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| PGRR Number | [049](http://www.ercot.com/mktrules/issues/PGRR049) | PGRR Title | GINR Application and Fee Submittal |
| Date Posted | June 7, 2016 |
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| Requested Resolution  | Normal |
| Planning Guide Sections Requiring Revision  | 5.2.1, Generation Interconnection or Change Request Application5.2.2, Generation Interconnection or Change Request Submission Requirements |
| Related Documents Requiring Revision/Related Revision Requests | None |
| Revision Description | This Planning Guide Revision Request (PGRR) removes the option to submit Generation Interconnection or Change Request (GINR) applications via standard mail and facsimile, and also updates the mailing address for GINR payments to be sent directly to ERCOT Treasury instead of the ERCOT System Planning department.  |
| Reason for Revision |  Addresses current operational issues. Meets Strategic goals (tied to the [ERCOT Strategic Plan](http://www.ercot.com/content/news/presentations/2013/ERCOT%20Strat%20Plan%20FINAL%20112213.pdf) or directed by the ERCOT Board). Market efficiencies or enhancements  Administrative Regulatory requirements Other: (explain)*(please select all that apply)* |
| Business Case | This PGRR streamlines the GINR application process; minimizes the risk of fraud via asset misappropriation; and reduces the risk of lost, stolen, or delayed receipt of fees. |

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| Market Segment | Not applicable |

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| Proposed Guide Language Revision |

5.2.1 Generation Interconnection or Change Request Application

(1) Any Entity seeking to interconnect a new All-Inclusive Generation Resource of ten MW or greater to the ERCOT System, upgrade the summer or winter Net Dependable Capability of an All-Inclusive Generation Resource by ten MW or greater within a single year, re-power an All-Inclusive Generation Resource of ten MW or greater, or change or add a Point of Interconnection (POI) of an All-Inclusive Generation Resource of ten MW or greater, as described in Section 5.1.1, Applicability, must submit the required Resource Registration data, in the format prescribed by ERCOT, available on the Market Information System (MIS) Public Area, and pay the applicable fees described in Section 5.7.2, Interconnection Study Fees.

(2) All Generation Interconnection or Change Request (GINR) applications and supporting data submissions shall be delivered to ERCOT by email to GINR@ercot.com. Applications and supporting data shall be sent as discrete file attachments. The application with signature may be submitted in PDF form if desired but the supporting data shall be sent as a Microsoft Excel file attachment so that data may be easily extracted to reduce transcription errors.

(3) All GINR applications sent via email shall have the words “Generation Interconnection or Change Request” in the first line of the address field or in the subject field of an email request.

(4) The Interconnecting Entity (IE) shall include in the GINR application all information necessary to allow for timely development, design, and implementation of any electric system improvements or enhancements required by ERCOT and the Transmission Service Provider (TSP) to reliably meet the interconnection requirements of the proposed Generation Resource. This information shall be of sufficient detail for use in determining transfer capabilities, operating limits (including stability), and planning margins to provide both reliability and operating efficiency as well as facilitating coordinated planning for future transmission system additions.

(5) ERCOT will notify the IE within seven Business Days through telephone call or email if the GINR application fails to include the applicable fees or the information that is necessary to perform the initial screening interconnection studies.

(6) If the IE fails to respond to ERCOT’s inquiries within ten Business Days, the GINR will be deemed incomplete and rejected. ERCOT shall notify the IE if such condition occurs.

(7) Once the application has been deemed materially complete, ERCOT will date-stamp the application, add the interconnection request to the ERCOT interconnection list, and notify the IE of receipt of the completed application within ten Business Days. The IE should note that the date stamp is not a reservation of transmission capacity, either planned or unplanned.

(8) An ERCOT designated point of contact will be assigned to oversee the interconnection study process and answer questions concerning the interconnection screening study and process. Once assigned, the ERCOT designated point of contact will contact the IE and will be the primary ERCOT contact for interconnection studies. If during the course of the studies, additional information is needed by ERCOT from the IE, ERCOT will immediately notify the IE and the IE will have ten Business Days to answer the request for additional information without impacting the study timeline.

(9) Prior to the initial contact from the ERCOT designated point of contact, IEs should direct questions concerning the generation interconnection or change process to GINR@ercot.com. The IE should contact its assigned Wholesale Client Services Representative with any queries that are not related to the interconnection studies.

(10) If proposed Generation Resources that would use the same physical transmission interconnection are to be built in stages with in-service dates more than one year apart, each stage should be treated as a separate interconnection request but may be included in the same study.

5.2.2 Generation Interconnection or Change Request Submission Requirements

(1) In order to consider the GINR, a Security Screening Study Fee shall be submitted to ERCOT along with the GINR application as prescribed in Section 5.2.1, Generation Interconnection or Change Request Application. The Security Screening Study Fee is non-refundable. The IE may wire funds to ERCOT to comply with the fee requirements.

(2) For instructions on how to wire the funds to ERCOT, email GINR@ercot.com requesting the account and wiring information.

(3) If submitting the payment via standard mail, email GINR@ercot.com to alert ERCOT to this method of submission. Checks shall be made payable to the Electric Reliability Council of Texas, Inc. (referencing GINR on the memo line) and mailed to the following address:

 ERCOT Treasury
RE: Generation Interconnection Request
7620 Metro Center Drive
Austin, TX 78744

(4) ERCOT will assign a unique name to all GINRs according to the following convention:

 **yrINRxxxxp**

 where: yr is the calendar year the generation is anticipated to be online

INR indicates interconnection request

xxxx is a sequence number beginning with 0001 (reset for each year)

p is an optional, sequential alphabetical identifier beginning with ‘a’ to be used for phased projects

(5) All correspondence relating to a specific GINR, security screening or Full Interconnection Study (FIS) shall reference the unique project identification number once it has been assigned by ERCOT.