

Compliance Update

November 2006

Control Performance Highlights

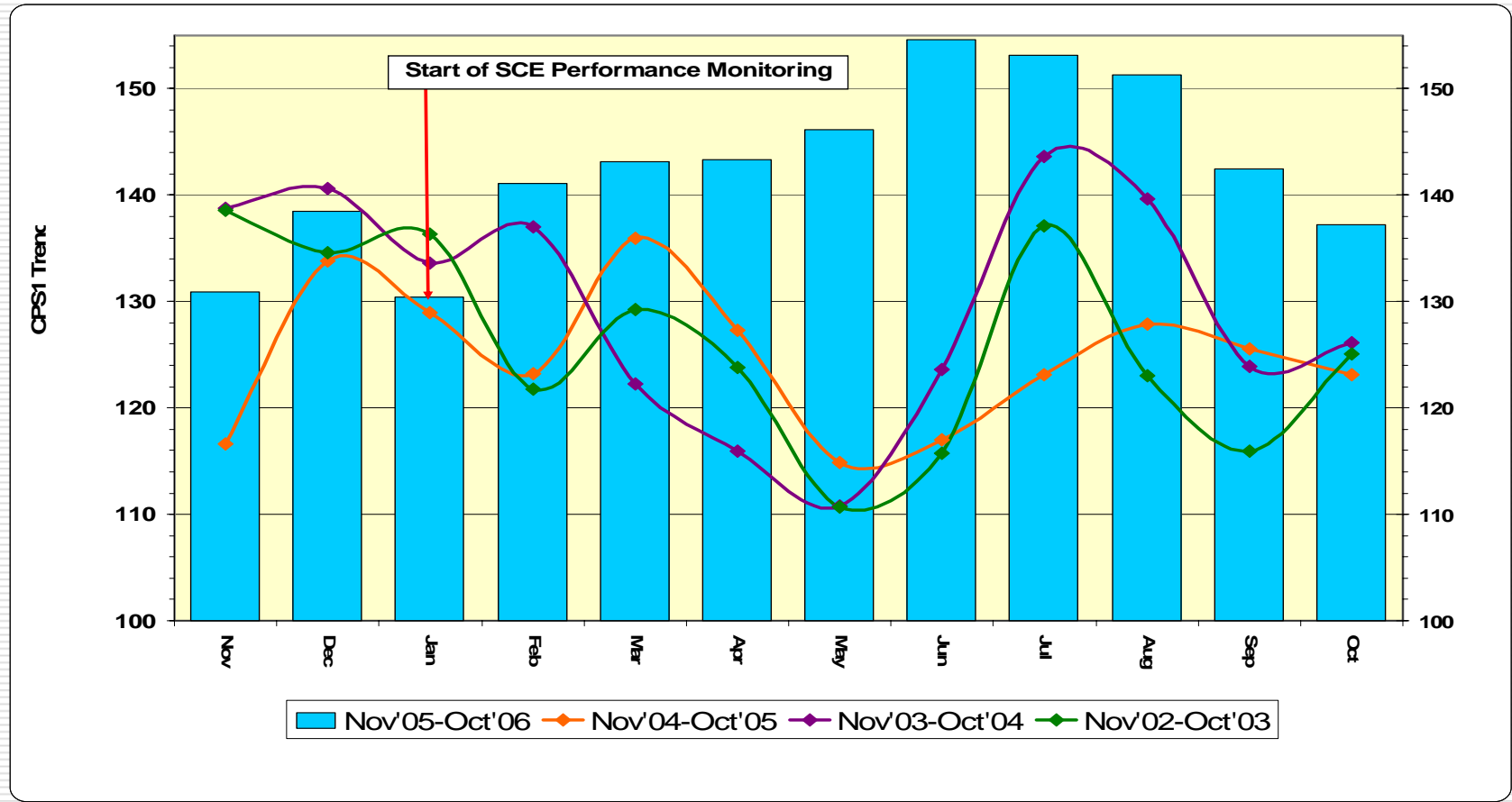
☐ NERC CPS1 Performance

- October performance indicated a fourth straight month of declining scores since scores peaked in June
 - ☐ June – 154.6
 - ☐ July – 153.1
 - ☐ August – 151.3
 - ☐ September – 142.5
 - ☐ October – 137.3

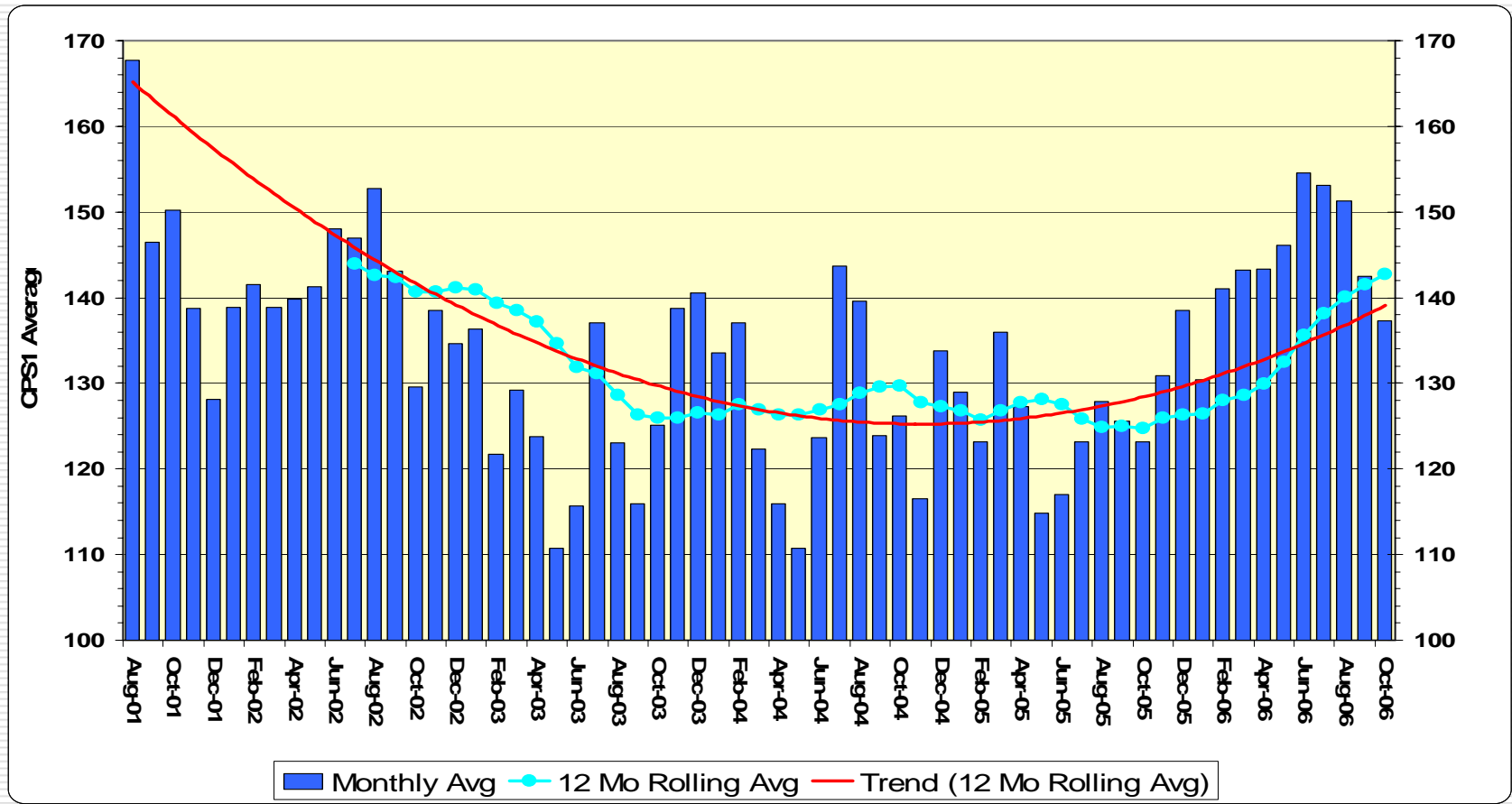
☐ PRR 525 Performance

- Initial scores for October indicate 31 QSEs passed / 2 non-wind-only QSEs failed the measure
- Scores posted on the ERCOT Compliance Website

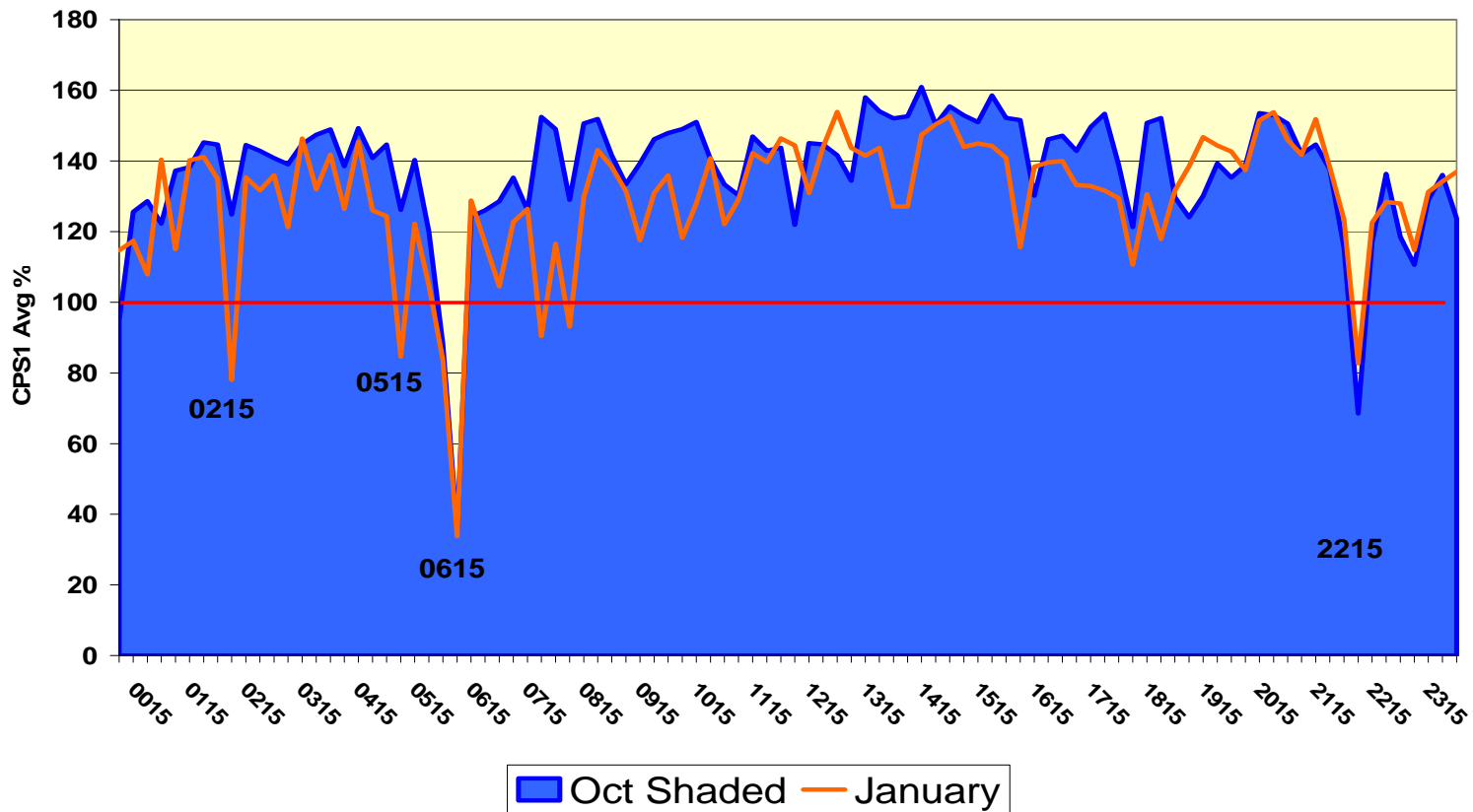
ERCOT CPS1 Performance Comparison



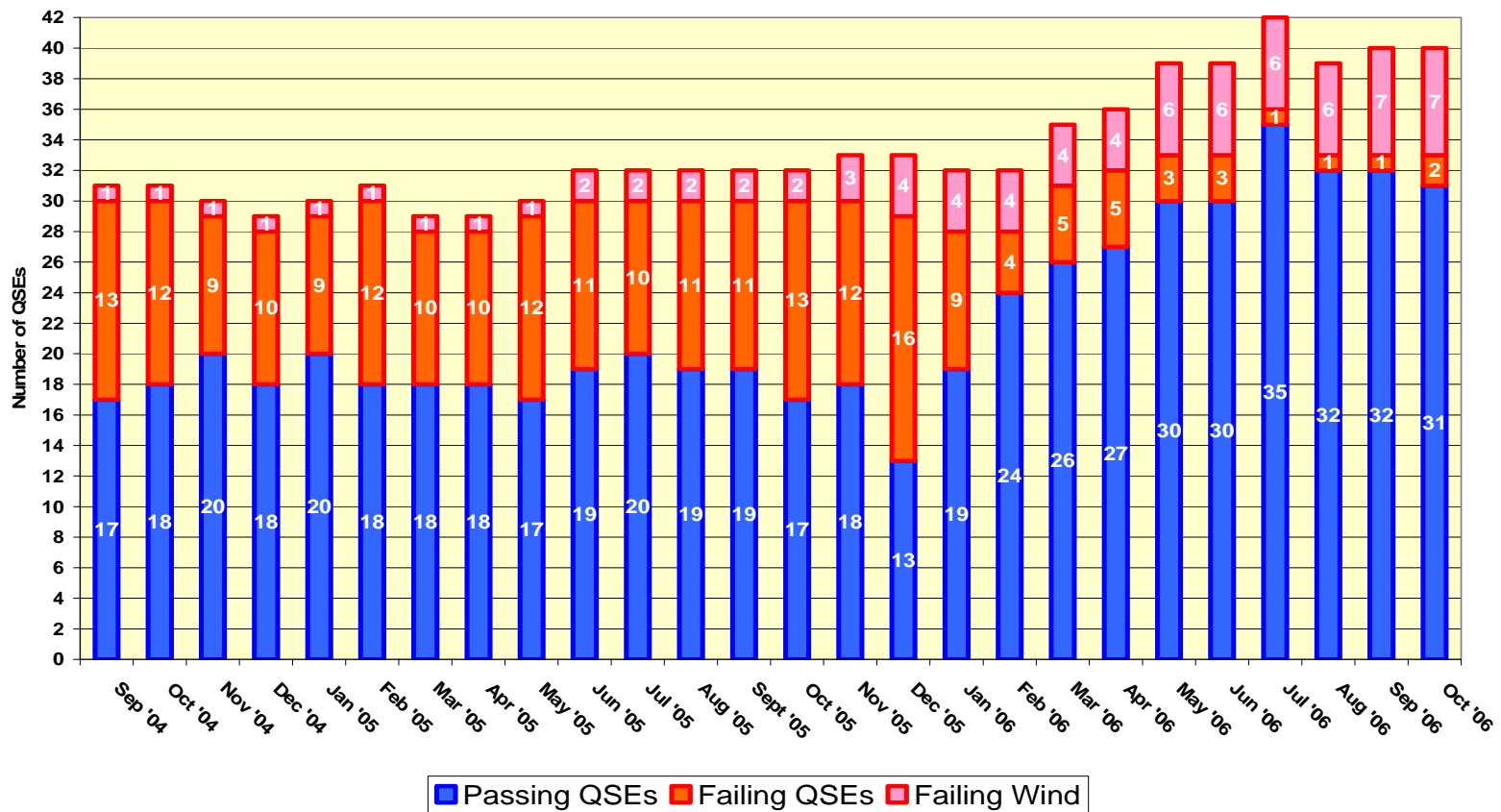
ERCOT's CPS1 Monthly Trend



ERCOT CPS1 by Interval



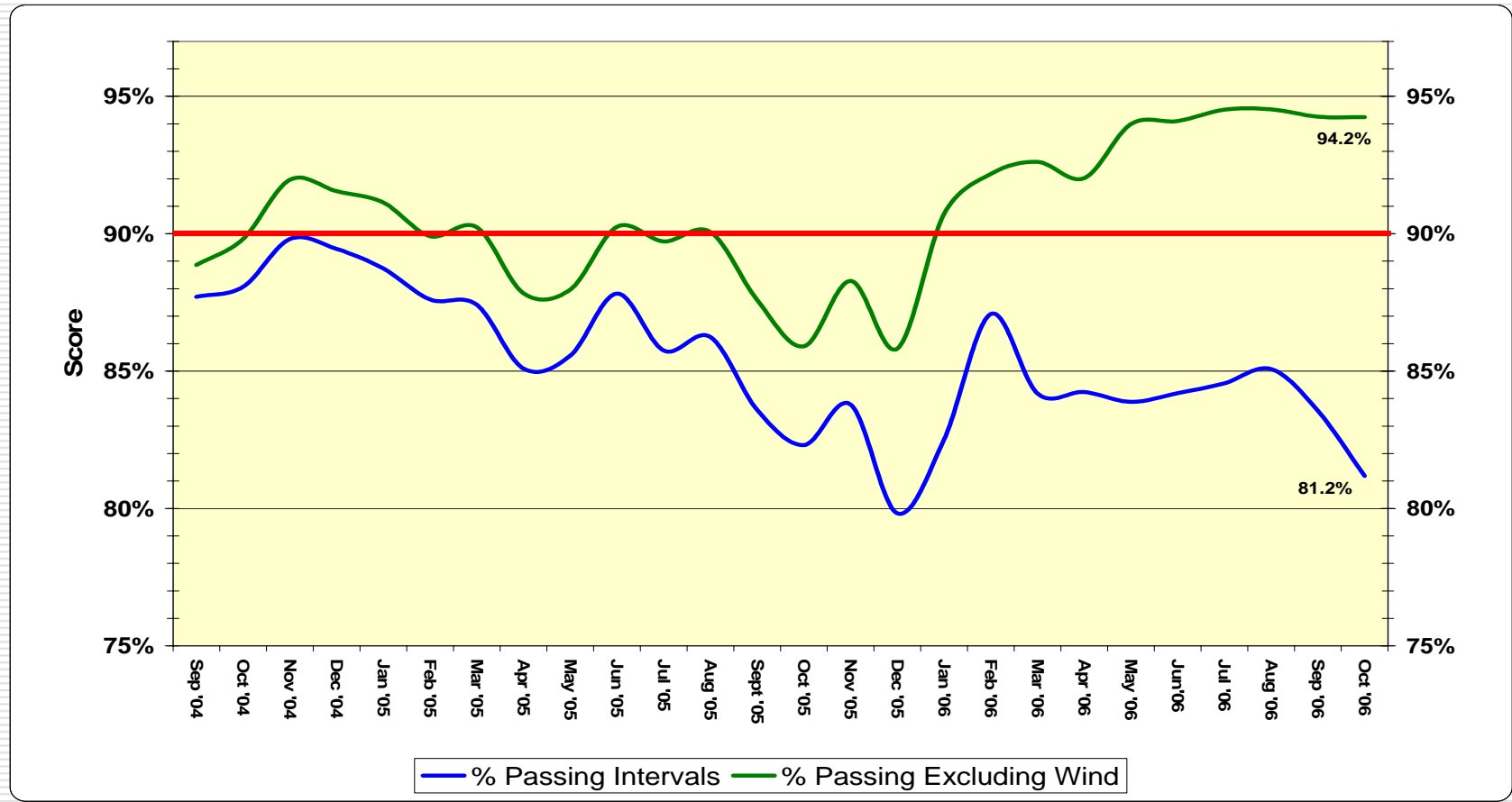
QSE PRR525 10-Minute Interval Scoring



SCE Performance Monitoring

- SCE Performance Monitoring scores for all QSEs are published on the Compliance Website
 - [SCE Performance Scores](#)

525 Passing 10-Min Interval Percentage Trends



Resource Plan Performance Metrics

October 2006 Results

Resource Plan Performance Metric	ID																									
	DK	BT	DE	IP	AP	AO	HA	BY	BC	AY	IG	AM	AR	BR	HJ	DF	BP	AE	CI	AD	BH	CJ	EG	CF	ET	
Resource Status	99	-	100	100	96	100	97	100	100	93	-	98	100	99	-	100	100	-	100	100	-	100	-	100	100	
LSL as % of HSL	95	-	100	100	100	100	100	99	100	100	-	100	97	96	-	99	98	-	98	99	-	98	-	98	100	
Day Ahead Zonal Schedule	94	94	100	100	96	100	99	100	100	98	0	98	97	100	94	100	100	-	100	98	100	97	0	98	100	
Adjustment Period Zonal Schedule	98	92	100	100	96	100	100	100	100	98	0	99	99	84	92	99	100	-	97	99	98	99	0	96	100	
Down Bid & Obligation	97	92	80	100	89	94	99	99	99	98	-	98	100	99	92	82	92	-	97	99	99	99	-	98	99	
Total Up Ancillary Services	-	-	99	-	96	97	93	100	99	99	-	99	100	97	-	98	75	100	-	-	-	98	-	98	98	

Resource Plan Performance Metric	ID																			
	DA	GR	BF	BE	DP	BG	CX	FK	IN	BX	CC	CD	FS	AC	AB	IC	CQ	IV	IO	FY
Resource Status	92	-	-	-	100	100	88	98	99	98	99	99	100	99	85	100	99	-	99	-
LSL as % of HSL	100	-	-	-	100	99	100	99	98	100	100	98	100	100	99	100	83	-	100	-
Day Ahead Zonal Schedule	96	100	97	100	100	100	97	96	100	100	92	100	100	93	100	100	96	100	-	-
Adjustment Period Zonal Schedule	98	90	100	100	98	100	97	97	99	100	96	100	100	98	100	99	96	100	75	-
Down Bid & Obligation	88	94	100	99	100	100	95	100	97	98	87	94	-	99	95	97	99	97	25	-
Total Up Ancillary Services	95	-	-	-	100	100	100	99	96	100	99	100	100	-	-	-	90	-	-	97

4 Consecutive Failing Scores 3 Consecutive Failing Scores

2 Consecutive Failing Scores 1 Failing Score

NERC ERO Developments

- ❑ On July 20th, FERC approved NERC's application to become the Electric Reliability Organization (ERO).
- ❑ Draft Texas Regional Entity budget submitted to NERC in June.
- ❑ Proposed Regional Entity Work Plan submitted to NERC in July.
- ❑ Draft Delegation Agreement between NERC and ERCOT filed August 4th.
- ❑ ERCOT's draft Regional Delegation Agreement is posted on the NERC Website at ([Regional Delegation Agreements](#)).

Other Compliance Activities

- ❑ ERCOT Compliance submitted, to NERC on September 8th, contact information from ERCOT Entities responsible for NERC Reliability Standards.
- ❑ ERCOT Compliance Staff participating with NERC on two ERCOT Local Control Center Reliability Readiness Audits – CenterPoint (October) & TXU Electric Delivery (December).
- ❑ Events under investigation
 - April 17th EECF Event – continued review with PUCT
 - September 28th DCS Event (possible SPS mis-operation)
 - October 3rd Load Shedding Event (possible DCS Event)