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| NPRR Number | 668 | NPRR Title | Updates to Texas SET Implementation Guide Process |
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| Date | | February 10, 2015 | |
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| Submitter’s Information | | | |
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| Cell Number | |  | |
| Market Segment | | Not applicable. | |

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| Comments |

ERCOT submits these comments to indicate that the Texas SET Implementation Guides and Texas SET Change Control process documents will be accessible on the Market Information System (MIS) Public Area instead of the ERCOT website. During the impact assessment, it was identified that the language changes recommended for approval by PRS created an unintended impact. If these comments are approved by PRS, there would no longer be an impact.

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| Revised Proposed Protocol Language |

## 2.1 DEFINITIONS

**Texas Standard Electronic Transaction (TX SET)**

(1) Texas Standard Electronic Transactions (TX SETs) are the electronic data transactions, implementation guides, and applicable external standards that enable and facilitate the retail business processes in the deregulated Texas electric market.

(2) The procedures used to transmit information pertaining to the Customer Registration Database are set forth in Section 19, Texas Standard Electronic Transaction.

# 19 Texas Standard Electronic Transaction

This Section of the Protocols contains an overview of the purpose and scope of the Texas Standard Electronic Transaction (TX SET), and a series of definitions identifying the use of each transaction. It also refers to the full Texas SET Implementation Guides, which are posted on the Market Information System (MIS) Public Area.

**19.1 Overview**

(1) Texas Standard Electronic Transactions (TX SETs) provide the mechanism that enables and facilitates the retail business processes in the deregulated Texas electric market. The Texas SET Implementation Guides and Texas SET Change Control process documents shall be posted on the Market Information System (MIS) Public Area. The Texas SET Implementation Guides shall serve as the standard for the applicable TX SETs among all Market Participants and ERCOT.

(2) This Section shall cover:

(a) Transactions between Transmission and/or Distribution Service Providers (TDSPs) (refers to all TDSPs unless otherwise specified), Competitive Retailers (CRs) and ERCOT;

(b) Technical Advisory Committee (TAC) subcommittee and ERCOT responsibilities; and

(c) Texas SET Change Control process.

**19.2 Methodology**

In developing and maintaining the implementation guides, the appropriate Technical Advisory Committee (TAC) subcommittee shall:

(a) Develop standardized transactions, which support documented ERCOT market business requirements across all Market Participants and ERCOT;

(b) Develop Electronic Data Interchange (EDI) transactions using American National Standards Institute Accredited Standards Committee X12 (ANSI ASC X12) standards;

(c) Develop Extensible Markup Language (XML) transactions as needed;

(d) Develop other spreadsheets, templates, comma separated value (CSV) files, etc. as needed;

(e) Follow ‘Best Practices’ as identified in the overall technology market place related to development of Texas Standard Electronic Transactions (TX SETs); and

(f) Develop processes and procedures for the management of changes to TX SETs and the release of new versions of TX SETs.

**19.4 Texas Standard Electronic Transaction Change Control Process**

The appropriate Technical Advisory Committee (TAC) subcommittee shall make modifications and additions to the Texas Standard Electronic Transactions (TX SETs) in accordance with this Section. TX SETs will be expanded and modified to accommodate retail market operations or regulatory requirements on an ongoing basis. Each Market Participant will rely on established, documented, and tested transactions. The Texas SET Change Control process provides the mechanism by which changes to the Texas SET Implementation Guides may be discussed, reviewed, accepted, and implemented.

***19.4.1 Technical Advisory Committee Subcommittee Responsibilities***

The appropriate TAC subcommittee will continue to:

(a) Review and approve Texas SET Change Controls;

(b) Classify a Texas SET Change Control request as urgent when the change meets the criteria in Section 19.4.5, Urgent Change Request;

(c) Review and approve Texas SET Implementation Guides; and

(d) Coordinate timing for changes in any of the TX SETs.

***19.4.2 ERCOT Responsibilities***

(1) ERCOT will facilitate the activities listed in Section 19.4, Texas Standard Electronic Transaction Change Control Process, by overseeing the Texas SET Change Control activities of the TX SETs.

(2) ERCOT, in conjunction with the appropriate TAC subcommittee, will maintain, publish, and post the Texas SET Implementation Guides and the Texas SET Change Controls requesting modifications and enhancements, to the Market Information System (MIS) Public Area.

(a) The Texas SET Change Controls shall be published by ERCOT within seven Retail Business Days of approval by the appropriate TAC subcommittee.

(b) The approved Texas SET Implementation Guides shall be published by ERCOT at a predetermined time as set by the appropriate TAC subcommittee.

***19.4.3 Texas SET Change Control Dispute Process***

A Market Participant may register a dispute with ERCOT by completing the designated form provided on the ERCOT website within seven days after the date of the appropriate TAC subcommittee decision. ERCOT shall reject disputes made after that time. The dispute shall be submitted to [txsetchangecontrol@ercot.com](mailto:TXSETCHANGECONTROL@ERCOT.com). ERCOT shall post disputes with the applicable change control within three Business Days of receiving the dispute.

Disputes shall be heard at the next regularly scheduled TAC meeting. However, if the dispute is posted within seven days of the TAC meeting the dispute will not be heard until the subsequent TAC meeting. A dispute of a Texas SET Change Control to TAC suspends any further decisions on the Texas SET Change Control until the dispute has been decided by TAC.

***19.4.4 Submission of Proposed Changes***

(1) An Entity proposing a change shall notify ERCOT by submitting the designated form provided on the ERCOT website.

(2) Texas SET Change Controls will be processed in accordance with the Texas Standard Electronic Transaction Implementation Guides Change Control Process located on the Market Information System (MIS) Public Area.

(3) Texas SET Change Controls will proceed on a normal timeline unless classified as urgent as described in Section 19.4.5, Urgent Change Request.

**19.4.5 Urgent Change Request**

(1) A Texas SET Change Control may be classified as urgent by the appropriate TAC subcommittee and will accommodate:

(a) An approved regulatory requirement; and /or

(b) Necessary corrective action to retail market processes.

(2) Urgent Texas SET Change Controls shall be implemented as prescribed by the approving TAC subcommittee.