

Standards Subject to Future Enforcement Q4 2017 – Q3 2018

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Standards Upcoming Enforcement/Effective Dates – 10/1/2017

Standard/Requirement	Enforcement Date
COM-001-3	10/1/2017
IRO-002-5	10/1/2017*

*Initial Performance = The initial test of primary Control Center data exchange capabilities specified in Requirement R3 must be completed within 90 calendar dates of the effective date of IRO-002-5 = **12/30/2017**

Standards Upcoming Enforcement/Effective Dates – 1/1/2018

Standard/Requirement	Enforcement Date
BAL-002-2	1/1/2018
PRC-026-1 R1	1/1/2018 (Phased in IP)

Standards Upcoming Enforcement/Effective Dates – 4/1/2018

Standard/Requirement	Enforcement Date
IRO-018-1(i)	4/1/2018
TOP-010-1(i)	4/1/2018

Standards Upcoming Enforcement/Effective Dates – 7/1/2018

Standard/Requirement	Enforcement Date
MOD-025-2 R2, R3, R4	80% by 7/1/2018
MOD-026-1 R2	30% by 7/1/2018
MOD-027-1 R2	30% by 7/1/2018
PRC-019-2 R1, R2	80% by 7/1/2018
PRC-024-2	80% by 7/1/2018
TOP-001-4	7/1/2018
TPL-007-1 R2	7/1/2018

IRO-002-5 Dates

Requirement No.	Effective Date	Initial Performance verbiage in IP	Initial Performance by
R1	10/1/2017	N/A	N/A
R2	10/1/2017	N/A	N/A
R3	10/1/2017	The initial test of primary Control Center data exchange capabilities specified in Requirement R3 must be completed within 90 calendar days of the effective date of IRO-002-5.	12/30/2017
R4	10/1/2017	N/A	N/A
R5	10/1/2017	N/A	N/A
R6	10/1/2017	N/A	N/A

MOD-025-2 Implementation Plan Dates

Effective Date:	1st day of first calendar quarter 2 years following regulatory approval			7/1/2016			
R1, R2, R3							
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by	
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019

MOD-026-1, MOD-027-1 Implementation Plan Dates

R1, R3, R4, R5, R6		Compliant by	12 months following regulatory approval		7/1/2014
R2					
30% Compliant by		50% Compliant by		100% Compliant by	
4 years	7/1/2018	6 years	7/1/2020	10 years	7/1/2024

PRC-005-6 Implementation Dates

- **Implementation Plan**
- **Implementation Plan – Calendar View**
- **Implementation Plan – Requirements View**

PRC-019-2 Implementation Dates

Effective Date:		1st day of first calendar quarter 2 years following regulatory approval						7/1/2016
R1 and R2								
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by		
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019	

NOTE: PRC-019-1 retires before becoming enforceable, however, the implementation plan supports PRC-019-2. All aspects of the Implementation Plan for PRC-019-1 will remain applicable to PRC-019-2 and are incorporated here by reference.

PRC-024-2 Implementation Dates

Effective Date:		1st day of first calendar quarter 2 years following regulatory approval					7/1/2016	
R1, R2, R3, and R4								
40% Compliant by		60% Compliant by		80% Compliant by		100% Compliant by		
2 years	7/1/2016	3 years	7/1/2017	4 years	7/1/2018	5 years	7/1/2019	

NOTE: PRC-024-1 retires before becoming enforceable, however, the implementation plan supports PRC-024-2

PRC-026-1 Effective Dates

Effective Date:	1st day of first calendar year 12 months following regulatory approval		
R1	Effective Date	1st day of first calendar year 12 months following regulatory approval	1/1/2018
R2, R3, R4	Effective Date	1st day of first calendar year 36 months following regulatory approval	1/1/2020

TPL-007-1 Effective Dates

R1	Compliant by	6 months following regulatory approval	7/1/2017
R2	Compliant by	18 months following regulatory approval	7/1/2018
R5	Compliant by	24 months following regulatory approval	1/1/2019
R6	Compliant by	48 months following regulatory approval	1/1/2021
R3, R4, R7	Compliant by	60 months following regulatory approval	1/1/2022

CIP Implementation Plans

- **CIP v5v6 Standards Enforcement Date = 7/1/2016**
- **Some requirements have an initial performance requirement in accordance with the Implementation Plan for [Version 5 CIP Cyber Security Standards dated October 26, 2012.](#)**

CIP-003-6 Implementation Plan Dates

Effective Date:	1st day of first calendar quarter after the effective date of the order	7/1/2016
All req. with exceptions listed below	Compliant by	7/1/2016
R1.2	Compliant by	4/1/2017
R2	Compliant by	4/1/2017
R2 Attachment 1, section 1	Compliant by	4/1/2017
R2 Attachment 1, section 2	Compliant by	9/1/2018
R2 Attachment 1, section 3	Compliant by	9/1/2018
R2 Attachment 1, section 4	Compliant by	4/1/2017

CIP-004-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-004-6 Part 2.3	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 3.5	7/1/16 (or within 7 years of the previous PRA)	Initial performance of this part is required within 7 years after the last personnel risk assessment that was performed pursuant to a previous version of the CIP Cyber Security Standards, per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.2	10/1/2016	Initial performance for this Part is required by October 1, 2016 (i.e., within 3 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.3	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-004-6 Part 4.4	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

CIP-006-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-006-6 Part 1.10	7/1/2016 (or 4/1/2017 for new high and medium impact BCS)	For new high or medium impact BES Cyber Systems at Control Centers identified by CIP-002-5.1 which were not identified as Critical Cyber Assets in CIP Version 3, the compliance date for this Part is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions dated January 23, 2015.
CIP-006-6 Part 3.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

CIP-007-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-007-6 Part 1.2	7/1/2016 (or 4/1/2017)	The compliance date for CIP-007-6, Requirement R1, Part 1.2 that apply to PCAs and nonprogrammable communication components located inside a PSP and inside an ESP and associated with high and medium impact BES Cyber Systems is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions dated January 23, 2015.
CIP-007-6 Part 4.4	7/15/2016	Initial performance for this Part is required by July 15, 2016 (i.e., within 14 calendar days after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

CIP-008-5 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-008-5 Part 2.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012.

CIP-009-6 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-009-6 Part 2.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-009-6 Part 2.2	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-009-6 Part 2.3	7/1/2018	Initial performance for this Part is required by July 1, 2018 (i.e., within 24 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

CIP-010-2 Initial Performance Dates

Standard Requirement	Initial Performance by	Notes
CIP-010-2 Part 2.1	8/5/2016	Initial performance for this Part is required by August 5, 2016 (i.e., within 35 calendar days after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Part 3.1	7/1/2017	Initial performance for this Part is required by July 1, 2017 (i.e., within 12 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Parts 3.2.1 and 3.2.2	7/1/2018	Initial performance for this Part is required by July 1, 2018 (i.e., within 24 calendar months after July 1, 2016), per the Implementation Plan for Version 5 CIP Cyber Security Standards, dated October 26, 2012 and incorporated by reference into the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 R4	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att.1 Sec. 1	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att. 1 Sec. 2	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.
CIP-010-2 Att. 1 Sec. 3	4/1/2017	The compliance date for CIP-010-2, R4 and its attachment is April 1, 2017, per the Implementation Plan for CIP Version 5 Revisions, dated January 23, 2015.

CIP-014-2 Implementation Dates

Event	Implementation	NLT Date	Total
			Days
R1 Risk Assessment	Effective Date	10/1/2015	Day 0
R2 Verification	Effective + 90	12/30/2015	Day 90
R2.3 Modifications	Day 90 + 60	2/28/2016	Day 150
R3 Control Centers	R2 + 7	3/6/2016	Day 157
R4 Threat Assessment	R2 + 120	6/27/2016	Day 270
R5 Security Plan	R2 + 120	6/27/2016	Day 270
R6 Verification	R5 + 90	9/25/2016	Day 360
R6.3 Modifications	Day 360 + 60	11/24/2016	Day 420

Resources

- [NERC Standards Webpage](#)
 - One Stop Shop Spreadsheet
 - [Reliability Standards](#)
 - [U.S. Enforcement Dates](#)
 - Functional Applicability Spreadsheet
 - [Balloting and Commenting](#)
- [CIP V5 Transition Program](#)
 - CIP Version 5 Effective Dates Spreadsheet

Questions

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