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| RMGRR Number | 131 | RMGRR Title | Guidelines for Notification of Invoice Dispute | |
| Timeline | Normal | Action | |  |
| Date of Decision | | June 2, 2015 | | |
| Proposed Effective Date | |  | | |
| Priority and Rank Assigned | |  | | |
| Retail Market Guide (RMG) Section(s) Requiring Revision | | 7.8.2, Guidelines for Notification of Invoice Dispute | | |
| **Other Binding Documents Requiring Revision or Related Revision Requests** | | None. | | |
| Revision Description | | This Retail Market Guide Revision Request (RMGRR) will align the Retail Market Guide with current market practices. | | |
| Reason for Revision | | Addresses current operational issues.  Meets Strategic goals (tied to the [ERCOT Strategic Plan](http://www.ercot.com/content/news/presentations/2013/ERCOT%20Strat%20Plan%20FINAL%20112213.pdf) or directed by the ERCOT Board).  Market efficiencies or enhancements  Administrative  Regulatory requirements  Other: (explain)  *(please select all that apply)* | | |
| Procedural History | | * On 4/6/15, RMGRR131 was posted. * On 4/14/15, ERCOT comments were posted. * On 4/23/15, TXU comments were posted. * On 5/5/15, RMS considered RMGRR131. | | |
| RMS Decision | | On 5/5/15, RMS unanimously voted to table RMGRR131. All Market Segments were present for the vote. | | |
| Summary of RMS Discussion | | On 5/5/15, participants discussed tabling RMGRR131 in order to allow RMS Working Groups to review the 4/14/15 ERCOT comments and the 4/23/15 TXU comments for RMGRR131. | | |

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| **Business Case** | |
| **Qualitative Benefits** | * Allows Market Participants the ability to manage, track and acknowledge receipt of invoice disputes. * More timely resolution of invoice disputes due to reporting and workflow management capabilities within the MarkeTrak tool. * Allows Market Participants to track resolution of invoice disputes to ensure adherence of Service Level Agreement (SLA). * Provides all involved Market Participants with full transparency into ‘State’ of invoice dispute (In Progress, Pending, Complete, etc.). |
| **Quantitative Benefits** |  |
| **Impact to Market Segments** | * Increased transparency of issue workflow and status for Retail Electric Providers (REPs) and Transmission and/or Distribution Service Providers (TDSPs). * Ability for REPs and TDSPs to adhere to SLA. * Improves issue resolution timeliness. |
| **Other** |  |

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| Market Segment | N/A |

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| **Comments Received** | |
| Comment Author | **Comment Summary** |
| ERCOT 041415 | Added clarification to define the type of invoice being disputed. |
| TXU 042315 | Clarified the expected timelines for the MarkeTrak dispute process in order to be consistent with the timelines listed under the formal dispute process via email. |

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| Proposed Guide Language Revision |

***7.8.2 Guidelines for Notification of Invoice Dispute***

1) MarkeTrak is the most efficient method to resolve a TDSP invoice dispute. To initiate the invoice dispute process for a TDSP invoice, the CR must provide written notification to the TDSP by use of one of the following methods:

(a) MarkeTrak Day-to-Day monthly ‘Billing and Usage’ subtype

(i) The CR shall specify the starttime and stoptime for the disputed invoice, and note the reason for dispute as well as any other pertinent information in the ‘Comments’ field.

(ii) Upon receipt of the disputed invoice MarkeTrak issue, the TSDP will investigate and respond to the MarkeTrak within 10 Business Days of receipt of the MarkeTrak. All disputes received by the TDSP after 1700 will be deemed as received by the TDSP on the following Business Day. TDSP responses shall include a suggested resolution based on findings. If after 10 Business Days, no results have been reported, CRs may choose to use the MarkeTrak escalation process. Within 20 Business Days of the response, either party may initiate the dispute resolution procedures set forth in the TDSP Tariffs.

(b) MarkeTrak Day-to-Day ‘Other’ subtype

(i) To be used in the event a dispute is due to CR being “Not Rep of Record” for the invoice in question.

(ii) The CR shall specify the starttime and stopttime for the disputed invoice, and note “Not Rep of Record” as well as any other pertinent information in the ‘Comments’ field.

(iii) Upon receipt of the disputed invoice MarkeTrak issue, the TSDP will investigate and respond to the MarkeTrak within 10 Business Days of receipt of the MarkeTrak. All disputes received by the TDSP after 1700 will be deemed as received by the TDSP on the following Business Day. TDSP responses shall include a suggested resolution based on findings. If after 10 Business Days, no results have been reported, CRs may choose to use the MarkeTrak escalation process. Within 20 Business Days of the response, either party may initiate the dispute resolution procedures set forth in the TDSP Tariffs.

(2) In the event MarkeTrak is not accessible, the CR may initiate the formal dispute process by sending an e-mail to the designated e-mail address provided by the TDSP, with “Invoice Dispute” in the subject line.

(a) The CR shall complete the CR required fields in Section 9, Appendices, Appendix E, Formal Transmission and/or Distribution Service Provider Invoice Dispute Process Communication, and attach the spreadsheet to the e-mail.

(b) Upon receipt of the e-mail notification of the disputed invoice, the TDSP will investigate and respond to the CR in writing within ten Business Days of transmittal of the notice. TDSP responses shall include a proposed resolution. If after the ten Business Days no results have been reported, CRs may choose to escalate the dispute. Within 20 Business Days of the response, either party may initiate the dispute resolution procedures set forth in the TDSP tariffs.

((c) Disputes received after 1700 by the TDSP will be deemed as received by the TDSP on the following Business Day.

(d) Following the TDSP investigation and response to the CR dispute, the CR will have five Business Days to respond with an Accept or Deny on the spreadsheet. If the CR receives the TDSP’s completed spreadsheet for its response after 1700, the five Business Day clock will begin the following Business Day. If after five Business Days the CR fails to respond with an Accept or Deny on the spreadsheet, the response will be deemed as an Accept.

(3) Dispute Parameters:

(a) Amounts disputed following the stated due date of a valid invoice will have late payment charges applied.

(b) Reference the TDSP tariff for information regarding delinquent payments.

(c) A rejected invoice does not constitute a disputed invoice. CRs shall validate or reject the appropriate Texas Standard Electronic Transaction (TX SET) within five Business Days of receipt.

(d) Formal dispute spreadsheets may be submitted by type of dispute or type of dispute may be indicated by dispute type within column provided in spreadsheet. Examples may include:

(i) Outdoor Light Disputes;

(ii) Fee Disputes;

(iii) Tariff Review Disputes;

(iv) Usage Disputes; and

(v) Retail Electric Provider (REP) of Record Disputes.