**Counter-Party (CP) Credit Application**

If Applicant already has a CP Credit Application on file with ERCOT, only Section One (Applicant Information) is required.

Revisions to the CP Credit Application shall be reviewed and approved by the Credit Work Group.

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| **1. APPLICANT INFORMATION** |
| Legal Entity Name:*(Entity that signed the Standard Form Agreement and considered the CP per ERCOT Protocols.)* |       |
| DUNS No.: |       | Federal EIN: |       |
| Street Address: |       |
| City: |       | State: |       | Zip: |       |
| Authorized Representative (AR): |       | Phone: |       | Email Address: |       |
| Backup AR: |       | Phone: |       | Email Address: |       |
| Credit Contact: |       | Phone: |       | Email Address: |       |
| Backup Credit Contact: |       | Phone: |       | Email Address: |       |
| Corporate Parent (if any): |  |
| Website Address: |  |
| Total Potential Exposure (TPE) components required per Protocol Section 16.11.4.1  |
| QSE’s estimate of daily average MWHs of Load: |  |
| QSE’s estimate of daily average MWHs of generation: |  |
| QSE’s estimated percentage of the daily average MWHs of Load represented by the Applicant to be purchased in the Real-Time market: |  |
| QSE’s estimated percentage of the daily average MWHs of QSE-to-QSE energy sales to daily average MWHs of generation represented by the Applicant: |  |
| QSE’s estimated number of Electric Service Identifiers (ESI IDs) |  |
| **PLEASE PROVIDE REQUESTED SUPPLEMENTAL DATA & SIGN BELOW.**Supplemental Credit Data (to be included with application submission):1. Financial Statements:
* The Applicant or its guarantor’s most recent two (2) years independently audited financial statements or, if most recent two years independently audited annual financial statements are not available;
* Up to the most recent two unaudited and/or independently audited annual financial statements, or, if annual financial statements are not available;
* Quarterly unaudited financial statements for as much of the most recent two years as are available.
* Financial statements must be compliant with requirements under Protocol Section 16.11.5.
1. If company is publicly held, most recent Form 10‑Q and 10‑K.
2. If company is privately held, biographies of the partners and principals.
3. Mandatory disclosure of prior bankruptcy declarations by Applicant, predecessor(s) or principals.
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| **Please indicate how Applicant intends to comply with ERCOT’s creditworthiness requirements (see Protocols Section 16.11):**[ ]  Applicant meets ERCOT’s creditworthiness standards as specified in Protocol Section 16.11.1 [ ]  Corporate Guarantee[ ]  Letter of Credit [ ]  Surety Bond [ ]  Cash Deposit |
| Signature of AR, Backup AR or Officer: |  |
| Printed Name of AR, Backup AR or Officer: |       |
| Date: |       |