***Processes and/or Transaction Affected DURING***

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| ***Transaction*** | ***Discussed on 8/18*** | ***Comments*** |
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| ***Move-Ins – 814\_16*** | Ref. 7.10.1 | TDSPs operating under Non-Standard Tariff timelines:  *[TDU Tariffs 6.1.3 – “Company shall complete performance of the service on the requested date, provided: (1) the requested date is a Business Day; (2) Company receives the order by 5:00 PM CPT on a Business Day; and (3) the order is received at least two Business Days prior to the requested date.*  *Company may treat an order received after 5:00 PM CPT on a Business Day, or on a day that is not a Business Day, as received by 5:00 PM CPT on the next Business Day. If the order is received by Company less than two Business Days prior to the requested date, Company shall complete performance of the service within two Business Days after the date the order is received.”]*  To give more breathing room, CRs to push out requested date 2 BD on MVI requests (TBD) – only requesting a non-AMS operational day (M-F, no Sat) |
| * Date Change 814\_12 | X | Will only accept for Scheduled MVOs |
| * Cancellations 814\_08 | Ref. 7.10. | Will use Emergency Cancellation process |
| * Switch-Hold Removals for New MVI | 7.10.3 & 7.10.4 | Possible process: CR sends Safety Net MVI list🡪TDSP checks for Switch Hold🡪If SH present, TDSPs will Reject MVI to CR🡪 CR initiates the regular SH removal process (NOS documentation, etc)🡪 CR sends documentation to TDSP via email 🡪 once a determination to remove, TDSP will lift Switch Hold 🡪 CR to resend the ESIID on a subsequent Safety Net MVI list. |
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| ***Move-Outs - 814\_24*** | Ref. 7.10.2 | TDSPs operating under Non-Standard Tariff timelines  *[TDU Tariffs 6.1.3 – “Company shall complete performance of the service on the requested date, provided: (1) the requested date is a Business Day; (2) Company receives the order by 5:00 PM CPT on a Business Day; and (3) the order is received at least two Business Days prior to the requested date. If the requested date is not a Business Day, Company shall treat the next Business Day as the requested date. Company may treat an order received after 5:00 PM CPT on a Business Day, or on a day that is not a Business Day, as received by 5:00 PM CPT on the next Business Day.”]*  CRs to push out requested date 2 BD (TBD) – only requesting a non-AMS operational day (M-F, no Sat) |
| * Date Change 814\_12 | Ref. 7.10.2.2 (4) | Will only accept for Scheduled MVOs |
| * Cancellations 814\_08 | Ref. 7.10.2.2(4) | Will use Emergency Cancellation process |
| * MVO to CSA | Ref. 7.10.2(1)(b) | Suspend all CSA activities |
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| ***Switch Request – 814\_01*** | Suspended | Suspend SWITCH activities |
| * Cancellations 814\_08 | N/A | N/A |
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| **Usage Data** |  |  |
| * Initial 867\_04 | Suspended | TDSPs likely not able to send 867\_04.  CRs will not be able to process 867\_04 due to not receiving 814\_05. |
| * Monthly/Final 867\_03 |  | TDSPs - Only send for active ESIID associated with a ROR  Possible solution: develop point-to-point 867\_03/03F method btwn CR and TDSP? |
| * AMS – LSE Data |  | Today: AMS LSE files would continue as normal from TDSP 🡪 SMT.  TDSP homework: since files are duplicated to SMT and ERCOT, if held for ERCOT does it mean it is held for SMT as well?  Overarching concerns:   * TDSP data storage concerns for holding multiple days of LSE files and transactions. * CR storage concerns for holding multiple days of response transactions back to ERCOT/TDSPs.   ERCOT – LSE files would need to be staged for processing purposes. |
| ***MarkeTrak*** | Newly added | ERCOT confirms that MarkeTrak would be completely down for the entirety of the unplanned outage.  Other than Switch Hold Removal - Are there other processes usually handled via MarkeTrak that need to have stand-alone processes in place? |
| ***Invoices*** |  |  |
| * TDSP Invoice to CR 810\_02 | x | TDSPs - Only send for *active ESIID* associated with a ROR  Assumption is that 810s and 867s go in pairs. Therefore, any point-to-point method for 867s would need to incorporate 810s. |
| * MOU/EC Invoice 810\_03 | x | CRs may create a 810\_03 based off the 867\_03, but if 867\_03 is impacted/down, CRs cannot create 810\_03.  Can MOU/EC send point-to-point? If so, that would solve this problem. |
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| ***ESI ID Create/Maintenance/Retire*** |  | TDSPs would stage 814\_20s to ERCOT based on transactional volume limitations (60k/day) |
| * ***Create ESI ID (814\_20)*** | ??? | New customer asking for new ESIID – what do we do? |
| * ***Maintenance (814\_20)*** | Suspend |  |
| * ***Retire (814\_20)*** | Suspend indefinitely |  |
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| ***824 Invoice and Usage Rejects*** | ??? | Assuming 810\_02 already sent, but ERCOT goes down before 867\_03 is sent, how to handle this? |
| ***Flight Testing*** |  |  |
| * Active | x | Suspend and restart flight testing in contingency period after unplanned outage has concluded.  What happens if CR needs certification before end of year, but outage occurs at end of year, and last flight of year is impacted…? *Is this a major concern?*  ERCOT anticipates needing 1-3 weeks to stabilize following the Black Start and may just cancel impacted flights altogether. |
| * Inactive, but scheduled | x | Delay or cancel |
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| ***ERCOT Reporting*** |  | Would all depend on the queue of transactions and data ERCOT would process from the Market and would need to develop an expected elapsed time before Reports & Extracts can be used as normal by Market Participants. |
| * Market Reports and Extracts | X | Once ERCOT systems are restored, Reports & Extracts should resume almost immediately, but fully processing the backlog of transactions will likely impact the initial reports first generated. |
| * Supplement AMS Interval Data Extract | x | Depends on processing of AMS LSE files from TDSPs. |
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| **Smart Meter Texas (SMT)** |  |  |
| * AMS – LSE Data | X | See “Usage Data AMS-LSE Data” |

***Processes and/or Transaction Affected AFTER***

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|  | ***To be discussed on 9/22*** | ***Comments*** |
| How to handle Backdated orders? |  |  |

**Additional Issues to be discussed on September 22nd :**

* Releasing Transactions from Backlog
  + Date Sequenced (oldest first)?
  + Staging of Backlogged Transactions
* Reconciliation of out of synch conditions
* Exception Handling
  + How to process date sensitive transactions where rejects may occur due to transactions processes as backdated.
  + How do you cancel or date change a transaction in the past?
* Payments of Invoices