



Date: July 14, 2009
To: ERCOT Board of Directors
From: Mark Bruce, Technical Advisory Committee (TAC) Chair
Subject: Protocol Revision Requests (PRRs)

Issue for the ERCOT Board of Directors

ERCOT Board of Directors (ERCOT Board) Meeting Date: July 21, 2009

Agenda Item No.: 11a

Issue:

Consideration of the following PRRs:

- PRR805 – Adding Provider of Last Resort (POLR) Customer Class and Advanced Metering System (AMS) Meter Flag to the Database Query Function on the Market Information System (MIS)
- PRR806 – Re-Registration of Market Participant due to Mass Transition of Electric Service Identifiers (ESI IDs)
- PRR807 – Clarify Definition of Messaging System
- PRR808 – Clean-up and Alignment of Renewable Energy Credits (RECs) Trading Program Language with Public Utility Commission of Texas (PUCT) Rules
- PRR815 – Commercially Significant Constraint (CSC) Process Clarification – URGENT

Background/History:

Unless otherwise noted: (i) all Market Segments were present for each vote and (ii) ERCOT Credit Staff and the Credit Work Group (Credit WG) have reviewed the revision requests and do not believe it requires changes to credit monitoring activity or the calculation of liability.

- ***PRR805 – Adding POLR Customer Class and AMS Meter Flag to the Database Query Function on the MIS [Texas SET].***

Proposed Effective Date: Upon system implementation.

CEO Determination: Refer to synchronizing Nodal Protocol Revision Requests' (NPRR's) CEO Determination which states Necessary prior to the Texas Nodal Market Implementation Date (NPRR171).

ERCOT Impact Analysis: \$50-100K budgetary impact; project required – resources required for PRR805 development are also working on nodal project – resource availability currently being reviewed; no additional full-time equivalents needed; impacts to Texas Market Link (TML) (Retail Application), Information Services Master (ISM), TIBCO, and Retail Application Programmatic Interface (API); existing business processes can accommodate this PRR; no impact to grid operations.

Revision Description: This PRR will add POLR Customer class and the AMS meter flag to the database query function on the MIS.

Procedural History: PRR805 was posted on March 6, 2009. On April 23, 2009 the Protocol Revision Subcommittee (PRS) voted to recommend approval of PRR805 as

submitted with one (1) abstention from the Independent Power Marketer (IPM) Market Segment. On May 21, 2009 PRS unanimously voted to endorse and forward the PRS Recommendation Report, Impact Analysis, and Cost Benefit Analysis to TAC and to recommend a priority of 2-High and a rank of 32. On June 4, 2009 TAC voted to recommend approval of PRR805 with priority of 2-High and a rank of 32 as recommended by PRS. There was one (1) abstention from the Independent Generator Market Segment.

- ***PRR806 – Re-Registration of Market Participant due to Mass Transition ESI IDs [Texas SET].***

Proposed Effective Date: August 1, 2009

CEO Determination: Refer to synchronizing NPRR's CEO Determination which states Necessary prior to the Texas Nodal Market Implementation Date (NPRR170).

ERCOT Impact Analysis: No budgetary impact; no additional full-time equivalents needed; no system changes required; existing business processes can accommodate this PRR; no impact to grid operations.

Revision Description: This PRR requires re-registration as a Market Participant if they transfer ESI IDs from one Competitive Retailer (CR) to another using the Mass Transition process.

Procedural History: PRR806 was posted on March 6, 2009. On April 23, 2009 PRS unanimously voted to recommend approval of PRR806 as submitted. On May 21, 2009 PRS unanimously voted to endorse and forward the PRS Recommendation Report and Impact Analysis to TAC. On June 4, 2009 TAC unanimously voted to recommend approval of PRR806 as recommended by PRS.

- ***PRR807 – Clarify Definition of Messaging System [ERCOT].***

Proposed Effective Date: Upon system implementation.

CEO Determination: Not applicable.

ERCOT Impact Analysis: Minor impact managed under operations and management (O&M) budgets of affected departments; System Investigation Report (SIR) will be initiated to implement requirements of this PRR; no full time equivalents needed; impact to Market Operating System (MOS) Market User Interface (MUI); existing business processes can accommodate this PRR; no impact to grid operations.

Revision Description: This PRR revises the definition of "Messaging System" to include a process that makes Real Time messages available to "bidding QSEs" without Resources.

Procedural History: PRR807 was posted on March 26, 2009. On April 1, 2009 the motion to grant PRR807 Urgent status failed, via PRS email vote. On April 23, 2009 PRS unanimously voted to recommend approval of PRR807 as submitted. On May 21, 2009 PRS unanimously voted to endorse and forward the PRS Recommendation Report and Impact Analysis to TAC. On June 4, 2009 TAC unanimously voted to recommend approval of PRR807 as recommended by PRS.

- ***PRR808 – Clean-up and Alignment of RECs Trading Program Language with PUCT Rules [ERCOT].***

Proposed Effective Date: August 1, 2009

CEO Determination: Refer to synchronizing NPRR's CEO Determination which states Necessary prior to the Texas Nodal Market Implementation Date (NPRR177).

ERCOT Impact Analysis: No budgetary impact; no additional full-time equivalents needed; no system changes required; existing business processes can accommodate this PRR; no impact to grid operations.

Revision Description: This PRR cleans up and aligns the language in Section 14, State of Texas Renewable Energy Credit Trading Program, with P.U.C. SUBST. R. 25.173, Goal for Renewable Energy. This PRR also updates references to the REC Trading Program throughout the Protocols.

Procedural History: PRR808 was posted on March 26, 2009. On April 23, 2009 PRS unanimously voted to recommend approval of PRR808 as amended by J. Aron's comments. On May 21, 2009 PRS unanimously voted to endorse and forward the PRS Recommendation Report and Impact Analysis to TAC. On June 4, 2009 TAC unanimously voted to recommend approval of PRR808 as revised by TAC.

- ***PRR815 – CSC Process Clarification – URGENT [ERCOT].***

Proposed Effective Date: August 1, 2009

CEO Determination: Necessary prior to the Texas Nodal Market Implementation Date.

ERCOT Impact Analysis: No budgetary impact; no additional full-time equivalents needed; no system changes required; existing business processes can accommodate this PRR; no impact to grid operations.

Revision Description: This PRR clarifies the process used to determine CSCs.

Procedural History: PRR815 was posted on June 10, 2009. On June 12, 2009 PRR815 was granted Urgent status via PRS email vote. On June 18, 2009 PRS voted to recommend approval of PRR815 as amended by Public Utility Commission of Texas (PUCT) Staff comments. There was one (1) opposing vote from the Investor Owned Utility (IOU) Market Segment. On July 9, 2009 TAC voted to recommend approval of PRR815 as revised by TAC with one (1) opposing vote from the IOU Market Segment.

The TAC Recommendation Reports, Impact Analyses, and CEO Determinations, if applicable, for these PRRs are included in the ERCOT Board meeting materials. In addition, these PRRs and supporting materials are posted on the ERCOT website at the following link: <http://www.ercot.com/mktrules/protocols/prr.html>.

Key Factors Influencing Issue:

The PRS met, discussed the issues and submitted recommendation reports to TAC regarding PRR805, 806, 807, 808, and 815. TAC considered the issues and voted to take action on PRR805, 806, 807, 808, and 815 as described above.

Alternatives:

1. Approve the TAC recommendation on PRR805, 806, 807, 808, and/or 815 as described above or as modified by the ERCOT Board;
2. Reject the TAC recommendation on PRR805, 806, 807, 808, and/or 815;
3. Defer decision on the merits of the TAC recommendation on PRR805, 806, 807, 808, and/or



815; or

4. Remand PRR805, 806, 807, 808, and/or 815 to TAC with instructions.

Conclusion/Recommendation:

As more specifically described above, TAC recommends that the ERCOT Board approve PRR805, 806, 807, 808, and 815.



ELECTRIC RELIABILITY COUNCIL OF TEXAS, INC.
BOARD OF DIRECTORS RESOLUTION

WHEREAS, the Electric Reliability Council of Texas, Inc. (ERCOT) Board of Directors (Board) deems it desirable and in ERCOT's best interest to approve: PRR805 – Adding POLR Customer Class and AMS Meter Flag to the Database Query Function on the MIS; PRR806 – Re-Registration of Market Participant due to Mass Transition of ESI IDs; PRR807 – Clarify Definition of Messaging System; PRR808 – Clean-up and Alignment of RECs Trading Program Language with PUCT Rules; and PRR815 – CSC Process Clarification.

THEREFORE be it RESOLVED, that the Board hereby approves PRRs 805, 806, 807, 808, and 815.

CORPORATE SECRETARY'S CERTIFICATE

I, Michael G. Grable, Corporate Secretary of ERCOT, do hereby certify that, at its July 21, 2009 meeting, the ERCOT Board of Directors passed a motion approving the above Resolution by _____.

IN WITNESS WHEREOF, I have hereunto set my hand this ____ day of _____, 2009.

Michael G. Grable
Corporate Secretary