



Finance and Audit (F&A) Committee Meeting  
 Room 206; 7620 Metro Center Drive, Austin, Texas  
 January 14, 2013; 1:30 p.m. to 5:00 p.m.\*

Item	Topic	Topic Type	Presenter	Time Allocation in Minutes
1.	Call General Session to Order, Announce Proxies and Segment Alternates	Discussion	Trip Doggett	2
2.	Confirm Committee Membership	Discussion	Trip Doggett	3
3.	Election of Committee Chair	Vote	Trip Doggett	3
4.	Election of Committee Vice Chair	Vote	Chair	2
5.	Designation of Committee Secretary	Vote	Chair	2
6.	December 10, 2012 General Session Meeting Minutes	Vote	Chair	3
7.	Recommendation Regarding Committee Charter	Vote	Chair	25
8.	Recommendation Regarding Market Credit Risk Corporate Standard	Vote	Mark Ruane	5
9.	Periodic Report on Credit Work Group Activity	Discussion	CWG Chair	10
10.	Recommendation Regarding Financial Corporate Standard	Vote	Leslie Wiley	5
11.	Recommendation Regarding Investment Corporate Standard	Vote	Leslie Wiley	5
	<i>Break</i>	--	--	5
12.	Results of 2012 Committee Self-Evaluation Survey	Discussion	Chair	15
13.	Nodal Surcharge Termination	Discussion	Michael Petterson	5
14.	Sunset Commission Recommendations regarding ERCOT Fee Variability	Discussion	Michael Petterson	10
15.	Committee Briefs	Discussion	Michael Petterson	15
15.1	Committee Education on Accounting Developments	Discussion	Michael Petterson	--
15.2	Periodic Report on Investments	Discussion	Michael Petterson	--
15.3	Handling of Complaints Regarding Financial Matters	Discussion	Michael Petterson	--
15.4	Policy on Hiring Former Employees of the Independent Auditor	Discussion	Michael Petterson	--
15.5	Policy on Independent Auditor Selection	Discussion	Michael Petterson	--
15.6	Internal Control Management Program (ICMP) Report	Discussion	Allison Atherton	--
16.	Future Agenda Items	Discussion	Michael Petterson	4
17.	Other Business	Discussion	Chair	1
	Convene to Executive Session	--	--	90
	December 10, 2012 Executive Session Meeting Minutes	Vote	Chair	
	Contract, Personnel, Security, Compliance, Risk Management, Litigation and Regulatory Matters	Discussion	Staff	
	Periodic Report on Internal Audit Activity from the Chief Audit Executive	Discussion	Bill Wullenjohn	
	Reconvene General Session	--	--	--
18.	Vote on Matters from Executive Session	Vote	Chair	5
19.	Adjourn	--	Chair	--



*\*All times shown in the Agenda are approximate, at best, and should not be relied upon for scheduling. Matters may be taken out of Agenda order or prior to their estimated time.*

The next regularly scheduled F&A Committee Meeting is expected to be held on March 18, 2013, at 7620 Metro Center Drive, Austin, Texas.