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| NPRR Number | 337 | NPRR Title | Correct Section Reference in Section 1.3.1.1 Pertaining To Protected Information of DC Ties | |
| Timeline | Normal | Action | | Recommended Approval |
| Date of Decision | | April 21, 2011 | | |
| Proposed Effective Date | | To be determined. | | |
| Priority and Rank Assigned | | To be determined. | | |
| Nodal Protocol Section Requiring Revision | | 1.3.1.1, Items Considered Protected Information | | |
| Revision Description | | This Nodal Protocol Revision Request (NPRR) aligns the Nodal Protocols with the zonal Protocols by correcting the section reference in Section 1.3.1.1 to reflect the actual section that contains the Direct Current Tie (DC Tie) Schedule Information that is considered Protected Information. | | |
| Reason for Revision | | Section 1.3.1.1 incorrectly references Section 4.4.4, DC Tie Schedules, which describes the operational process for DC Ties, whereas Section 9.17.2, Direct Current Tie Schedule Information, contains the information provided to a Transmission Service Provider (TSP) or Distribution Service Provider (DSP). | | |
| Overall Market Benefit | | More accurate Nodal Protocol language. | | |
| Overall Market Impact | | None. | | |
| Consumer Impact | | None. | | |
| Credit Impacts | | To be determined. | | |
| Procedural History | | * On 3/10/11, NPRR337 and an Impact Analysis were posted. * On 4/21/11, PRS considered NPRR337. | | |
| PRS Decision | | On 4/21/11, PRS unanimously voted to recommend approval of NPRR337 as submitted. All Market Segments were present for the votes. | | |
| Summary of PRS Discussion | | On 4/21/11, there was no discussion. | | |

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| Quantitative Impacts and Benefits | | | |
| Assumptions | 1 |  | |
| 2 |  | |
| 3 |  | |
| 4 |  | |
| Market Cost |  | **Impact Area** | **Monetary Impact** |
| 1 | *None.* | *None.* |
| 2 |  |  |
| 3 |  |  |
| 4 |  |  |
| Market Benefit |  | **Impact Area** | **Monetary Impact** |
| 1 | *More accurate Nodal Protocol language.* | *None.* |
| 2 |  |  |
| 3 |  |  |
| 4 |  |  |
| Additional Qualitative Information | 1 |  | |
| 2 |  | |
| 3 |  | |
| 4 |  | |
| Other Comments | 1 |  | |
| 2 |  | |
| 3 |  | |
| 4 |  | |

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| Market Segment | N/A |

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| **Comments Received** | |
| Comment Author | **Comment Summary** |
| None |  |

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| Proposed Protocol Language Revision |

**1.3.1.1 Items Considered Protected Information**

Subject to the exclusions set out in Section 1.3.1.2, Items Not Considered Protected Information, and in Section 3.2.5, Publication of Resource and Load Information, “Protected Information” is information containing or revealing any of the following:

(a) Base Points, as calculated by ERCOT. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(b) Bids, offers, or pricing information identifiable to a specific Qualified Scheduling Entity (QSE) or Resource. The Protected Information status of part of this information shall expire 60 days after the applicable Operating Day, as follows:

(i) Ancillary Service Offers by Operating Hour for each Resource for all Ancillary Services submitted for the Day-Ahead Market (DAM) or any Supplemental Ancillary Services Market (SASM);

(ii) The quantity of Ancillary Service offered by Operating Hour for each Resource for all Ancillary Service submitted for the DAM or any SASM; and

(iii) Energy Offer Curve prices and quantities for each Settlement Interval by Resource. The Protected Information status of this information shall expire within seven days after the applicable Operating Day if required to be posted as part of paragraph (5) of Section 3.2.5 and within two days after the applicable Operating Day if required to be posted as part of paragraph (6) of Section 3.2.5;

(c) Status of Resources, including Outages, limitations, or scheduled or metered Resource data. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(d) Current Operating Plans (COPs). The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(e) Ancillary Service Trades, Energy Trades, and Capacity Trades identifiable to a specific QSE or Resource. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(f) Ancillary Service Schedules identifiable to a specific QSE or Resource. The Protected Information status of this information shall expire 60 days after the applicable Operating Day;

(g) Dispatch Instructions identifiable to a specific QSE or Resource, except for Reliability Unit Commitment (RUC) commitments and decommitments as provided in Section 5.5.3, Communication of RUC Commitments and Decommitments. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(h) Raw and Adjusted Metered Load (AML) data (demand and energy) identifiable to a specific QSE, Load Serving Entity (LSE), or Customer. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(i) Settlement Statements and Invoices identifiable to a specific QSE. The Protected Information status of this information shall expire 180 days after the applicable Operating Day;

(j) Number of Electric Service Identifiers (ESI IDs) identifiable to a specific LSE. The Protected Information status of this information shall expire 365 days after the applicable Operating Day;

(k) Information related to generation interconnection requests, to the extent such information is not otherwise publicly available. The Protected Information status of this information shall expire when the generation interconnection agreement is executed or a financial arrangement for transmission construction is completed with a Transmission Service Provider (TSP);

(l) Resource-specific costs, design and engineering data;

(m) Congestion Revenue Right (CRR) credit limits, the identity of bidders in a CRR Auction, or other bidding information identifiable to a specific CRR Account Holder. The Protected Information status of this information shall expire as follows:

(i) The Protected Information status of the identities of CRR bidders that become CRR Owners and the number and type of CRRs that they each own shall expire at the end of the CRR Auction in which the CRRs were first sold; and

(ii) The Protected Information status of all other CRR information identified above in item (m) shall expire six months after the end of the year in which the CRR was effective.

(n) Renewable Energy Credit (REC) account balances. The Protected Information status of this information shall expire three years after the REC Settlement period ends;

(o) Credit limits identifiable to a specific QSE;

(p) Any information that is designated as Protected Information in writing by Disclosing Party at the time the information is provided to Receiving Party except for information:

(i) Submitted to or collected by ERCOT under the Protocols or Other Binding Documents; or

(ii) Provided to ERCOT in support of a Reliability Must-Run (RMR) application under Section 3.14.1, Reliability Must Run;

(q) Any information compiled by a Market Participant on a Customer that in the normal course of a Market Participant’s business that makes possible the identification of any individual Customer by matching such information with the Customer’s name, address, account number, type of classification service, historical electricity usage, expected patterns of use, types of facilities used in providing service, individual contract terms and conditions, price, current charges, billing record, or any other information that a Customer has expressly requested not be disclosed (“Proprietary Customer Information”) unless the Customer has authorized the release for public disclosure of that information in a manner approved by the Public Utility Commission of Texas (PUCT). Information that is redacted or organized in such a way as to make it impossible to identify the Customer to whom the information relates does not constitute Proprietary Customer Information;

(r) Any software, products of software, or other vendor information that ERCOT is required to keep confidential under its agreements;

(s) QSE, TSP, and Distribution Service Provider (DSP) backup plans collected by ERCOT under the Protocols or Other Binding Documents;

(t) Direct Current Tie (DC Tie) information provided to a TSP or DSP under Section 9.17.2, Direct Current Tie Schedule Information;

(u) Any Texas Standard Electronic Transaction (TX SET) transaction submitted by an LSE to ERCOT or received by an LSE from ERCOT. This paragraph does not apply to ERCOT’s compliance with:

(i) PUCT Substantive Rules on performance measure reporting;

(ii) These Protocols or Other Binding Documents; or

(iii) Any Technical Advisory Committee (TAC)-approved reporting requirements;

(v) Mothballed Generation Resource updates and supporting documentation submitted pursuant to Section 3.14.1.9, Generation Resource Return to Service Updates;

(w) For purposes of capacity demand reserve reporting, the unavailability of Switchable Generation Resources to the ERCOT System and supporting documentation submitted pursuant to paragraph (2) of Section 16.5.4, Maintaining and Updating Resource Entity Information, except for reporting the aggregate capacity or except as may be required by Section 3.2.5;

(x) Information provided by Entities under Section 10.3.2.4, Reporting of Net Generation Capacity;

(y) Alternative fuel reserve capability and firm gas availability information submitted pursuant to Section 6.5.9.3.1, Operating Condition Notice, Section 6.5.9.3.2, Advisory, and Section 6.5.9.3.3, Watch, and as defined by the Operating Guides;

(z) Non-public financial information provided by a Counter-Party to ERCOT pursuant to meeting its credit qualification requirements as well as the QSE’s form of credit support; or

(aa) ESI ID, identity of Retail Electric Provider (REP), and MWh consumption associated with transmission-level Customers that wish to have their Load excluded from the Renewable Portfolio Standard (RPS) calculation consistent with Section 14.5.3, End-Use Customers, and subsection (j) of P.U.C. Subst. R. 25.173, Goal for Renewable Energy.