
Item 9: Report on Annual TAC and Subcommittee Structural/Procedural Review

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Human Resources & Governance Committee
ERCOT Public
November 18, 2013

Summary of TAC Review – Annual Process

- In an effort to improve TAC's existing processes and organizational structure for the purpose of enhancing efficiency, effectiveness, and aligning its structure to meet new goals and the Board's objectives, TAC annually reviews its existing structure and procedures.
- TAC leadership hosted a workshop on August 1, 2013 to initiate the annual review and solicit input.
- On September 5, 2013, TAC reviewed the workshop discussion. Subsequently, ERCOT staff circulated revised TAC procedures based on TAC discussion for review at the October and November TAC meetings.
- On November 7, 2013, TAC unanimously voted to approve the revised TAC Procedures as submitted.

Summary of TAC Review - Outcome

Review of TAC Subcommittees, Working Groups and Tasks Forces:

- Current structure of groups were deemed necessary and effective.
- Concerns were raised about working groups and task forces submitting comments without a voting structure's review.
 - Previously, Subcommittees had different process for approving or supporting comments filed by their working groups and task forces .
 - TAC approved revised procedures to document how non-voting groups can file new Revision Requests or comments.
- Discussed the need for more workshops for cross-over issues that impact numerous subcommittees.
 - Example: AS methodology, Constraint Management Plan Revision Requests
- Favorable comments on recent changes to the PRS project update and Project Priority List (PPL).
- Other topics:
 - Encouraged more participation at working group and task force level.
 - Encouraged early posting of agendas, determining possible voting items, etc.

Summary of TAC Review - Outcome

Improvements to the TAC Procedures:

- Concerns over appeals process and need for affirmative action to appeal (i.e., NPRRs 444 & 508)
 - Board Policies & Procedures Section 8.1 states that any entity that can demonstrate that it is affected by a TAC action may appeal that TAC action to the Board.
 - TAC Procedures revised to clarify that if the TAC vote fails to grant the appealing party's requested relief, the appeal shall be deemed rejected by TAC unless at the same meeting TAC later votes to recommend approval of, defer, remand or refer the issue. The rejected appeal as well as any other TAC votes shall be subject to appeal pursuant to ERCOT Board Policies and Procedures, Section VIII. Appeal Procedures.
- Defining a process for appeals to TAC
 - Protocol Section 21.4.11, Appeal of Action and the respective Market Guide Sections clearly define what Revision Request items can be appealed:
 - Parties may appeal a subcommittee action to reject, defer or refer a Revision Request, directly to TAC.
 - TAC Procedures revised to establish timelines and process for appealing other subcommittee actions to TAC.
- Alignment with ERCOT Bylaws
 - TAC Procedures revised to align with recent Bylaws changes to the TAC vote calculation.