

Audit Points to Address from Utilicast Report 8

ID	Utilicast Recommendation	ERCOT Action Plan	Target Date
UTI08-01	<p>The individual project budgets should be challenged in the following areas:</p> <ul style="list-style-type: none"> a. Vendor contracts b. Contract staff c. Internal staff 	<ul style="list-style-type: none"> a. Long –term vendor contracts will be negotiated with the assistance of a 3rd party negotiator, to address budget matters as well as others. 3rd parties are being interviewed at this time b, c. Plans for use of contract staff, as well as the orderly transition to ERCOT teams are being examined, as we refine the schedule and plan for the remainder of the schedule 	<ul style="list-style-type: none"> a) 2/17/09 for 3rd party negotiator decision; 6/30/09 for contracts to be renegotiated for top 5 vendors b) Integrated schedule is being re-planned incrementally, with full re-plan to be complete in March, 2009
UTI08-02	<p>Given the high risks of the integration phase of the Nodal Program, this area should be reviewed in detail and be the subject of Nodal Oversight Report 9.</p>	<p>This item is closed. The integration phase of the Nodal Program was made the subject of Nodal Oversight Report Number 9.</p>	<p>Closed, 2/2/09</p>
UTI08-03	<p>Scope for the December 2010 release of the Nodal Program should be locked down with any proposed changes subject to a strict change control process.</p>	<p>This item is closed. There is now a clear policy supported by the Board of Directors that any change that affects Nodal schedule and budget must be approved by the ERCOT CEO and Board of Directors.</p>	<p>Expect board approval of PRR799 on 2/17/09</p>



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UTI08-04	No enhancements should be considered for zonal market applications or processes unless assessed as business critical.	This item is closed. The ERCOT PMO is carefully monitoring Zonal project work, with guidelines in place to avoid use of Nodal resources. As of 1/30/09, there are no known requirements for use of Nodal resources in the work for 2009.	Closed; 1/30/09
UTI08-05	There should be immediate investment in new data center capacity to allow for expansion of IT infrastructure to support the Nodal Program.	A project has been initiated to expand the data center in Taylor (PR-80047). The planned occupancy date is September 2009. The plan to add or move hardware is under development. Additional data center space is planned as part of the Met Center disposition project (PR-80001). Those data centers should be online in early 2011. Plans are under development to provide capacity prior to those new data centers becoming available.	Early 2011
UTI08-06	Given the risks of market readiness and Market Participants potentially delaying "Go-live" due to ambiguous EDS exit criteria, this area should be subject to a detail review.	as of 2/4, this recommendation on hold at the request of Utilicast. They want to discuss the subject of Report #10 with the Special Nodal Committee.	2/10/09 – next meeting of the Committee

