



## Analysis of Compliance Reviews

\*\*\*Green more than 6 months old, Yellow more than 9 months old, Grey are closed

Event Date	Event Name	Possible Reliability Issues	Next Step(s) Needed	Deadline for Finishing Next Step(s)	Progress Made this Month	Responsible Organization
7/27/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
8/11/2008	Failure to Deploy LaaR Relays Tested Outside Interval	Reserve Deployment Protection System Maintenance	Review entity responses	31-Oct	Received Responses	Texas RE
9/17/2008	Automatic Control Mode	No notification in change of status	Issue RFI	15-Oct	Received Self Report	Texas RE
3/10/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
3/13/2008	SPS Operation	SPS Issues	Spot Check Reply	10-Oct	Issued Spot Check	Entity
3/21/2008	SPS Operation	SPS Issues	Issue Spot Check	10-Oct	Prepared Spot Check Package	Texas RE
1/4/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
1/15/2008	SPS Operation	SPS Issues	Initial RFI	15-Oct	Consolidated event data with other SPS events for review	Texas RE
1/21/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
1/23/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
1/26/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
2/24/2008	SPS Operation	SPS Issues	Initial RFI	15-Oct	Consolidated event data with other SPS events for review	Texas RE
2/26/2008	EECP Step 2	Operational Communications and Capacity	Review follow-up response	17-Oct	Develop Spot Checks Packages	Texas RE
2/28/2008	SPS Operation	SPS Issues	Spot Check Reply	17-Oct	Issued Spot Check	Entity
2/19/2008	Loss of Multiple Elements	Operational Communications and Relaying	Closure Notification	30-Sep	Determined to be closed based on review of information	
11/29/2007	EECP Step 1	Ancillary Services Capacity and Operational Communications		N/A	Final Report; Violations Issued	
12/12/2007	Possible DCS Event	Operational Communications and Relaying	Closure Notification	17-Oct	Determined to be closed based on review of information	
3/16/2008	Possible DCS Event	Operational Issues and Reserve Deployment	Closure Notification	30-Sep	Determined to be closed based on review of information	
3/18/2008	Loss of Multiple Elements	Operational Communications and Relaying	Closure Notification	1-Oct	Determined to be closed based on review of information	
5/1/2008	Voltage Dev > 5%	Operational Communications	Closure Notification	30-Sep	Determined to be closed based on review of information	
6/11/2008	Loss of Primary and Backup Computer Systems	Emergency Procedures	Closure Notification	30-Sep	Determined to be closed based on review of information	
6/18/2008	EECP Step 1	Operational Communications	Closure Notification	30-Sep	Determined to be closed based on review of information	