

PUC/NERC/FERC Filings - FINANCE and AUDIT Excerpt as of March 21, 2007

Docket / Project No.	Docket Description	Status
P-24055	Protocol Revision Informational Filings by the Electric Reliability Council of Texas (includes Protocol Revisions and Nodal Protocol Revisions)	Systems Implementation – Cost Benefit Analysis
P-24462	PUC Proceeding to Establish Performance Measures Relating to the Competitive Retail Electric Market	02/14/07 4 th Quarter Report Filing
P-27706	Reports of the Electric Reliability Council of Texas	02/14/07 4 th Quarter Report (Financials and Audits) Filing
P-28109	Competitive Metering Services Market Readiness Project Subst. R. §25.311(e)	02/14/07 4 th Quarter Report Filing
P-28320	Reports of Complaints Filed Against the Electric Reliability Council of Texas (ERCOT)	Litigation Cases (not under PUC jurisdiction)
D-30456	PUC Proceeding Regarding ERCOT Fee	File Quarterly Reports and Present at PUC Open Meetings
P-30634	Activities Related to Implementation of Recommendations from the Potomac Economics 2004 Reports on the Operation of the ERCOT Wholesale Electricity Market	Commission Staff Appeal of PRR 590 – Potomac Recommendation #14 request to reprioritize PRR 590 (currently priority 1.2 and rank of 57) – Procedures to Monitor QSE Reserve Obligations in Real-time – System changes and Cost to design and installation of monitoring equipment in ERCOT Control Rooms
P-31111	Rulemaking to Address Independent Market Monitor for the Wholesale Electric Market in ERCOT	Final Order Issued 04/21/06 ERCOT to Fund IMM
D-31166	Complaint of TXU Electric Delivery Company and CenterPoint Energy Houston Electric, LLC to Revoke Retail Electric Provider Certificate No. 10068 of Energy West Resources, LTD	07/17/06 Final Order Issued
D-31243	Complaint of TXU Portfolio Management Company LP and TXU Energy Retail Company LP and Against the Electric Reliability Council of Texas	08/09/06 Final Order Issued 08/29/06 NRG Texas, LP Filed Motion for Rehearing Load Imbalance Charges

Matrix:	Fee Case	Protocols	Rulemaking	Complaints	Unaffiliated Director	Governance Bylaws	ERCOT Reports	Declaratory Orders / Applications / Petitions	Projects	Nodal
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P-31416	Evaluation of Default Service for Residential Customers and Review of Rules Relating to the Price to Beat and Provider of Last Resort	07/11/06 Order Adopting Amendment to 25.43 - Create two different POLR small non-residential customer classifications - In the event that ERCOT is not capable of having an automated process in place to effectuate the bifurcation by December 31, 2006, ERCOT shall manually bifurcate the small non-residential customer class until the automated process is in place, which shall be no later than July 1, 2007
D-31540	Proceeding to Consider Protocols to Implement a Nodal Market in the Electric Reliability Council of Texas Pursuant to PUC Subst. R. §25.501	04/05/06 Final Order Issued
P-31575	Improvements to the ERCOT Zonal Market Design	
P-31600	Transition to an ERCOT Nodal Market Design	06/26/06 PUC Market Oversight Evaluation of Options PRR 307 Controllable Loads – ERCOT Project Priority List 2001 and Estimates \$500,000 - \$1,000,000
D-31824	2006 – Application of the Electric Reliability Council of Texas for Approval of the ERCOT System Administration Fee (\$0.42 Mwh)	05/15/06 Final Order
P-31852	Rulemaking Relating to Renewable Energy Amendments	12/01/06 ERCOT to File Study of Wind Energy Production Potential State-Wide and Transmission Constraints Limit Deliverability of Electricity from Wind Energy Resources
P-31972	Rulemaking Concerning Resource Adequacy and Market Power in the Electric Reliability Council of Texas Power Region	Potential Resource and System Impacts Texas Third Court of Appeals – Cause No. 03-06-0662-CV Constellation Energy Commodities vs. PUC 09/29/06 – Order Issued Emergency Stay Granted – Implementation of Disclosure Provisions of 16 Texas Administrative Code §25.505(f)(3) are Stayed Pending Further Order of the Court

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D-32025	Petition of the Electric Reliability Council of Texas for Approval of Amended and Restated Bylaws	Costs for Unaffiliated Board of Directors and TAC Representative
D-32174	Petition of Tenaska Power Services Co. d/b/a TPS III for Declaratory Order Interpreting PUC Subst. R. §25.43(n) and §25.272(g)(1)(D)	07/19/06 PUC Order No. 18 Dismissing Petition
P-32401	FY 2006 Activities Related to the Oversight of the Electric Reliability Council of Texas	04/17/06 Rolling Blackout
D-32486	Complaint and Request for Emergency Action of CenterPoint Energy Houston, LLC regarding Retail Electric Provider Ideal Energy, Inc.	08/29/06 Final Order Issued
D-32492	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director	06/29/06 Final Order of Approval Costs for Unaffiliated Board of Directors
D-32573	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Usave Energy Services, Inc.	05/15/06 ERCOT Intervened Amount due by Usave to ERCOT is \$212,202.90 11/13/06 PUC Final Order Issued – Revoked REP Certification against Usave Energy Services, Inc.
D-32574	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Azor Energy, L.P.	08/14/06 Final Order Issued Revoked Azor REP Certification Azor owes ERCOT \$26,394.07
D-32686	Application of the Electric Reliability Council of Texas for Approval of a Nodal Market Implementation Surcharge and Request for Interim Relief	08/29/06 Interim Order Issued 01/29/07 ERCOT Filed Supplemental Application for Approval of Final Nodal Surcharge
D-32695	Petition of TXU Energy Retail Company for Declaratory Judgment, or in the Alternative, for Approval of a New Time of Use Schedule (TOUS)	06/29/06 Final Order Issued – TXU Energy Time-of-Use Schedule to be implemented by ERCOT in the TXU Electric Delivery Service Territory
P-32853	Evaluation of Demand Response Programs in the Competitive Electric Market	02/01/07 Filed with PUC - ERCOT Report - System and Market Impacts of Implementing Tiered Frequency Response (TFR) Services

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Docket / Project No.	Docket Description	Status
D-32988	Complaint and Request for Emergency Action of CenterPoint Energy Houston Electric, LLC Regarding Retail Electric Provider Bridgepoint Power & Light, LLC01	01/11/07 Final Order – Bridgepoint to Pay \$151,124.30 – Amounts Owed
D-32992	Compliance Proceeding Pursuant to Final Order in Docket No. 31243 (Complaint of TXU Portfolio Management Company LP; and TXU Energy Retail Company LP and Against the Electric Reliability Counsel of Texas)	ERCOT Ordered to Re-settle see TXU Complaint Docket No. 31243 03/01/07 Commission Staff's Request for Approval of Unanimous Settlement Agreement
D-33047	Notice of Violation and Settlement Agreement Relating to FPL Energy Power Marketing Inc.'s Violation of PURA §39.151(j) and PUC Subst. R. §25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols §6.5.4(1) and §6.5.4(7) Concerning Responsive Reserve Service	09/08/06 Final Order – FPL Energy to Pay ERCOT \$2,287 – ERCOT shall distribute amounts refunded Pursuant to Final Order and in Accordance with ERCOT Protocols
D-33177	Notice of Violation and Settlement Agreement Relating to Constellation Energy Commodities Group, Inc.'s Violation of PURA §39.151(j) and PUC Subst. R. §25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols 6.5.4(1) and 6.5.4(7) Concerning Responsive Reserve Service	10/13/06 Final Order – Constellation to Pay ERCOT \$1,103 – ERCOT shall distribute amounts refunded Pursuant to Final Order and in Accordance with ERCOT Protocols
D-33183	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director (Jan L. Newton)	Costs for Unaffiliated Board of Directors
D-33185	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Re-election of Unaffiliated Director (Mark Armentrout)	Costs for Unaffiliated Board of Directors
P-33250	Staff's Activities Related to the ERCOT Wholesale Market Independent Market Monitor	
D-33416	Constellation NewEnergy's Appeal and Complaint of ERCOT Decision to Approve PRR 676, PRR 674 and Request for Expedited Relief	02/02/07 Order Suspending Enforcement of PRR 676
P-33457	PUC Rulemaking Concerning a Demand-Response Program for ERCOT Emergency Conditions	Potential Resource and System Impacts ERCOT's Costs Associated with Procuring Emergency Interruptible Load from Customers
P-33490	Rulemaking Proceeding to Amend 25.502 Pricing Safeguards in Markets Operated by the Electric Reliability Council of Texas	(Project 31972 Pending 3 rd Court of Appeals) Potential Resource and System Impacts

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D-33491	Petition of Staff of Public Utility Commission of Texas to Revoke Retail Electric Provider Certificate No. 10078 of Freedom Group, LLC, d/b/a Freedom Power	Parties in Settlement Discussions
P-33492	Rulemaking Relating to the Target for Renewable Energy Resources other than Wind Power	Potential Resource and System Impacts
D-33493	Application of ACN Energy, Inc. to Withdraw its Retail Electric Provider (REP) Certification	01/31/07 Order No. 6 Granting Request to Withdraw Certification
P-33495	Rulemaking Project to Amend Rule Relating to the Independent Market Monitor for ERCOT	Potential Resource and System Impacts
D-33500	Complaint of Constellation Commodities Group, Inc. Against the Electric Reliability Council of Texas	ERCOT Calculation of Constellation's RPRS Under-Scheduling Settlement Charges Questioned
D-33672	Commission Staff's Petition for Designation of Competitive Renewable Energy Zones	01/29/07 ERCOT Filed Report on Status of its Planned CREZ Ancillary Service Study and Representative Information 04/10/07 ERCOT to File Direct Testimony to Sponsor Study
D-33687	Entergy Gulf States, Inc.'s Transition to Competition Plan	Potential Resource and System Impacts – if EGSI Joins the ERCOT Region, what Implications of Proposed Direct-Current Ties by EGSI have on Jurisdiction of Commission and FERC in ERCOT?
NERC	ERCOT Regional Entity (RE) Delegation Agreement with NERC Electric Reliability Organization (ERO)	

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