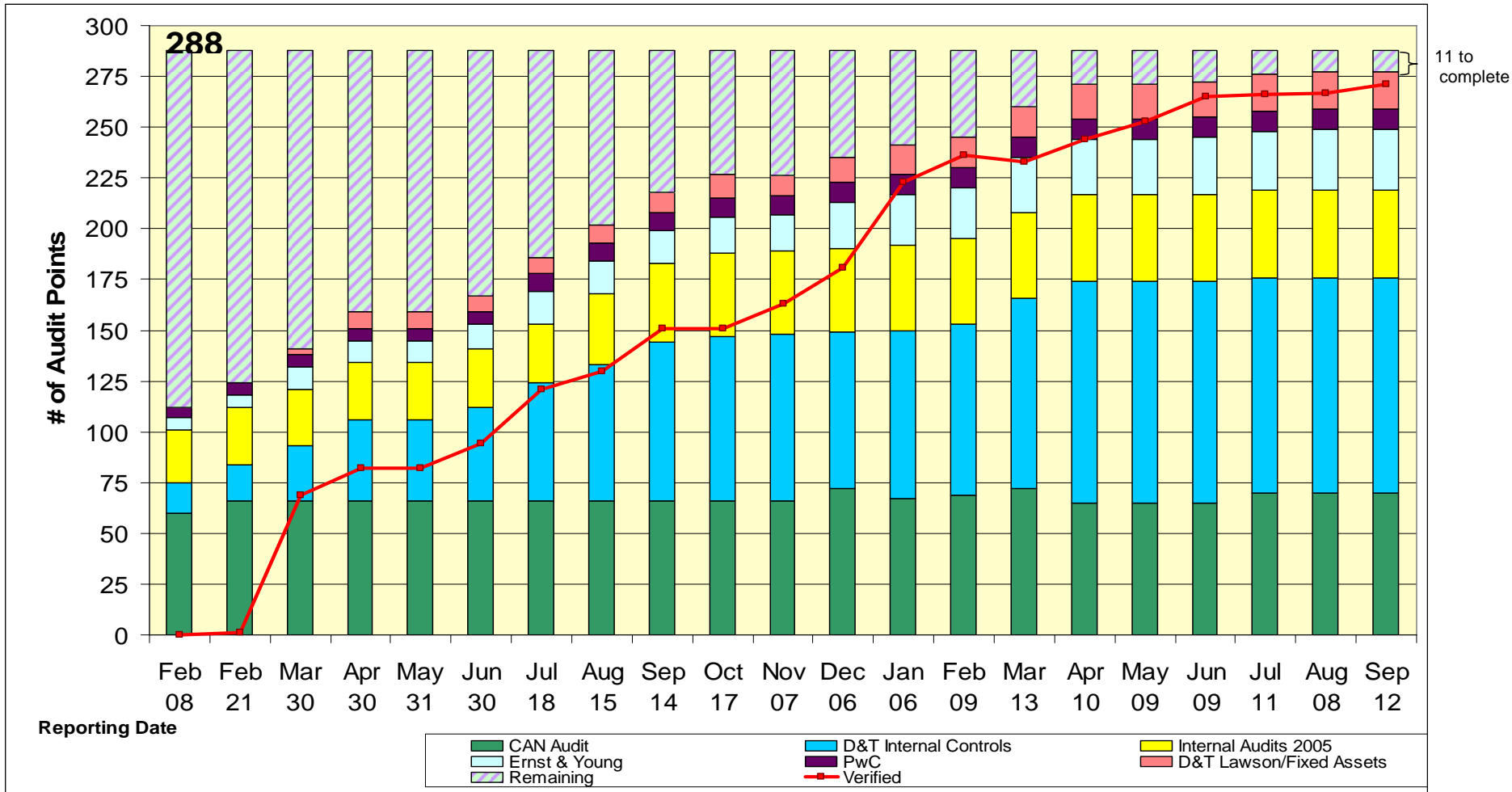
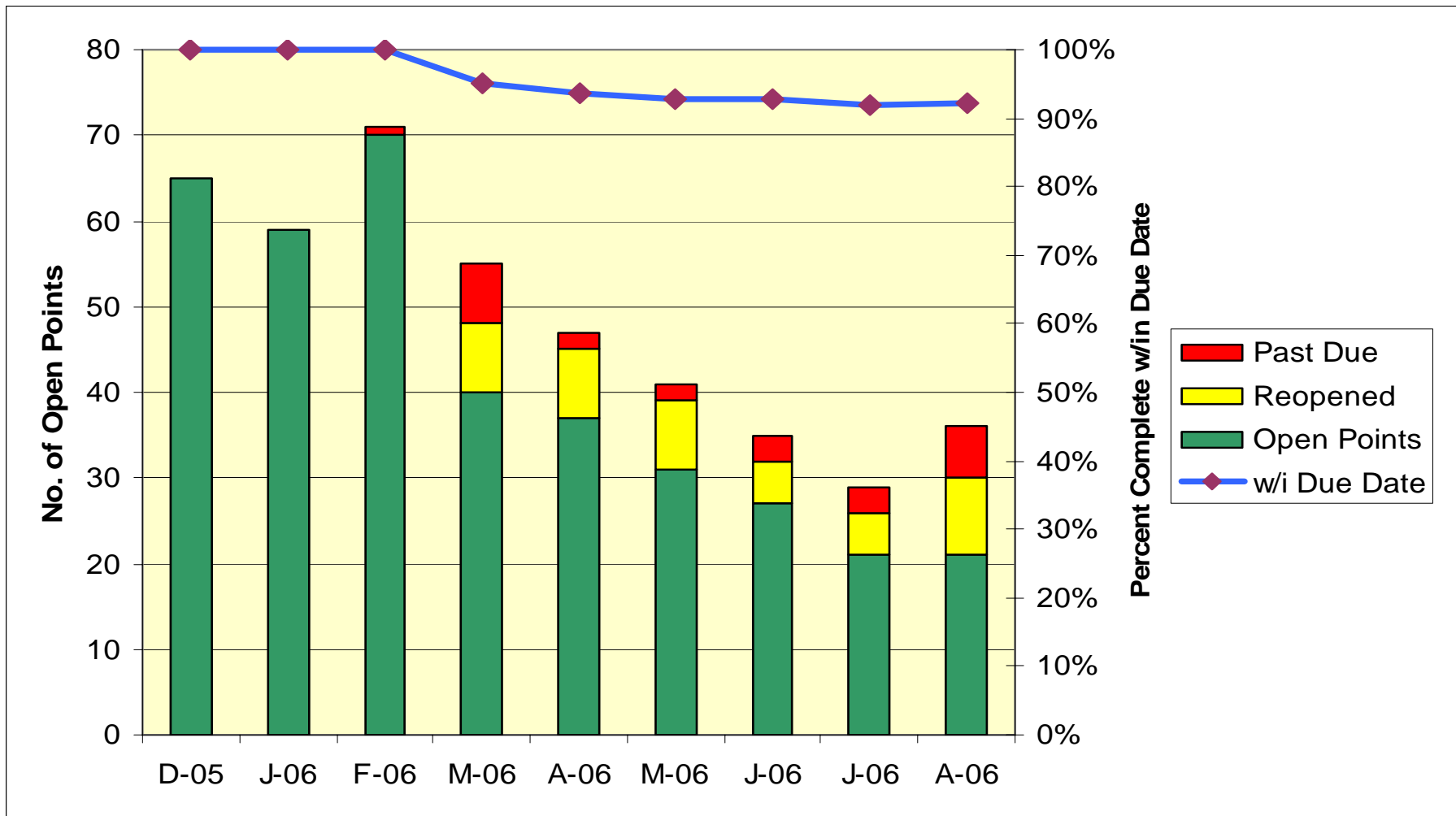


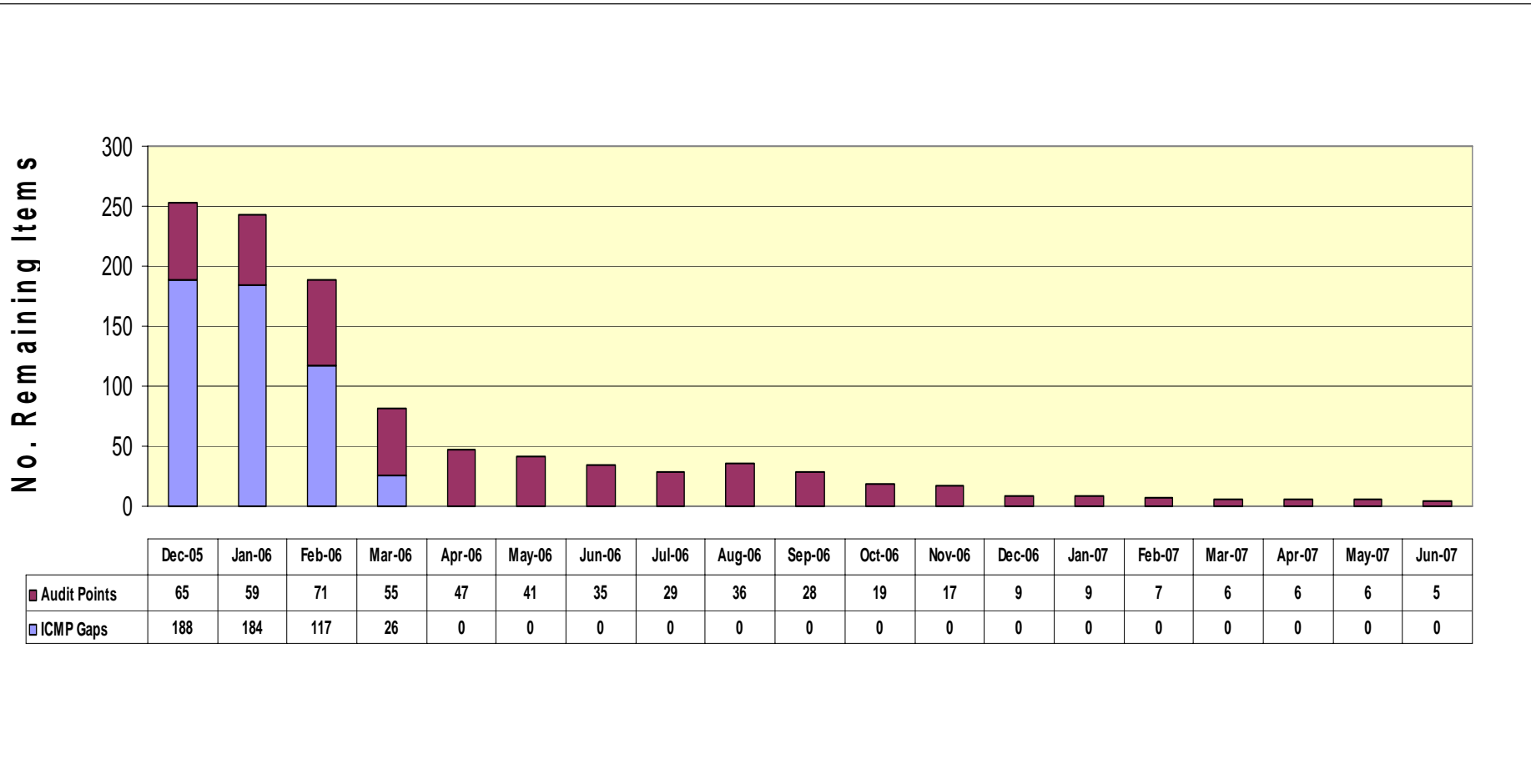
Completion Status by Audit 2004-05 Audit Points



Status of Open Audit Points - 2006



Projected Audit Point and ICMP Gap Progress



PUC Filings - FINANCE and AUDIT Excerpt as of September 18, 2006

Docket / Project No.	Docket Description	Status
P-24055	Protocol Revision Informational Filings by the Electric Reliability Council of Texas (includes Protocol Revisions and Nodal Protocol Revisions)	Systems Implementation – Cost Benefit Analysis
P-24462	PUC Proceeding to Establish Performance Measures Relating to the Competitive Retail Electric Market	11/14/06 2 nd Quarter Report Filing
P-27706	Reports of the Electric Reliability Council of Texas	11/14/06 2 nd Quarter Report (Financials and Audits) Filing
P-28109	Competitive Metering Services Market Readiness Project Subst. R. 25.311(e)	11/14/06 2 nd Quarter Report Filing
P-28320	Reports of Complaints Filed Against the Electric Reliability Council of Texas (ERCOT)	Litigation Cases (not under PUC jurisdiction)
D-30456	PUC Proceeding Regarding ERCOT Fee	File Quarterly Reports and Present at PUC Open Meetings
P-30634	Activities Related to Implementation of Recommendations from the Potomac Economics 2004 Reports on the Operation of the ERCOT Wholesale Electricity Market	Commission Staff Appeal of PRR 590 – Potomac Recommendation #14 request to reprioritize PRR 590 (currently priority 1.2 and rank of 57) – Procedures to Monitor QSE Reserve Obligations in Real-time – System changes and Cost to design and installation of monitoring equipment in ERCOT Control Rooms
P-31111	Rulemaking to Address Independent Market Monitor for the Wholesale Electric Market in ERCOT	Final Order Issued 04/21/06 ERCOT to Fund IMM
D-31166	Complaint of TXU Electric Delivery Company and CenterPoint Energy Houston Electric, LLC to Revoke Retail Electric Provider Certificate No. 10068 of Energy West Resources, LTD	07/17/06 Final Order Issued
D-31243	Complaint of TXU Portfolio Management Company LP and TXU Energy Retail Company LP and Against the Electric Reliability Council of Texas	08/09/06 Final Order Issued 08/29/06 NRG Texas, LP Filed Motion for Rehearing Load Imbalance Charges

PUC Filings - FINANCE and AUDIT Excerpt as of September 18, 2006

Docket / Project No.	Docket Description	Status
P-31416	Evaluation of Default Service for Residential Customers and Review of Rules Relating to the Price to Beat and Provider of Last Resort	07/11/06 Order Adopting Amendment to 25.43 - Create two different POLR small non-residential customer classifications - In the event that ERCOT is not capable of having an automated process in place to effectuate the bifurcation by December 31, 2006, ERCOT shall manually bifurcate the small non-residential customer class until the automated process is in place, which shall be no later than July 1, 2007
D-31540	Proceeding to Consider Protocols to Implement a Nodal Market in the Electric Reliability Council of Texas Pursuant to PUC Subst. R. 25.501	04/05/06 Final Order Issued
P-31575	Improvements to the ERCOT Zonal Market Design	
P-31600	Transition to an ERCOT Nodal Market Design	06/26/06 PUC Market Oversight Evaluation of Options PRR 307 Controllable Loads – ERCOT Project Priority List 2001 and Estimates \$500,000 - \$1,000,000
D-31824	2006 – Application of the Electric Reliability Council of Texas for Approval of the ERCOT System Administration Fee (\$0.42 Mwh)	05/15/06 Final Order
P-31972	Rulemaking Concerning Resource Adequacy and Market Power in the Electric Reliability Council of Texas Power Region	Potential Resource and System Impacts 10/01/06 ERCOT to File Plan to Incorporate Impact of Transmission Constraints into its Short-term Projected Assessment of System Adequacy (PASA) at a Later Date
D-32025	Petition of the Electric Reliability Council of Texas for Approval of Amended and Restated Bylaws	Costs for Unaffiliated Board of Directors and TAC Representative
D32174	Petition of Tenaska Power Services Co. d/b/a TPS III for Declaratory Order Interpreting PUC Subst. R. 25.43(n) and 25.272(g)(1)(D)	07/19/06 PUC Order No. 18 Dismissing Petition
P-32401	FY 2006 Activities Related to the Oversight of the Electric Reliability Council of Texas	04/17/06 Rolling Blackout
D-32486	Complaint and Request for Emergency Action of CenterPoint Energy Houston, LLC regarding Retail Electric Provider Ideal Energy, Inc.	08/29/06 Final Order Issued

PUC Filings - FINANCE and AUDIT Excerpt as of September 18, 2006

Docket / Project No.	Docket Description	Status
D-32492	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director	06/29/06 Final Order of Approval Costs for Unaffiliated Board of Directors
D-32573	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Usave Energy Services, Inc.	05/15/06 ERCOT Intervened Amount due by Usave to ERCOT is \$212,202.90
D-32574	Petition of Commission Staff to Revoke the Retail Electric Provider Certificate of Azor Energy, L.P.	08/14/06 Final Order Issued Revoked Azor REP Certification Azor owes ERCOT \$26,394.07
D-32686	Application of the Electric Reliability Council of Texas for Approval of a Nodal Market Implementation Surcharge and Request for Interim Relief	08/29/06 Interim Order Issued 11/02/06 ERCOT to File with PUC Cost Status Update 11/27/06 ERCOT to File with PUC Nodal Cost Information
D-32695	Petition of TXU Energy Retail Company for Declaratory Judgment, or in the Alternative, for Approval of a New Time of Use Schedule (TOUS)	06/29/06 Final Order Issued – TXU Energy Time-of-Use Schedule to be implemented by ERCOT in the TXU Electric Delivery Service Territory
D-32988	Complaint and Request for Emergency Action of CenterPoint Energy Houston Electric, LLC Regarding Retail Electric Provider Bridgepoint Power & Light, LLC	
D-32992	Compliance Proceeding Pursuant to Final Order in Docket No. 31243 (Complaint of TXU Portfolio Management Company LP; and TXU Energy Retail Company LP and Against the Electric Reliability Counsel of Texas)	ERCOT Ordered to Re-settle see TXU Complaint Docket No. 31243
D-33047	Notice of Violation and Settlement Agreement Relating to FPL Energy Power Marketing Inc.'s Violation of PURA 39.151(j) and PUC Subst. R. 25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols 6.5.4(1) and 6.5.4(7) Concerning Responsive Reserve Service	09/08/06 Final Order – FPL Energy to Pay ERCOT \$2,287 – ERCOT shall distribute amounts refunded Pursuant to Final Order and in Accordance with ERCOT Protocols
D-33177	Notice of Violation and Settlement Agreement Relating to Constellation Energy Commodities Group, Inc.'s Violation of PURA 39.151(j) and PUC Subst. R. 25.503(f)(2); Relating to Failure to Adhere to ERCOT Protocols 6.5.4(1) and 6.5.4(7) Concerning Responsive Reserve Service	09/08/06 Proposed Order – Constellation to Pay ERCOT \$1,103 – ERCOT shall distribute amounts refunded Pursuant to Final Order and in Accordance with ERCOT Protocols
D-33183	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Unaffiliated Director (Jan L. Newton)	Costs for Unaffiliated Board of Directors
D-33185	Petition of the Electric Reliability Council of Texas (ERCOT) for Approval of Re-election of Unaffiliated Director (Mark Armentrout)	Costs for Unaffiliated Board of Directors