authorities	10/1/2014
<b>For load-responsive protective relays subject to the standard.</b>		
Where determined by the GO, TO, or DP that replacement or removal is not necessary, the first day 60 months after applicable regulatory approvals	Implementation Plan	10/1/2019
Where determined by the GO, TO, or DP that replacement or removal is necessary, the first day 84 months after applicable regulatory approvals	Implementation Plan	10/1/2021
<b>For load-responsive protective relays which become applicable to the standard.</b>		
Where determined by the GO, TO, or DP that replacement or removal is not necessary, the first day 60 months beyond the date of the load-responsive protective relays become applicable to the standard	Implementation Plan	10/1/2019
Where determined by the GO, TO, or DP that replacement or removal is necessary, the first day 84 months beyond the date of the load-responsive protective relays become applicable to the standard	Implementation Plan	10/1/2021

# PRC-026-1 Implementation Dates

Effective Date:	1st day of first calendar year 12 months following regulatory approval		
R1	Effective Date	1st day of first calendar year 12 months following regulatory approval	1/1/2019
R2, R3, R4	Effective/Enforcement Date	1st day of first calendar year 36 months following regulatory approval	1/1/2021



# TOP-001-4 Initial Performance

**Effective Date – 7/1/2018**

Requirement	Initial Performance Verbiage	Initial Performance by
R21	The initial test of primary Control Center data exchange capabilities specified in Requirements R21 and R24 must be completed within 90 calendar days of the effective date of TOP-001-4.	9/29/2018
R24	The initial test of primary Control Center data exchange capabilities specified in Requirements R21 and R24 must be completed within 90 calendar days of the effective date of TOP-001-4.	9/29/2018

[TOP-001-4 Implementation Plan](#)

# TPL-007-1 Effective Dates

<b>R1</b>	<b>Compliant by</b>	6 months following regulatory approval	<b>7/1/2017</b>
<b>R2</b>	<b>Compliant by</b>	18 months following regulatory approval	<b>7/1/2018</b>
<b>R5</b>	<b>Compliant by</b>	24 months following regulatory approval	<b>1/1/2019</b>
<b>R6</b>	<b>Compliant by</b>	48 months following regulatory approval	<b>1/1/2021</b>
<b>R3, R4, R7</b>	<b>Compliant by</b>	60 months following regulatory approval	<b>1/1/2022</b>

[TPL-007-1 Implementation Plan](#)

# CIP Implementation Plans

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- CIP v5v6 Standards Enforcement Date = 7/1/2016
- Some requirements have an initial performance requirement in accordance with the Implementation Plan for Version 5 CIP Cyber Security Standards dated October 26, 2012.

## CIP-003-6 Implementation Plan Dates

Effective Date:	1st day of first calendar quarter after the effective date of the order	7/1/2016
All req. with exceptions listed below	Compliant by	7/1/2016
R1.2	Compliant by	4/1/2017
R2	Compliant by	4/1/2017
R2 Attachment 1, section 1	Compliant by	4/1/2017
R2 Attachment 1, section 2	Compliant by	9/1/2018
R2 Attachment 1, section 3	Compliant by	9/1/2018
R2 Attachment 1, section 4	Compliant by	4/1/2017

# CIP-004-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-004-6 Part 2.3	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 3.5	7/1/16 (or within 7 years of the previous PRA)	Initial performance of this part is required within 7 years after the last personnel risk assessment that was performed pursuant to a previous version of the CIP Cyber Security Standards, per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.2	10/1/2016	Initial performance for this Part is required by October 1, 2016 (i.e., within 3 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.3	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.4	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

## CIP-006-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-006-6 Part 1.10	7/1/2016 (or 4/1/2017 for new high and medium impact BCS)	For new high or medium impact BES Cyber Systems at Control Centers identified by CIP-002-5.1 which were not identified as Critical Cyber Assets in CIP Version 3, the compliance date for this Part is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions dated January 23, 2015.
CIP-006-6 Part 3.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

# CIP-007-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-007-6 Part 1.2	7/1/2016 (or 4/1/2017)	The compliance date for CIP-007-6, Requirement R1, Part 1.2 that apply to PCAs and nonprogrammable communication components located inside a PSP and inside an ESP and associated with high and medium impact BES Cyber Systems is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions dated January 23, 2015.
CIP-007-6 Part 4.4	7/15/2016	Initial performance for this Part is required by July 15, 2016 (i.e., within 14 calendar days after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

## CIP-008-5 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-008-5 Part 2.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012.



# CIP-009-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-009-6 Part 2.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-009-6 Part 2.2	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-009-6 Part 2.3	7/1/2018	Initial performance for this Part is required by July 1, 2018 (i.e., within 24 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

# CIP-010-2 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-010-2 Part 2.1	8/5/2016	Initial performance for this Part is required by August 5, 2016 (i.e., within 35 calendar days after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Part 3.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Parts 3.2.1 and 3.2.2	7/1/2018	Initial performance for this Part is required by July 1, 2018 (i.e., within 24 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 R4	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att.1 Sec. 1	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att. 1 Sec. 2	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att. 1 Sec. 3	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

## CIP-014-2 Implementation Dates

Event	Implementation	NLT Date	Total
			Days
R1 Risk Assessment	Effective Date	10/1/2015	Day 0
R2 Verification	Effective + 90	12/30/2015	Day 90
R2.3 Modifications	Day 90 + 60	2/28/2016	Day 150
R3 Control Centers	R2 + 7	3/6/2016	Day 157
R4 Threat Assessment	R2 + 120	6/27/2016	Day 270
R5 Security Plan	R2 + 120	6/27/2016	Day 270
R6 Verification	R5 + 90	9/25/2016	Day 360
R6.3 Modifications	Day 360 + 60	11/24/2016	Day 420

# Resources

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- [NERC Standards Webpage](#)
  - One Stop Shop Spreadsheet
  - [Reliability Standards](#)
  - [U.S. Enforcement Dates](#)
  - Functional Applicability Spreadsheet
  - [Balloting and Commenting](#)
- [CIP V5 Transition Program](#)
  - CIP Version 5 Effective Dates Spreadsheet

# Questions

**Rachel Coyne**

**[rsm@texasre.org](mailto:rsm@texasre.org)**

**512-583-4956**

