MP1- PMVI Fee Reversal Subtype

1. Description

* Background
  + Task Force participants have requested changes to IAG workflows to allow for “efficient and verifiable approval for Transmission and/or Distribution Service Providers (TDSPs) to reverse priority Move-In Request charges from the losing Market Participant to the gaining Market Participant”.
  + These changes could be achieved with a new subtype.
  + This subtype would be entitled *Fee Reversal.*
  + Issues under this subtype can only be submitted by the Losing CR.
    - If an open MarkeTrak issue within the *Inadvertent Losing* or *Inadvertent Gaining* subtype already exists, an issue under this subtype will be filed an addition to it.
    - If there is no open issue under either of the IAG subtypes, this issue type would be filed by itself.
  + Issues under this subtype should only be submitted when a lights out situation occurs
    - Otherwise, the normal IAG subtypes should be used
  + The transitions “Agree” or “Unexecutable” will be used to indicate whether or not the Gaining CR agrees an inadvertent gain has occurred and the TDSP should reverse PMVI fees in response to a lights out situation.
* Problems with Current Workflow
  + The current workflow requires the Losing CR to use a specific comment requesting reversal of PMVI fees in the event of a lights out situation.
    - TDSPs may have difficulty reporting and identifying these issues if comments are not exact
  + The transition “Send to Losing CR” is currently used alongside agreement from the Gaining CR, but does not always *necessarily* indicate agreement from the Gaining CR. Therefore, the TDSP is often left having to ask for specific agreement to the IAG in instances where the transition is used, but the Gaining CR’s comments are unclear.
* New Functionality
  + In contrast to the existing *Inadvertent Losing* workflow, the Losing CR will not be able to send the issue straight to the TDSP if “Send to Losing CR” is chosen. This transition will only be used for information requests from the Gaining CR. The Gaining CR must select “Agree” to give the TDSP a clear signal that the Gaining CR is now willing to allow for the Losing CR to regain the premise, and accept all fees (PMVI and otherwise) related to the return of this issue. This transition in the current workflow has been a source of confusion in the past, as it does not necessarily indicate agreement on the part of the Gaining CR.
* Other
  + Several changes to the User Guide should accompany the implementation of this subtype
    - The Losing CR should be instructed to item link any open IAG issue, if one exists, and;
    - The Gaining CR should be instructed to update the issue in accordance with all PUCT and RMG guidelines

1. New Fields

* GUI
  + New Field, “Priority BGN02”
    - Min/max length- 1/30
    - Type- free form text
    - Permitted values & defs- anything within character limit
    - Default value- blank
    - Output format- text populated in file
    - Screen Location- Issue
    - Read Only (Y,N)- Yes
    - Updateable- No
    - Automatically populated- No
    - Proprietary- All MPs Involved
    - Field Screen Title- “PMVI Regain Date”
    - Transitions Enabled – upon submit
    - Transitions Displayed?
    - Workflows Involved- *Fee Reversal*
  + New Field “PMVI Requested Date”
    - min/max length – required/standard date formatting
    - type: date
    - Permitted values and defs- valid date
    - Default value- blank
    - Output format- standard date format
    - Screen location- Issue
    - Read Only- No
    - Updateable- No
    - Automatically populated- no
    - Proprietary- All MPs involved
    - Field Screen Title- “PMVI Requested Date”
    - Transitions Enabled – upon submit
    - Transitions Displayed?
    - Workflows Involved- *Fee Reversal*
  + New Transitions
    - “Agree”
    - “Fees Reversed”
  + Changes From Current *Inadvertent Losing* Workflow
    - “Send to Losing CR” Transition
      * Used only for Inquiry by Gaining CR
      * Losing CR no longer able to transition to TDSP directly following this transition
        + Must return to Gaining CR to obtain agreement, or;
        + May close issue as normal
* Mass Update
  + Users will need to be able to use the following transitions on multiple issues, if applicable
    - “Agree”
      * All issues selected must be in the “In Progress (Gaining CR)” state
    - “Unexecutable”
      * All issues selected must be in the “In Progress (Gaining CR)” state
    - “Send to Losing CR”
      * All issues selected must be in the “In Progress (Gaining CR)” state
    - “Fees Reversed”
      * All issues selected must be in the “In Progress (TDSP)” state
  + Bulk Insert
    - The template needs to be updated to allow for the following fields to be populated prior to submission
      * “Priority BGN02”
      * “PMVI Requested Date”
  + API

1. Pre-Conditions

* MarkeTrak system is available and processing issues

1. Success Guarantee

* Issue is successfully created and able to be transitioned to a resolution

1. Trigger

* User creates *Fee Reversal* issue

Workflows

1. Main Success Scenario: Losing CR creates issue, Gaining CR agrees, TDSP confirms reversal of fees.
   1. Losing CR selects *Fee Reversal* from MarkeTrak Submit Tree
   2. Losing CR populates all required information
      1. ESIID
      2. Original Tran ID
      3. Priority BGN02
      4. PMVI Requested Date
   3. User selects “OK” to create the issue
   4. Premise Type is populated
   5. MarkeTrak Issue is created and ERCOT provides applicable information
      1. No change from current process
   6. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   7. Gaining CR selects “Begin Working”
   8. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   9. Gaining CR selects “Agree”
   10. The issue is in a state of “New (TDSP)” with the TDSP as Responsible MP
   11. The TDSP selects “Begin Working”
   12. The issue is now in a state of “In Progress (TDSP)” with the TDSP as Responsible MP
   13. The TDSP selects “Fees Reversed”
   14. Issue is in a state of “Regaining Transaction Submitted (PC)” with the Losing CR as Responsible MP
       1. Siebel will automatically:
          1. Check Regaining Transaction Siebel Status every 30 minutes using the BGN 02 from the new initiating transaction
          2. Update the issue with the current Regaining Transaction Siebel Status
          3. Assign the state of “Complete” with the Submitting MP as the Responsible Party once the Regaining Transaction Siebel Status is Complete.
2. Main Success Scenario: Losing CR creates issue, Gaining CR chooses “Send to Losing CR” requesting information, Gaining CR agrees, TDSP confirms reversal of fees.
   1. Losing CR selects *Fee Reversal* from MarkeTrak Submit Tree
   2. Losing CR populates all required information
      1. ESIID
      2. Original Tran ID
      3. Priority BGN02
      4. PMVI Requested Date
   3. User selects “OK” to create the issue
   4. Premise Type is populated
   5. MarkeTrak Issue is created and ERCOT provides applicable information
      1. No change from current process
   6. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   7. Gaining CR selects “Begin Working”
   8. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   9. Gaining CR selects “Send to Losing CR”
   10. Issue is in a state of “New (Losing CR)” with the Losing CR as Responsible MP
   11. Losing CR selects “Begin Working”
   12. Issue is in a state of “In Progress (Losing CR)” with the Losing CR as Responsible MP
   13. Losing CR selects “Send to Gaining CR”
   14. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   15. Gaining CR selects “Begin Working”
   16. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   17. Gaining CR selects “Agree”
   18. The issue is in a state of “New (TDSP)” with the TDSP as Responsible MP
   19. The TDSP selects “Begin Working”
   20. The issue is now in a state of “In Progress (TDSP)” with the TDSP as Responsible MP
   21. The TDSP selects “Fees Reversed”
   22. Issue is in a state of “Regaining Transaction Submitted (PC)” with the Losing CR as Responsible MP
       1. Siebel will automatically:
          1. Check Regaining Transaction Siebel Status every 30 minutes using the BGN 02 from the new initiating transaction
          2. Update the issue with the current Regaining Transaction Siebel Status
          3. Assign the state of “Complete” with the Submitting MP as the Responsible Party once the Regaining Transaction Siebel Status is Complete.
3. Extension Scenario: Losing CR creates issue, Gaining CR chooses “Unexecutable”, issue is closed
   1. Losing CR selects *Fee Reversal* from MarkeTrak Submit Tree
   2. Losing CR populates all required information
      1. ESIID
      2. Original Tran ID
      3. Priority BGN02
      4. PMVI Requested Date
   3. User selects “OK” to create the issue
   4. Premise Type is populated
   5. MarkeTrak Issue is created and ERCOT provides applicable information
      1. No change from current process
   6. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   7. Gaining CR selects “Begin Working”
   8. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   9. Gaining CR selects “Unexecutable”
   10. The MarkeTrak issue is assigned to the state of “Unexecutable (PC)” with the Losing CR as Responsible MP
   11. Losing CR clicks “Accept”, and the issue is closed.
4. Extension Scenario: Losing CR creates issue, Gaining CR agrees, TDSP chooses “Send to Losing CR”, Losing CR closes issue
   1. Losing CR selects *Fee Reversal* from MarkeTrak Submit Tree
   2. Losing CR populates all required information
      1. ESIID
      2. Original Tran ID
      3. Priority BGN02
      4. PMVI Requested Date
   3. User selects “OK” to create the issue
   4. Premise Type is populated
   5. MarkeTrak Issue is created and ERCOT provides applicable information
      1. No change from current process
   6. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   7. Gaining CR selects “Begin Working”
   8. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   9. Gaining CR selects “Agree”
   10. The issue is in a state of “New (TDSP)” with the TDSP as Responsible MP
   11. The TDSP selects “Begin Working”
   12. The issue is now in a state of “In Progress (TDSP)” with the TDSP as Responsible MP
   13. The TDSP selects “Send to Losing CR”
   14. Issue is in a state of “New (Losing CR)” with the Losing CR as Responsible MP
   15. Losing CR selects “Begin Working”
   16. Issue is in a state of “In Progress (Losing CR)” with the Losing CR as Responsible MP
   17. Losing CR selects the “Close” transition. Issue is now closed.
5. Extension Scenario: Losing CR creates issue, Gaining CR agrees, TDSP chooses “Send to Losing CR”, Losing CR sends back to TDSP, TDSP reverses charges
   1. Losing CR selects *Fee Reversal* from MarkeTrak Submit Tree
   2. Losing CR populates all required information
      1. ESIID
      2. Original Tran ID
      3. Priority BGN02
      4. PMVI Requested Date
   3. User selects “OK” to create the issue
   4. Premise Type is populated
   5. MarkeTrak Issue is created and ERCOT provides applicable information
      1. No change from current process
   6. MarkeTrak issue is assigned to the state of “New (Gaining CR)” with the Gaining CR as the Responsible Party
   7. Gaining CR selects “Begin Working”
   8. MarkeTrak issue is assigned to the state of “In Progress (Gaining CR)” with the Gaining CR as the Responsible Party
   9. Gaining CR selects “Agree”
   10. The issue is in a state of “New (TDSP)” with the TDSP as Responsible MP
   11. The TDSP selects “Begin Working”
   12. The issue is now in a state of “In Progress (TDSP)” with the TDSP as Responsible MP
   13. The TDSP selects “Send to Losing CR”
   14. Issue is in a state of “New (Losing CR)” with the Losing CR as Responsible MP
   15. Losing CR selects “Begin Working”
   16. Issue is in a state of “In Progress (Losing CR)” with the Losing CR as Responsible MP
   17. Losing CR selects “Send to TDSP”
   18. The issue is in a state of “New (TDSP)” with the TDSP as Responsible MP
   19. The TDSP selects “Begin Working”
   20. The issue is now in a state of “In Progress (TDSP)” with the TDSP as Responsible MP
   21. The TDSP selects “Fees Reversed”
   22. Issue is in a state of “Regaining Transaction Submitted (PC)” with the Losing CR as Responsible MP
       1. Siebel will automatically:
          1. Check Regaining Transaction Siebel Status every 30 minutes using the BGN 02 from the new initiating transaction
          2. Update the issue with the current Regaining Transaction Siebel Status
          3. Assign the state of “Complete” with the Submitting MP as the Responsible Party once the Regaining Transaction Siebel Status is Complete.