

**PUC/NERC/FERC Filings - FINANCE and AUDIT Excerpt as of May 16, 2007**

Docket / Project No.	Docket Description	Status
P-24055	Protocol Revision Informational Filings by the Electric Reliability Council of Texas (includes Protocol Revisions and Nodal Protocol Revisions)	Systems Implementation – Cost Benefit Analysis
P-24462	PUC Proceeding to Establish Performance Measures Relating to the Competitive Retail Electric Market	05/14/07 1 <sup>st</sup> Quarter Report Filing
P-27706	Reports of the Electric Reliability Council of Texas	05/14/07 1 <sup>st</sup> Quarter Report (Financials and Audits) Filing
P-28109	Competitive Metering Services Market Readiness Project Subst. R. §25.311(e)	05/14/07 1 <sup>st</sup> Quarter Report Filing
D-30456	PUC Proceeding Regarding ERCOT Fee	File Quarterly Reports and Present at PUC Open Meetings
P-30634	Activities Related to Implementation of Recommendations from the Potomac Economics 2004 Reports on the Operation of the ERCOT Wholesale Electricity Market	Commission Staff Appeal of PRR 590 – Potomac Recommendation #14 – Procedures to Monitor QSE Reserve Obligations in Real-time – System changes and Cost to design and installation of monitoring equipment in ERCOT Control Rooms
P-31111	Rulemaking to Address Independent Market Monitor for the Wholesale Electric Market in ERCOT	Final Order Issued 04/21/06 - ERCOT to Fund IMM
D-31243	Complaint of TXU Portfolio Management Company LP and TXU Energy Retail Company LP and Against the Electric Reliability Council of Texas	08/09/06 Final Order Issued - Load Imbalance Charges
P-31416	Evaluation of Default Service for Residential Customers and Review of Rules Relating to the Price to Beat and Provider of Last Resort	07/11/06 Order Adopting Amendment to SUBST. R. §25.43 - Create two different POLR small non-residential customer classifications - Automated process is in place no later than July 1, 2007
P-31575	Improvements to the ERCOT Zonal Market Design	01/19/07 ERCOT Controllable Load Pilot Summary Report
P-31600	Transition to an ERCOT Nodal Market Design	06/26/06 PUC Market Oversight Evaluation of Options PRR 307 Controllable Loads – ERCOT Project Priority List 2001 and Estimates \$500,000 - \$1,000,000
P-31852	Rulemaking Relating to Renewable Energy Amendments	12/04/06 ERCOT Filed Analysis of Transmission Alternative for Competitive Renewable Energy Zones in Texas
P-31972	Rulemaking Concerning Resource Adequacy and Market Power in the Electric Reliability Council of Texas Power Region	Potential Resource and System Impacts

Matrix:	Fee Case	Protocols	Rulemaking	Complaints	Unaffiliated Director	Governance Bylaws	ERCOT Reports	Declaratory Orders / Applications / Petitions	Projects	Nodal
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Docket / Project No.	Docket Description	Status
D-32025	Petition of the Electric Reliability Council of Texas for Approval of Amended and Restated Bylaws	Costs for Unaffiliated Board of Directors and TAC Representative
P-32100	PUC Market Oversight Activities	03/07/07 Potomac Economics Presentation (IMM Report) – ERCOT Wholesale Market Review
D-32492	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director	06/29/06 Final Order - Costs for Unaffiliated Board of Directors
D-32573	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Usave Energy Services, Inc.	05/15/06 ERCOT Intervened Amount due by Usave to ERCOT is \$212,202.90  11/13/06 PUC Final Order Issued
D-32574	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Azor Energy, L.P.	08/14/06 Final Order Issued  Azor owes ERCOT \$26,394.07
D-32686	Application of the Electric Reliability Council of Texas for Approval of a Nodal Market Implementation Surcharge and Request for Interim Relief	08/29/06 Interim Order Issued  01/29/07 ERCOT Filed Supplemental Application for Approval of Final Nodal Surcharge  04/30/07 ERCOT Filed Initial Brief
P-32853	Evaluation of Demand Response Programs in the Competitive Electric Market	02/01/07 Filed - ERCOT Report on System and Market Impacts of Implementing Tiered Frequency Response (TFR) Services
D-32992	Compliance Proceeding Pursuant to Final Order in Docket No. 31243 (Complaint of TXU Portfolio Management Company LP; and TXU Energy Retail Company LP and Against the Electric Reliability Counsel of Texas)	02/28/07 Order - ERCOT Ordered to Re-settle see TXU Complaint Docket No. 31243
D-33047	Notice of Violation and Settlement Agreement Relating to FPL Energy Power Marketing Inc.'s Violation of PURA §39.151(j) and PUC Subst. R. §25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols §6.5.4(1) and §6.5.4(7) Concerning Responsive Reserve Service	09/08/06 Final Order – FPL Energy to Pay ERCOT \$2,287
D-33177	Notice of Violation and Settlement Agreement Relating to Constellation Energy Commodities Group, Inc.'s Violation of PURA §39.151(j) and PUC Subst. R. §25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols 6.5.4(1) and 6.5.4(7) Concerning Responsive Reserve Service	10/13/06 Final Order – Constellation to Pay ERCOT \$1,103
D-33183	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director (Jan L. Newton)	12/15/06 Order of Approval - Costs for Unaffiliated Board of Directors
D-33185	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Re-election of Unaffiliated Director (Mark Armentrout)	12/15/06 Order of Approval - Costs for Unaffiliated Board of Directors
D-33416	Constellation NewEnergy's Appeal and Complaint of ERCOT Decision to Approve PRR 676, PRR 674 and Request for Expedited Relief	04/13/07 Final Order

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Docket / Project No.	Docket Description	Status
P-33457	PUC Rulemaking Concerning a Demand-Response Program for ERCOT Emergency Conditions	Potential Resource and System Impacts - ERCOT's Costs Associated with Procuring Emergency Interruptible Load from Customers  03/21/07 Order Adopting New SUBST. Rule §25.507
P-33490	Rulemaking Proceeding to Amend 25.502 Pricing Safeguards in Markets Operated by the Electric Reliability Council of Texas	(Project 31972 Pending 3 <sup>rd</sup> Court of Appeals)  Potential Resource and System Impacts
D-33491	Petition of Staff of Public Utility Commission of Texas to Revoke Retail Electric Provider Certificate No. 10078 of Freedom Group, LLC, d/b/a Freedom Power	Parties in Settlement Discussions
P-33492	Rulemaking Relating to the Target for Renewable Energy Resources other than Wind Power	Potential Resource and System Impacts
P-33495	Rulemaking Project to Amend Rule Relating to the Independent Market Monitor for ERCOT	04/10/07 Order Adopting - Potential Resource and System Impacts
D-33500	Complaint of Constellation Commodities Group, Inc. Against the Electric Reliability Council of Texas	04/11/07 ERCOT Filed Request for Hearing  ERCOT Calculation of Constellation's RPRS Under-Scheduling Settlement Charges Questioned
D-33672	Commission Staff's Petition for Designation of Competitive Renewable Energy Zones	01/29/07 ERCOT Filed Report on Status of its Planned CREZ Ancillary Service Study and Representative Information  04/16/07 ERCOT Filed Notice of Ancillary Services Study Agreement  04/24/07 ERCOT Filed Direct Testimony to Sponsor Study
D-33687	Entergy Gulf States, Inc.'s Transition to Competition Plan	Potential Resource and System Impacts – if EGSI Joins the ERCOT Region  04/27/07 ERCOT Filed Testimony
P-34019	PUC Request for Proposals for a Workforce Analysis of the Electric Reliability Council of Texas	Eight Companies have submitted responses to PUC's Request for Proposal

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Docket / Project No.	Docket Description	Status
P-34039	Rulemaking to Amend PUC SUBST. R. §25.107 Relating to Certification of Retail Electric Providers	
P-34108	Commission Staff's Requests for Qualifications Pursuant to PUC SUBST. R. §25.174(c) (to Construct Transmission Lines from the Designated CREZ)	04/16/07 ERCOT Filed Notice of Ancillary Services Study Agreement
NERC	ERCOT Regional Entity (RE) Delegation Agreement with NERC Electric Reliability Organization (ERO)	

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