

**Day Ahead Market (DAM) Collateral Parameters Process
Request for Change in “e” Factor Treatment Form
(Favorable/General for ‘e1’ and ‘e2’ factors)**

The Counter-Party (CP) listed below requests (check one)

- general / default “e factor” treatment
 favorable “e factor” treatment

from ERCOT pursuant to the Day Ahead Market (DAM) Collateral Parameters Process. If favorable treatment is requested, CP agrees to notify ERCOT of expected changes due to change in activity, and the likely duration of such changes, to the following values as soon as practicable after being estimated by the Counter-Party and at least 2 Business Days in advance of when such changes become effective:

- If Ratio1 is likely to be greater than the Counter-Party's currently assigned value of e1 for particular day(s), then the estimated daily values of Ratio1 specifying the day(s) along with the daily DAM Energy Bid, EOO, and TPO quantity assumptions used to arrive at those values; and
- If Ratio2 is likely to be lower than the Counter-Party's currently assigned value of e2 for particular day(s), then the estimated daily values of Ratio2 specifying the day(s) along with the daily DAM Energy Bid, EOO, and TPO quantity assumption used to arrive at those values

Note 1: If a CP does not make a specific request, that CP will receive general or default treatment under the DAM Collateral Parameters Process.

Note 2: ERCOT may rescind favorable treatment immediately, possibly with minimal notice, if ERCOT believes risk is not being adequately addressed or if a CP does not meet the disclosure requirements.

| | |
|------------------------------------|--|
| Legal Name of Counter-Party | |
| Legal Address of the Counter-Party | |
| DUNS Number | |

| | |
|---|--|
| Name: An Authorized Representative or Credit Contact | |
| Title: | |
| Telephone: | |
| Fax: | |
| Email Address: | |
| Signature: | |

Send form to ERCOTCredit@ercot.com

**Day Ahead Market (DAM) Collateral Parameters Process
 “e” Factors – Favorable Treatment
 Required Information Form (for ‘e1’ and ‘e2’)**

The Counter-Party (CP) listed below receives favorable “e factor” treatment from ERCOT pursuant to the DAM Collateral Parameters Process and agreed to notify ERCOT of expected changes in DAM activity that impacts e-factors, the likely duration of such changes, as soon as practicable after being estimated by the CP and at least 2 Business Days in advance of when such changes become effective.

Guidelines for providing information:

- 1) When Ratio1 is likely to be greater than the CP’s currently assigned value of “e1”
- 2) When Ratio2 is likely to be lower than the CP’s currently assigned value of “e2” or
- 3) When the daily total for a CP of its DAM Energy Bid, EOO, or TPO volume increase/decrease more than 20% from the prior day

| | |
|--------------------------------|--|
| Legal Name of Counter-Party | |
| Legal Address of Counter-Party | |
| DUNS Number | |

Operating day for which change will begin: _____
 Operating day for which change will end (if applicable): _____

Estimated daily value of Ratio 1: _____
 Estimated daily value of Ratio 2: _____

| Underlying Assumptions | Volume (daily MWHs) | Price (\$\$s) |
|-----------------------------------|---------------------|---------------|
| Estimated DAM Energy Bid | | |
| Estimated DAM Energy-Only-Offers | | |
| Estimated Three-Part Supply Offer | | |

Explanation of anticipated change:

| | |
|--------------------------|--|
| Contact (for questions): | |
| Title: | |
| Telephone: | |
| Fax: | |
| Email Address: | |

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